Original article

# Assessment of Occupational Health Risks for Maintenance Work in Fabrication Facilities: Brief Review and Recommendations 

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#### Abstract

Background: This study focuses on assessing occupational risk for the health hazards encountered during maintenance works (MW) in semiconductor fabrication (FAB) facilities. Objectives: The objectives of this study include: 1) identifying the primary health hazards during MW in semiconductor FAB facilities; 2) reviewing the methods used in evaluating the likelihood and severity of health hazards through occupational health risk assessment (OHRA); and 3) suggesting variables for the categorization of likelihood of exposures to health hazards and the severity of health effects associated with MW in FAB facilities. Methods: A literature review was undertaken on OHRA methodology and health hazards resulting from MW in FAB facilities. Based on this review, approaches for categorizing the exposure to health hazards and the severity of health effects related to MW were recommended. Results: Maintenance workers in FAB facilities face exposure to hazards such as debris, machinery entanglement, and airborne particles laden with various chemical components. The level of engineering and administrative control measures is suggested to assess the likelihood of simultaneous chemical and dust exposure. Qualitative key factors for mixed exposure estimation during MW include the presence of safe operational protocols, the use of air-jet machines, the presence and effectiveness of local exhaust ventilation system, chamber post-purge and cooling, and proper respirator use. Using the risk ( R ) and hazard (H) codes of the Globally Harmonized System alongside carcinogenic, mutagenic, or reprotoxic classifications aid in categorizing health effect severity for OHRA. Conclusion: Further research is needed to apply our proposed variables in OHRA for MW in FAB facilities and subsequently validate the findings. © 2023 Occupational Safety and Health Research Institute. Published by Elsevier B.V. on behalf of Institute, Occupational Safety and Health Research Institute, Korea Occupational Safety and Health Agency. This is an open access article under the CC BY-NC-ND license (http://creativecommons.org/ licenses/by-nc-nd/4.0/).


## 1. Introduction

The Republic of Korea Occupational Safety and Health Act mandates that employers and self-employed individuals assess health and safety risks in their workplaces [1]. While occupational
risk assessment helps companies adhere to this legal obligation by identifying risks and supporting the safety of workers, the concept primarily addresses the probability of harm or injury from workrelated activities and conditions [2]. Occupational health and safety risk is simply a combination of the likelihood of the

[^0]occurrence of a work-related hazardous event or exposure(s) and the severity of injury or illness that could be caused by the event or exposure(s) [3].

Although the foundational principles for occupational risk assessment are well-documented, there is a noticeable absence of specific methodologies tailored for particular operational processes or roles [4]. Specifically, Occupational health risk assessment (OHRA) focuses on acute or long-term exposure to health hazards that could result in chronic illnesses. Exposure to hazardous factors generated in work processes may cause various types of health effects from immediate sensory irritation to chronic diseases, including cancer, in workers many years after exposure [5].

Semiconductor manufacturing (FAB) covers a range of tasks, from maintenance work (MW) including preventive, breakdown, and corrective maintenance in different processes to infrastructure facilities and each task presents unique safety and health risks. Given the diversity of these risks, selecting the most appropriate methods and factors for assessment is a complex undertaking. The Semiconductor Equipment and Materials International (SEMI) standards were developed to provide technical standards for the semiconductor, microelectronics, and related industries. These standards cover a wide range of subjects, including equipment specifications, safety, and best practices specifically tailored for safety risk assessment [6]. Currently, specific guidance for OHRA in semiconductor operations is limited.

This study aims to identify the health hazards faced by maintenance workers in FAB operation facilities through a literature review, to review the methods used in evaluating the likelihood and severity of health hazards through OHRA recommended; and to suggest variables for likelihood of exposures to health hazards and the severity of health effects associated with MW in FAB facilities. Findings from this research can guide general risk assessment (GRA) practices for MW.

## 2. Methods

### 2.1. The range and extent of the study

This study focuses on evaluating health hazards tied to MW in FAB facilities. The primary areas covered include the following.

- Focusing on MW in FAB facilities, taking into account that maintenance workers often face greater hazards compared to operators, especially in automated semiconductor setups. The study excludes OHRA pertaining to the cleaning of various parts and machinery that have been detached within the FAB facilities.
- A brief review of potential health hazards maintenance workers might encounter during MW in FAB facilities based on a literature review. To identify health hazards associated with MW in semiconductor fab facilities, search terms like 'health hazards,' 'chemicals,' and 'semiconductor industry' were used both individually and in combination. Of the 35 articles initially found, eight were thoroughly reviewed and summarized [714].
- Suggesting factors to estimate the likelihood of exposure to health hazards and the potential harm from MW in the FAB facilities. This study recommends elements for qualitative GRA that can be used to prioritize health risk among MWs in FAB facilities and to select specific MW for site-specific risk assessment. GRAs assess prevalent workplace risks and are ideal for evaluating uniform risks across different tasks or sites [7]. In order to explore suitable OHRA methodologies for MW in FAB facilities, keywords such as 'occupational health risk assessment' and 'manufacturing industry' were employed. An
initial screening yielded 20,592 entries. Exclusions were made for OHRA cases specific to workplace scenarios and manuscripts focused solely on occupational safety risk assessment (OSRA) methods. The study was limited to manuscripts that provide guidance or recommendations on OHRA either recommended or developed by governmental or professional organizations. These were then compared based on key attributes of OHRA, such as health hazard and estimation methods.


### 2.2. Overview of fundamental processes in semiconductor FAB operations

The FAB operations in the semiconductor sector revolve around crafting integrated circuits on silicon wafers. This intricate process involves repeating four main stages: (1) patterning: oxidation, photolithography, etching, and stripping; (2) junction formation: diffusion and ion implantation; (3) deposition: epitaxial or chemical vapor deposition for thin film layering; (4) metallization: sputtering and evaporation [8,9,11,15]. Repeated multiple times, these steps systematically add and remove materials from wafer surfaces to produce integrated circuits [16]. According to the Samsung Economic Research Institute, the trend in Republic of Korea since 2003 has been leaning toward automated 12-inch wafer manufacturing [17]. However, MW within these facilities remains hands-on work and poses distinct health risks.

### 2.3. Health hazards associated with MW in semiconductor FAB facilities

Based on an extensive review of the literature up to August 2023 focusing on maintenance tasks in such facilities, several key health hazards were identified. Three primary health hazards associated with MW in FAB processes emerged.

- Exposure to dust composed of diverse chemical components: this primarily arises from MW during the removal of residues and debris from machinery and facilities.
- Exposure to chemicals with various components: from hazardous chemicals and gases used in FAB operations or during MW.
- Thermal hazards: found when maintaining high-temperature facilities, like deposition, diffusion, and dry etching chambers.

Maintenance workers in FAB facilities are exposed to a diverse range of health hazards, including a wide array of chemical components associated with their work. While other potential safety risks exist, such as from machinery, electrical issues, and fire hazards, they are generally well-addressed by stringent safety protocols in semiconductor facilities [6]. Semiconductor plants employ comprehensive measures, including process safety management systems and automated controls. Additionally, certain hazards related to ergonomics, mental stress, and working conditions, extremely low frequencymagnetic fields were deemed uniform across all FAB facility MW and thus not detailed further in this study.

### 2.4. Exploring factors for suitable OHRA methodologies for MW in FAB facilities

Based on an extensive review of the literature, relevant official guidelines, academic articles, and research reports were collected and summarized. Our findings highlighted the review of key factors used in various OHRA methods-ranging from quantitative to semiquantitative and qualitative-and detailed their unique role of categorization of exposure probability and severity of health effects.
Table 1
Characteristics of fabrication (fab) process and the major health hazards associated with the maintenance tasks for fab facilities.

| Major fab process | Fab process characteristics |  | Health hazards associated with MW for fab facility |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | Process principle | Major chemicals used in the process [6,8,9,11-14,19] | Dust exposure | Cleaning chemicals | Thermal conditions |
| Oxidation | Forming a thin, insulating layer of silicon dioxide on a wafer surface at high temperatures ( $800-1200^{\circ} \mathrm{C}$ ) | Hydrochloric acid, hydrogen, ammonia, boron tribromide, dichloro silane, fluorine, hydrogen chloride, hydrogen fluoride, nitric oxide, nitrogen trifluoride, phosphorus oxychloride, and silane | Yes | Yes | Yes |
| Photo | Exposing a photosensitive chemical layer (photoresist) on a silicon wafer to UV light | Aliphatic hydrocarbon, gamma-butyrolactone; solvents (acetone and isopropyl alcohol), n-butyl acetate, cresol, cyclohexanone, ethanol, 2-ethoxyethanol, ethyl benzene, ethyl lactate, 2-heptanone (methyl-namyl ketone), 1-methoxy-2-propanol (PGME), and 1-methoxy-2-propyl acetate or propylene glycol monomethyl ether acetate | Yes | Yes | No |
| Dry etching | Removing material from a silicon wafer to create patterns defined by the photoresist mask | Chlorine, boron trichloride, carbon tetrafluoride or sulfur hexafluoride, argon and oxygen, carbon monoxide, carbonyl sulfide, difluoro methane, ethylene, ethylene glycol, hexafluoro-1,3-butadiene, hexafluoroethane, hydrogen bromide, methane, octafluoro cyclobutane, octafluoro cyclopentene, octafluoro propane, and trifluoro methane | Yes | Yes | Yes |
| Wet etching | Using chemical solutions at room temperature to selectively dissolve material from a silicon wafer | Hydrofluoric acid, potassium hydroxide, phosphoric acid, acetic acid, nitric acid, mixtures of hydrogen peroxide and sulfuric acid, ammonium fluoride, dichloro methane, ethanolamine, and polyethylene glycol | Yes | Yes | No |
| Chemical mechanical planarization (CMP) | Combined use of chemical reactions and mechanical forces to remove material and achieve a smooth, defect-free surface on a silicon wafer. | Alumina or silica, hydrogen peroxide, potassium hydroxide, ammonium hydroxide, citric acid, surfactants, diborane, dibutyl ether, heavy aromatic solvent, hydrogen, hydrogen chloride, nitrous oxide, propylene, silane, silicon tetrachloride, triethyl borate, trimethyl borate, trimethyl phosphate, tungsten hexafluoride, and tetraethyl orthosilicate | Yes | Yes | No |
| Diffusion | Introducing impurity atoms into a silicon wafer at elevated temperatures (typically around 900 to $1200^{\circ} \mathrm{C}$ ) | Common dopants; boron, gallium, indium, phosphorus, arsenic, antimony, arsine, boron trifluoride, ethylene glycol, phosphine, and sulfur hexafluoride | Yes | Yes | Yes |
| Ion implantation | Bombarding a silicon wafer with a beam of desired dopant ions at high energy levels |  | Yes | Yes | Yes |
| Metal deposition | Depositing thin layers of metal such as aluminum or copper onto a silicon wafer to form interconnections, typically at elevated temperatures | Variety of precursor gases and the specific metals (Al, $\mathrm{Cu}, \mathrm{Ti}, \mathrm{W}$, etc.), ammonia, chlorine trifluoride, nitrogen trifluoride, hydrogen fluoride, tetrakis (dimethylamino) titanium (TDMAT), and titanium tetrachloride | Yes | Yes | Yes |

[^1]Table 2
Review of key factors for assessing exposure probability and evaluation of maintenance work application for fabrication (FAB) facilities in OHRA.

| Authors | Health hazards covered | Estimation method | Factors to represent exposure potential | Exposure classification | Factors considered for maintenance tasks in FAB facility | References |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| COSHH (UK, 1998) | Chemicals and dust | Control banding | Physical properties (the dustiness of solids and volatility of liquids) Amount used in an operation or batch process <br> Control approaches | Three levels (small, medium, and large) <br> Four levels (general ventilation | Dustiness <br> Yes* <br> Yes | [23] |
| Crop life (Belgium, 2019) | Chemicals with OES ${ }^{\ddagger}$ <br> Chemicals without OES | Semi-quantitative based on control banding | Exposure level and OES Tentative limit values | Four levels ( $<10 \%$ OES, $10-50 \%$ OES, 50 $-100 \%$ OES, >OES) <br> Five levels <br> Solids in $\mathrm{mg} / \mathrm{m}^{3}(1-10,0.1-1,0.01-0.1$, $<0.01$ and expert advice <br> Liquids in pMW (5-100, 5-50, 0.5-5, $<0.5 \&$ expert advice) | Applicable to hazards with OES <br> Applicable to hazards with quantification level | [27] |
| University of Queensland (Australia, 2011) | Carcinogens, electricity, manual handling, and infected blood | Qualitative | Frequency of interaction with hazards. No further detailed information to classify the frequency | Five levels (very rare, rare, infrequent, frequent, and continuous) | No ${ }^{+}$ | [28] |
| National Research Ins. for Labour Protection (Romania, 1998) | Chemicals, biological, thermal effect, etc. | Semi-quantitative | Probability of consequences/year | Six levels based on quantitative injury occurrence of consequences. | No | [29] |
| Ministry of Manpower (Singapore, 13) | Chemicals and dust | Semi-quantitative | Exposure rating with weekly exposure level (E) and PEL <br> Exposure index using vapor pressure or particle size, ratio of odor threshold/ PEL, hazard control measures, amount used/week and duration of work/week | ```Five levels (E/PEL, \(<0.1,0.1-<0.5,0.5\) \(-<1.0,1.0-2.0\) and \(\geq 2.0\) ) Five levels categorized semi- quantitatively by factor``` | Applicable to hazards with OES Both amounts used and the level of hazard control in MW | [2] |
| NIOSH (US, 2020) | Chemicals without OES ${ }^{\text {8 }}$ | Semi-quantitative based on control banding | Occupational exposure bands (OEB) or tentative limit values. | $\begin{aligned} & \text { Solids in } \mathrm{mg} / \mathrm{m}^{3}(>10,>1-10,>0.1-1, \\ & \quad>0.01-0.1 \text { and } \leq 0.01) \\ & \text { Liquids in } \mathrm{ppm}(>100,>10-100,>1 \\ & \quad-10,>0.1-1 \text { and } \leq 0.1) \end{aligned}$ | Yes | [30] |
| Int. Council on Mining \& Metals (ICMM, 2009) | Chemicals and dust | Qualitative | OEL exposure band. | $\begin{aligned} & \text { Three levels }(<0.5 \times \text { OES, }>0.5 \\ & -1 \times \text { OES, }>\text { OES }) \end{aligned}$ | Hazards with OES | [31] |
| KOSHA (Korea, 2012) | Chemicals and dust | Qualitative | If measurements with OEL are available. <br> If measurements are not available regardless of the presence of OES. | ```Four levels ( \(<10\) \% OES, 10-50 \% OES, 50 -100 \% OES, >OES) Combination of volatility, dustiness and the amount used per day``` | Hazards with OES <br> Dustiness | [10] |
| ECETOC TRA (EU, 2012) | Individual chemicals | Semi-quantitative | Concentration (no mixture), PROC, physical Form of the substance, volatility, amount used, work duration and frequency of use, $\mathrm{LEV}^{\dagger \dagger}$, use of personal protective equipment, room size and general ventilation, and dermal exposure controls | Quantitative | Yes | [18] |


| Stoffenmanager exposure model (Netherlands, 2008) | Individual chemicals | Semi-quantitative algorithm | Concentration (no mixture), type of activity (mixing, spraying, etc.), product characteristics, dustiness, volatility), amount used, work duration and frequency of use, local exhaust ventilation, and use of personal protective equipment | Quantitative | Yes | [19] |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| SEMI (2023) | Semiconductor process and equipment | Qualitative | Number of occurrences of harm No specific factors to assess exposure to health hazards | Six levels (frequent, likely, possible, rare, unlikely, and not reasonably foreseeable) | No | [6] |

 $*$ Yes = Certain factors can be used to assess the likelihood of exposure associated with maintenance work in FAB facilities.
${ }^{\dagger}$ No = Not applicable for MW exposed to mixed hazards. Specifically tailored for safety risk assessment.

Based on this research, we have proposed specific variables tailored to OHRA methodologies best suited for MW in FAB facilities.

## 3. Results

### 3.1. Health hazard identification in MW for FAB facilities: a literature review

Table 1 categorizes the primary health hazards faced by maintenance workers during MW in FAB facilities. During these tasks, workers often encounter exposure to debris, byproducts, and potential machinery entanglements. Standard MW procedures such as brushing, vacuum ventilation, and air jetting aim to remove facility residues. Consequently, workers may be exposed to airborne fine particulate matter consisting of diverse chemical components. In high-temperature processes such as dry etching, diffusion, ion implantation, thin film transistor, and deposition processing, the primary hazards emanate from various fine particulate matters and reactive fumes. Meanwhile, during wet FAB tasks that involve wet etching, chemical mechanical planarization, and photo processing, workers may come into contact with airborne mists and chemical gases. Additionally, workers may occasionally face exposure to isopropyl alcohol or acetone vapors during cleaning activities.

### 3.2. Characteristics of OHRA: a literature review

While there are quantitative methods that use numeric scales and tools to estimate risks, they are rarely used in occupational health risk evaluations. The European Center for Ecotoxicology and Toxicology of Chemicals Targeted Risk Assessment (ECETOC TRA) and Stoffenmanager exposure models for workers are principally formulated to quantitatively estimate exposure to individual substances [18,19]. These models predict potential worker exposure to airborne chemicals based on physical properties, usage amount, the extent of engineering control, and various task and situational parameters of the substances. Quantitative evaluations weigh factors like exposure duration and health standards [20]. On the other hand, qualitative assessments, which are widely used in workplaces, rely on descriptors or ranking scales and subjective judgment of experts (Table 2) [21,22]. The UK's Health and Safety Executive (HSE) has developed a simple GRA system for identifying suitable control strategies. It is accompanied by guidance sheets demonstrating examples of good practices [23]. Most OHRA focuses on individual chemicals, including dust, yet there are no recommended approaches to assess exposure to mixed hazardous substances.

### 3.3. Assessing the probability of exposure to health hazards

Table 2 presents variables used for both semi-quantitative and qualitative assessments of the likelihood of exposure to workplace chemicals, including dust, for OHRA. The methods encompass qualitative and semi-quantitative exposure assessments, which measure both exposure levels and chemical quantities. A predominant approach in OHRA involves using quantitative exposure data categorized based on the percentage of levels exceeding the occupational exposure limit to determine health effect probabilities. Factors like engineering controls and physicochemical properties, including vapor pressure, volatility, and dustiness, are suggested to assess the potential exposure to chemicals and dust.

### 3.4. Assessing severity of health effects from exposure to health hazards

Typically, standard risk (R) phrases and hazard (H) phrases coded under the Globally Harmonized System of Classification and

Labelling of Chemicals (GHS) were used to categorize the severity of health effects from chemical exposures (Table 3). Specifically, chemicals presenting carcinogenic, mutagenic, and reproductive (CMR) hazards are assigned the highest severity level, irrespective of exposure duration or concentration [10,23]. Severity levels of health effects are generally differentiated into the categories of minor (slight health effects), harmful (short-term adverse or reversible health effects), toxic (major, irreversible health effects), and very toxic (major, irreversible health effects, compounded by evidence of CMR). For instance, during MW in diffusion and ion implantation facilities, maintenance workers were reported to be exposed to arsenic [24].

### 3.5. Approaches for conducting OHRA in MW at a FAB facility

Various factors for evaluating the likelihood of exposure to significant health hazards during maintenance work in FAB facilities are summarized (Table 4). The level of engineering and administrative control can be qualitatively assessed by experts to determine exposure probability. The presence and effectiveness of ventilation systems, including movable local exhaust ventilation (LEV), as well as the frequency of air jet use to remove fine dust from FAB facilities and machinery can be qualitatively evaluated. In addition, the average frequency of MW across all FAB processes can help estimate the relative likelihood of exposure to these hazards in

Table 3
Review of essential criteria for evaluating severity categories of health effects and analysis of maintenance work application in fabrication (FAB) facilities for OHRA.*

| Authors | Health hazards covered | Type of estimation method | Factors representing health effect | Hazard classification | Consideration for maintenance works in FAB facility | Reference |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\begin{gathered} \text { COSHH }^{\ddagger}(\mathrm{UK}, \\ \text { 1998) } \end{gathered}$ | Chemicals | Qualitative | Risk (R) phrases ${ }^{\ddagger}$ | Six levels (band, A-E \& S); A-D: Inhalation of dust and vapor, E: Substances presenting the most serious health effects, including cancer, and S : Harmful by contact with skin and eyes | Yes* | [23] |
| $\begin{aligned} & \text { Crop life } \\ & \text { (Belgium, } \\ & \text { 2019) } \end{aligned}$ | Chemicals | Semiquantitative | Hazard (H) phrases ${ }^{\ddagger}$ | Four levels based on hazard bands (A, B, C, D, and E) | Yes | [27] |
| University of Queensland (Australia, 2011) | Carcinogens, electricity, manual handling, and infected blood | Qualitative | Outcome of an incident such as human injury, financial cost, work, and environment | Six levels (catastrophic, disastrous, very serious, serious, substantial, and minor) | No ${ }^{\dagger}$ | [28] |
| National Research Ins. For Labour Protection (Romania, 1998) | Chemicals, biological, thermal effect, etc. | Qualitative | Consequences of the action of risk factors on the human body: the level of degree invalidity | Seven levels based on the injury | No | [29] |
| Ministry of Manpower (Singapore, 13) | Chemicals | Qualitative | Level of carcinogenicity <br> Hazard rating based on $\mathrm{LC}_{50}$, $\mathrm{LD}_{50}$ by acute toxicity | Five levels of carcinogenicity classification based on organizations like ACGIH, IARC, and NTP (possible, probable, and known) Four levels based on $\mathrm{LD}_{50}$ and $\mathrm{LC}_{50}$ | Yes* | [2] |
| $\begin{aligned} & \text { NIOSH (US, } \\ & 2020) \end{aligned}$ | Chemicals | Quantitative | Factors supporting quantification of the doserisk relationships. <br> Point of Departure | Conceptual model that identifies the hazard (source, stressor, and pathways) |  | [30] |
| Int. Council on <br>  <br> Metals <br> (ICMM, 2016) | Chemicals | Qualitative | Hazard (H) phrases ${ }^{\dagger}$ | Four levels based on hazard or health effect rating (minor, reversible, adverse, and significant and severe) | Yes | [31] |
| KOSHA (Republic of Korea, 2012) | Chemicals and dust | Qualitative | Hazard (H)/Risk (R) phrases <br> OEL and health effect <br> Classification of CMR | Four levels based on hazard or risk phrases <br> Four levels based on the combination of OEL and health effect Highest level of severity | Yes | [10] |
| SEMI (2023) | Semiconductor process and | Qualitative | Level of people and equipment/ facility severity group | Four levels (catastrophe, severe, moderate, and minor) | No | [6] |

[^2]Table 4
Various factors * for evaluating the likelihood of exposure to significant health hazards during maintenance work in fabrication(FAB) facilities.

| Major health hazards | FAB applied | Factors for semi-quantitative or qualitative exposure assessment |
| :---: | :---: | :---: |
| Wet cleaning chemicals | All FAB process | Percentage of exposure level exceeding the occupational exposure standard |
|  |  | Quantity of cleaning chemicals utilized in each maintenance work. |
| Airborne dust (mg/m) | All FAB process | If gravimetric results are available; tentative level in $\mathrm{mg} / \mathrm{m}^{3}:<0.01,0.01-<0.1,0.1-<1$, and $>1$. |
|  |  | Subjective observation |
| Airborne arsenic ( $\mu \mathrm{g} / \mathrm{m}^{3}$ ) | Etching, diffusion, deposition, and ion implantation | Exposure level and occupational exposure standard |
| All chemical and dust hazards | All FAB process | Level of engineering controls: e.g., well controlled, sufficiently controlled, unclear, and no control. |
|  |  | Level of administrative controls: e.g., well implemented, sufficiently implemented, unclear, and no implementation. |
|  |  | Exposure probability by subjective assessment: e.g., very low, low, medium, and high. |
| Extremely low frequency-magnetic | Etching, diffusion, and ion implantation | Exposure banding with tentative limit values. |

specific operations. MW frequency in a particular process is compared to the average MW frequency across different semiconductor processes. Recommended qualitative key factors for mixed exposure estimation during MW include the presence and effectiveness of LEV, chamber post-purge \& cooling, and proper respirator use (Table 5). Not only the R and H phrases, but also CMR hazards were recommended for categorizing the severity of health effects from chemical exposures.

## 4. Discussion

MW in a semiconductor FAB process involves various activities, including maintenance, cleaning, equipment calibration, repair, and sometimes replacement. Given the complexity of semiconductor FAB operations, which employ a wide range of hazardous materials (Table 1) and the high-powered equipment requiring periodic and breakdown MW for operational efficiency and safety, maintenance workers are generally exposed to a range of different hazards rather than a single risk factor. It is challenging in prioritizing the health risks among MW for FAB facilities through the combined impact of these hazards on the likelihood of exposure and severity of health effect. This study discusses the challenges encountered when we assess various factors to estimate the exposure probability and the potential severity of health effects from MW in FAB facilities.

Firstly, an approach for identifying and assessing health risks from combined exposure to major hazards associated with MW across various FAB facilities should be explored. Typically, such methods are utilized to estimate health risks during MW operations within FAB facilities. When performing MW in FAB facilities,
which typically operate with a variety of chemicals at high temperatures within enclosed chambers, workers can face several potential health hazards. These include exposure to cleaning chemicals used for MW, thermal burns from hot surfaces or equipment like process chambers, inhalation of fine particulate matter, and residues and reaction byproducts from precursor materials persisting in the FAB facilities (Table 1). Additionally, there is the risk of extremely low frequency-magnetic fields exposure near electric installations and equipment [25]. After identifying health hazards associated with MW for each FAB facility, it is essential to evaluate the level of risk, including estimating the likelihood and severity of potential exposures.

Secondly, several occupational factors for estimating likelihood of exposure to health hazards during MW in FAB facilities should be determined. There are general occupational factors related to the estimation of exposure level, such as the level of airborne measurements, the level of engineering and administrative control measures, working hours, frequency of MW, the volume of chemicals used, workload, etc. (Table 2). Ideally, quantitative exposure measurement can provide one of the best means for estimating exposure levels, if reliable data can be recorded and provided. In many cases, there might be limited or even non-existent exposure levels for specific occupational hazards. Quantitative measurements for health hazards cannot be considered an effective variable for estimating exposure levels, not only due to limited access to FAB cleanrooms, irregular tasks, and unwillingness on the part of the employer, but also to the presence of unknown gaseous and particulate matter without OELs and to sampling and analytical feasibility. Most of the safety and health hazards found in FAB processes do not have OELs and have been found to be below the

Table 5
Examples of factors influencing the level of engineering and administrative controls.

| Factors for engineering and administrative controls | Evaluation (score)* |
| :---: | :---: |
| Is the chamber or space opened for maintenance work after undergoing a comprehensive purge process or once the temperature has adequately cooled down? | Yes (1), No (0) |
| Has local exhaust ventilation been installed? | Yes (1), No (0) |
| Has the local exhaust ventilation been functioning effectively? | Yes (1), No (0) |
| Are air jet machines utilized to clear entanglements or dust in FAB facilities? | Yes (1), No (0) |
| Is a protocol for safe operational procedures available? | Yes (1), No (0) |
| Are maintenance workers familiar with the safe operational procedures? | Yes (1), No (0) |
| Are maintenance workers wearing proper respirators? | Yes (1), No (0) |
| Total | Score |

[^3]limit of detection. In addition, the use of physical properties (dustiness, volatility, molecular weight, etc.) and amount used in an operation can generally not be used not only for MW in FAB facilities where a variety of chemicals with a wide range of properties (solid, liquid, gaseous) are mixed and generated simultaneously [23]. The benefit of estimating exposure probability based on the level of engineering, administrative control, and average MW frequency can be applied to assess exposure to all hazards. It's crucial to establish specific criteria related to the extent of engineering and administrative control measures when estimating exposure probability, either qualitatively or semi-quantitatively (Table 5).

Thirdly, the R and H phrases as categorized by the GHS in conjunction with the health risks of CMR can serve as common metrics to categorize the severity of health effects. Many health hazards encountered by MW workers are associated with chronic health effects. This means that signs of harm may not be immediately apparent and can remain undetected for prolonged periods after exposure. Health hazards from MW can vary based on the type of MW and the specific fabrication facility. Factors contributing to these variations include the specific health hazards, inclusive of chemicals (as detailed in Table 1), their concentrations, handling techniques, compliance with safety protocols, and the use of personal protective equipment. Health risks attributed to potential CMRs can be categorized as the most severe level based on toxicity evaluations.

Finally, our recommended approach for conducting qualitative or semi-quantitative OHRA for MW in FAB facilities (Table 5) facilitates clear communication between management and employees about the risks and corresponding controls related to their work. The types of health hazards generated during MW in fab facilities may differ by type of specific FAB processes and chemicals used (Table 1). The severity of these hazards is assessed by their intrinsic properties. Furthermore, it is recommended that the level of exposure to these hazards be determined based on the level and scope of the engineering and administrative controls the company has in place. This study recommends that the risk associated with MW in a FAB facility can be effectively estimated by jointly considering the severity of the hazards-as categorized in Table 4-and the level of engineering and administrative controls, as recommended in Table 5. It emphasizes straightforward and accessible workplace assessments while ensuring consistency, reliability, and ease of understanding for stakeholders such as employers, employees, and regulatory authorities. Given the similar MW carried out across different FAB process sites within the cleanrooms of semiconductor operations, this study suggests the general application of GRA for assessing health risks from MW in FAB facilities. This encompasses all common hazards in a unified assessment. Our GRA approach for MW in FAB facilities could assist numerous companies, regardless of size, in identifying hazardous agents, assessing associated health risks, and managing them [26].

While this study offers straightforward variables to assess the likelihood of exposure and the severity of health effects related to MW in FAB facilities, it does have some limitations. The assessment of exposure likelihood is based on a semi-quantitative and qualitative evaluation, which may not be as accurate as a fully quantitative assessment. The study also assumes that the frequency of MW and level of control measures are directly proportional to the exposure probability and health risk, which may not always hold true. The determination of the effectiveness of control measures can be subjective and may vary widely across different workplaces, impacting the validity of the conclusions. Nonetheless, this study offers critical factors to consider when conducting OHRA for MW in

FAB facilities, providing a guide for OHRA in similar maintenance work environments.

## 5. Conclusion

This study determines that the likelihood of exposure to hazards associated with MW in FAB facilities can be assessed both semiquantitatively and qualitatively. Such assessment takes into account the exposure measurements, the existing level of engineering controls, and the quantity of cleaning materials used. The severity of health impacts can be categorized from minor to highly toxic using GHS R and H hazard phrases, with particular emphasis on substances containing CMR hazards. Further research is needed to apply our proposed variables in OHRA for MW in FAB facilities and subsequently validate the findings.

## Conflicts of interest

All authors have no conflicts of interest to declare.

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[^1]:    * Dust consisting of a diverse range of chemical components.

[^2]:    Abbreviations. OHRA: Occupational health risk assessment; COSHH: Control of Substances Hazardous to Health; ACGIH: American Conference of Governmental Industrial Hygienists; IARC: The International Agency for Research on Cancer; NTP: National Toxicology Program, NIOSH: National Institute for Occupational Safety and Health, SEMI: Semiconductor Equipment and Materials International; OEL: Occupational Exposure Limit; CMR: carcinogenic, mutagenic and reprotoxic.

    * Yes = Certain factors can be used to assess the likelihood of exposure associated with maintenance work in FAB facilities.
    ${ }^{\dagger}$ No $=$ Not applicable for MW exposed to mixed hazards.
    $\ddagger$ Codes used under the Globally Harmonized System of Classification and Labelling of Chemicals (GHS).

[^3]:    * A qualitative or semi-quantitative assessment (score). The number of qualitative categorization and semi-quantitative score can be determined by evaluators.

