# TRACE PROPERTIES AND INTEGRAL DOMAINS, III

THOMAS G. LUCAS AND ABDESLAM MIMOUNI

ABSTRACT. An integral domain R is an RTP domain (or has the radical trace property) (resp. an LTP domain) if I(R:I) is a radical ideal for each nonzero noninvertible ideal I (resp.  $I(R:I)R_P = PR_P$  for each minimal prime P of I(R:I)). Clearly each RTP domain is an LTP domain, but whether the two are equivalent is open except in certain special cases. In this paper, we study the descent of these notions from particular overrings of R to R itself.

## 1. Introduction

Throughout this article, R denotes an integral domain with quotient field Kand integral closure R'. By an overring of R we mean a domain that contains R and has the same quotient field. For a nonzero fractional ideal I of R,  $(R : I) = \{x \in K | xI \subseteq R\}$  is the dual of I and  $I_v = (R : (R : I))$  is the divisorial closure of I (both "with respect to R"). The trace of an R-module Bis the ideal of R generated by the set  $\{\varphi(b) | b \in B, \varphi \in \text{Hom}_R(B, R)\}$  (see, for example, [5]). We say that an ideal I of R is a *trace ideal* if it is the trace of some R-module. In such a case, I will in fact be its own trace [2, Proposition 7.2], equivalently (R : I) = (I : I). Thus we may restrict our study of "trace properties" to the noninvertible ideals of R.

A domain R is said to be a *TP domain* if the trace of each noninvertible ideal is prime; alternately one may say that R has the *trace property* [5]. Every valuation domain is a TP domain (see [1]). It is quite unlikely that a domain with more than one maximal ideal is a TP domain, for one it would require that at most one maximal ideal is not invertible (see [5, Corollary 2.11]). On the other hand, such a domain may have the *radical trace property* where the trace of each nonzero noninvertible ideal is a radical ideal. Such a domain is also referred to as an *RTP domain* [6]. In the case of a Noetherian domain R, it is known that R is an RTP domain if and only if  $R_M$  is a TP domain for each maximal ideal M [6, Proposition 2.1]. Two types of domains that are related to RTP domains are TPP domains and LTP domains, introduced

 $\bigodot 2022$ Korean Mathematical Society

Received April 20, 2021; Accepted October 14, 2021.

<sup>2020</sup> Mathematics Subject Classification. Primary 13A15; Secondary 13F05, 13G05.

Key words and phrases. Trace ideal, radical trace property, RTP domain, LTP domain.

The second named author was supported by KFUPM under DSR Grant #: SB181004.

in [11] and [9], respectively. A *TPP domain* is one for which the trace of each noninvertible primary ideal is prime (in fact is its radical [11, Corollary 8]) and an *LTP domain* is one for which each trace ideal is locally prime when localized at any of its minimal primes. Evidence suggests that the three notions may be equivalent. It is known that RTP implies TPP [11, Theorem 4], and TPP implies LTP [9, Corollary 3]. Also the three are equivalent for Prüfer domains ([11, Theorem 23] and [9, Theorem 10]), one-dimensional domains ([11, Corollary 6] and [9, page 422]), and Mori domains ([11, Theorem 12] and [9, Theorem 18]).

With regard to overrings, if R is an RTP domain, then each flat overring is also an RTP domain [12, Corollary 3.17]. Our motivation is the following question (which we answer negatively in Example 2.1): If S and T are flat RTP overrings of R such that  $R = S \cap T$ , do we have R an RTP domain? In general, the answer to this question is "NO", so we restrict ourselves to some particular overrings more closely related to R.

Recall that a pair of valuation domains V and W with the same quotient field K are said to be independent if (0) is the only common prime ideal. Since each overring of V has the form  $V_P$  for some prime ideal P and  $PV_P = P$ , the following are equivalent for V and W.

- (1) V and W are independent.
- (2) VW = K.
- (3) No nonzero prime ideal of  $V \cap W$  survives in both V and W.

This notion was extended to pairs of domains with the same quotient field. For a pair of domains S and T with quotient field K, we say that S and T are independent if ST = K and no nonzero prime ideal of  $S \cap T$  survives in both S and T (see [4, Chapter 6]).

We show that if S and T are independent overrings of R such that  $R = S \cap T$ and each nonzero ideal of R survives in at least one of S and T, then R is an RTP domain if and only if both S and T are RTP domains. The analogous statements hold for TPP and for LTP (Theorem 3.2).

For a different type of pair, we consider the case of when S = (A : A) and T = (B : B), where A and B are comaximal trace ideals of R. In this case, we have that if both S and T are RTP domains, then so is R (Theorem 2.7). Also we prove that if R has an idempotent maximal ideal M such that (M : M) is an RTP domain, then so is R (Theorem 2.12).

In 1952, Jaffard introduced the notion of a ring of Dedekind type as a commutative ring R (with identity) such that each nonzero ideal factors as a finite product of pairwise comaximal ideals such that each factor is contained in a unique maximal ideal. He showed that if R is a commutative ring with identity, then R is of Dedekind type if and only if it has finite character (each nonzero nonunit is contained in only finitely many maximal ideals) and each nonzero prime ideal is contained in a unique maximal ideal (see [8, Théorème 6]). Thus in the terminology introduced by Matlis [14], a ring is of Dedekind type if and only if it is *h*-local. We will make use of both ideas. If I is a nonzero ideal of an *h*-local domain R, then  $(R : I)R_M = (R_M : IR_M)$  for each maximal ideal [3, Lemma 2.3]. A consequence is that if R is *h*-local, then it is an RTP domain if and only if  $R_M$  is an RTP domain for each maximal ideal M (see [13, Theorem 3.11]). Based on the work of Matlis (with regard to *h*-local domains) and Jaffard (with regard to the equivalent factoring property mentioned above), we will make use of the two notions to generalize [13, Theorem 3.9].

Let  $S = \{S_{\alpha}\}_{\alpha \in \mathcal{A}}$  be a family of domains (that are not fields) with the same quotient field K such that  $R := \bigcap_{\alpha \in \mathcal{A}} S_{\alpha}$  also has the quotient field K. Such a family is said to be a *Jaffard family* if for each nonzero ideal I of R, there is a finite nonempty subset  $\operatorname{supp}_{\mathcal{S}}(I) := \{\alpha_1, \alpha_2, \ldots, \alpha_n\} \subseteq \mathcal{A}$  such that  $I_{\alpha} := IS_{\alpha} \cap R$  is equal to R for all  $\alpha \in \mathcal{A} \setminus \operatorname{supp}_{\mathcal{S}}(I), I_{\alpha_i}(=IS_{\alpha_i} \cap R) \subsetneq R$  for all  $1 \leq i \leq n$  and  $I = I_{\alpha_1}I_{\alpha_2} \cdots I_{\alpha_n}$  with  $I_{\alpha_i} + I_{\alpha_i} = R$  for all  $i \neq j$ .

all  $1 \leq i \leq n$  and  $I = I_{\alpha_1}I_{\alpha_2} \cdots I_{\alpha_n}$  with  $I_{\alpha_i} + I_{\alpha_j} = R$  for all  $i \neq j$ . For a different approach, let  $\mathcal{P} = \{X_\alpha\}_{\alpha \in \mathcal{A}}$  be a partition of  $\operatorname{Max}(R)$  and for each  $\alpha \in \mathcal{A}$ , let  $W_\alpha = \bigcap \{R_M \mid M \in X_\alpha\}$ . As above, let  $\operatorname{supp}_{\mathcal{P}}(I) = \{\alpha \in \mathcal{A} \mid IW_\alpha \subsetneq W_\alpha\}$ . Say that  $\mathcal{P}$  is a *Matlis partition* of  $\operatorname{Max}(R)$  if  $|\operatorname{supp}_{\mathcal{P}}(RR)| < \infty$  for each nonzero nonunit  $r \in R$  and  $|\operatorname{supp}_{\mathcal{P}}(P)| = 1$  for each nonzero prime ideal P of R. Note that  $\operatorname{supp}_{\mathcal{P}}(I)$  is nonempty (but finite) for each nonzero ideal I of R. Also R is h-local if and only if  $\mathcal{P} = \{\{M_\alpha\} \mid M_\alpha \in \operatorname{Max}(R)\}$  is a Matlis partition of R.

In [4, Theorem 6.3.4] it was proved that each Jaffard family arises from a Matlis partition and each Matlis partition produces a Jaffard family. With regard to trace properties we show that if  $R = \bigcap \{S_{\alpha} | S_{\alpha} \in S\}$ , where  $S = \{S_{\alpha}\}$ is a Jaffard family, then R is an RTP domain if and only if each  $S_{\alpha}$  is an RTP domain (see Theorem 4.1). The analogous equivalences are obtained for TPP domains and for LTP domains.

# 2. Descent trace properties

In this section, we will study the descent of the radical trace property from special overrings of R to R itself. Our study is motivated by the following question.

**Question:** Suppose  $R = S \cap T$  for some domains S and T with the same quotient field as R. If both S and T are RTP domains, is R an RTP domain? The answer is "No" in general" even if in the case where both S and T are flat over R as shown by the following example.

**Example 2.1.** Let  $V = k(\mathbf{x}, \mathbf{y})[[\mathbf{z}]] = k(\mathbf{x}, \mathbf{y}) + M$ ,  $S = k(\mathbf{x})[\mathbf{y}] + M$  and  $T = k(\mathbf{y})[\mathbf{x}] + M$ . By [10, Theorem 13] S and T are Prüfer RTP domains. However  $R = S \cap T = k[\mathbf{x}, \mathbf{y}] + M$  is not an RTP domain again by [10, Theorem 13] as  $k[\mathbf{x}, \mathbf{y}]$  is not an RTP domain. Moreover,  $S = R_{\mathcal{X}}$  and  $T = R_{\mathcal{Y}}$ , where  $\mathcal{X} = k[\mathbf{x}] \setminus 0$  and  $\mathcal{Y} = k[\mathbf{y}] \setminus 0$ . Thus S and T are flat over R.

It is well-known that if I and J are trace ideals of R, then  $I \cap J$  need not be a trace ideal of R [7, Examples 5.2 and 5.3], but it is the case that IJ(R:IJ)is contained in  $I \cap J$ . Thus if  $IJ = I \cap J$ , then  $I \cap J$  is a trace ideal of R. Lemma 2.2. Let I and J be a pair of trace ideals of a domain R.

- (1)  $IA(R:IA) \subseteq I$  for each nonzero ideal A of R.
- (2)  $IJ(R:IJ) \subseteq I \cap J$ .
- (3) If  $I \cap J = IJ$ , then  $I \cap J$  is a trace ideal of R.

*Proof.* Let A be a nonzero ideal of R. Then  $IA(R : IA) = IA((R : I) : A) = IA((I : I) : A) = IA(I : IA) \subseteq I$ . Hence we have  $IJ(R : IJ) \subseteq I \cap J$ . Also, if  $I \cap J = IJ$ , then we have  $(R : I \cap J) = (I \cap J : I \cap J)$  and so  $I \cap J$  is a trace ideal of R.

Recall that an ideal J of a domain R is said to be SV-stable if J is an invertible ideal of (J:J).

**Lemma 2.3.** Let I and J be a pair of trace ideals of a domain R such that  $I \cap J = IJ$ . If J is SV-stable, then  $IJ^{-1}$  is a trace ideal of  $J^{-1}$ .

*Proof.* By Lemma 2.2,  $I \cap J = IJ$  is a trace ideal of R. Thus  $(IJ : IJ) = (R : IJ) = ((R : J) : I) = (J^{-1} : IJ^{-1}).$ 

For  $t \in (R : IJ)$  we have  $tIJ \subseteq IJ$  and therefore  $tIJJ^{-1} \subseteq IJJ^{-1}$ . If J is SV-stable, we may cancel the J to obtain  $tIJ^{-1} \subseteq IJ^{-1}$ . Thus in this case  $IJ^{-1}$  is a trace ideal of  $J^{-1}$ .

**Theorem 2.4.** Let J be a SV-stable trace ideal of R such that (J : J) is an RTP domain and I a trace ideal of R.

(1) If I is comaximal with J, then I is a radical ideal of R.

(2) If J = P is prime and  $I \subseteq P$ , then I contains both  $(\sqrt{I})^2$  and IP(R : IP).

Proof. (1) Since J is a trace ideal,  $(J : J) = J^{-1}$ . If I + J = R, then we have  $I \cap J = IJ$ . Hence by Lemma 2.3,  $IJ^{-1}$  is a trace ideal of  $J^{-1}$ . Moreover,  $(J^{-1} : IJ^{-1}) = (IJ : IJ)$ . If  $J^{-1}$  is an RTP domain, then  $IJ^{-1}$  is a radical ideal of  $J^{-1}$ . To see that I is a radical ideal, let  $x \in R$  be such that  $x^n \in I$  for some  $n \ge 1$ . Then  $x \in IJ^{-1}$  since  $IJ^{-1}$  is a radical ideal of  $J^{-1}$ . It follows that  $xJ \subseteq IJ$ . Checking locally shows that  $x \in I$ . Specifically, if M is a maximal ideal that does not contain I, then we certainly have  $x \in R_M = IR_M$ . On the other hand if N is a maximal ideal that contains I, then it does not contain J and in this case we have  $x \in xR_N = xJR_N \subseteq IJR_N = IR_N$ . Therefore I is a radical ideal of R.

(2) If I is a trace ideal that is contained in P, then  $(I : I) = (R : I) \supseteq (R : P) = (P : P)$  and  $(R : P^2) \subseteq (R : PI) = (P^{-1} : I) = (I : PI)$ . It follows that  $I = IP^{-1} = IP(R : P^2) \subseteq PI(R : PI) = PI(I : PI) \subseteq I$ . Since  $P^{-1}$  is an RTP domain,  $I(P^{-1} : I)$  is a radical ideal of  $P^{-1}$ . Let  $x, y \in \sqrt{I}$ . Then  $x \in P$  and  $y \in I(P^{-1} : I)$ . Therefore  $xy \in I$  and we have  $(\sqrt{I})^2 \subseteq I$ .

**Corollary 2.5.** Let M be a maximal ideal of R. If M is SV-stable and (M : M) is an RTP domain, then each trace ideal of R that is comaximal with M is a radical ideal of R.

*Proof.* If M is SV-stable, then either it is an invertible ideal of R = (M : M) or  $(R : M) = (M : M) \supseteq R$ . There is nothing to prove if R = (M : M) and in the other case we simply apply Theorem 2.4.

**Theorem 2.6.** Let A be an (integral) ideal of a domain R of the form A = JE, where J is an invertible (fractional) ideal of R and E is an idempotent (fractional) ideal of R. If (A : A) is an RTP domain and I is a trace ideal of R that is comaximal with A, then I is a radical ideal of R.

*Proof.* Set A = JE, where  $JJ^{-1} = R$  and  $E = E^2$ . Since J is invertible, T = (A : A) = (E : E). Let I be a trace ideal of R such that R = I + Aand write 1 = a + b for some  $a \in A$  and  $b \in I$ . Let  $x \in (T : IE)$ . Then  $xIE \subseteq T$  and so  $xIE = xIE^2 \subseteq E$ . Hence  $xIA = xIJE \subseteq JE = A$  and so  $xA \subseteq (A : I) \subseteq (R : I) = (I : I)$ . Thus  $xIJE = xIA \subseteq I$  and so  $xIE \subseteq IJ^{-1}$ . Hence  $xIE \subseteq E \cap IJ^{-1} \subseteq IE$ . (Notice that  $E \cap IJ^{-1} \subseteq IE$ . Indeed, let  $y \in E \cap IJ^{-1}$ . Then y = ya + yb. Since  $ya \in IJ^{-1}A = IJ^{-1}JE = IE$ and  $yb \in IE$ ,  $y \in IE$ .) Hence (T : IE) = (IE : IE) and since T is an RTP domain, IE is a radical ideal of T. Now let  $x \in R$  such that  $x^n \in I$ for some positive integer n. For every  $e \in E$ ,  $e^n \in E$  and so  $(xe)^n \in IE$ . Then  $xe \in IE$  since IE is a radical ideal of T. Hence  $xE \subseteq IE$ . Since  $xa \in xA = xJE \subseteq JIE = IA \subseteq I$  and  $xb \in I$ ,  $x = xa + xb \in I$  as desired. □

**Theorem 2.7.** Let A and B be trace ideals of an integral domain R. If A and B are comaximal and both (A : A) and (B : B) are RTP domains, then R is an RTP domain.

*Proof.* Assume A and B are comaximal and both  $A^{-1} = (A : A)$  and  $B^{-1} = (B : B)$  are RTP domains.

Let I be a trace ideal of R. Then by Lemma 2.2, both IA(R : IA) and IB(R : IB) are contained in I.

Since both  $A^{-1}$  and  $B^{-1}$  are RTP domains,  $I(A^{-1}:I)$  is a radical ideal of  $A^{-1}$  and  $I(B^{-1}:I)$  is a radical ideal of  $B^{-1}$ .

Let  $x \in \sqrt{I}$  and let  $a \in A$  and  $b \in B$  be such that a + b = 1. We have  $x \in I(A^{-1}:I) = I(R:IA)$  and  $x \in I(B^{-1}:I) = I(R:IB)$ . Thus  $xa \in IA$  and  $xb \in IB$ . It follows that x = xa + xb is in I and therefore I is a radical ideal of R. Hence R is an RTP domain.

The proof of the previous theorem can easily be generalized to a finite set of trace ideals whose sum is R.

**Theorem 2.8.** Let  $A_1, A_2, \ldots, A_n$  be trace ideals of a domain R such that  $(A_i : A_i)$  is an RTP domain for each  $A_i$ . If  $A_1 + A_2 + \cdots + A_n = R$ , then R is an RTP domain.

*Proof.* Assume  $A_1 + A_2 + \cdots + A_n = R$ . Then there are elements  $a_1 \in A_1$ ,  $a_2 \in A_2, \ldots, a_n \in A_n$  such that  $a_1 + a_2 + \cdots + a_n = 1$ .

Let *I* be a trace ideal of *R* and let  $x \in \sqrt{I}$ . Since  $(R : A_i) = (A_i : A_i)$  is an RTP domain,  $I((R : A_i) : I) = I(R : IA_i)$  is a radical ideal of  $(R : A_i)$ . Hence  $x \in I(R : IA_i)$ . Also, by Lemma 2.2,  $IA_i(A_i^{-1} : I) = IA_i(R : IA_i) \subseteq I$ . Thus  $x = xa_1 + xa_2 + \cdots + xa_n \in I$  and we have that *I* is a radical ideal of *R*. Therefore *R* is an RTP domain.

**Theorem 2.9.** Let A and B be comaximal ideals of a domain R such that  $A^{-1}$  and  $B^{-1}$  are TP domains. Then R is a TP domain if and only if each trace ideal of R is a contraction of either a prime ideal of  $A^{-1}$  or a prime ideal of  $B^{-1}$ .

*Proof.* Since A + B = R,  $R = A^{-1} \cap B^{-1}$ . Let *I* be a trace ideal of *R* and set  $P = I(A^{-1} : I)$  and  $Q = I(B^{-1} : I)$ . Clearly  $PA \subseteq I$  and  $QB \subseteq I$  and  $I = P \cap Q$  (for if 1 = a + b for some  $a \in A$  and  $b \in B$ , then for every  $x \in P \cap Q$ ,  $x = xa + xb \in PA + QB \subseteq I$ ). Since *I* is a proper ideal of *R* and  $A^{-1}$  and  $B^{-1}$  are *TP* domains, either *P* is a prime ideal of  $A^{-1}$  or *Q* is a prime ideal of  $B^{-1}$  (for if  $P = A^{-1}$  and  $Q = B^{-1}$ , then  $I = P \cap Q = A^{-1} \cap B^{-1} = R$ ). If  $P = A^{-1}$ , necessarily *Q* is a prime ideal of  $B^{-1}$  and  $I = Q \cap R$ ; and if  $Q = B^{-1}$ , necessarily *P* is a prime ideal of  $A^{-1}$  and  $I = P \cap R$ . Assume that *P* is a prime ideal of  $A^{-1}$  and *Q* is a prime ideal of  $B^{-1}$ . Since  $I = (P \cap R) \cap (Q \cap R)$ , if *R* is a *TP* domain, *I* must be a prime ideal of *R* and so  $P \cap R$  and  $Q \cap R$  are comparable. Thus  $I = P \cap R$  or  $I = Q \cap R$ , as desired.

The converse is trivial.

**Lemma 2.10.** If I is a trace ideal of R and B is an idempotent ideal, then B and IB are trace ideals of both R and  $B^{-1} = (B : B)$ .

*Proof.* First note that if B is idempotent, then  $BB^{-1} = B^2B^{-1} \subseteq B$ . Hence  $(B:B) = B^{-1} = (R:B^2) = (B^{-1}:B)$ . So B is a trace ideal of both R and (B:B). The proof that IB is a trace ideal of both R and (R:B) is only a bit more complicated.

We have  $(R : IB) = (R : IB^2) = ((R : B) : IB)$  and alternately,  $(R : IB) = ((R : I) : B) = ((I : I) : B) = (I : IB) \subseteq (IB : IB^2) = (IB : IB) \subseteq (R : IB)$ . Thus (R : IB) = (IB : IB) = ((R : B) : IB).

Recall that the maximal ideal of a valuation domain is either idempotent or invertible. Using this fact it is rather easy to construct a domain R that is not an RTP domain but does have a (single) trace ideal A such that (A : A) is an RTP domain, where A is either idempotent or SV-stable.

**Example 2.11.** Let V be a valuation domain with (nonzero) maximal ideal M such that the residue field V/M is the quotient field of an almost Dedekind domain D that is not a Dedekind domain. Then the pullback of D over M is a Prüfer domain R that is not an RTP domain. But (R : M) = (M : M) = V is an RTP domain (in fact a TP domain), with M either idempotent or invertible as an ideal of V – the latter obviously equivalent to M being a SV-stable trace ideal of R.

In the previous example, the ideal M is a nonmaximal prime ideal of R. What if instead we have M maximal with (M : M) an RTP domain, is that enough to ensure that R is an RTP domain? In Example 2.13, we show that R need not be an RTP domain if M is an SV-stable maximal ideal such that (M : M) is an RTP domain. On the other hand, we show in our next result that if M is an idempotent maximal ideal, then R is an RTP domain whenever (M : M) is an RTP domain. Note that in this case, M is a radical ideal of (M : M) and each minimal prime of M in (M : M) is a maximal ideal of (M : M) (since ((M : M) : J) = (M : MJ) = (M : M) for each ideal J of (M : M) that contains M).

**Theorem 2.12.** If M is an idempotent maximal ideal of a domain R such that (M : M) is an RTP domain, then R is an RTP domain.

*Proof.* Let I be a trace ideal of R. If I + M = R, by Theorem 2.6, I is a radical ideal of R. Assume that  $I \subseteq M$ . Let  $x \in \sqrt{I}$  be such that  $x^2 \in I$ . Since  $I \subseteq M$ ,  $\sqrt{I} \subseteq M$  so  $x \in M$  and therefore  $x^3 \in IM = \sqrt{IM}$  (Lemma 2.10) which puts  $x \in IM$  and therefore  $x \in I$  and we have that I is a radical ideal of R. Hence R is an RTP domain.

**Example 2.13.** An example of a domain R with a SV-stable trace maximal ideal M such that (M : M) is an RTP domain but R is not an RTP domain. Let k be a field, X an indeterminate over k and set  $R = k[[x^2, x^5]]$ . Clearly R is a one-dimensional local Noetherian domain with maximal ideal  $M = (x^2, x^5)$  and  $M^{-1} = k[[x^2, x^3]]$ . Thus R is not an RTP domain but  $M^{-1}$  is an RTP domain. Also it is clear that M is SV-stable since  $M = x^2 k[[x^2, x^3]]$ .

#### 3. Independent pairs

Recall from above that a pair of domains S and T with the same quotient field K are *independent* if ST = K and no nonzero prime ideal of  $S \cap T$  survives in both S and T. In the event  $S \cap T$  also has the quotient field K, then all that one needs to check is that no nonzero prime ideal of  $S \cap T$  survives in both Sand T. In fact, a slightly weaker condition suffices.

As noted earlier, if R is an RTP domain, then each flat overring of R is an RTP domain [12, Corollary 3.17]. The analogous implication holds for LTP domains [12, Corollary 3.20]. Next we show that RTP can also be replaced by TPP.

**Theorem 3.1.** If R is a TPP domain, then each flat overring of R is also a TPP domain.

*Proof.* Assume R is a TPP domain and let S be a flat overring of R. Then R is also an LTP domain [9, Corollary 3] and thus we at least have that S is an LTP domain [12, Corollary 3.20].

Let Q' be a nonzero noninvertible primary ideal of S with  $P' := \sqrt{Q'}$ . Then since S is flat over  $R, Q := Q' \cap R$  is a P-primary ideal of R, where  $P = \sqrt{Q} = P' \cap R$  with Q' = QS and P' = PS. Thus  $Q(R:Q)S \subseteq Q'(S:Q')$ and so Q is not an invertible ideal of R. Since R is a TPP domain we have Q(R:Q) = P [11, Corollary 8] and thus we at least have that  $Q'(S:Q') \supseteq$ Q(R:Q)S = PS = P'. As Q' is not invertible, Q'(S:Q') = P' if P' is a maximal ideal of S.

In the event that P' is not a maximal ideal of S', we at least have  $Q'(S_{N'}: QS_{N'}) \supseteq P'S_{N'}$  for each maximal ideal N' of S'.

Let N' be a maximal ideal of S that properly contains P'. Then  $N := N' \cap R$ is a prime ideal of R that contains P with N' = NS and  $S_{N'} = S_{NS} = R_N$ . Moreover,  $R_N$  is a TPP domain. As  $PR_N$  is a nonmaximal prime ideal of  $R_N$ ,  $QR_N(R_N : QR_N) = PR_N$  [11, Theorem 6 and Corollary 8]. But we also have  $QR_N = Q'S_{N'}$  and  $PR_N = P'S_{N'}$ . Hence  $P'S_{N'} \subseteq Q'(S : Q')S_{N'} \subseteq Q'(S_{N'} :$  $Q'S_{N'}) = P'S_{N'}$  and therefore Q'(S : Q') = P'. Thus S is a TPP domain.  $\Box$ 

**Theorem 3.2.** Let R be a domain with a pair of proper independent overrings S and T such that  $R = S \cap T$  and each nonzero ideal of R survives in at least one of S and T.

- (1) R is an RTP domain if and only if both S and T are RTP domains.
- (2) R is a TPP domain if and only if both S and T are TPP domains.
- (3) R is an LTP domain if and only if both S and T are LTP domains.

*Proof.* Since both S and T are flat overrings of R, if R is an RTP domain, then both S and T are RTP [12, Corollary 3.17]. For TPP, both S and T are TPP domains if R is a TPP domain (Theorem 3.1). Also, if R is an LTP domain, then both S and T are LTP domains [12, Corollary 3.20].

For the converse in the RTP and LTP cases we can start with a nonzero trace ideal I of R. By [4, Theorem 6.2.3],  $I = IS \cap IT$ . Also, by [4, Theorem 6.2.2], IS = I(R:I)S = I(S:IS) and IT = I(R:I)T = I(T:IT). Hence IS is a trace ideal of S and IT is a trace ideal of T.

We start with LTP, then consider RTP and finish with TPP.

By [4, Theorem 6.2.3], if P is a minimal prime of I, then P survives in exactly one of S and T. If  $PS \neq S$ , then PS is a prime ideal of S such that  $R_P = S_{PS}$ . If S is an LTP domain, then  $IR_P = IS_{PS} = PS_{SP} = PR_P$ . If, instead,  $PT \neq T$  and T is an LTP domain, then  $IR_P = IT_{PT} = PT_{PT} = PR_P$ . Hence R is an LTP domain if both S and T are LTP domains.

If both S and T are RTP domains, then IS is a radical ideal of S and IT is a radical ideal of T. Since  $I = IS \cap IT$ , I is a radical ideal of R. Thus R is an RTP domain when both S and T are RTP domains.

Finally in the case both S and T are TPP domains, suppose N is a nonzero prime ideal of R and Q is an N-primary ideal of R that is not invertible. As in the LTP case, either NS is a prime ideal of S with NT = T = QT or NT is a prime ideal of T with NS = S = QS. By [4, Theorem 6.2.2], Q(R:Q)S = Q(S:QS) and Q(R:Q)T = Q(T:QT). Moreover,  $Q(R:Q) = Q(S:QS) \cap Q(T:QT)$  by [4, Theorem 6.2.3].

If NS is a prime ideal of S, then QS is a NS-primary ideal with  $\sqrt{QS} = NS$ . Since  $S \cap T = R$  and QT = T in this case, QS is not invertible as an ideal of S. Thus Q(S : QS) = NS [11, Corollary 8] and it follows that  $Q(R : Q) = NS \cap NT = N$ .

Similarly, Q(T:Q) = NT when NT is a prime ideal of T and we again have Q(R:Q) = N. Thus R is a TPP domain.

## 4. Jaffard families and Matlis partitions

Recall that a domain R is said to be of *Dedekind type* if each nonzero ideal factors as a finite product of pairwise comaximal ideals with each factor in a unique maximal ideal [8]. Jaffard proved that R is of Dedekind type if and only if it has finite character and each nonzero prime ideal is contained in a unique maximal [8, Théorème 6]. Thus R is of Dedekind type if and only if it holds.

Let  $S = \{S_{\alpha}\}_{\alpha \in \mathcal{A}}$  be a family of domains (that are not fields) with the same quotient field K such that  $R := \bigcap_{\alpha \in \mathcal{A}} S_{\alpha}$  also has the quotient field K. Such a family is said to be a *Jaffard family* if for each nonzero ideal I of R, there is a finite nonempty subset  $\operatorname{supp}_{\mathcal{S}}(I) := \{\alpha_1, \alpha_2, \ldots, \alpha_n\} \subseteq \mathcal{A}$  such that  $I_{\alpha} := IS_{\alpha} \cap R = R$  for all  $\alpha \in \mathcal{A} \setminus \operatorname{supp}_{\mathcal{S}}(I)$ ,  $I_{\alpha_i}(= IS_{\alpha_i} \cap R) \subsetneq R$  for all  $1 \leq i \leq n$  and  $I = I_{\alpha_1}I_{\alpha_2}\cdots I_{\alpha_n}$  with  $I_{\alpha_i} + I_{\alpha_j} = R$  for all  $i \neq j$ . Since  $R = \bigcap_{\alpha \in \mathcal{A}} S_{\alpha}$ , the factoring property implies  $I = \bigcap_{\alpha \in \mathcal{A}} IS_{\alpha}$ .

**Theorem 4.1.** Let R be a domain and let  $S = \{S_{\alpha}\}$  be a Jaffard family such that  $R = \bigcap S_{\alpha}$ .

- (1) R is an RTP domain if and only if each  $S_{\alpha}$  is an RTP domain.
- (2) R is a TPP domain if and only if each  $S_{\alpha}$  is a TPP domain.
- (3) R is an LTP domain if and only if each  $S_{\alpha}$  is an LTP domain.

*Proof.* By [4, Theorem 6.3.1], each  $S_{\alpha}$  is *R*-flat. Thus  $S_{\alpha}$  is an RTP domain when *R* is an RTP domain [12, Corollary 3.17],  $S_{\alpha}$  is a TPP domain when *R* is a TPP domain (Theorem 3.1), and  $S_{\alpha}$  is an LTP domain when *R* is an LTP domain [12, Corollary 3.20].

Let *I* be a nonzero noninvertible ideal of *R* and for each  $\alpha$ , let  $J_{\alpha} = I(S_{\alpha} : IS_{\alpha})$ . By [4, Theorem 6.3.1],  $I(R:I)S_{\alpha} = J_{\alpha}$  and therefore  $I(R:I) = \bigcap J_{\alpha}$ . If  $S_{\alpha}$  is an RTP domain, then  $J_{\alpha}$  is a radical ideal of  $S_{\alpha}$  (perhaps equal to  $S_{\alpha}$ ). Thus I(R:I) is a radical ideal of *R* if each  $S_{\alpha}$  is an RTP domain.

For TPP, start with a nonzero noninvertible primary ideal Q of R and let  $P := \sqrt{Q}$ . By [4, Theorem 6.3.1],  $\operatorname{supp}_{\mathcal{S}}(P) = \{\beta\}$  for some  $S_{\beta} \in \mathcal{S}$ . Then  $PS_{\beta}$  is a prime ideal of  $S_{\beta}$  and  $QS_{\beta}$  is a primary ideal of  $S_{\beta}$  with  $\sqrt{QS_{\beta}} = PS_{\beta}$ .

Since Q is not invertible as an ideal of R, Q(R : Q) is contained in a maximal ideal M. As M contains P, it must be that  $MS_{\beta}$  is a maximal ideal of  $S_{\beta}$ . Moreover, we have  $MS_{\beta} \supseteq Q(R : Q)S_{\beta} = Q(S_{\beta} : QS_{\beta})$ . Hence  $QS_{\beta}$  is not an invertible ideal of  $S_{\beta}$ . If  $S_{\beta}$  is an TPP domain, then we have  $Q(R : Q)S_{\beta} =$  $Q(S_{\beta} : QS_{\beta}) = PS_{\beta}$  [11, Corollary 8]. As both Q and P blow up in all other  $S_{\alpha}$ s, we have Q(R : Q) = P as they are equal when extended to each  $S_{\alpha}$  ([4, Theorem 6.3.1]). Thus R is a TPP if each  $S_{\alpha}$  is a TPP domain.

Finally we show that R is an LTP domain if each  $S_{\alpha}$  is an LTP domain. For this we may start with a trace ideal I of R with minimal prime P. As in the TPP case, P is survives in a unique  $S_{\beta}$ . Moreover,  $R_P = (S_{\beta})_{PS_{\beta}}$  and  $PR_P =$  $P(S_{\beta})_{PS_{\beta}}$ . Since I(R:I) = I, we also have  $IS_{\beta} = I(R:I)S_{\beta} = I(S_{\beta}:IS_{\beta})$ . Hence  $IS_{\beta}$  is a trace ideal of  $S_{\beta}$ . Also  $PS_{\beta}$  is a minimal prime of  $IS_{\beta}$  and thus  $IR_P = I(S_{\beta})_{PS_{\beta}} = P(S_{\beta})_{PS_{\beta}} = PR_P$ . Therefore R is an LTP domain.

Recall that a domain R is said to be *h*-local if each nonzero nonunit is contained in only finitely many maximal ideals and each nonzero prime ideal is contained in a unique maximal ideal. A domain for which each nonzero nonunit is contained in only finitely many maximal ideals is said to have finite character. Notice that R is *h*-local if and only if  $\mathcal{P} = \{\{M_{\alpha}\} | M_{\alpha} \in Max(R)\}$ is a Matlis partition of R.

**Corollary 4.2** (cf. [13, Theorem 3.9]). Let R be an h-local domain. Then R is an RTP domain if and only if  $R_M$  is an RTP domain for each maximal ideal M.

In the case R is one-dimensional, then it is h-local if and only if it has a finite character. Moreover, a local one-dimensional RTP domain is a TP domain. In contrast, [11, Example 35] presents an example of local two-dimensional RTP domain that is not a TP domain.

**Corollary 4.3.** If R is a one-dimensional domain with finite character, then R is an RTP domain if and only if  $R_M$  is a TP domain for each maximal ideal M.

*Proof.* If R is one-dimensional, then it is h-local if and only if it has a finite character. Also for each maximal ideal M, the only nonzero prime of  $R_M$  is  $MR_M$ . Hence  $R_M$  is a TP domain if and only if it is an RTP domain. The result follows from Corollary 4.2.

## References

- D. D. Anderson, J. A. Huckaba, and I. J. Papick, A note on stable domains, Houston J. Math. 13 (1987), no. 1, 13–17.
- [2] H. Bass, On the ubiquity of Gorenstein rings, Math. Z. 82 (1963), 8-28. https://doi.org/10.1007/BF01112819
- [3] S. Bazzoni and L. Salce, Warfield domains, J. Algebra 185 (1996), no. 3, 836-868. https://doi.org/10.1006/jabr.1996.0353
- [4] M. Fontana, E. Houston, and T. Lucas, Factoring ideals in integral domains, Lecture Notes of the Unione Matematica Italiana, 14, Springer, Heidelberg, 2013. https://doi. org/10.1007/978-3-642-31712-5
- [5] M. Fontana, J. A. Huckaba, and I. J. Papick, Domains satisfying the trace property, J. Algebra 107 (1987), no. 1, 169–182. https://doi.org/10.1016/0021-8693(87)90083-4
- [6] W. J. Heinzer and I. J. Papick, The radical trace property, J. Algebra 112 (1988), no. 1, 110–121. https://doi.org/10.1016/0021-8693(88)90135-4

- [7] E. G. Houston, S. Kabbaj, T. G. Lucas, and A. Mimouni, When is the dual of an ideal a ring?, J. Algebra 225 (2000), no. 1, 429–450. https://doi.org/10.1006/jabr.1999.
  8142
- [8] P. Jaffard, Théorie arithmétique des anneaux du type de Dedekind, Bull. Soc. Math. France 80 (1952), 61–100.
- [9] S.-E. Kabbaj, T. G. Lucas, and A. Mimouni, *Trace properties and integral domains*, in Advances in commutative ring theory (Fez, 1997), 421–436, Lecture Notes in Pure and Appl. Math., 205, Dekker, New York, 1999.
- [10] S.-E. Kabbaj, T. G. Lucas, and A. Mimouni, *Trace properties and pullbacks*, Comm. Algebra **31** (2003), no. 3, 1085–1111. https://doi.org/10.1081/AGB-120017753
- [11] T. G. Lucas, The radical trace property and primary ideals, J. Algebra 184 (1996), no. 3, 1093–1112. https://doi.org/10.1006/jabr.1996.0301
- [12] T. G. Lucas and D. McNair, Trace properties in rings with zero divisors, J. Algebra 343 (2011), 201-223. https://doi.org/10.1016/j.jalgebra.2011.05.039
- [13] T. G. Lucas and A. Mimouni, *Trace properties and the rings R(x) and R⟨x⟩*, Ann. Mat. Pura Appl. (4) **199** (2020), no. 5, 2087–2104. https://doi.org/10.1007/s10231-020-00957-8
- [14] E. Matlis, Cotorsion modules, Mem. Amer. Math. Soc. 49 (1964), 66 pp.

THOMAS G. LUCAS DEPARTMENT OF MATHEMATICS AND STATISTICS UNIVERSITY OF NORTH CAROLINA CHARLOTTE CHARLOTTE, NC 28223 U.S.A. *Email address*: tglucas@uncc.edu

Abdeslam Mimouni Department of Mathematics King Fahd University of Petroleum and Minerals Dhahran 31261, Saudi Arabia *Email address*: amimouni@kfupm.edu.sa