

Special Topic-Antimicrobial Resistance

# **Resistome Study in Aquatic Environments**

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Since the first discovery of antibiotics, introduction of new antibiotics has been coupled with the occurrence of antibiotic resistant bacteria (ARB) and antibiotic resistance genes (ARGs). Rapid dissemination of ARB and ARGs in the aquatic environments has become a global concern. ARB and ARGs have been already disseminated in the aquatic environments via various routes. Main hosts of most of ARGs were found to belong to Gammaproteobacteria class, including clinically important potential pathogens. Transmission of ARGs also occurs by horizontal gene transfer (HGT) mechanisms between bacterial strains in the aquatic environments, resulting in ubiquity of ARGs. Thus, a few of ARGs and MGEs (e.g., strA, sul1, int1) have been suggested as indicators for global comparability of contamination level in the aquatic environments. With ARB and ARGs contamination, the occurrence of critical pathogens has been globally issued due to their widespread in the aquatic environments. Thus, active surveillance systems have been launched worldwide. In this review, we described advancement of methodologies for ARGs detection, and occurrence of ARB and ARGs and their dissemination in the aquatic environments. Even though numerous studies have been conducted for ARB and ARGs, there is still no clear strategy to tackle antibiotic resistance (AR) in the aquatic environments. At least, for consistent surveillance, a strict framework should be established for further research in the aquatic environments.

Keywords: Antibiotic resistance genes, antibiotic resistant bacteria, resistome

## Introduction

Since the first serendipitous discovery of penicillin by Fleming in 1928 [1], a number of antibiotics have been found, synthesized and introduced for clinical purposes. Different classes of antibiotics such as aminoglycoside, chloramphenicol, glycopeptide, lipopeptide, macrolide, quinolone sulfonamide, and tetracycline have been introduced for clinical purposes [2]. Thus, bacterial strains have developed the ability to resist antibiotics. After release of antibiotic, vancomycin in 1958, vancomycin resistant Enterococcus faecium [3] and Staphylococcus aureus [4] were identified in 1988 and 2002, respectively. With introduction of methicillin, methicillin-resistant S. aureus was identified in 1960 [5]. In addition, Klebsiella pneumoniae carbapenemase (KPC)-producing K. pneumoniae emerged in 1996 after the approval of usage of imipenem in 1985 [6]. In 2017, priority pathogens were arranged by World Health Organization (WHO) where carbapenem-resistant Acinetobacter baumannii was selected as the highest priority of critical pathogens, followed by carbapenem-resistant P. aeruginosa and carbapenem-resistant Enterobacteriaceae family with resistance to the third generation of cephalosporin [7]. For surveillance of antibiotic resistance (AR), WHO has launched Global Antimicrobial Resistance Surveillance System (GLASS), in which more than 50 countries joined and shared comprehensive data on antibiotic resistant bacteria (ARB) and antibiotic resistance genes (ARGs). Also, WHO reported that 700,000 people have died from resistant infections, and more than 10 million would die in 2050 [8], which has been documented in United States [9]

Such warnings have triggered more numerous studies on AR in various environmental settings such as clinical settings, aquatic and agricultural environments, animals, and wastewater treatment plants (WWTPs). Those settings are interconnected as a complex web, forming one-health perspective. In the view of one health, ARB and ARGs could spread between humans, animals, and the environments. In particular, aquatic environments are prone to contamination from different sources [11] and thus provide favorable habitats for environmental bacteria to grow and exchange genetic materials [10]. Also, the aquatic environments could act for blooming of ARB and ARGs, and supply the water for public, animals or agricultural fields. It is thought to be a role of the aquatic environments as a "reservoir" for ARB and ARGs accumulation. Additionally, in aquatic environments, contaminant factors such as antibiotics, heavy metals and other components could serve as a selection factors to confer AR [12]. Additionally, non-antibiotic pharmaceuticals have been reported to promote HGT of ARGs through bacterial transformation [13]. For investigation of transmission of ARGs among environmental bacteria, various methods have been applied, and metagenomics could reveal the hosts of ARGs, overcoming non-cultivability of a majority of environmental bacteria [14].

This review provides the description of major ARGs occurrence in the aquatic environments, possible sources,

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Copyright © 2023 by the authors. Licensee KMB. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license. transmission mechanisms, and factors for the bloom of ARGs. Frameworks to challenge the threat of ARB and ARGs against human health should be discussed in further research. Specifically, for globally consistent surveillance, standardized methodologies should be established in future research, which should be cost- and labor-effective.

## **Advancement of Methodologies for ARGs Detection**

There have been culture-dependent and independent approach tools, which can be applied for analysis of resistome in aquatic environments (Fig. 1). Culture-based methods have been used for practical risk assessment of antibiotic resistance in aquatic environments. Culture-based methods include isolation of bacteria, screening at a wide range of antibiotic concentrations, multiple resistance profile of the target organisms, and evaluation of minimal inhibition concentrations (MICs) of antibiotics. Researches have commonly aimed at human pathogenic bacteria such as *A. baumannii, Enterobacteriaceae* family, and *S. aureus*, which have been published as members of the critical pathogens [15]. Even though culture-based methods require much time, isolation, and identification of ARB and ARGs should not be underestimated due to the key understanding of phenotypic and genotypic characteristics. It can suggest the link between resistome in the environment and clinical settings.

PCR amplification of ARGs from ARB or environmental sample sources has been the most important method to determine the presence of resistance determinants. This method is a simple and fast way to detect target genes from bacteria or sample sources. However, it cannot yield quantity, for which qPCR could be applied for quantitative analyses of ARGs from environmental settings [16, 17]. The qPCR is one of the culture-independent methods to quantitatively analyze ARGs from cultivable and non-cultivable bacteria of environmental samples. The application of qPCR depends on use of primers to detect target ARGs and is difficult to cover all variants of ARGs with given primers. Thus, it is cost-, labor-, and time-consuming to quantitatively detect a wide range of classes and variants of ARGs.

A format for amplification of 384 ARGs in 5184 qPCR assays, including MGEs, were developed in 2012 [18]. The high throughput (HT) qPCR method has been widely used for a comprehensive profiling of ARGs in aquatic environments [19-23]. With newly discovered ARGs such as variants of *mcr*, a new primer set was published in 2018 [24], covering most of the classes of ARGs and MGEs. SmartChip instrument (Takara) has been commercially produced, which can quantitatively analyze 5184 assays per reaction using up to 384 pairs of primers. Even though it involves a wide range of ARG classes, amplification is limited to usage of primer sets. Even though this PCR-based approach amplifies a massive amount of ARGs, it still does not substitute for NGS-based screening for ARGs.

Recently, digital PCR (dPCR) has been developed, which can absolutely quantify the concentration of nucleic acids. It does not require calibration, which is different from qPCR-based approach. Droplet digital PCR (ddPCR) was developed from dPCR based on partitioning (to mimic limiting dilution) and Poisson statistics to overcome the qPCR limitations [25]. The ddPCR has capacity to generate more than thousands of partitioned droplets for quantification of target genes. It has already been applied to investigate ARGs [26, 27] and fecal indicator bacteria [28].

NGS includes whole-genome sequencing to identify genomic sequences of bacteria. It can provide the presence of ARGs, virulence determinants, genes associated with mobility, and typing information for bacterial characteristics. Center for Genomic Epidemiology (CGE) has provided services to identify acquired ARGs (ResFinder), pathogenicity (PathogenFinder), virulence factors (VirulenceFinder), and several methods to type

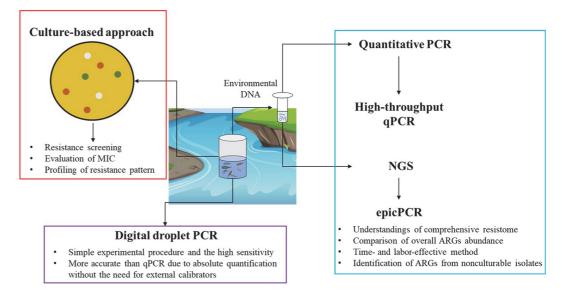


Fig. 1. Advancement of methodologies for analyses of ARB and ARGs in aquatic environments.

bacterial isolates, by which global surveillance has been investigated. Even though genetic information of resistance determinants and associated MGEs could be organized, it cannot substitute phenotypic resistance which can be a guideline in clinical settings. Recently, long read sequencing (Oxford Nanopore, Pacific Biosciences Single Molecule Real-time (SMRT)) has appeared to identify ARGs, which can confer higher accuracy to track the host of a gene.

For sequencing-based comprehensive analyses of resistome, untargeted (shotgun) sequencing of all (meta) genomes has appeared [29]. Through shotgun metagenomics, pieces of gene fragments (reads) can be generated and assembled into contigs, which are computationally reconstructed for target functional genes or microbial genomes. Genomic sequences derived from metagenomics have been deposited in genome database such as NCBI (National Center for Biotechnology Information) or EMBL (European Molecular Biology Laboratory). Reconstruction of bacterial genomes by metagenomics enabled to reconstruct the metabolism of bacteria in global oceans [30]. Metagenomic approach has also facilitated the large-scale investigations of widespread presence of ARB and ARGs in aquatic environments [31, 32]. The sequences from metagenomics are annotated based on the database of ARGs such as Antibiotic Resistance Genes Database (ARDB, https://ardb.cbcb.umd.edu/) or The Comprehensive Antibiotic Resistance Database (CARD, https://card.mcmaster.ca/). It has expanded the coverage of ARGs detection and newly emerging variants, and enabled to identify the hosts of ARGs by reconstruction of bacterial genomes. For direct, easy and fast host identification, single cell-based approach, Emulsion, Paired Isolation and Concatenation PCR (epicPCR), has been developed [33]. In a bacterial single cell, genes of 16S rDNA and a target are amplified and fused into one fragment. In turn, the fragment is sequenced, and computationally processed to identify the gene and its host [33]. This method was performed to identify host of four genes in influents and effluent of WWTP [30] because profiling host is critical to determine the health risk to public. Nevertheless, it still cannot determine the phenotypic resistance pattern of bacterial isolates.

Culture-independent methods have been applied for comprehensive investigation of ARB and ARGs in aquatic environments. However, culture-dependent methods are also critical for risk assessment of bacterial isolates carrying ARGs. Culture-dependent approaches are mainly responsible for single isolate and practical parameters such as the minimum inhibitory concentrations (MICs) to determine clinical breakpoint. Culture-independent approaches contribute to the description, distribution, and dynamics of overall microbial community and ARGs in a sample. Thus, the decision should be dependent on the specific hypothesis of each research.

#### Antibiotic Resistance in the Aquatic Environments

#### Occurrence of ARGs and ARB in the Aquatic Environments

Introduction and application of antibiotics into human, livestock, and agriculture have employed an influence on environmental microbiome, with conferring antibiotic resistances genetically given by transferrable ARGs. Accordingly, various ARGs have been detected in diverse aquatic environments through several detection methods. In order to better understand the distribution and the diversity of ARGs in the aquatic environments, we manually screened and randomly selected related papers regardless of methodology and regions. Aminoglycoside,  $\beta$ -lactam, sulfonamide, and tetracycline, resistance genes have been mainly detected, which are described in this review (Table 1).

Aminoglycoside is a bactericidal drug grouped based on their chemical structures. Representatives include amikacin, gentamicin, streptomycin, and tobramycin, widely used for the treatment of infections of abdomen and urinary tract, and endocarditis caused by gram-negative bacteria, and certain gram-positive bacteria in combination with  $\beta$ -lactam or peptidoglycan [34]. Typical bacterial resistance mechanisms include modification of aminoglycoside, encoded by *aphA6* (aminoglycoside phosphotransferases), *aacC1* (aminoglycoside acetyltransferases), *aadB* and *aadA1* (aminoglycoside nucleotidyltransferases). Those genes have been documented to be equipped into plasmids and transposons [35, 36], suggesting the possibility to be widely disseminated in various host genera isolated from water environments. In the aquatic environments, various contaminants including antibiotics are present at low concentration by biological metabolisms [37]. The sub-inhibitory concentration of gentamycin could lead to resistance dispersion, by which aminoglycoside resistance determinants have been mainly documented from the potential pathogenic bacteria, including *A. baumannii*, *E. coli*, and *P. aeruginosa*.

 $\beta$ -Lactams belong to the group of most extensively used antibiotics for treatment of common bacterial infections. Resistance to  $\beta$ -lactam antibiotics is becoming problems in clinical settings because they could cover a wide range of bacterial infections by Gram-positive and -negative bacteria. Especially from Enterobacteriaceae family, a numerous of  $\beta$ -lactamases variants have been documented from various environmental settings [38-40]. The *bla* genes are classified into Class A, B, C, and D based on their amino acid sequences [41]. They are frequently associated with other ARGs on mobile genetic elements, escalating possibility of environmental spread [42, 43]. Resistance to  $\beta$ -lactams were also developed and selected after treatment processes with shift of relative abundance of Gammaproteobacteria [44]. It may suggest *bla* genes have been disseminated mainly in certain bacterial groups with selection pressure. From WWTPs, clinically important  $\beta$ -lactam resistant pathogens and extended-spectrum  $\beta$ -lactamase genes were released into the river water. Especially, biofilms were considered as a reservoir of wastewater-derived  $\beta$ -lactam resistant pathogens. It may herald clinically widely used  $\beta$ -lactams have expanded the bloom of resistome in the aquatic environments.

Sulfonamides are the first antibiotic class introduced into clinical settings for selective bacterial infections, targeting dihydropoteroate synthase responsible for reduction of dihydrofolate [45]. Mobile sulfonamide resistance genes were determined as three variants, *sul1*, *sul2* and *sul3*. Sulfonamide resistance genes have been documented as a class of the most dominant ARGs in the aquatic environments [16, 46]. Among the three genes, *sul1* gene has been detected in higher frequency, followed by *sul2* and *sul3* genes [47-49], linked with MGEs such

Country	Source	ARGs	ARB	Reference
Belgium	River	bla <sub>TEM</sub> , qnrS, sul1, sul2, tetW, tetO	NA	[141]
Brazil	River	bla <sub>TEM</sub> , ermB, qnrS, sul1	NA	[142]
China	River	blaPSE, blaCTX-M, ermA, ermB, qnrA, qnrS, sul1, sul2, tetW, tetA	Actinobacteria, Patescibacteria, Proteobacteria	[134]
China	River	24 ARGs	Acinetobacter, Caulobacteraceae, Cetobacterium, Lactobacillaceae	[143]
China	River	sul1, sul2, tetA, tetC	NA	[144]
China	River	<i>aacC4, bla</i> <sub>ampC</sub> <i>bla</i> <sub>OXA-1</sub> , <i>bla</i> <sub>TEM-1</sub> , <i>ermB, mphA, qnrS, strA, sul1, sul2, tetC, tetW,</i>	NA	[145]
China	River	212 ARGs (including <i>bla</i> <sub>TEM</sub> , <i>sul1</i> , <i>sul2</i> , <i>tetA</i> , <i>tetB</i> , <i>tetC</i> , <i>tetG</i> , <i>tetM</i> , <i>tetO</i> , <i>tetW</i> , <i>tetX</i> )	NA	[77]
China	DWTP	28 ARGs	Aeromonas salmonicida, Pseudomonas aeruginosa	[146]
China	WWTP	71 ARGs to aminoglycoside, tetracycline, and MLS	Anaerolineaceae, Comamonadaceae, Rhizomicrobium, Saprospiraceae	[147]
China	WWTP	bla <sub>TEM</sub> , bla <sub>NDM-1</sub> , bla <sub>CTX-M-32</sub> , mcr-1, sul1	NA	[148]
China	WWTP	<i>qnrC</i> , <i>qnrD</i> , <i>sul1</i> , <i>sul2</i> , <i>sul3</i> , <i>tetA</i> , <i>tetB</i> , <i>tetE</i> , <i>tetW</i> , <i>tetM</i> , <i>tetZ</i>	NA	[149]
China	WWTP	intI1, sul1, sul2, tetO, tetW, tetQ	NA	[150]
Ethiopia	WWTP	NA	Citrobacter spp., E. coli, Klebsiella spp., Pseudomonas spp., S. aureus	[151]
Finland	WWTP	bla <sub>OXA-58</sub> , int1, tetM	NA	[152]
Finland, Estonia	WWTP	$bla_{\text{oxa-58}}$ , $bla_{\text{shv-34}}$ , $bla_{\text{ctx-m}}$ , $sul1$ , $sul2$ , $tetM$ , $tetC$	NA	[152]
India	River	bla <sub>CTX-M1</sub> , bla <sub>CTX-M9</sub> , bla <sub>VIM</sub> , bla <sub>NDM</sub> , qnrS, qnrB, sul1, sul2	E. coli	[119]
Netherlands	WWTP, River	ermB, sul1, sul2, tetW	NA	[154]
Nigeria	River	NA	Bacillus spp., Micrococcus spp., Pseudomonas spp., Staphylococcus, Streptococcus	[155]
Poland	River	$aac(6')$ -Ib-cr, $bla_{CTX-M}$ , $bla_{OXA}$ , $bla_{SHV}$ , $bla_{TEM}$ , $ermS$ , $linA$ , $sul1$ , $tet(A)$ , $tet(B)$ , $tet(C)$ , $tet(D)$ , $tet(E)$	NA	[156]
Poland	WWTP	aac(6')-Ib-cr, bla <sub>SHV</sub> , bla <sub>TEM</sub> , sul1, tetA	E. coli	[157]
Saudi Arabia	WWTP	tetH, tetO, tetQ, tetW, tetZ	A. hydrophila, Enterobacter spp., Enterococcus, P. aeruginosa	[158]
Singapore	WWTP	15 ARGs (including <i>bla</i> <sub>KPC</sub> , <i>bla</i> <sub>NDM</sub> , <i>bla</i> <sub>SHV</sub> , <i>ermB</i> , <i>intI1</i> , <i>sul1</i> , <i>tetO</i> )	Aeromonas, Enterobacteriaceae, Pseudomonas	[159]
South Korea	River	$bla_{\text{CTX-M}}, bla_{\text{OXA}}, bla_{\text{SHV}}, bla_{\text{TEM}}$	E. coli	[160]
South Korea	WWTP, River	bla <sub>NDM-5</sub> , bla <sub>NDM-9</sub>	E. coli, K. variicola	[36, 161]
South Korea	Ocean	$aac(60)$ -Ib-cr, $bla_{CTX}$ , $bla_{SHV}$ , $bla_{TEAP}$ ermC, floR, int1, $oqxA$ , $qnrD$ , $qnrS$ , $sul1$ , $sul2$ , $tetA$ , $tetB$ , $tetBP$ , tetD, $tetE$ , $tetG$ , $tetH$ , $tetM$ , $tetQ$ , $tetX$ , $tetZ$	Bacteroidetes, Chloroplast, Cyanobacteria, Marinomonas, Proteobacteria, Verrucomicrobia, Vibrio	[162]
Spain	WWTP, River	$bla_{\text{TEM}}$ , ermB, qnrS, sul1, tetW	NA	[118]
Spain	WWTP	$bla_{CTX-M}$ , $bla_{SHV}$ , $bla_{TEM}$ , $erm(B)$ , $qnrS$ , $sul(I)$ , $sul(II)$ , $tet(O)$ , $tet(W)$	Acinetobacter, Aeromonas, Exiguobacterium, Piscinibacter, Pseudohodoferax, Pseudomonas	[163]
Switzerland	River	aadA, $bla_{\text{CTX-M}}$ , $bla_{\text{NDM}}$ , $bla_{\text{SHV}}$ , $bla_{\text{TEM}}$	E. coli, Enterococcus spp., Pseudomonas spp.	[164]
Switzerland	WWTP	qnrA, $sul1$ , $sul2$ , $tet(B)$ , $tet(M)$ , $tet(W)$	NA	[165]
USA	River	sul1, sul2, tetO, tetW	NA	[166]

Table 1. The major ARG variants investigated in different habitats of the aquatic environments from 16 countries.

\*NA; Not applicable

as integrase integrons (*int1* and *int2*), insertion sequences (IS26), and wide range of incompatible plasmid groups (FII, FIB, I1, FIA, B/O, FIC, N, HI1 and X1) [47, 50, 51]. In the aquatic environments, *sul* genes could be horizontally transferred between different bacterial strains, aided by such various mobile genetic vehicles.

Tetracycline is well known and aging antibiotic to act against both Gram-positive and -negative bacteria. Tetracycline exhibits their effect by disturbing ribosomes for protein synthesis, and bacteria resist against their activity by expression of *tet* genes. The *tet* genes responsible for tetracycline resistance typically consist of *tetA*,

*tetB*, *tetC*, *tetD*, and *tetE* (specific efflux pump for normally functional ribosomes) [52], and *tetM*, *tetO*, *tetS*, *tetT*, *tetQ* and *tetW* (ribosome protection from tetracyclines) [53]. Even though some of those genes are positioned on nonmobile plasmids or chromosomes without transferability [54], most of the *tet* genes have been found on broad host range plasmids [55]. The *tetM* gene was suspected to be on various plasmids and transposons, specifically *Tn*1545 in the aquatic environments of Vietnam [56]. In China, *tetA* and *tetB* were most frequently detected with 43% and 40% respectively from Enterobacteriaceae family in Pearl river [57]. Metagenomic analysis revealed *tetG* gene with *sul1* and *floR* genes were carried by *IS*91 family transposase, influenced by anthropogenic pollution [58]. The ability to move by such MGEs have already disseminated *tet* genes in the aquatic environments. In the aquatic environments, nonclinical isolates acquired *tet* genes by HGT mechanism, possibly acting as a reservoir [59].

The major hosts of ARGs studied in this review were found to belong to Proteobacteria, especially Gammaproteobacteria. Gammaproteobacteria is one of the dominant class in the aquatic environments and consists of clinically significant pathogenic bacteria such as Enterobacteriaceae, Moraxellaceae, Pseudomonadaceae, and Vibrionaceae. Due to the clinical relevance and potential pathogenicity of the several members of Gammaproteobacteria (*e.g., Salmonella, Yersinia, Vibrio, P. aeruginosa*), they have been widely investigated and their vast genomic sequences have been deposited in genomic reference database. Gammaproteobacteria is thought to have exchanged and disseminated ARGs between the bacterial strains, and consequently enhance the bloom of ARG-carrying Gammaproteobacteria [60, 61]. Such selection is responsible for spread of ARGs mainly within Gammaproteobacteria [60, 61]. Even though genomic plasticity [62] and cargo genes [63] possibly provide clues for dissemination of ARGs within Gammaproteobacteria, it is required to further study on how several members of Gammaproteobacteria class become major hosts of ARGs.

#### Source of ARB and ARGs in the Aquatic Environments

In natural environments, antibiotics have been produced by bacteria to kill other bacteria. However, acceleration of the evolution and spread of AR is responsible for other various contaminant factors from humans [64]/animals [65], inappropriate disposal of drugs [66], or wastewater from WWTPs [67], or run-off from agricultural environment or livestock by rainfall [68]. The distribution of ARB and ARGs have been investigated to examine human-related sources of ARB and ARGs [69-71]. From WWTPs, human-related ARB and ARGs have been discharged, possibly indicating WWTPs could be a source of contamination by anthropogenic activity [67]. ARGs, such as ermF, ermT, sul1, sul2, tetB, tetG, tetX, qnrA, qnrB, and qnrS, were frequently detected and suggested as indicators for wastewater due to the higher abundance near the effluent discharge in the receiving water [72]. WWTPs have been considered one of the host spots of ARB and ARGs because WWTPs receive contaminant from hospitals and households sweage, which could be important sources of ARB/ARGs and antibiotic residues [73]. Wastewater is one of the favorable habitats of bacteria due to the carbon sources, other various nutrients, and potential electron acceptors (such as oxygen, and nitrate). Proliferation of ARB and ARGs in WWTPs could influence on the ARB and ARG pollution in aquatic environments, especially receiving water. From WWTPs, enriched ARGs and ARB affect the abundance of ARGs and ARB even with reduction of AR after treatment process [67]. Although the removal rate of ARB was approximately 99%, significant amount of ARB and ARGs still remained in the treated sewages, being discharged into adjacent water bodies [74]. In addition, metagenomic analysis also revealed that sul1 and APH(3")-lb were significantly more abundant in effluents [75]. Another study demonstrated that the ARGs evidently increased from upstream to downstream on the Han River, which were considered to result from anthropogenic activity [76]. In China, the abundance of ARGs were investigated and compared between pristine and anthropogenic activity-impacted areas [77]. Livestock production region is also considered as a source of antibiotics and ARB/ARGs of aquatic environments [78, 79]. With administration of antibiotics for growth and disease control of animals, ARB has developed their ability to resist against antibiotics in the animal bodies [80, 81]. Recently, a wide range of ARGs have been found in guts of animals with link to the introduction of antibiotics [18, 82]. In particular, sulfonamide and tetracycline resistance genes have been found as the most frequently detected ARGs by traditional quantitative PCR [83-85]. Introduction of metagenomics and high throughput qPCR, which can cover a broad range of classes of ARGs, showing presence of various ARGs in feces of animals [86, 87]. In China, mobile colistin resistance gene, mcr-1, was first isolated on plasmid pSHP45 of E. coli SHP45 from food animals. A previous study provided an evidence of impact of such sources, showing ARGs were significantly more abundant in the agriculture influenced river than the main stream [88].

### Horizontal Gene Transfer Mechanisms for ARGs Dissemination

The responsible events for dissemination of ARGs in environmental bacteria are mainly a result of horizontal gene transfer (HGT) of genetic materials from bacterial strains to different strains (Fig. 2). The transfer of ARGs is mediated by mobile genetic elements (MGEs) to confer the ability to travel between bacterial strains by association with insertion sequences (ISs) [89] or integrons [90, 91]. Then, the genes are arranged on MGEs such as plasmids, integrons, and ISs, which help to move between bacterial strains. The localized ARGs on MGEs could be transferred via HGT mechanisms between bacterial strains. Major mechanisms involved in HGT are followings: [1] conjugative transfer by mobile genetic elements, [2] transformation by free DNA, in the case of an environmentally induced competence such as the presence of calcium, and [3] transduction by bacteriophage. Recently, additional mechanisms have been revealed, being involved in HGT, such as [1] gene transfer agents (GTAs), [2] nanotubes between bacterial strains, and [3] membrane vesicles (MVs).

Via such mechanisms, vehicles for ARGs could travel between bacterial strains. Plasmids carry a number of

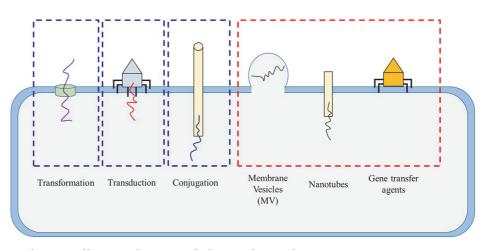


Fig. 2. Mechanisms of horizontal gene transfer between bacterial strains. Blue and red boxes indicate well studied and newly emerging mechanisms, respectively.

genes for antibiotic and heavy metal resistance genes [92, 93]. Plasmids are classified into various incompatibility (Inc) types according to the inability for plasmids to coexist in the same bacterial cell [94]. Inc plasmids have been detected from various environments, carrying a wide range of ARGs. In addition, fused Inc plasmids were found to carry significantly higher number of ARGs than single Inc plasmids [95]. Indeed, a fused IncHI2/N and X3 plasmids from *E. coli* carries *bla*<sub>NDM-5</sub> and 14 ARGs, respectively [36]. ARGs, including *bla*<sub>TEM21</sub>, *floR*, *dfr*, *qacE2*, *sul1*, *tetA*, *tetD* and *tetE* genes and a number of aminoglycoside resistance genes were carried by IncA/C plasmid of *Yersinia pestis* [96]. Plasmids isolated in the aquatic environments also showed high transfer frequencies to recipient bacterial cells with ARGs [97]. Thus, conjugative plasmids could play an important role in disseminating ARGs between different bacterial strains.

Transposable elements were defined as jumping genes more than 70 years ago due to the ability to ship DNA sequences on one location to another. Prokaryotic transposable elements (DNA transposon) are *Tn* family agents to act as vehicles of ARGs with ISs [98], helping to disseminate ARGs [45]. ISs are parts of transposable elements, moving within or between bacterial genomes employing recombination systems [99]. ISs also generate specific gene cassette such as that of *bla<sub>NDM</sub>-ble<sub>MBL</sub>-trpF-dsbD* identified as being bracketed by IS3000-ISAba125-IS5 and IS26 in *E. coli* isolated from influent of a WWTP [36], the genetic context of which is similar to that from clinical sources [100, 101]. IS26 (a member of IS6), in particular, grows in importance of carrying clinically important ARGs detected in clinical *Enterobacteriaceae* family in both chromosome and plasmids [102]. In river-lake system, metagenomic assembly revealed that the genomic islands of IS91-sul2 and IS91-aadA1-qacH-tetC were frequently detected in river-lake system [103]. In addition, an IS element, ISApl1 (a member of IS30 family) was reported to be involved in arrangement and spread of *mcr-1* (mobile colistin resistance) gene which is recently identified in *E. coli* isolate in China [104]. The location of ISApl1 downstream of *mcr-1* was responsible for mobilization by comprehensive analysis of sequences in GenBank [105]. Likewise, various types of ISs have been identified to contribute to dissemination of ARGs.

Integrons integrate diverse functional genes, and play a crucial role as a contributor of those genes such as ARGs. Integrons are composed of three elements: the gene, intI, for site-specific recombinase (integrase), the adjacent recombination site (att1) recognized by the integrase and the promoter (Pc) located upstream of the integration site. Integrons integrate gene cassettes consisting of various functional genes such as ARGs and heavy metal resistance genes [106]. Integrons can be classified based on their integrase sequences into three types: class 1, 2, and 3 integrons [107]. Currently, PCR-based approach has been utilized to recover and identify the gene cassettes of integron structures. In aquatic environments, int1 gene has been identified as to be ubiquitous in high abundance, harboring several ARGs [88, 108]. Indeed, strong correlation between int1 and a number of ARGs has been observed in aquatic environments [109-111]. For example, by comparative sequence analysis, clinically important ARG classes, such as aminoglycoside, β-lactam, chloramphenicol, sulfonamide, and trimethoprim, were found to constitute the gene cassettes associated with class 1 integron in Aeromonas caviae, A. baumannii, S. enterica, and P. aeruginosa [36]. Since such clinically important ARGs have been thought to be derived from anthropogenic activity, class 1 integron was also suggested as a proxy for anthropogenic pollution [112]. Besides, the presence of ARGs on class 2 integron structure was found in Enterobacteriaceae family in India, with dfrA1sat2-aadA1 the most frequently detected [113]. Class 3 integron was detected with gene cassettes carrying bla<sub>OXA</sub>. 256 and *aac(6')-Ib* variants in *Enterobacter cloacae* from hospital effluent, suggesting it has possibly participated in dissemination of ARGs in clinical and environmental settings [114].

#### **Contaminant Factors for ARB Blooming in Aquatic Environment**

#### Factors Interacting with Enrichment of ARB and ARGs

In the aquatic environments, bacteria frequently face various organic or inorganic chemicals including agents for selection pressure. Antibiotics enter the aquatic environments through direct discharge of wastewater [115,

116]. Antibiotic residues have been well documented for enrichment of ARB and ARGs as selection force in aquatic environments [117]. A large amount antibiotics such as ciprofloxacin and ofloxacin have been discharged from hospitals, showing strong correlation with corresponding ARGs [118], which may suggest the residues could promote proliferation of specific ARGs. In the aquatic environments, fluoroquinolone, sulfamethoxazole, and tetracycline antibiotics were most frequently detected exhibiting correlation with the number of resistant *E. coli* strains [119, 120], with dynamic change of microbial composition toward harboring phenotypic or genotypic AR. Antibiotics in the aquatic environments could expedite the HGT between different bacterial strains [121, 122]. In addition, heavy-metals also can promote the plasmid-mediated horizontal transfer of ARGs between different bacterial strains [123]. Toxicity of heavy-metals could lead to the production of reactive oxygen species, which contributes to the damage of DNA in bacterial cells [124, 125]. Against contamination by toxic heavy metals such as As, Cu and Pb, environmental bacteria present phenotypic and genotypic resistance [126]. The co-selection of heavy metals was exhibited together with antibiotics [12], expanding bacterial resistome [127]. Aquatic environments collect antibiotics and heavy metals from various sources, and hence, the ability to transfer ARGs of environmental bacteria via conjugation are studied in such water bodies [11].

## **Priority Pathogens in River Water**

In 2017, a list of priority pathogenic bacteria has been published for development of new antibiotics [136]. Carbapenem-resistant *A. baumannii*, carbapenem-resistant *P. aeruginosa*, and carbapenem-resistant Enterobacteriaceae were selected as members of the most critical pathogens. Vancomycin-resistant *Enterococcus faecium* (VRE), vancomycin- and methicillin-resistant *S. aureus* (VRSA and MRSA), clarithromycin-resistant *Helicobacter pylori*, fluoroquinolone-resistant *Campylobacter*, fluoroquinolone-resistant *Salmonella* spp., fluoroquinolone- and the 3<sup>rd</sup> generation cephalosporin-resistant *Neisseria gonorrhoeae* belonged to the groups of high priority pathogens. The occurrence of these pathogens could cause severe and intractable bacterial infections even with the use of a wide range of antibiotics. Especially, pathogenic bacteria have been frequently found in urban river because it receives anthropogenic sewages containing ARB and ARGs [137]. *Clostridium* and *Bacillus* were found as main human pathogenic bacteria, exhibiting positive correlation with ARGs [138]. In the Niger river, three pathogenic bacteria, 32 of *S. aureus*, 64 of *Salmonella* spp., and 82 of *E. coli* were isolated among 319 bacterial isolates, suggesting this river was responsible for high risk source of pathogenic ARB dissemination [139]. Metagenomic assembly also identified potential pathogenic bacteria such as *S. pneumoniae, E. coli*, and *A. baumannii* in the aquatic environments [140]. Worldwide occurrence of human pathogenic bacteria in the aquatic environments indicates the potential risk to human health.

#### **Concluding Marks**

Development of new antibiotics has driven the occurrence of potential pathogenic ARB and dissemination of ARGs in clinical and environmental settings. Urban WWTPs receive sewages from hospitals, pharmaceutical facilities, livestock and households and the treated wastewater discharged into the aquatic environment. The receiving water act as a reservoir for ARB and ARGs to spread, evolve, and transfer. The river waters are the source of recreation, irrigation and drinking water, which are the major route of dissemination of ARB and ARGs from animals to humans. It has been suggested one-health ecosystem, and several studies on monitoring of ARB and ARGs have been conducted in those environmental settings. However, there is no clear strategies for mitigation of the presence of AR in the ecosystems. Thus, it is urgent to establish the framework to reduce AR from WWTPs and in the aquatic environments to prevent the spread of AR.

Investigation of the abundance of ARGs is important in the aquatic environments because it serves as a reservoir for dissemination of ARGs by diverse MGEs, on which integron integrase genes are equipped with ARGs. The most crucial thing is that ARGs on MGEs could be transferred from non-clinical isolates (environmental bacteria) to clinical isolates (potential pathogenic bacteria, originated from clinical settings). Several studies revealed that clinically critical pathogenic bacteria, possibly derived from clinical settings, have been found in the aquatic environments worldwide. It may result from ARGs acquisition of environmental bacteria. However, it has already occurred for a long time, and now, surveillance is important for determination of contamination level in the aquatic environments. For consistent worldwide surveillance, clearly standardized methodologies should be established, such as indicator genes for cost- and labor-effective experiments, tools for quantitative analysis of targets, and the way to collect and process the water samples. Some of genes, including *int1*, have been documented for global comparability of contamination level. Using those genes, standardized and simple methods should be performed for the fair surveillance, the establishment of strategies and how to administrate the aquatic environments.

## **Conflict of Interest**

The authors have no financial conflicts of interest to declare.

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