

ON n -HYPONORMALITY FOR BACKWARD EXTENSIONS OF BERGMAN WEIGHTED SHIFTS[†]

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ABSTRACT. In this paper, we discuss the backward extensions of Bergman shifts $W_{\alpha(m)}$, where

$$\alpha(m) : \sqrt{\frac{m}{m+1}}, \sqrt{\frac{m+1}{m+2}}, \dots, (m \in \mathbb{N}).$$

We obtained a complete description of the n -hyponormality for backward one, two and three step extensions.

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1. Introduction and preliminaries

Let \mathcal{H} be a separable, infinite dimensional, complex Hilbert space and let $L(\mathcal{H})$ be the algebra of all bounded linear operators on \mathcal{H} . An operator T in $L(\mathcal{H})$ is said to be *normal* if $T^*T = TT^*$, *hyponormal* if $T^*T \geq TT^*$, and *subnormal* if $T = N|_{\mathcal{H}}$, where N is normal on some Hilbert space $\mathcal{K} \supseteq \mathcal{H}$. For $A, B \in L(\mathcal{H})$, let $[A, B] = AB - BA$. We say that an n -tuple $T = (T_1, \dots, T_n)$ of operators in $L(\mathcal{H})$ is *hyponormal* if the operator matrix $([T_j^*, T_i])_{i,j=1}^n$ is positive on the direct sum of n copies of \mathcal{H} . For a positive integer k , $T \in L(\mathcal{H})$ is *k -hyponormal* if (I, T, \dots, T^k) is hyponormal. It is well known from Bram-Halmos criterion that T is subnormal if and only if T is k -hyponormal for all $k \in \mathbb{N}$ ([3], [4]). Thus the implications ‘subnormal $\Rightarrow \dots \Rightarrow 2$ -hyponormal \Rightarrow hyponormal’ hold, but each converse is not true in general. Since Curto in 1990 introduced a bridge between the hyponormality and subnormality in the concept of k -hyponormality ([2]), many operator theorists have studied these classes of operators until now.

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In the study of these classes, the weighted shifts have played an important roles ([1], [2], [3], [4], [6], [7], [8], [14], etc.).

Let $\{e_n\}_{n=0}^\infty$ be the canonical orthonormal basis for Hilbert space $l^2(\mathbb{Z}_+)$ and let $\alpha := \{\alpha_n\}_{n=0}^\infty$ be a bounded sequence of positive numbers. Let W_α be a unilateral weighted shift defined by $W_\alpha e_n := \alpha_n e_{n+1}$ ($n \geq 0$). The *moments* of W_α are usually defined by $\gamma_0 := 1, \gamma_i := \alpha_0^2 \cdots \alpha_{i-1}^2$ ($i \geq 1$). We consider k variables x_i ($i = 1, \dots, k$) satisfying $0 < x_k \leq \dots \leq x_2 \leq x_1$ and denote an augmented weighted sequence by

$$\alpha(x_1, \dots, x_k) : x_k, \dots, x_2, x_1, \alpha_0, \alpha_1, \dots, (k \geq 1).$$

Let W_α be a p -hyponormal weighted shift and let $k, p, q \in \mathbb{N}$ with $q \leq p$. Then we may consider $W_{\alpha(x_1, \dots, x_k)}$ as a *backward k -step extension* of W_α and describe the set

$$HE_k(\alpha, q) := \{(x_1, \dots, x_k) : W_{\alpha(x_1, \dots, x_k)} \text{ is } q\text{-hyponormal}\}.$$

Many works have been done in this problem ([7], [8], [10], [11], [13], etc.). In this paper, we discuss the backward extensions of Bergman shifts $W_{\alpha(m)}$, where

$$\alpha(m) : \sqrt{\frac{m}{m+1}}, \sqrt{\frac{m+1}{m+2}}, \dots, (m \in \mathbb{N}).$$

We obtained a complete description of the n -hyponormality for one, two and three backward extensions.

The calculations in this paper were obtained through computer experiments using the software tool Scientific WorkPlace ([15]). Some lemmas to be used in this paper are as follows.

Lemma 1.1 ([9, Lemma 3.1]). *Let W_α be a p -hyponormal weighted shift, $q \leq p$. Then $W_{\alpha(x_1, \dots, x_k)}$ is q -hyponormal if and only if the Hankel matrices*

$$M_{q+1}(k, i) := \begin{bmatrix} \frac{1}{x_1^2 \cdots x_{k-i}^2} & \cdots & \frac{1}{x_1^2} & \gamma_0 & \cdots & \gamma_{q-k+i} \\ \frac{1}{x_1^2 \cdots x_{k-1-i}^2} & \cdots & \gamma_0 & \gamma_1 & \cdots & \gamma_{q-k+1+i} \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \frac{1}{x_1^2} & \cdots & \gamma_{k-i-2} & \gamma_{k-i-1} & \cdots & \gamma_{q-1} \\ \gamma_0 & \cdots & \gamma_{k-i-1} & \gamma_{k-i} & \cdots & \gamma_q \\ \vdots & \ddots & \vdots & \vdots & \ddots & \vdots \\ \gamma_{q-k+i} & \cdots & \gamma_{q-1} & \gamma_q & \cdots & \gamma_{2q-k+i} \end{bmatrix} \quad (1.1)$$

are positive for all i with $0 \leq i \leq k-1$. Therefore we have

$$HE_k(\alpha, q) := \{(x_1, \dots, x_k) | M_{q+1}(k, i) \geq 0, 0 \leq i \leq k-1\}.$$

Lemma 1.2 ([5, Lemma 2.1]). *For $\omega \geq 0$, the determinant $A_n(\omega)$ of the matrix with (i, j) entry $\frac{1}{\omega+i+j-1}$ ($1 \leq i, j \leq n$) is ¹*

¹ $\Gamma(\cdot)$ is the gamma function.

$$W_n(\omega) = (1!2! \cdots (n-1)!)^2 \frac{\Gamma(\omega+1)\Gamma(\omega+2)\cdots\Gamma(\omega+n)}{\Gamma(\omega+n+1)\Gamma(\omega+n+2)\cdots\Gamma(\omega+2n)}. \quad (1.2)$$

For our convenience, we record the following five determinants which will be useful in the sequel:

$$\begin{aligned} \Delta_{m,n}^{(1)} &= \begin{vmatrix} \frac{1}{m} & \frac{1}{m+1} & \cdots & \frac{1}{m+n-1} \\ \frac{1}{m+1} & \frac{1}{m+2} & \cdots & \frac{1}{m+n} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{1}{m+n-1} & \frac{1}{m+n} & \cdots & \frac{1}{m+2n-2} \end{vmatrix}, \\ \Delta_{m,n}^{(2)} &= \begin{vmatrix} \frac{1}{m} & \frac{1}{m+2} & \cdots & \frac{1}{m+n} \\ \frac{1}{m+1} & \frac{1}{m+3} & \cdots & \frac{1}{m+n+1} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{1}{m+n-1} & \frac{1}{m+n+1} & \cdots & \frac{1}{m+2n-1} \end{vmatrix}, \\ \Delta_{m,n}^{(3)} &= \begin{vmatrix} \frac{1}{m} & \frac{1}{m+2} & \cdots & \frac{1}{m+n} \\ \frac{1}{m+2} & \frac{1}{m+4} & \cdots & \frac{1}{m+n+2} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{1}{m+n} & \frac{1}{m+n+2} & \cdots & \frac{1}{m+2n} \end{vmatrix}, \\ \Delta_{m,n}^{(4)} &= \begin{vmatrix} \frac{1}{m} & \frac{1}{m+3} & \cdots & \frac{1}{m+n+1} \\ \frac{1}{m+1} & \frac{1}{m+4} & \cdots & \frac{1}{m+n+2} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{1}{m+n-1} & \frac{1}{m+n+2} & \cdots & \frac{1}{m+2n} \end{vmatrix}, \\ \Delta_{m,n}^{(5)} &= \begin{vmatrix} \frac{1}{m} & \frac{1}{m+1} & \frac{1}{m+3} & \cdots & \frac{1}{m+n} \\ \frac{1}{m+1} & \frac{1}{m+2} & \frac{1}{m+4} & \cdots & \frac{1}{m+n+1} \\ \frac{1}{m+2} & \frac{1}{m+3} & \frac{1}{m+5} & \cdots & \frac{1}{m+n+2} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \frac{1}{m+n-1} & \frac{1}{m+n} & \frac{1}{m+n+2} & \cdots & \frac{1}{m+2n-1} \end{vmatrix}. \end{aligned}$$

By using Lemma 1.2, we can obtain the following formulas, we omit the tedious calculations here.

Lemma 1.3. *When $n \geq 3$, for the above notation, we have the followings:* ²

² $\binom{\cdot}{\cdot}$ is the binomial function.

$$\begin{aligned} \Delta_{m,n}^{(1)} &= \frac{(1!2!\dots(n-1)!)^2}{(n!)^n} \left(\prod_{l=n}^{2n-1} \binom{m+l-1}{n} \right)^{-1}, \\ \Delta_{m,n}^{(2)} &= \frac{n(1!2!\dots(n-1)!)^2}{(n!)^n} \binom{m+n}{n} \left(\prod_{l=n-1}^{2n-1} \binom{m+l}{n} \right)^{-1}, \\ \Delta_{m,n}^{(3)} &= \frac{(m+n+1)(n!)^2(1!2!\dots(n-2)!)^2}{m(m+2)((n+1)!)^{n-1}} \left(\prod_{l=n+1}^{2n-1} \binom{m+l+1}{n+1} \right)^{-1}, \\ \Delta_{m,n}^{(4)} &= \frac{(n-1)!(n+1)!(m-1)!(1!2!\dots(n-2)!)^2}{2(m+n-1)!(n!)^{n-1}} \left(\prod_{l=n+1}^{2n-1} \binom{m+l+1}{n} \right)^{-1}, \\ \Delta_{m,n}^{(5)} &= \frac{(1!2!\dots(n-1)!)^2}{2(n!)^{n-1}(n-2)!} \binom{m+n+1}{n} \left(\prod_{l=n-3}^{2n-3} \binom{m+l+2}{n} \right)^{-1}. \end{aligned}$$

For the binomial function, we can easily obtain the following formulas through simple calculation.

Lemma 1.4 *If we let $\Omega = \binom{m+n-3}{n-2}^{-1}$, then we have the followings:*

$$\begin{aligned} (1) \quad \binom{m+n-1}{n}^{-1} &= \frac{n(n-1)\Omega}{(m+n-1)(m+n-2)}, \\ (2) \quad \binom{m+n-1}{n-1}^{-1} &= \frac{m(n-1)\Omega}{(m+n-1)(m+n-2)}, \\ (3) \quad \binom{m+n-1}{n-2}^{-1} &= \frac{m(m+1)\Omega}{(m+n-1)(m+n-2)}, \\ (4) \quad \binom{m+n-2}{n-1}^{-1} &= \frac{(n-1)\Omega}{m+n-2}, \\ (5) \quad \binom{m+n-2}{n-2}^{-1} &= \frac{m\Omega}{m+n-2}. \end{aligned}$$

2. Main results

2.1. One-step backward extensions. For m be a positive and we consider a weight sequence as follows:

$$\alpha(x; m) : \sqrt{x}, \sqrt{\frac{m}{m+1}}, \sqrt{\frac{m+1}{m+2}}, \dots, (m \geq 2). \tag{2.1}$$

We can rewrite the result as following.

Theorem 2.1 ([5, Theorem 3.2]). *Let $W_{\alpha(x;m)}$ be a weighted shift with weight $\alpha(x; m)$ in (2.1). Then $W_{\alpha(x;m)}$ is n -hyponormal if and only if*

$$0 < x \leq H_1(m, n) := \frac{m-1}{m} \left(1 - \binom{m+n-1}{n}^{-2} \right)^{-1}.$$

Remark. $H_1(m, n) \leq \frac{m}{m+1}$, for any $n \in \mathbb{N}$. In fact, let $X := \binom{m+n-1}{n}$, then

$$\begin{aligned} \frac{m}{m+1} - H_1(m, n) &= \frac{m}{m+1} - \frac{m-1}{m} \left(\frac{X^2}{X^2-1} \right) \\ &= \frac{(X-m)(X+m)}{m(X-1)(X+1)(m+1)}, \end{aligned}$$

and since $m \geq 2$, we can show that:

- (1) $X = \frac{(m+n-1)!}{n!(m-1)!} \geq m$, by mathematical induction on n ,
- (2) $X \geq n + 1$.

Thus we know $\frac{m}{m+1} \geq H_1(m, n)$, and $\lim_{n \rightarrow \infty} X = +\infty$. Therefore, we obtain $\lim_{n \rightarrow \infty} H_1(m, n) = \frac{m-1}{m}$.

Proposition 2.2. *Let $W_{\alpha(x;m)}$ be a weighted shift with weight $\alpha(x;m)$ in (2.1). Then $W_{\alpha(x;m)}$ is subnormal if and only if $0 < x \leq \frac{m-1}{m}$.*

We give the following computational values.

	$n = 2$	$n = 3$	$n = 4$	$n = 5$	$n = 6$	$n = 7$	\dots	$n = \infty$
$m = 2$	$\frac{9}{16}$	$\frac{8}{15}$	$\frac{25}{48}$	$\frac{18}{35}$	$\frac{49}{96}$	$\frac{32}{63} \approx 0.508$	\rightarrow	$\frac{1}{2}$
$m = 3$	$\frac{24}{35}$	$\frac{200}{297}$	$\frac{75}{112}$	$\frac{147}{220}$	$\frac{1568}{2349}$	$\frac{864}{1295} \approx 0.667$	\rightarrow	$\frac{2}{3}$
$m = 4$	$\frac{25}{33}$	$\frac{100}{133}$	$\frac{1225}{1632}$	$\frac{784}{1045}$	$\frac{5292}{7055}$	$\frac{10800}{14399} \approx 0.750$	\rightarrow	$\frac{3}{4}$
$m = 5$	$\frac{45}{56}$	$\frac{245}{306}$	$\frac{3920}{4899}$	$\frac{63504}{79375}$	$\frac{35280}{44099}$	$\frac{87120}{108899} \approx 0.800$	\rightarrow	$\frac{4}{5}$
$m = 6$	$\frac{147}{176}$	$\frac{1568}{1881}$	$\frac{2646}{3175}$	$\frac{52920}{63503}$	$\frac{177870}{213443}$	$\frac{522720}{627263} \approx 0.833$	\rightarrow	$\frac{5}{6}$
$m = 7$	$\frac{224}{261}$	$\frac{6048}{7055}$	$\frac{37800}{44099}$	$\frac{182952}{213443}$	$\frac{731808}{853775}$	$\frac{17667936}{20612585} \approx 0.857$	\rightarrow	$\frac{6}{7}$
$m = 8$	$\frac{162}{185}$	$\frac{1800}{2057}$	$\frac{27225}{31114}$	$\frac{78408}{89609}$	$\frac{368082}{420665}$	$\frac{10306296}{11778623} \approx 0.875$	\rightarrow	$\frac{7}{8}$
$m = 9$	$\frac{225}{253}$	$\frac{3025}{3403}$	$\frac{27225}{30628}$	$\frac{184041}{207046}$	$\frac{1002001}{1127251}$	$\frac{4601025}{5176153} \approx 0.889$	\rightarrow	$\frac{8}{9}$
$m = 10$	$\frac{605}{672}$	$\frac{14520}{16133}$	$\frac{306735}{340816}$	$\frac{6012006}{6680005}$	$\frac{5010005}{5566672}$	$\frac{13087360}{14541511} \approx 0.900$	\rightarrow	$\frac{9}{10}$

From the table, we can obtain the k -hyponormalities easily, for example, $W_{\alpha(x;5)}$ is 4-hyponormal if and only if $0 < x \leq \frac{3920}{4899}$, where $\alpha(x;5) : \sqrt{x}, \sqrt{\frac{5}{6}}, \sqrt{\frac{6}{7}}, \dots$

2.2. Two-step backward extensions. Now we discuss the two-step backward extensions of weighted shift. For m be a positive and we consider a weight sequence as follows:

$$\alpha(x, y; m) : \sqrt{y}, \sqrt{x}, \sqrt{\frac{m}{m+1}}, \sqrt{\frac{m+1}{m+2}}, \dots, (m \geq 3). \tag{2.2}$$

Theorem 2.3. *Let $W_{\alpha(x,y;m)}$ be a weighted shift with weight $\alpha(x,y;m)$ in (2.2). Then $W_{\alpha(x,y;m)}$ is n -hyponormal if and only if*

- (i) $0 < x \leq \frac{m-1}{m} \left(1 - \binom{m+n-1}{n}^{-2} \right)^{-1}$,
- (ii) $0 < y \leq \min \left\{ \frac{x}{A_2 x^2 + A_1 x + A_0}, x \right\}$, where

$$\begin{aligned}
 A_2 &= \frac{m}{m-2} - \frac{2mn(m+n-1)}{(m-1)^2} + \frac{m^2}{(m-1)^2} \binom{m+n-1}{m}^2 - \frac{m}{m-2} \binom{m+n-2}{n}^{-2}, \\
 A_1 &= \frac{2}{1-m} \left(m \binom{m+n-1}{m}^2 - n(m+n-1) \right), A_0 = \binom{m+n-1}{m}^2.
 \end{aligned}
 \tag{2.3}$$

Proof. Let $\alpha(x, y; m)$ be given in (2.2). Then the moments of W_α are as follows:

$$\gamma_0 = 1, \text{ and } \gamma_k = \frac{m}{m+k} \text{ (} k \geq 1 \text{)}.$$

From Lemma 1.1, we know that $W_{\alpha(x,y;m)}$ is n -hyponormal if and only if two Hankel matrices $M_{n+1}(2, 0)$ and $M_{n+1}(2, 1)$ are positive. First we consider the positivity of matrix $M_{n+1}(2, 1)$, where

$$M_{n+1}(2, 1) = \begin{bmatrix} \frac{1}{x} & 1 & \frac{m}{m+1} & \cdots & \frac{m}{m+n-1} \\ 1 & \frac{m}{m+1} & \frac{m}{m+2} & \cdots & \frac{m}{m+n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \frac{m}{m+n-1} & \frac{m}{m+n} & \frac{m}{m+n+1} & \cdots & \frac{m}{m+2n-1} \end{bmatrix}.$$

Since

$$\det M_{n+1}(2, 1) = m^{n+1} \left(\left(\frac{1}{mx} - \frac{1}{m-1} \right) \Delta_{m+1,n}^{(1)} + \Delta_{m-1,n+1}^{(1)} \right),$$

and by Lemma 1.3, we have $\det M_{n+1}(2, 1) \geq 0$ if and only if

$$0 < x \leq \frac{(m-1) \Delta_{m+1,n}^{(1)}}{m \left(\Delta_{m+1,n}^{(1)} - (m-1) \Delta_{m-1,n+1}^{(1)} \right)} = \frac{m-1}{m} \left(1 - \binom{m+n-1}{n}^{-2} \right)^{-1}.$$

Next we consider the positivity of matrix $M_{n+1}(2, 0)$, where

$$M_{n+1}(2, 0) = \begin{bmatrix} \frac{1}{xy} & \frac{1}{x} & 1 & \cdots & \frac{m}{m+n-2} \\ \frac{1}{x} & 1 & \frac{m}{m+1} & \cdots & \frac{m}{m+n-1} \\ 1 & \frac{m}{m+1} & \frac{m}{m+2} & \cdots & \frac{m}{m+n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \frac{m}{m+n-2} & \frac{m}{m+n-1} & \frac{m}{m+n} & \cdots & \frac{m}{m+2n-2} \end{bmatrix}.$$

Since

$$\frac{\det M_{n+1}(2, 0)}{m^{n+1}} = \left(\frac{1}{mxy} - \frac{1}{m-2} \right) \Delta_{m,n}^{(1)} - \left(\frac{1}{mx} - \frac{1}{m-1} \right)^2 \Delta_{m+2,n-1}^{(1)}$$

$$-2 \left(\frac{1}{mx} - \frac{1}{m-1} \right) \Delta_{m-1,n}^{(2)} + \Delta_{m-2,n+1}^{(1)},$$

and by Lemma 1.3, we have $\det M_{n+1}(2, 0) \geq 0$ if and only if $0 < y \leq \frac{x}{A_2x^2 + A_1x + A_0}$, where A_0, A_1 and A_2 are as in (2.3). The proof is complete. \square

By Theorem 2.3, we can obtain the following results.

Corollary 2.4 ([5, Theorem 3.6]). *Let $\alpha(x, y; 3) : \sqrt{y}, \sqrt{x}, \sqrt{\frac{3}{4}}, \sqrt{\frac{4}{5}}, \dots$ be a weighted shift. Then $W_{\alpha(x,y;3)}$ is n -hyponormal if and only if*

- (1) $0 < x \leq \frac{2}{3} \frac{(n+1)^2(n+2)^2}{n(n+3)(n^2+3n+4)}$,
- (2) $0 < y \leq \min \left\{ \frac{x}{A_2x^2 + A_1x + A_0}, x \right\}$, where

$$\begin{aligned} A_0 &= \frac{1}{36} n^2 (n+1)^2 (n+2)^2, \\ A_1 &= -\frac{1}{12} n (n-1) (n+2) (n+3) (n^2 + 2n + 4), \\ A_2 &= \frac{n (n+2) (n-1) (n+3) (n^4 + 4n^3 + 9n^2 + 10n - 8)}{16 (n+1)^2}. \end{aligned}$$

Corollary 2.5. *Let $\alpha(x, y; 4) : \sqrt{y}, \sqrt{x}, \sqrt{\frac{4}{5}}, \sqrt{\frac{5}{6}}, \dots$ be a weighted shift. Then $W_{\alpha(x,y;4)}$ is n -hyponormal if and only if*

- (1) $0 < x \leq \frac{3}{4} \frac{(n+1)^2(n+2)^2(n+3)^2}{n(n+4)(n^2+2n+3)(n^2+6n+11)}$,
- (2) $0 < y \leq \min \left\{ \frac{x}{A_2x^2 + A_1x + A_0}, x \right\}$, where

$$\begin{aligned} A_0 &= \frac{1}{576} n^2 (n+1)^2 (n+2)^2 (n+3)^2, \\ A_1 &= -\frac{1}{216} n (n-1) (n+3) (n+4) (n^4 + 6n^3 + 17n^2 + 24n + 36), \\ A_2 &= \frac{n (n-1) (n+4) (n+3)}{324 (n+2)^2 (n+1)^2} \\ &\quad \times (n^8 + 12n^7 + 66n^6 + 216n^5 + 477n^4 + 756n^3 + 680n^2 + 96n - 360). \end{aligned}$$

2.3. Three-step backward extensions. Next we discuss the three-step backward extensions of weighted shift. For m be a positive and we consider a weight sequence as follows:

$$\alpha(x, y, z; m) : \sqrt{z}, \sqrt{y}, \sqrt{x}, \sqrt{\frac{m}{m+1}}, \sqrt{\frac{m+1}{m+2}}, \dots, (m \geq 4). \tag{2.4}$$

Theorem 2.6. *Let $0 < z \leq y \leq x$ and $W_{\alpha(x,y,z;m)}$ be a weighted shift with weight $\alpha(x, y, z; m)$ in (2.4). Then $W_{\alpha(x,y,z;m)}$ is n -hyponormal if and only if*

- (i) $0 < x \leq \frac{m-1}{m} \left(1 - \binom{m+n-1}{n}^{-2} \right)^{-1}$,
- (ii) $0 < y \leq \min \left\{ \frac{x}{A_2 x^2 + A_1 x + A_0}, x \right\}$, where A_0, A_1 and A_2 are as in (2.3),
- (iii) $0 < z \leq \min \left\{ \frac{9m^3(m-1)^2(m+1)(m-2)^2(m-3)(n+1)^2 xy(B_1 x - B_0)}{(C_6 x^3 + C_5 x^2 + C_4 x + C_3)y^2 + C_2 x^2 y + C_1 xy + C_0 x}, y \right\}$, where

$$\begin{aligned}
 B_0 &= m-1, \quad B_1 = m \left(1 - \frac{(n-1)^2 \Omega^2}{(m+n-2)^2} \right), \\
 C_0 &= -9m^3(m-1)^3(m-2)^2(m-3)(m+1)(n+1)^2, \\
 C_1 &= 18m^2(m-1)^3(m-2)^2(m-3)(m+1)(n-1)(m+n-1)(n+1)^2, \\
 C_2 &= -18m^4(m-1)^2(m-2)(m-3)(m+1)(n+1)^2 \\
 &\quad \times \left(\frac{(m-2)n^2 + (m-2)^2 n - 2(m-1)^2}{m} + \frac{n(n-1)^2 \Omega^2}{(m+n-2)} \right), \\
 C_3 &= -9(m-1)^3(m-2)^2(m-3)(n+1)^2(m+n-1)^2(m+n-2)^2 \Omega^{-2}, \\
 C_4 &= -9m(m-1)^2(m-2)^2(m-3)(n+1)^2(m+n-1)^2(m+n-2)^2 \\
 &\quad \times \left(\frac{m(n-1)((2m+1)n^2 + (2m+1)(m-2)n - m(m-1))}{(m+n-1)(m+n-2)^2} - 3\Omega^{-2} \right),
 \end{aligned}$$

and

$$\begin{aligned}
 C_5 &= 9m^2(m-1)(m-2)(n+1)^2(m+n-1)^2(m+n-2)^2 \\
 &\quad \times (c_{51}\Omega^2 - 3(m-2)(m-3)\Omega^{-2} + c_{52}), \\
 C_6 &= 9m^2(n+1)^2(m+n-1)^2(m+n-2)^2 \\
 &\quad \times \left(\frac{(c_{61} - c_{62}\Omega^2 + c_{63}\Omega^4)}{(m+n-1)^2(m+n-2)^4} + m(m-2)^2(m-3)\Omega^{-2} \right),
 \end{aligned}$$

with

$$\begin{aligned}
 c_{51} &= \frac{m^2 n (m+1) (n-1)^2 ((2m-5)n^2 + (m-2)(2m-5)n - (m-1)(m-3))}{(m+n-1)^2 (m+n-2)^3}, \\
 c_{52} &= \frac{m (q_1(m)n^4 + q_2(m)n^3 + q_3(m)n^2 - q_4(m)n + q_5(m))}{(m+n-2)^2 (m+n-1)^2},
 \end{aligned}$$

$$\begin{aligned}
 q_1(m) &= 2(m-3)(2m+1)(m-2), \\
 q_2(m) &= 4(2m+1)(m-3)(m-2)^2, \\
 q_3(m) &= 2(m-3)(2m^4 - 15m^3 + 27m^2 - 6m - 11), \\
 q_4(m) &= 2(m-1)(m-2)(m-3)(4m^2 - 5m - 3),
 \end{aligned}$$

$$q_5(m) = 3(m^3 - 5m^2 + 4m + 2)(m - 1)^2,$$

$$\begin{aligned} c_{61} &= -m^2(m + n - 2)^2(p_1(m)n^4 + p_2(m)n^3 + p_3(m)n^2 - p_4(m)n + p_5(m)), \\ c_{62} &= m^3(m + 1)(n - 1)^2(p_6(m)n^4 + p_7(m)n^3 + p_8(m)n^2 - p_9(m)n + p_{10}(m)), \\ c_{63} &= m^3n^2(m + 1)(n - 1)^4, \end{aligned}$$

$$\begin{aligned} p_1(m) &= (2m + 1)(m - 3)(m - 2)^2, \\ p_2(m) &= 2(2m + 1)(m - 3)(m - 2)^3, \\ p_3(m) &= (m - 2)(m - 3)(2m^4 - 16m^3 + 28m^2 - 5m - 12), \\ p_4(m) &= (m - 1)(m - 3)(5m^2 - 5m - 4)(m - 2)^2, \\ p_5(m) &= (3m^4 - 17m^3 + 25m^2 - 3m - 12)(m - 1)^2, \\ p_6(m) &= (m - 2)(2m - 5), \\ p_7(m) &= 2(2m - 5)(m - 2)^2, \\ p_8(m) &= (m - 4)(2m^3 - 12m^2 + 22m - 13), \\ p_9(m) &= (m - 1)(m - 2)(m - 3)(3m - 4), \\ p_{10}(m) &= (m - 1)^2(m - 2)^2. \end{aligned}$$

Proof. Let $\alpha(x, y, z; m)$ be given in (2.4). Then the moments of W_α are as follows:

$$\gamma_0 = 1, \text{ and } \gamma_k = \frac{m}{m + k} \ (k \geq 1).$$

Also from Lemma 1.1 we know that $W_{\alpha(x,y,z;m)}$ is n -hyponormal if and only if three Hankel matrices $M_{n+1}(3, 0)$, $M_{n+1}(3, 1)$ and $M_{n+1}(3, 2)$ are positive. We have discussed the positivity of matrices $M_{n+1}(3, 1)$ ($= M_{n+1}(2, 0)$) and $M_{n+1}(3, 2)$ ($= M_{n+1}(2, 1)$) in Theorem 2.3. Therefore we just need to consider the positivity of matrix $M_{n+1}(3, 0)$.

Since $\det M_{n+1}(3, 0) = m^{n+1}\Lambda_{n+1}(x, y, z; m)$, with

$$\begin{aligned} \Lambda_{n+1}(x, y, z; m) &= \begin{vmatrix} \frac{1}{mxyz} & \frac{1}{mxy} & \frac{1}{mx} & \cdots & \frac{1}{m+n-3} \\ \frac{1}{mxy} & \frac{1}{mx} & \frac{1}{m} & \cdots & \frac{1}{m+n-2} \\ \frac{1}{mx} & \frac{1}{m} & \frac{1}{m+1} & \cdots & \frac{1}{m+n-1} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ \frac{1}{m+n-3} & \frac{1}{m+n-2} & \frac{1}{m+n-1} & \cdots & \frac{1}{m+2n-3} \end{vmatrix} \\ &= \left(\frac{1}{mxyz} - \frac{1}{m-3}\right) \left(\left(\frac{1}{mx} - \frac{1}{m-1}\right) \Delta_1 + \Delta_2\right) \\ &\quad + 2\left(\frac{1}{mxy} - \frac{1}{m-2}\right) \left(\left(\frac{1}{mx} - \frac{1}{m-1}\right) \Delta_3 - \Delta_4\right) \end{aligned}$$

$$\begin{aligned}
 & - \left(\frac{1}{mx} - \frac{1}{m-1} \right)^3 \Delta_5 - \left(\frac{1}{mxy} - \frac{1}{m-2} \right)^2 \Delta_1 \\
 & - \left(\frac{1}{mx} - \frac{1}{m-1} \right)^2 (\Delta_6 + 2\Delta_7) \\
 & + \left(\frac{1}{mx} - \frac{1}{m-1} \right) (2\Delta_8 + \Delta_9) + \Delta_{10},
 \end{aligned}$$

where

$$\begin{aligned}
 \Delta_1 &= \Delta_{m+1,n-1}^{(1)}, & \Delta_2 &= \Delta_{m-1,n}^{(1)}, & \Delta_3 &= \Delta_{m,n-1}^{(2)}, \\
 \Delta_4 &= \Delta_{m-2,n}^{(2)}, & \Delta_5 &= \Delta_{m+3,n-2}^{(1)}, & \Delta_6 &= \Delta_{m-1,n-1}^{(3)}, \\
 \Delta_7 &= \Delta_{m-1,n-1}^{(4)}, & \Delta_8 &= \Delta_{m-2,n}^{(5)}, & \Delta_9 &= \Delta_{m-3,n}^{(3)}, & \Delta_{10} &= \Delta_{m-3,n+1}^{(1)},
 \end{aligned}$$

and by Lemma 1.2, Lemma 1.3 and Lemma 1.4, we obtain $\det M_{n+1}(3, 0) \geq 0$ if and only if

$$0 < z \leq \frac{9m^3(m-1)^2(m+1)(m-2)^2(m-3)(n+1)^2xy(B_1x - B_0)}{(C_6x^3 + C_5x^2 + C_4x + C_3)y^2 + C_2x^2y + C_1xy + C_0x},$$

where B_0, B_1 and C_i ($i = 0, 1, 2, \dots, 6$) are described in (iii). The proof is complete. □

The authors in [12] obtained the following results, which is the case of $m = 4$ in Theorem 2.6.

Corollary 2.7([12, Theorem 3.6]). *Let $0 < z \leq y \leq x$ and*

$$\alpha(x, y, z) : \sqrt{z}, \sqrt{y}, \sqrt{x}, \sqrt{\frac{4}{5}}, \sqrt{\frac{5}{6}}, \dots$$

Then $W_{\alpha(x,y,z)}$ is n -hyponormal if and only if

- (i) $0 < x \leq \frac{3}{4} \frac{(n+1)^2(n+2)^2(n+3)^2}{n(n+4)(n^2+2n+3)(n^2+6n+11)}$,
- (ii) $0 < y \leq \min \left\{ \frac{x}{A_2x^2 + A_1x + A_0}, x \right\}$, where

$$\begin{aligned}
 A_0 &= \frac{1}{576} n^2 (n+1)^2 (n+2)^2 (n+3)^2, \\
 A_1 &= -\frac{1}{216} n (n-1) (n+3) (n+4) r_1(n), \\
 A_2 &= \frac{n(n-1)(n+4)(n+3)}{324(n+2)^2(n+1)^2} r_2(n),
 \end{aligned}$$

with

$$\begin{aligned}
 r_1(n) &= n^4 + 6n^3 + 17n^2 + 24n + 36, \\
 r_2(n) &= n^8 + 12n^7 + 66n^6 + 216n^5 + 477n^4 + 756n^3 + 680n^2 + 96n - 360,
 \end{aligned}$$

- (iii) $0 < z \leq \min \left\{ \frac{103680(n+1)^2xy(B_1x - B_0)}{(C_6x^3 + C_5x^2 + C_4x + C_3)y^2 + C_2x^2y + C_1xy + C_0x}, y \right\}$, where

$$\begin{aligned}
B_0 &= 3, \quad B_1 = \frac{4(n-1)(n+3)(n^2+2)(n^2+4n+6)}{n^2(n+2)^2(n+1)^2}, \\
C_0 &= -311\,040(n+1)^2, \quad C_1 = 155\,520(n-1)(n+1)^2(n+3), \\
C_2 &= -\frac{207\,360(n-1)(n-2)(n+3)(n+4)(n^2+2n+3)}{n(n+2)}, \\
C_3 &= -27n^2(n-1)^2(n+1)^4(n+2)^2(n+3)^2, \\
C_4 &= 108(n-1)(n-2)(n+1)^2(n+3)(n+4)r_3(n), \\
C_5 &= -\frac{144(n-1)(n-2)(n+3)(n+4)}{n(n+2)}r_4(n), \\
C_6 &= \frac{64(n-2)(n-1)^2(n+3)^2(n+4)}{n(n+1)^2(n+2)}r_5(n),
\end{aligned}$$

with

$$\begin{aligned}
r_3(n) &= n^6 + 6n^5 + 18n^4 + 32n^3 + 69n^2 + 90n - 72, \\
r_4(n) &= n^{10} + 10n^9 + 47n^8 + 136n^7 + 299n^6 + 562n^5 \\
&\quad + 265n^4 - 1428n^3 - 3060n^2 - 2448n + 2160, \\
r_5(n) &= n^{10} + 10n^9 + 51n^8 + 168n^7 + 435n^6 + 930n^5 \\
&\quad + 701n^4 - 1540n^3 - 5076n^2 - 5616n + 4320.
\end{aligned}$$

Remark. (1) In Theorem 2.1, we assume $m \geq 2$, the authors in [5, Theorem 3.2] obtained a result for $m = 1$. That is, let $\alpha(x; 1) : \sqrt{x}, \sqrt{\frac{1}{2}}, \sqrt{\frac{2}{3}}, \dots$, then $W_{\alpha(x;1)}$ is n -hyponormal if and only if $0 < x \leq \frac{1}{2(1+\frac{1}{2}+\frac{1}{3}+\dots+\frac{1}{n})}$.

(2) In Theorem 2.3, we assume $m \geq 3$, and in Theorem 2.6, we assume $m \geq 4$. We can obtain similar results $m = 1, 2$ for two-step backward extensions, and $m = 1, 2, 3$ for three-step backward extensions. We leave them to interested readers.

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