# MODULAR JORDAN TYPE FOR $\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ FOR $m=3,4$ 

Jung Pil Park and Yong-Su Shin ${ }^{\dagger}$


#### Abstract

A sufficient condition for an Artinian complete intersection quotient $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$, where $\mathbb{k}$ is an algebraically closed field of a prime characteristic, to have the strong Lefschetz property (SLP) was proved by S. B. Glasby, C. E. Praezer, and B. Xia in [3]. In contrast, we find a necessary and sufficient condition on $m$, $n$ satisfying $3 \leq m \leq n$ and $p>2 m-3$ for $S$ to fail to have the SLP. Moreover we find the Jordan types for $S$ failing to have SLP for $m \leq n$ and $m=3,4$.


## 1. Introduction

Let $R=\mathbb{k}\left[x_{1}, \ldots, x_{r}\right]=\bigoplus_{i \geq 0} R_{i}$ be an $r$-variable polynomial ring over an algebraically closed field $\mathbb{k}$ of any characteristic, and let $A:=R / I$, where $I$ is a homogeneous ideal of $R$. The Hilbert function of $A, \mathrm{H}_{A}: \mathbb{N} \rightarrow \mathbb{N}$, is defined by

$$
\mathbf{H}_{A}(t):=\operatorname{dim}_{\mathbb{k}} R_{t}-\operatorname{dim}_{\mathbb{k}} I_{t}
$$

for $t \geq 0$. If $I$ is a homogeneous ideal with $\sqrt{I}=\left(x_{1}, \ldots, x_{r}\right)$, and $c+1$ is the least positive integer such that $\left(x_{1}, \ldots, x_{r}\right)^{c+1} \subseteq I$, then

$$
A=\mathbb{k} \oplus A_{1} \oplus \cdots \oplus A_{c} \quad \text { where } \quad A_{c} \neq 0
$$

In this case, we call $c$ the socle degree of $A$. For the Artinian graded ring $A$, the Hilbert function of $A$ can be expressed as a vector

$$
\left(h_{0}, h_{1}, \ldots, h_{c}\right):=\left(\mathbf{H}_{A}(0), \mathbf{H}_{A}(1), \ldots, \mathbf{H}_{A}(c)\right) .
$$

The Hilbert function $\left(h_{0}, h_{1}, \ldots, h_{c}\right)$ of $A$ is unimodal if the vector $\left(h_{0}, h_{1}, \ldots, h_{c}\right)$ has only one local maximum, i.e.,

$$
h_{0} \leq h_{1} \leq \cdots \leq h_{t}=\cdots=h_{s} \geq h_{s+1} \geq \cdots \geq h_{c}
$$

We say that the vector $\left(h_{0}, h_{1}, \ldots, h_{c}\right)$ is symmetric if

$$
h_{i}=h_{c-i} \quad \text { for } \quad i=0,1, \ldots,\left\lfloor\frac{c}{2}\right\rfloor .
$$

Received November 4, 2018; Revised June 20, 2019; Accepted July 25, 2019.
2010 Mathematics Subject Classification. Primary 13A02; Secondary 16W50.
Key words and phrases. Jordan types, strong Lefschetz property, weak Lefschetz property, Hilbert function.
${ }^{\dagger}$ This paper was supported by the Basic Science Research Program of the NRF (Korea) under the grant No. NRF-2019R1F1A1056934.

Let $\ell$ be a general enough linear form. We say that $A$ has the weak Lefschetz property (WLP) if the homomorphism induced by multiplication by $\ell$,

$$
\times \ell: A_{i} \rightarrow A_{i+1}
$$

has maximal rank for all $i$ (i.e., it is injective or surjective for each $i$ ). We say that $A$ has the strong Lefschetz property (SLP) if

$$
\times \ell^{d}: A_{i} \rightarrow A_{i+d}
$$

has maximal rank for all $i$ and $d$ (i.e., it is injective or surjective for each $i$ and $d)$. In this case, we call a linear form $\ell$ the strong Lefschetz element of $A$.

There is a way to characterize if an Artinian ring has the WLP or SLP based on Jordan type (see [5,11]). Here the Jordan type $J_{\ell, M}$ of $\ell \in \mathfrak{m}$ acting on an $A$-module $M$ is the partition, $\lambda=\left(\lambda_{1}, \ldots, \lambda_{t}\right)$ with $\lambda_{1} \geq \cdots \geq \lambda_{t}$, giving the Jordan blocks of the multiplication map $\times \ell: M \rightarrow M$ ([9]). In particular, the generic Jordan type of $A$ is the Jordan type of $A$ for a general enough linear form $\ell$. We introduce an important tool to verify if an Artinian ring has the WLP or SLP.

Lemma 1.1 ([5, Remark 3.63 and Proposition 3.64]). Assume that the Artininan algebra $A$ is standard-graded ( $A$ is generated by $A_{1}$ ) and that $\mathrm{H}_{A}$ is unimodal. Then
(1) The pair $(A, \ell)$ has the weak Lefschetz property if and only if the number of parts of the Jordan type $J_{\ell, A}=\max _{i}\left\{\mathrm{H}_{A}(i)\right\}$. (The Sperner number of $A$ );
(2) $\ell$ is a strong Lefschetz element of $A$ if and only if $J_{\ell, A}=\mathrm{H}_{A}^{\vee}$, where $\mathrm{H}_{S}^{\vee}$ is the conjugate of $\mathrm{H}_{S}$ (exchange rows and columns in the Ferrers diagram of $\mathrm{H}_{S}$ ).

Let $S:=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$. When $m \leq n, \mathrm{H}_{S}=\left(1,2, \ldots, m-1, m, \ldots, m_{n-1}\right.$, $m-1, \ldots, 2,1)$. In characteristic 0 , the Jordan type $J_{\ell, S}=\left(\lambda_{1}, \ldots, \lambda_{m}\right)$ was shown to be the standard partition, i.e.,

$$
\begin{equation*}
J_{\ell, S}=(m+n-1, \ldots, m+n-2 i+1, \ldots, n-m+1) \tag{1.1}
\end{equation*}
$$

in 1934 by A. C. Aitken [1], in 1934 by W. E. Roth [16], and in 1936 by D. E. Littlewood [12], independently. When the characteristic of $\mathbb{k}$ is a prime $p$, the resulting formulas for $J_{\ell, S}$ were studied in 1954 by D. G. Higman [7], then in 1962 by J. A. Green [4], and in 1964 by B. Srinivasan [17]. In particular, B. Srinivasan proved that the Jordan type $J_{\ell, S}=\left(\lambda_{1}, \ldots, \lambda_{m}\right)$ is the standard partition if the characteristic of $\mathbb{k}$ is $p>m+n-2$, and J. A. Green discussed the representation ring over $\mathbb{Z}_{p}$. The paper [17] seems to be the first paper emphasizing the characteristic $p$ results in the present formulation related to the Clebsch-Gordan formula.

The WLP and SLP are strongly connected to many topics in algebraic geometry, commutative algebra, combinatorics, and representation theory. In 1980, R. Stanley showed in [18] using a topological method - the hard Lefschetz
property - that if $\mathbb{k}$ is a field of characteristic 0 or greater than the socle degree of $A:=\mathbb{k}\left[x_{1}, \ldots, x_{r}\right] /\left(x_{1}^{a_{1}}, \ldots, x_{r}^{a_{r}}\right)$, then the Artinian complete intersection quotient $A$ has the SLP. In 1987, J. Watanabe proved this again using the language 'representation theory' [19]. In [13], S. Lundqvist and L. Nicklasson find a necessary and sufficient condition of the SLP when the number of variables is $\geq 3$. In 2013 J . Miglilore and U. Nagel surveyed recent works about Lefschetz properties [14]. Also in 2013, the book [5] by J. Watanabe et al. provided a comprehensive exploration of the Lefschetz properties from a different perspective, focusing on representation theory and combinatorial connections as well as commutative algebra methods. In 2018, A. Iarrobino, P. Marques, and C. McDaniel [9] explored a general invariant of an Artinian Gorenstein algebra $A$, or $A$-module $M$, which is the set of Jordan types of elements of the maximal ideal $\mathfrak{m}$ of $A$.

The generic Jordan type of a graded Artinian algebra $A$ is that determined by a general enough element $\ell$ of $A_{1}$. For $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ we may take $\ell=x+y$, so the Jordan type of $S$ is the partition of $m n$ giving the Jordan block decomposition of the multiplication by $\ell$; this depends on the characteristic of $\mathbb{k}$.

When the characteristic of $\mathbb{k}$ is 0 or greater than or equal to $m+n$, the partitions are the Clebsch-Gordan formulas of invariant theory [8], which have many applications in physics and have been rediscovered or surveyed frequently ( $[1,17]$, see also [6, Theorem 3.9] on Lefschetz properties of Artin algebras). The significance in representation theory is that each factor $\mathbb{k}[x] /\left(x^{m}\right)$ and $\mathbb{k}[x] /\left(x^{n}\right)$ is an irreducible representation of the Lie algebra $\mathfrak{s l}_{2}$, and that the Clebsch-Gordan formula (equation (1.1) above) of invariant theory [8] gives the decomposition of the tensor product into irreducible representations ([5, Section 3]).

The papers S. B. Glasby et al. [3] and K. I. Iima et al. [10] have obtained a very nice result in the direction of recursion formulas for the Jordan type $J_{\ell, S}$ in $(m, n)$ for a fixed prime $p$. There are approaches to this problem from different directions and the S. B Glasby et al. paper [3], and briefly in Section 3.2 of A. Iarrobino et al. [9] include some survey of the previous characteristic $p$ Clebsch-Gordan results. Moreover, S. B. Glasby et al. proved that if $m \leq n$, and $n \not \equiv 0, \pm 1, \ldots, \pm(m-2)(\bmod p)$, then the Jordan type $J_{\ell, S}=\left(\lambda_{1}, \ldots, \lambda_{m}\right)$ of $m n$, where $\lambda_{1} \geq \cdots \geq \lambda_{m}$ is the standard partition of equation (1.1), whose $i$-th part is $\lambda_{i}=m+n-2 i+1$ for $1 \leq i \leq m$. By Lemma 1.1, this is equivalent to $S$ having the SLP for such $m$ and $n$.

Recall that $S:=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)=\mathbb{k}[x] /\left(x^{m}\right) \otimes \mathbb{k}[y] /\left(y^{n}\right)$ for $m \leq n$, where $\mathbb{k}$ is an algebraically closed field of positive characteristic $p$. In this paper, we explore not only the Lefschetz property but also the Jordan type for $S$. We also study modular representations of finite cyclic $p$ groups. Given two indecomposable modules $V(m-1)$ and $V(n-1)$ of a cyclic group order $p^{s}$, the Krull-Schmidt theorem implies that $V(m-1) \otimes V(n-1)$ is a sum of $m$ indecomposable modules $V\left(\lambda_{1}-1\right) \oplus \cdots \oplus V\left(\lambda_{m}-1\right)$. This is shown in [3, Lemma

9] and implies by Lemma 1.1 that $S$ has (always) the WLP. Then there are forms $f_{1}, f_{2}, \ldots, f_{m}$ such that $\operatorname{deg} f_{i}=i-1$ for $0 \leq i \leq m-1$, and

$$
f_{i} \mapsto f_{i} \ell \mapsto \cdots \mapsto f_{i} \ell^{\lambda_{i}-1}
$$

is a string of length $\lambda_{i}$. In other words, the ring $S$ can be decomposed into irreducible $\mathfrak{s l}_{2}$-modules as

$$
S:=V\left(\lambda_{1}-1\right) \oplus \cdots \oplus V\left(\lambda_{m}-1\right)
$$

Suppose that either $3 \leq m \leq n$ and $p>2 m-3$ or $3 \leq m<n$ and $p \geq 2 m-3$. In this paper, we show that if $n \equiv 0, \pm 1, \ldots, \pm(m-2)(\bmod p)$, then the Jordan type for $S$ is not the standard partition, i.e., $S$ fails to have the SLP for such $m$ and $n$ (see Theorem 2.5). This result has an important role to find the Jordan type for $S$ with $m=3$, 4. In Section 2, we prove a necessary and sufficient condition on $m$ and $n$ that $S$ fails to have the SLP (see Corollary 2.6). In Section 3, we find other conditions that $S$ fails to have the SLP for $m \leq n$ and $m=3,4$. We also find the Jordan type for $S$ for such $m$ and $n$ in Section 4. These results in Section 4 for $m=3,4$ are the same as the works in [3], but they [3] found the Jordan type for these rings using the representation theory of algebraic group. More precisely, they used new periodicity and duality result for $J_{\ell, S}$ that depend on the smallest $p$-power exceeding $m$. In addition, in [10], K. Iima and R. Iwamatsu found a recursive formula how to find the Jordan type for $S$. But, in this paper, we give a more direct proof in Section 4 without any recursive formula in [10] or any results in [3].

We are posting some calculations in the proofs of Theorems 4.4, 4.5, and 4.6 to Arxiv to make this paper shorter (see modular jordan type-full.pdf).

Acknowledgement. This project was motivated by a discussion with Anthony Iarrobino when the second author attended the Lefschetz property workshop in Stockholm, 2017. The authors are thankful to a reviewer for their extensive and valuable comments and suggestions.

## 2. A necessary and sufficient condition that $\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ fails to have the SLP

In this section, we find a necessary and sufficient condition for $S$ to fail to have the SLP when $3 \leq m \leq n$ and $p>2 m-3$ or $3 \leq m<n$ and $p \geq 2 m-3$. In [15, Theorem 3.2], L. Nicklasson also find a necessary and sufficient condition of the SLP for $S$ using the base $p$ expansions of $m, n$.

We now recall the sufficient condition for $S$ to have the SLP from [3].
Theorem 2.1 ([3, Theorem 2]). Let $S:=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ with char $\mathbb{k}=p>0$. If $0<m \leq n$ and $n \not \equiv 0, \pm 1, \ldots, \pm(m-2)(\bmod p)$, then $S$ has the SLP.

We shall show that if $p>2 m-3$ and $n \equiv 0, \pm 1, \ldots, \pm(m-2)(\bmod p)$, then $S$ fails to have the SLP. We first need the following two lemmas.

Lemma 2.2. Suppose that $3 \leq m \leq n$ and $p$ is a prime with $p>m-1$. If $n \equiv-k(\bmod p)$ with $0 \leq k \leq m-2$, then

$$
\binom{n+m-2}{m-1} \equiv 0 \quad(\bmod p)
$$

Proof. By the assumption, $(m-1)!\not \equiv 0(\bmod p)$ and $n+m-2>m-1$. Since $n+k \equiv 0(\bmod p)$, we have

$$
\binom{n+m-2}{m-1}=\frac{(n+(m-2))(n+(m-3)) \cdots(n+k) \cdots(n+1) n}{(m-1)!} \equiv 0 \quad(\bmod p)
$$

as we wished.
Lemma 2.3. Let $p$ be a prime. Suppose that either $3 \leq m \leq n$ and $2 m-3<p$ or $3 \leq m<n$ and $2 m-3 \leq p$. If $n \equiv k(\bmod p)$ with $\bar{k}=1,2, \ldots, m-2$, then the following hold.
(a) For any $1 \leq \alpha \leq k$ and $\alpha \leq \beta \leq \min \{k, n+\alpha-k-1\}$ with $m-k-\alpha+\beta<$ $p$,

$$
\binom{n+m-2 k-1}{m-k-\alpha+\beta} \equiv 0 \quad(\bmod p)
$$

(b)

$$
\binom{n+m-2 k-1}{m-k-1} \not \equiv 0 \quad(\bmod p)
$$

Proof. First note that, with given conditions,

$$
n+m-2 k-1=(n-k)+(m-k)-1 \equiv m-k-1 \not \equiv 0 \quad(\bmod p)
$$

(a) For $1 \leq \alpha \leq k$ and $\alpha \leq \beta \leq \min \{k, n+\alpha-k-1\}$, since $m-k-\alpha+\beta<p$, we get that

$$
(m-k-\alpha+\beta)!\not \equiv 0 \quad(\bmod p)
$$

Moreover, note that

$$
\begin{aligned}
n+m-2 k-1 & =(n-k)+(m-k-1)>n-k \equiv 0 \quad(\bmod p), \quad \text { and } \\
n-k+\alpha-\beta & \leq n-k
\end{aligned}
$$

This shows that

$$
n+m-2 k-1>p>m-k-\alpha+\beta
$$

and thus

$$
\begin{aligned}
\binom{n+m-2 k-1}{m-k-\alpha+\beta} & =\frac{(n+m-2 k-1)(n+m-2 k-2) \cdots(n-k+\alpha-\beta)}{(m-k-\alpha+\beta)!} \\
& \equiv 0 \quad(\bmod p) .
\end{aligned}
$$

(b) Note that $m-k-1<p$ and

$$
n+m-2 k-1=(n-k)+(m-k-1)>m-k-1 .
$$

Since $1 \leq k \leq m-2$, for any $\gamma=0,1, \ldots, m-k-2$, we have

$$
n+m-2 k-1-\gamma=(n-k)+(m-k-1)-\gamma
$$

$$
\equiv(m-k-1)-\gamma \not \equiv 0 \quad(\bmod p) .
$$

This shows that

$$
\begin{aligned}
\binom{n+m-2 k-1}{m-k-1} & =\frac{(n+m-2 k-1)(n+m-2 k-2) \cdots(n-k+1)}{(m-k-1)!} \\
& \not \equiv 0 \quad(\bmod p) .
\end{aligned}
$$

This completes the proof.
Remark 2.4. If $m=n=3, k=1$, and $p=2 m-3=3$, then the formula of Lemma 2.3(b) is not satisfied. Indeed,

$$
\binom{n+m-2 k-1}{m-k-1}=\binom{3}{1} \equiv 0 \quad(\bmod 3)
$$

Theorem 2.5. Let $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$, where $\mathbb{k}$ is a field of a prime characteristic $p$. Suppose that either $3 \leq m \leq n$ and $p>2 m-3$ or $3 \leq m<n$ and $p \geq 2 m-3$. If $n \equiv 0, \pm 1, \ldots, \pm(m-2)(\bmod p)$, then $S$ fails to have the SLP.

Proof. First, note that since $n+m-2>n \geq m$, both of $x$ and $y$ cannot be an SLP element for $S$. Thus it is enough to show that any linear form $\ell:=x+y$ cannot be an SLP element of $S$.
(i) Suppose that $n \equiv-k(\bmod p)$ with $0 \leq k \leq m-2$. By Lemma 2.2, we have

$$
(x+y)^{n+m-2}=\binom{n+m-2}{m-1} x^{m-1} y^{n-1}=0 .
$$

Hence the first (largest) component of the Jordan type $J_{\ell, S}$ is $\leq n+m-2$, i.e., the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(\leq n+m-2, \ldots),
$$

and thus $S$ fails to have the SLP.
(ii) Now suppose that $n \equiv k(\bmod p)$ with $1 \leq k \leq m-2$. We shall show that the $(k+1)$-st component of $J_{\ell, S}$ cannot be $n+m-2 k-1$. Let

$$
P_{k}:=b_{0} x^{k}+b_{1} x^{k-1} y+\cdots+b_{k-1} x y^{k-1}+b_{k} y^{k}
$$

be a nonzero form of degree $k$ in $\mathbb{k}[x, y]$. Let $i$ be the smallest integer with $b_{i} \neq 0$, i.e., $P_{k}=b_{i} x^{k-i} y^{i}+\cdots+b_{k-1} x y^{k-1}+b_{k} y^{k}$. Since $x^{m}=0, y^{n}=0$ in $S$, we have

$$
\begin{aligned}
& P_{k} \cdot(x+y)^{n+m-2 k-1} \\
= & {\left[b_{i} x^{k-i} y^{i}+b_{i+1} x^{k-i-1} y^{i+1}+\cdots+b_{k-1} x y^{k-1}+b_{k} y^{k}\right] \cdot(x+y)^{n+m-2 k-1} } \\
= & \sum_{\alpha=1}^{k}\left(\sum_{\beta=u(\alpha)}^{v(\alpha)} b_{\beta}\binom{n+m-2 k-1}{m-\alpha-k+\beta}\right) x^{m-\alpha} y^{n+\alpha-k-1},
\end{aligned}
$$

where $u(\alpha)=\max \{i,-m+\alpha+k\}$, and $v(\alpha)=\min \{k, n+\alpha-k-1\}$. Now consider the coefficient of $x^{m-(i+1)} y^{n+i-k}$ in $P_{k} \cdot(x+y)^{n+m-2 k-1}$. Since

$$
\begin{aligned}
& u(i+1)=\max \{i,-m+(i+1)+k\}=i, \quad \text { and } \\
& v(i+1)=\min \{k, n+(i+1)-k-1\} \geq i,
\end{aligned}
$$

we get that by Lemma 2.3, the coefficient is

$$
\sum_{\beta=i}^{v(i+1)} b_{\beta}\binom{n+m-2 k-1}{m-k-(i+1)+\beta}=b_{i}\binom{n+m-2 k-1}{m-k-1} \not \equiv 0 \quad(\bmod p) .
$$

(Here, note that $m-k-(i+1)+\beta<p$ for any $i \leq \beta \leq \min \{k, n+i-k\}$.) Hence

$$
P_{k} \cdot(x+y)^{n+m-2 k-1} \neq 0
$$

This shows that for a linear form $\ell \in R$, the Jordan type $J_{\ell, S}$ cannot be of the form

$$
\left(\ldots, n+m^{(k+1) \text {-st }}-(2 k+1), \ldots\right) .
$$

Thus $S$ fails to have the SLP.
This completes the proof.
If we couple Theorem 2.5 with Theorem 2.1, we obtain the following corollary.

Corollary 2.6. Let $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ with $3 \leq m \leq n$ and $p>2 m-3$. Then a necessary and sufficient condition that $S$ fails to have the $S L P$ is $n \equiv$ $0, \pm 1, \ldots, \pm(m-2)(\bmod p)$.

## 3. Other conditions that $\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ fails to have the SLP

In Section 2, we determined when $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ fails to have SLP for $3 \leq m \leq n$ and $p>2 m-3$. In this section we consider the remaining cases when $m=3$ or $m=4$. Assume $m=3,4$ and $m \leq n$. Then we show that $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ fails the SLP as summarized in the follow table:

| Theorem | $m$ | $p$ | $S$ fails the SLP |
| :--- | :---: | :---: | :---: |
| Theorem 3.2 | 3 | 2 | $n \equiv 0, \pm 1(\bmod 4)$ |
| Proposition 3.3 | 3 | 3 | always |
| Theorem 3.4 | 3 | $p \geq 3$ | $n \equiv 0, \pm 1(\bmod p)$ |
| Theorem 3.5 | 4 | 2 | always |
| Theorem 3.6 | 4 | 3 | $n \neq \pm 4(\bmod 9)$ |
| Lemma 3.7 | 4 | 5 | $n \geq 4$ |
| Theorem 3.8 | 4 | $p \geq 7$ | $n \equiv 0, \pm 1, \pm 2(\bmod p)$ |

Remark 3.1. Recall $S:=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ with $m \leq n$. As we mentioned in the introduction, for a linear form $\ell=x+y$, the Jordan type $J_{\ell, S}$ is of the
form $\left(\lambda_{1}, \ldots, \lambda_{m}\right)$ where $\lambda_{1}+\cdots+\lambda_{m}=m n$. In this case there are forms $f_{1}, f_{2}, \ldots, f_{m}$ such that $\operatorname{deg} f_{i}=i-1$ for $0 \leq i \leq m-1$, and

$$
f_{i} \mapsto f_{i} \ell \mapsto \cdots \mapsto f_{i} \ell^{\lambda_{i}-1}
$$

is a string of length $\lambda_{i}$. In other words, the ring $S$ has the $\mathfrak{s l}_{2}$-module decomposition as follows.

$$
S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)=\bigoplus_{i=1}^{m} V\left(\lambda_{i}-1\right),
$$

where $V\left(\lambda_{i}-1\right)$ is a $\lambda_{i}$-dimensional irreducible $\mathfrak{s l}_{2}$-module for each $i$.
Recall that the Hilbert function of $S$ is

$$
\mathrm{H}_{S}(i)=\min \{i+1, m+n-1-i\} \quad \text { for } \quad i \geq 0
$$

In order for $S$ to have the SLP we need that for each $i$ satisfying $0 \leq i \leq m+n-2$ the following sets are linearly independent

$$
\left\{\begin{array}{l}
\left\{f_{1} \ell^{i}, f_{2} \ell^{i-1}, \ldots, f_{i} \ell, f_{i+1}\right\}  \tag{3.1}\\
\text { for } 0 \leq i \leq m-1 \\
\left\{f_{1} \ell^{i}, f_{2} \ell^{i-1}, \ldots, f_{m-1} \ell^{i-(m-2)}, f_{m} \ell^{i-(m-1)}\right\} \\
\text { for } m \leq i \leq n-1 \\
\left\{f_{1} \ell^{i}, f_{2} \ell^{i-1}, \ldots, f_{m+n-2-i} \ell^{2 i+3-m-n}, f_{m+n-1-i} \ell^{2 i+2-m-n}\right\} \\
\text { for } n \leq i \leq m+n-2 .
\end{array}\right.
$$

However, if $S$ fails to have the SLP, we have to find the different linearly independent sets for each degree- $i$ based on Jordan type $J_{\ell, S}=\left(\lambda_{1}, \ldots, \lambda_{m}\right)$. Fortunately, it is not hard to prove that those sets are linearly independent for $0 \leq i \leq m+n-2$. We shall omit the proof for the linear independence of the sets in general except for a few of cases (e.g., the proof of Theorem 3.6) in the rest of this paper.

## 3.1. char $\mathbb{k} \geq 2$ and $m=3$

Theorem 3.2 is known by [2], and we give a different proof based on the Jordan type argument. We also investigate Jordan type when the ring $S=$ $\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ fails to have the SLP for $n \geq 3$, i.e., it has only the WLP. Recall that if $S$ has the SLP for a Lefschetz element $\ell$, then the Jordan type $J_{\ell, S}$ for $S$ is $(n+2, n, n-2)$ (see Lemma 1.1).

Theorem 3.2 (char $\mathbb{k}=2$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ with char $\mathbb{k}=2$ and $n \geq 3$. Then $S$ has the SLP if and only if $n=2 k$, where $k$ is an odd positive integer with $k \geq 3$. In other words, $S$ fails to have the $S L P$ for $n \equiv 0, \pm 1$ $(\bmod 4)$.

Proof. By a computer calculation, one can show that for $3 \leq n \leq 5, S$ does not have the SLP.

Now consider the case ( $3, n$ ) with $n \geq 6$. Then the socle degree of $R /\left(x^{3}, y^{n}\right)$ is $n+1$. Note that we have only three kind of linear forms, namely,

$$
x, y, x+y
$$

But the strings from $x$ and $y$ are

$$
\begin{aligned}
& 1 \mapsto x \mapsto x^{2}, \quad \text { and } \\
& 1 \mapsto y \mapsto y^{2} \mapsto \cdots \mapsto y^{n-1} .
\end{aligned}
$$

These two forms do not give a string of length $(n+2)$. Furthermore, the linear form $\ell=x+y$ satisfies

$$
(x+y)^{n+1}=\binom{n+1}{2} x^{2} y^{n-1}
$$

(i) If $4 \mid n$ or $4 \mid(n+1)$, then $x+y$ cannot give a string of length $(n+2)$. Thus $R /\left(x^{3}, y^{n}\right)$ does not have the SLP.
(ii) We now assume that $4 \nmid n$ and $4 \nmid(n+1)$.

- Let $n$ be an odd. Since $4 \nmid(n+1)$, we get that $n=4 k+1$ for some $k \geq 2$. So $4 \mid(n-1)$.
$x(x+y)^{n}=x \cdot\binom{n}{1} x y^{n-1}=n x^{2} y^{n-1} \neq 0$.
$y(x+y)^{n-1}=y \cdot\binom{n-1}{2} x^{2} y^{n-3}=\frac{(n-1)(n-2)}{2} x^{2} y^{n-2}=0$.
So the Jordan type $J_{\ell, S}$ is not of the form $(-, n,-)$ with a linear form $\ell=x+y$, i.e., $R /\left(x^{3}, y^{n}\right)$ does not have the SLP.
- Let $n=2 \alpha$ with $\alpha$ is an odd, so $n=4 k+2$ for some $k \geq 1$. Hence $4 \mid(n-2)$, and so the above two forms have to be 0 . But,

$$
\begin{aligned}
x(x+y)^{n-1} & =x \cdot\binom{n-1}{1} x y^{n-2}=(n-1) x^{2} y^{n-2} \neq 0, \\
y^{2}(x+y)^{n-3} & =y^{n-1}+(n-3) x y^{n-2}+\frac{(n-3)(n-4)}{2} x^{2} y^{n-3} \neq 0
\end{aligned}
$$

In degree $(n+1)$, a single form $x^{2} y^{n-1}$ is obviously linearly independent.
Now consider two forms in degree $n$. I.e.,

$$
\begin{aligned}
(x+y)^{n} & =x^{2} y^{n-2} \\
x(x+y)^{n-1} & =x^{2} y^{n-2}+y^{n-1},
\end{aligned}
$$

which are linearly independent. We now consider three forms in degree ( $n-1$ ). I.e.,

$$
\begin{aligned}
(x+y)^{n-1} & =x^{2} y^{n-3} \\
x(x+y)^{n-2} & =x^{2} y^{n-3}+y^{n-1} \\
y^{2}(x+y)^{n-3} & =x^{2} y^{n-3}-x y^{n-2}+y^{n-1}
\end{aligned}
$$

which are linearly independent as well. So the Jordan type $J_{\ell, S}$ is of the form $(n+2, n, n-2)$ with a linear form $\ell=x+y$. Therefore, $R /\left(x^{3}, y^{n}\right)$ has the SLP.
This completes the proof.
Proposition 3.3 (char $\mathbb{k}=3$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ with char $\mathbb{k}=3$ and $n \geq 3$. Then $S$ fails to have the SLP.

Proof. Note that

$$
(x+y)^{n+1}=\binom{n+1}{2} x^{2} y^{n-1}
$$

So if $n \equiv 0,-1(\bmod 3)$, then the above equation is 0 , i.e., a linear form $\ell=x+y$ does not give a string of length $(n+2)$.

If $n \equiv 1(\bmod 3)$, then

$$
x(x+y)^{n}=n x^{2} y^{n-1}=x^{2} y^{n-1} \neq 0,
$$

and

$$
y(x+y)^{n}=0 .
$$

I.e., the Jordan type $J_{\ell, S}$ with $\ell=x+y$ cannot be of the form

$$
J_{\ell, S}=\left(\lambda_{1}, n, \lambda_{3}\right),
$$

and so $S$ fails to have the SLP, as we wished.
Theorem 3.4 (char $\mathbb{k} \geq 3$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ with char $\mathbb{k}=p \geq 3$ and $n \geq 3$. If $n \equiv 0, \pm 1(\bmod p)$, then $S$ fails to have the $S L P$. Otherwise, $S$ has the SLP. In particular, if char $\mathbb{k}=3$, then $S$ fails to have the $S L P$ for any $n \geq 3$.

Proof. It is immediate that the two linear forms $x$ and $y$ do not give a string of length of $n+2$. So it is enough to consider a linear form $\ell=x+y$.

By Proposition 3.3, this theorem holds for char $\mathbb{k}=3$. So we now suppose that char $\mathbb{k} \geq 5$.
(1) Let $n=p \alpha, p \alpha-1$ and $\alpha \geq 1$. Then $p \left\lvert\,\binom{ n+1}{2}\right.$ and $p \left\lvert\,\binom{ n+1}{3}\right.$. So

$$
(x+y)^{n+1}=0
$$

i.e., for any linear form $\ell$ in $R$ the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(<n+2, \ldots) .
$$

This implies that $S$ fails to have the SLP.
(2) Let $n=p \alpha+1$. Then $p\left|\binom{n}{2}, p\right|\binom{n}{3}, p|(n-1), p|\binom{n-1}{2}$, and $p \left\lvert\,\binom{ n-1}{3}\right.$.

Hence

$$
\begin{aligned}
x(x+y)^{n} & =x^{2} y^{n-1} \neq 0, \\
y(x+y)^{n-1} & =0 .
\end{aligned}
$$

This shows that for any linear form $L=x+b y$ with $b \in \mathbb{k}$,

$$
L(x+y)^{n} \neq 0,
$$

i.e., for a linear form $\ell=x+y \in R$, the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}\right)
$$

with $\lambda_{2}>n$. Thus $S$ fails to have the SLP.
(3) Let $n \not \equiv 0, \pm 1(\bmod p)$. By Theorem $2.1, S$ has the SLP. Hence for a linear form $\ell=x+y$, the Jordan type $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+2, n, n-2)
$$

This completes the proof.

## 3.2. char $\mathbb{k} \geq 2$ and $m=4$

Note that if $S=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ has the SLP for a Lefschetz element $\ell$, then the Jordan type $J_{\ell, S}$ for $S$ is $(n+3, n+1, n-1, n-3)$. The following theorem is known by [2, Corollary 4.8], and we introduce a new proof based on Jordan type argument for a linear form $\ell=x+y$.

Theorem 3.5 (char $\mathbb{k}=2$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ and char $\mathbb{k}=2$ and $n \geq 4$. Then $S$ fails to have the $S L P$.

Proof. Note that we have only three kind of linear forms, namely,

$$
x, y, x+y
$$

But for a linear form $x, y$, the Jordan types are

$$
\begin{aligned}
& J_{x}=(4,4, \ldots, 4):=\left[4^{n}\right], \\
& J_{y}=(n, n, n, n):=\left[n^{4}\right] .
\end{aligned}
$$

So two linear forms $x$ and $y$ are not strong Lefschetz elements. Now consider a linear form $\ell=x+y$, and note that

$$
(x+y)^{n+3}=\binom{n+3}{3} x^{3} y^{n-1} .
$$

(a) If $n \equiv \pm 1,2(\bmod 4)$, then

$$
(x+y)^{n+3}=\binom{n+3}{3} x^{3} y^{n-1}=0
$$

and so the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \ldots\right)
$$

with $\lambda_{1} \leq n+2$, and thus $S$ fails to have the SLP.
(b) We now assume that $n \equiv 0(\bmod 4)$. By a simple calculation, the Jordan type is

$$
J_{\ell, S}=(n, n, n, n)=\left[n^{4}\right] .
$$

This implies that $S$ fails to have the SLP.
This completes the proof.

Theorem 3.6 (char $\mathbb{k}=3$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=3$ and $n \geq 4$. If $n \not \equiv \pm 4(\bmod 9)$, then $S$ fails to have the $S L P$. Otherwise $S$ has the SLP.

Proof. (1) Assume $n=9 \alpha, 9 \alpha-1,9 \alpha-2$, with $\alpha \geq 1$. Note that $3 \left\lvert\,\binom{ n+2}{3}\right.$. Then

$$
(x+y)^{n+2}=\binom{n+2}{3} x^{3} \cdot y^{n-1}=0
$$

which implies that any linear form $x+y$ cannot give a string of length $(n+3)$. Thus the ring $S$ fails to have the SLP.
(2) Let $n=9 \alpha+1$ with $\alpha \geq 1$. Note that $3 \left\lvert\,\binom{ n}{2}\right.$ and $3 \left\lvert\,\binom{ n}{3}\right.$. So

$$
\begin{aligned}
& y(x+y)^{n}=\binom{n}{2} x^{2} y^{n-1}+\binom{n}{3} x^{3} y^{n-2}=0, \quad \text { and } \\
& x(x+y)^{n}=\binom{n}{2} x^{3} y^{n-2}=0
\end{aligned}
$$

Thus for any $a \in \mathbb{k}-\{0\}$,

$$
(a x+y)(x+y)^{n}=0,
$$

as well. This implies that for a linear form $\ell=x+y$ the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2}<n+1$. Hence the ring $S$ fails to have the SLP.
(3) Let $n=9 \alpha \pm 3$ with $\alpha \geq 1$. Note that $3 \left\lvert\,\binom{ n}{2}\right.$ and $3 \nmid\binom{n+1}{3}$. So

$$
\begin{aligned}
y(x+y)^{n+1} & =\binom{n+1}{3} x^{3} y^{n-1} \neq 0, \quad \text { and } \\
x(x+y)^{n} & =\binom{n}{2} x^{3} y^{n-2}=0
\end{aligned}
$$

Thus,

$$
(x+y)(x+y)^{n+1} \neq 0
$$

This implies that for any linear form $\ell$ the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2}>n+1$. Hence the ring $S$ fails to have the SLP.
(4) Let $n=9 \alpha+2$ with $\alpha \geq 1$. Note that $3 \nmid(n-1)=(9 \alpha+1), 3 \left\lvert\,\binom{ n-2}{2}\right.$, and $3 \left\lvert\,\binom{ n-2}{3}\right.$. For every $a \in \mathbb{k}-\{0\}$,

$$
\begin{aligned}
& x^{2}(x+y)^{n-1}=x^{2} y^{n-1}+(n-1) x^{3} y^{n-2} \neq 0, \\
& x y(x+y)^{n-1}=(n-1) x^{2} y^{n-1} \neq 0, \quad \text { and } \\
& y^{2}(x+y)^{n-2}=(n-2) x y^{n-1}+\binom{n-2}{2} x^{2} y^{n-2}+\binom{n-2}{3} x^{3} y^{n-3}=0 .
\end{aligned}
$$

Since one can easily show that the above two nonzero forms are linearly independent, we see that for any $(\gamma, \delta) \neq(0,0)$,

$$
\left(\gamma x^{2}+\delta x y\right)(x+y)^{n-1} \neq 0
$$

which implies that for any linear form $\ell$ the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{3}>n-1$. Thus the ring $S$ fails to have the SLP.
(5) Let $n=9 \alpha+4$ with $\alpha \geq 0$. Note that $3 \nmid\binom{n+2}{3}$ and $3 \left\lvert\,\binom{ n-1}{2}\right.$. Let $\ell=x+y$. We shall find four forms $L, Q$, and $C$ of degrees 1,2 , and 3 which give strings of length $n+3, n+1, n-1$, and $n-3$, respectively.

First, let $\ell=x+y$. Then

$$
(x+y)^{n+2}=\binom{n+2}{3} x^{3} y^{n-1}=2 x^{3} y^{n-1} \neq 0
$$

Since

$$
\begin{aligned}
& x(x+y)^{n}=n x^{2} y^{n-1}+\binom{n}{2} x^{3} y^{n-2}=x^{2} y^{n-1}, \quad \text { and } \\
& y(x+y)^{n}=\binom{n}{2} x^{2} y^{n-1}+\binom{n}{3} x^{3} y^{n-2}=x^{3} y^{n-2}
\end{aligned}
$$

we can take $L=x-y \nmid x+y$. Then

$$
\begin{aligned}
(x-y)(x+y)^{n} & =x^{2} y^{n-1}-x^{3} y^{n-2} \neq 0, \quad \text { and } \\
(x-y)(x+y)^{n+1} & =\binom{n+1}{2} x^{3} y^{n-1}=0 .
\end{aligned}
$$

Now let $Q=\alpha_{1} x^{2}+\alpha_{2} x y+\alpha_{3} y^{2}$ for some $\alpha_{i} \in \mathbb{k}$, and assume that

$$
\begin{aligned}
& Q \cdot(x+y)^{n-2} \neq 0, \quad \text { and } \\
& Q \cdot(x+y)^{n-1}=0
\end{aligned}
$$

By a simple calculation, one can find that $Q=x y \nmid x+y$. Indeed,

$$
\begin{aligned}
x y(x+y)^{n-2} & =x y^{n-1}+(n-2) x y^{n-2}+\binom{n-2}{2} x^{3} y^{n-3} \\
& =x y^{n-1}-x^{2} y^{n-2}+x^{3} y^{n-3} \neq 0, \quad \text { and } \\
x y(x+y)^{n-1} & =\left(x y^{n-1}-x^{2} y^{n-2}+x^{3} y^{n-3}\right)(x+y)=0 .
\end{aligned}
$$

We now find a cubic form $C=\beta_{1} x^{3}+\beta_{2} x^{2} y+\beta_{3} x y^{2}+\beta_{4} y^{3}$ with $\beta_{i} \in \mathbb{k}$ such that

$$
\begin{aligned}
& C \cdot(x+y)^{n-4} \neq 0, \quad \text { and } \\
& C \cdot(x+y)^{n-3}=0
\end{aligned}
$$

By a simple calculation, we find $C=x^{3}-x y^{2}+x y^{2}-y^{3}$. In fact, since $3 \left\lvert\,\binom{ n-4}{2}\right.$ and $3 \left\lvert\,\binom{ n-4}{3}\right.$, we have

$$
\begin{aligned}
x^{3}(x+y)^{n-4} & =x^{3} y^{n-4} \\
x^{2} y(x+y)^{n-4} & =x^{2} y^{n-3} \\
x y^{2}(x+y)^{n-4} & =x y^{n-2}, \quad \text { and } \\
y^{3}(x+y)^{n-4} & =y^{n-1}
\end{aligned}
$$

In other words,
$\left(x^{3}-x y^{2}+x y^{2}-y^{3}\right)(x+y)^{n-4}=x^{3} y^{n-4}-x^{2} y^{n-3}+x y^{n-2}-y^{n-1} \neq 0$, and $\left(x^{3}-x y^{2}+x y^{2}-y^{3}\right)(x+y)^{n-3}=\left(x^{3} y^{n-4}-x^{2} y^{n-3}+x y^{n-2}-y^{n-1}\right)(x+y)$

$$
=0
$$

We now prove that the four forms

$$
(x+y)^{n-1}, L \cdot(x+y)^{n-2}, Q \cdot(x+y)^{n-3}, C \cdot(x+y)^{n-4}
$$

are linearly independent. Assume that for some $\alpha_{i} \in \mathbb{k}$

$$
\alpha_{1}(x+y)^{n-1}+\alpha_{2} L \cdot(x+y)^{n-2}+\alpha_{3} Q \cdot(x+y)^{n-3}+\alpha_{4} C \cdot(x+y)^{n-4}=0
$$

After we multiply by $(x+y)^{3}$ to the above equation, we obtain that

$$
\alpha_{1}(x+y)^{n+2}=0, \quad \text { i.e., } \quad \alpha_{1}=0
$$

By a similar argument, we can easily show that

$$
\alpha_{2}=\alpha_{3}=\alpha_{4}=0
$$

as well. This shows that the above four forms are linearly independent. By an analogous argument as above, one can easily show that the following three sets

$$
\begin{aligned}
& \left\{(x+y)^{n}, L \cdot(x+y)^{n-1}, Q \cdot(x+y)^{n-2}\right\}, \\
& \left\{(x+y)^{n+1}, L \cdot(x+y)^{n}\right\}, \quad \text { and } \\
& \left\{(x+y)^{n+2}\right\}
\end{aligned}
$$

are linearly independent, respectively. Thus the Jordan type $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+3, n+1, n-1, n-3)
$$

and hence the ring $S$ has the SLP.
(6) Let $n=9 \alpha+5$ with $\alpha \geq 0$. Note that $3 \nmid\binom{n+2}{3}$ and $3 \left\lvert\,\binom{ n-1}{2}\right.$, and $3 \left\lvert\,\binom{ n+1}{2}\right.$. Let $\ell=x+y$. By an analogous argument as in Case (5), one can find that

$$
L=x, \quad Q=x^{2}-x y-y^{2}, \quad C=x^{3}-x y^{2}-y^{3}
$$

Indeed,

$$
(x+y)^{n+2}=\binom{n+2}{3} x^{3} y^{n-1}=2 x^{3} y^{n-1} \neq 0
$$

$$
\begin{aligned}
x(x+y)^{n} & =n x^{2} y^{n-1}+\binom{n}{2} x^{3} y^{n-2}=2 y^{n-1}+x^{3} y^{n-2} \neq 0, \quad \text { and } \\
x(x+y)^{n+1} & =\binom{n+1}{2} x^{3} y^{n-1}=0
\end{aligned}
$$

Moreover, note that

$$
\begin{aligned}
x^{2}(x+y)^{n-2} & =x^{2} y^{n-2}, \\
x y(x+y)^{n-2} & =x y^{n-1}, \quad \text { and } \\
y^{2}(x+y)^{n-2} & =x^{3} y^{n-3},
\end{aligned}
$$

which implies that

$$
\begin{aligned}
& \left(x^{2}-x y-y^{2}\right)(x+y)^{n-2}=-x y^{n-1}+x^{2} y^{n-2}-x^{3} y^{n-3} \neq 0, \quad \text { and } \\
& \left(x^{2}-x y-y^{2}\right)(x+y)^{n-1}=\left(-x y^{n-1}+x^{2} y^{n-2}-x^{3} y^{n-3}\right)(x+y)=0 .
\end{aligned}
$$

Since $3 \left\lvert\,\binom{ n-4}{2}\right.$ and $3 \left\lvert\,\binom{ n-4}{3}\right.$, we get that

$$
\begin{aligned}
x^{3}(x+y)^{n-4} & =x^{3} y^{n-4}, \\
x y^{2}(x+y)^{n-4} & =x y^{n-2}+x^{2} y^{n-3}, \quad \text { and } \\
y^{3}(x+y)^{n-4} & =y^{n-1}+x y^{n-2},
\end{aligned}
$$

i.e.,
$\left(x^{3}-x y^{2}-y^{3}\right)(x+y)^{n-4}=-y^{n-1}+x y^{n-2}-x^{2} y^{n-3}+x^{3} y^{n-4} \neq 0, \quad$ and
$\left(x^{3}-x y^{2}-y^{3}\right)(x+y)^{n-3}=\left(-y^{n-1}+x y^{n-2}-x^{2} y^{n-3}+x^{3} y^{n-4}\right)(x+y)=0$.
By a similar argument as in Case (5), one can show that the following four sets

$$
\begin{aligned}
& \left\{(x+y)^{n-1}, L \cdot(x+y)^{n-2}, Q \cdot(x+y)^{n-3}, C \cdot(x+y)^{n-4}\right\}, \\
& \left\{(x+y)^{n}, L \cdot(x+y)^{n-1}, Q \cdot(x+y)^{n-2}\right\}, \quad \text { and } \\
& \left\{(x+y)^{n+1}, L \cdot(x+y)^{n}\right\}, \\
& \left\{(x+y)^{n+2}\right\}
\end{aligned}
$$

are linearly independent, respectively. Thus the Jordan type $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+3, n+1, n-1, n-3)
$$

as we wished, and hence the ring $S$ has the SLP.
This completes the proof.
We now move on to char $\mathbb{k} \geq 5$. Let $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=5$ and $n \geq 4$. Then

$$
\mathbf{H}_{S}^{\vee}=(n+3, n+1, n-1, n-3) .
$$

Note that

$$
x(x+y)^{n+2}=y(x+y)^{n+2}=0 .
$$

Hence two linear forms $x$ and $y$ cannot give a string of length $(n+3)$. So we shall assume that a linear form is $\ell=x+y$ for the rest of this section.
Lemma 3.7 (char $\mathbb{k}=5$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=5$ and $n \geq 4$. Then $S$ fails to have the SLP for every $n \geq 4$.
Proof. If $n=4$, then

$$
(x+y)^{6}=0
$$

i.e., the Jordan type $J_{\ell, S}$ cannot be of the form

$$
J_{\ell, S}=(7,5,3,1) .
$$

Furthermore, since $p=5 \geq 2 \cdot 4-3=2 \cdot m-3$, by Theorem 2.5 for every $n \equiv 0, \pm 1, \pm 2(\bmod 5)$, i.e., for every $n \geq 5, S$ fails to have the SLP. This completes the proof.

We now classify the Jordan type for an Artinian ring $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{4}\right)$ for any characteristic $p>0$. Recall that $S$ has the SLP for $p=3$ and $(m, n)=(4,4)$ (see Theorem 3.6), but $S$ fails to have the SLP for $p=5$ and $(m, n)=(4,4)$ (see Lemma 3.7). So we assume that char $\mathbb{k}=p \geq 7$ for the following theorem.

Recall that by Theorem 2.5 and Lemma 3.7 the ring $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k} \geq 5$ fails to have the SLP for any $n \geq 4$ with $n \equiv 0, \pm 1, \pm 2$ $(\bmod p)$. By Theorems 2.1 and 2.5 , the following theorem is immediate, and thus we omit the proof.

Theorem 3.8 (char $\mathbb{k}=p \geq 7$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=p \geq 7$ and $n \geq 4$. Then $S$ has the $S L P$ for $n \equiv \pm 3, \ldots, \pm \frac{p-1}{2}(\bmod p)$. Otherwise, $S$ fails to have the SLP.

## 4. The Jordan type for rings $\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ failing to have the SLP when $m$ is 3 or 4

In this section, we determine the Jordan type for an Artinian complete intersection quotient $S:=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ for $m=3,4$ with char $\mathbb{k}=p>0$. In order to shorten the paper, we are posting full calculations for proofs of some Theorems of this section on the arXiv version of the paper (see modular jordan type-full.pdf).
4.1. char $\mathbb{k} \geq 2$ and $m=3$

Theorem 4.1 (char $\mathbb{k}=2$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ with char $\mathbb{k}=2$ and $n \equiv 0, \pm 1(\bmod 4)$. Then for a linear form $\ell=x+y$, the Jordan type $J_{\ell, S}$ is as follows.

|  |  | $J_{\ell, S}$ |
| :---: | :---: | :---: |
| $n \equiv 0$ | $(\bmod 4)$ | $(n, n, n)$ |
| $n \equiv-1$ | $(\bmod 4)$ | $(n+1, n+1, n-2)$ |
| $n \equiv 1$ | $(\bmod 4)$ | $(n+2, n-1, n-1)$ |

Proof. Recall that $S$ fails to have the SLP for $n \equiv 0, \pm 1(\bmod 4)$ and $S$ has the SLP for $n \equiv 2(\bmod 4)$ (see Theorem 3.2). Since there is no quadratic form $Q$ such that the product

$$
\begin{aligned}
& Q \cdot(x+y)^{n-4} \neq 0, \quad \text { and } \\
& Q \cdot(x+y)^{n-3}=0
\end{aligned}
$$

$J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}\right)
$$

with $n+2 \geq \lambda_{2} \geq \lambda_{3} \geq n-2$.
(a) Assume $n \equiv 0(\bmod 4)$. Let $4 \mid n$ with $n \geq 4$. Note that

$$
\begin{aligned}
(x+y)^{n-1} & =(n-1) x y^{n-2}+y^{n-1} \neq 0 \\
(x+y)^{n} & =0
\end{aligned}
$$

In other words,

$$
J_{\ell, S}=(n, n, n)
$$

(b) Let $n \equiv 1(\bmod 4)$. Let $\ell=x+y$ with $n \geq 4$. But $S$ fails to have the SLP, i.e., $J_{\ell, S}$ is not of the form

$$
J_{\ell, S}=(n+2, n, n-2)
$$

Furthermore, it is easy to prove that each of the following three sets

$$
\begin{aligned}
& \left\{(x+y)^{n-1}, y(x+y)^{n-2}, y^{2}(x+y)^{n-3}\right\} \\
& \left\{(x+y)^{n}, y^{2}(x+y)^{n-2}\right\} \\
& \left\{(x+y)^{n+1}\right\}
\end{aligned}
$$

is linearly independent, respectively. In other words,

$$
J_{\ell, S}=(n+2, n-1, n-1) .
$$

(c) Let $n \equiv-1(\bmod 4)$. Since there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n}=0
$$

and

$$
\begin{aligned}
(x+y)^{n} & =x^{2} y^{n-2}+x y^{n-1} \neq 0 \\
(x+y)^{n+1} & =0
\end{aligned}
$$

$J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n+1, \geq n+1, \geq n-2)
$$

So $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+1, n+1, n-2) .
$$

This completes the proof.

Theorem 4.2 (char $\mathbb{k}=3)$. Let $S:=\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ with char $\mathbb{k}=3$ and $n \geq 3$. Then for a linear form $\ell=x+y$, the Jordan type $J_{\ell, S}$ is as follows.

|  | $J_{\ell, S}$ |
| :---: | :---: |
| $n \equiv 0 \quad(\bmod 3)$ | $(n, n, n)$ |
| $n \equiv-1 \quad(\bmod 3)$ | $(n+1, n+1, n-2)$ |
| $n \equiv 1 \quad(\bmod 3)$ | $(n+2, n-1, n-1)$ |

Proof. Recall that $S$ fails to have the SLP (see Proposition 3.3). Note that there is no quadratic form $Q$ such that

$$
Q \cdot(x+y)^{n-3}=0 .
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}\right)
$$

with $\lambda_{3} \geq n-2$.
(a) Assume $n \equiv 0(\bmod 3)$. Note that

$$
\begin{aligned}
(x+y)^{n-1} & =x^{2} y^{n-3}+x y^{n-2}+y^{n-1} \neq 0, \\
(x+y)^{n} & =0 .
\end{aligned}
$$

In other words,

$$
J_{\ell, S}=(n, n, n)
$$

(b) Let $n \equiv 1(\bmod 3)$. Note that

$$
\begin{aligned}
& (x+y)^{n+1}=x^{2} y^{n-1} \neq 0 \\
& (x+y)^{n+2}=0
\end{aligned}
$$

$J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}\right)
$$

with $\lambda_{3} \geq n-2$. Since $S$ does not have the SLP, $J_{\ell, S}$ cannot be of the form

$$
J_{\ell, S}=(n+2, n, n-2)
$$

Hence $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n+2, n-1, n-1)
$$

(c) Let $n \equiv-1(\bmod 3)$. Note that

$$
\begin{aligned}
(x+y)^{n} & =x^{2} y^{n-2}-x y^{n-1} \neq 0 \\
(x+y)^{n+1} & =0
\end{aligned}
$$

Hence $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+1, \lambda_{2}, \lambda_{3}\right)
$$

with $\lambda_{3} \geq n-2$. Since there is no linear form $L \neq x+y$ such that

$$
\begin{array}{r}
L \cdot(x+y)^{n-1} \neq 0, \\
L \cdot(x+y)^{n}=0,
\end{array}
$$

$J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n+1,>n, n-1)
$$

So we get that

$$
J_{\ell, S}=(n+1, n+1, n-2)
$$

This completes the proof.
Theorem 4.3 (char $\mathbb{k}=p \geq 5)$. Let $S:=\mathbb{k}[x, y] /\left(x^{3}, y^{n}\right)$ with char $\mathbb{k}=p \geq 5$. For a linear form $\ell=x+y$ and for $n \equiv 0, \pm 1(\bmod p)$, the Jordan type $J_{\ell, S}$ is as follows.

|  | $J_{\ell, S}$ |  |
| :---: | :---: | :---: |
| $n \equiv 0$ | $(\bmod p)$ | $(n, n, n)$ |
| $n \equiv-1$ | $(\bmod p)$ | $(n+1, n+1, n-2)$ |
| $n \equiv 1$ | $(\bmod p)$ | $(n+2, n-1, n-1)$ |

Proof. Recall that by Theorem 3.4, $S$ fails to have the SLP for $n \equiv 0, \pm 1$ $(\bmod p)$.
(a) Assume $n \equiv 0(\bmod p)$. Note that

$$
\begin{aligned}
(x+y)^{n-1} & =x^{2} y^{n-3}-x y^{n-2}+y^{n-1} \neq 0, \\
(x+y)^{n} & =0 .
\end{aligned}
$$

In other words,

$$
J_{\ell, S}=(n, n, n)
$$

(b) Let $n \equiv 1(\bmod p)$. Note that

$$
\begin{aligned}
& (x+y)^{n+1}=x^{2} y^{n-1} \neq 0 \\
& (x+y)^{n+2}=0
\end{aligned}
$$

Hence $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}\right)
$$

with $\lambda_{3} \geq n-2$. Since $S$ fails to have the SLP, $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n+2, n-1, n-1)
$$

(c) Let $n \equiv-1(\bmod p)$. Note that

$$
\begin{aligned}
(x+y)^{n} & =x^{2} y^{n-2}-x y^{n-1} \neq 0 \\
(x+y)^{n+1} & =0
\end{aligned}
$$

Hence $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+1, \lambda_{2}, \lambda_{3}\right)
$$

with $\lambda_{3} \geq n-2$. Note that there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n}=0
$$

and for $Q=3 x^{2}+3 x y+y^{2}$,

$$
y(x+y)^{n}=x^{2} y^{n-1} \neq 0
$$

$$
\begin{aligned}
y(x+y)^{n+1} & =0 \\
Q \cdot(x+y)^{n-3} & =x^{2} y^{n-3}-x y^{n-2}+y^{n-1} \neq 0, \quad \text { and } \\
Q \cdot(x+y)^{n-2} & =0 .
\end{aligned}
$$

So

$$
J_{\ell, S}=(n+1, n+1, n-2) .
$$

This completes the proof.
4.2. char $\mathbb{k} \geq 2$ and $m=4$

Theorem 4.4 (char $\mathbb{k}=2$ ). Let $S=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=2$ and $n \geq 4$. For a linear form $\ell=x+y$, the Jordan type $J_{\ell, S}$ is as follows.

|  | $J_{\ell, S}$ |  |
| :---: | :---: | :---: |
| $n \equiv 0 \quad(\bmod 4)$ | $(n, n, n, n)$ |  |
| $n \equiv-1$ | $(\bmod 4)$ | $(n+1, n+1, n+1, n-3)$ |
| $n \equiv 2$ | $(\bmod 4)$ | $(n+2, n+2, n-2, n-2)$ |
| $n \equiv 1$ | $(\bmod 4)$ | $(n+3, n-1, n-1, n-1)$ |

Proof. Recall that $S$ fails to have the SLP for $n \geq 4$ (see Theorem 3.5). Note that there is no cubic form $C$ such that

$$
C \cdot(x+y)^{n-4}=0
$$

Hence the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$.
(a) Let $n \equiv 0(\bmod 4)$. Then

$$
(x+y)^{n}=0
$$

and thus the Jordan type $J_{\ell, S}$ is

$$
J_{\ell, S}=(n, n, n, n)
$$

(b) Let $n \equiv 1$. For any linear form $L$,

$$
L \cdot(x+y)^{n+2}=0 .
$$

Moreover, if for a linear form $L$

$$
L \cdot(x+y)^{n+1}=0,
$$

then $L=y$, and thus

$$
L \cdot(x+y)^{n}=L(x+y)^{n-1}=0
$$

as well. This shows that $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+3, n-1, n-1, n-1)
$$

(c) Let $n \equiv-1$. Then

$$
\begin{aligned}
(x+y)^{n} & =x^{3} y^{n-3}+x^{2} y^{n-2}+x y^{n-1} \neq 0 \\
(x+y)^{n+1} & =0
\end{aligned}
$$

Since there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n}=0
$$

So the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+1, n+1, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Moreover, if $Q \cdot(x+y)^{n}=0$ for a quadratic form $Q$, then $x+y \mid Q$. we get that $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+1, n+1, n+1, n-3)
$$

(d) Let $n \equiv 2$. Then

$$
\begin{aligned}
& (x+y)^{n+1}=x^{3} y^{n-2}+x^{2} y^{n-1} \neq 0 \\
& (x+y)^{n+2}=0
\end{aligned}
$$

Since there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n+1}=0
$$

So the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, n+2, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Moreover, since there is no a cubic form $C$ such that $x+y \nmid C$ and

$$
C \cdot(x+y)^{n-3}=0
$$

we get that $J_{\ell, S}$ is

$$
J_{\ell, S}=(n+2, n+2, n-2, n-2)
$$

This completes the proof.
Theorem 4.5 (char $\mathbb{k}=3$ ). Let $S=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=3$ and $n \geq 4$. For a linear form $\ell=x+y$ and for $n \not \equiv \pm 4(\bmod 9)$, the Jordan type $J_{\ell, S}$ is as follows.

|  |  | $J_{\ell, S}$ |
| :---: | :---: | :---: |
| $n \equiv 0$ | $(\bmod 9)$ | $(n, n, n, n)$ |
| $n \equiv-1$ | $(\bmod 9)$ | $(n+1, n+1, n+1, n-3)$ |
| $n \equiv-2$ | $(\bmod 9)$ | $(n+2, n+2, n-1, n-3)$ |
| $n \equiv-3$ | $(\bmod 9)$ | $(n+3, n, n, n-3)$ |
| $n \equiv 1$ | $(\bmod 9)$ | $(n+3, n-1, n-1, n-1)$ |
| $n \equiv 2$ | $(\bmod 9)$ | $(n+3, n+1, n-2, n-2)$ |
| $n \equiv 3$ | $(\bmod 9)$ | $(n+3, n, n, n-3)$ |

Proof. Recall that by Theorem 3.6, for $n \not \equiv \pm 4(\bmod 9), S$ fails to have the SLP. Otherwise, $S$ has the SLP. First note that there is no cubic form $C$ such that

$$
C \cdot(x+y)^{n-4}=0
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$.
(a) Let $n \equiv 0(\bmod 9)$. Note that

$$
\begin{aligned}
(x+y)^{n-1} & =-x^{3} y^{n-4}+x^{2} y^{n-3}-x y^{n-2}+y^{n-1} \neq 0 \\
(x+y)^{n} & =0 .
\end{aligned}
$$

In other words,

$$
J_{\ell, S}=(n, n, n, n)
$$

(b) Let $n \equiv 1(\bmod 9)$. Note that

$$
\begin{aligned}
& (x+y)^{n+2}=x^{3} y^{n-1} \neq 0 \\
& (x+y)^{n+3}=0
\end{aligned}
$$

Thus $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Moreover there is no quadratic form $Q$ such that

$$
Q \cdot(x+y)^{n-2}=0
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{3} \geq n-1$ and $\lambda_{4} \geq n-3$. Since the sum of the components of $J_{\ell, S}$ is $4 n$, the second component of $J_{\ell, S}$ has to be $\leq n+1$. But for $k=n+1, n$, and for some linear form $L$,

$$
(x+y)^{k}=x^{k-n+1} y^{n-1}
$$

we see that $L \cdot(x+y)^{k}=0$ implies that $L \cdot(x+y)^{k-1}=0$. Hence we conclude that

$$
J_{\ell, S}=(n+3, n-1, n-1, n-1)
$$

(c) Let $n \equiv-1(\bmod 9)$. Note that

$$
\begin{aligned}
(x+y)^{n} & =x^{2} y^{n-2}-x y^{n-1} \neq 0, \\
(x+y)^{n+1} & =0
\end{aligned}
$$

Hence $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n+1,-,-, \geq n-3)
$$

Note that

$$
x(x+y)^{n}=n x^{2} y^{n-1}+\frac{n(n-1)}{2} x^{3} y^{n-2} \neq 0
$$

$$
\begin{aligned}
y(x+y)^{n} & =\frac{n(n-1)}{2} x^{2} y^{n-1}+\frac{n(n-1)(n-2)}{6} x^{3} y^{n-2} \neq 0 \\
(x+2 y)(x+y)^{n} & =n^{2} x^{2} y^{n-1}+\frac{n(n-1)(2 n-1)}{6} x^{3} y^{n-2} \neq 0
\end{aligned}
$$

which implies that there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n}=0 .
$$

This shows that the Jordan type $J_{\ell, S}$ has to be of the form

$$
J_{\ell, S}=\left(n+1, n+1, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Furthermore, it is not hard to show that if for a quadric form $Q$

$$
\begin{aligned}
Q \cdot(x+y)^{n-1} & \neq 0, \quad \text { and } \\
Q \cdot(x+y)^{n} & =0,
\end{aligned}
$$

then $Q=y(x+y)$. This implies that the third component of the Jordan type $J_{\ell, S}$ has to be $\geq n+1$, i.e.,

$$
J_{\ell, S}=(n+1, n+1, n+1, n-3)
$$

(d) Let $n \equiv 2(\bmod 9)$. Note that

$$
\begin{aligned}
& (x+y)^{n+2}=x^{3} y^{n-1} \neq 0 \\
& (x+y)^{n+3}=0
\end{aligned}
$$

Hence the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Suppose $C=a x^{3}+b x^{2} y+c x y^{2}+d y^{3}$ for some $a, b, c, d \in \mathbb{k}$ such that

$$
\begin{aligned}
& C \cdot(x+y)^{n-4} \neq 0, \quad \text { and } \\
& C \cdot(x+y)^{n-3}=0
\end{aligned}
$$

Then

$$
\begin{aligned}
a x^{3} \cdot(x+y)^{n-3}= & a x^{3} y^{n-3}, \\
b x^{2} y \cdot(x+y)^{n-3}= & b x^{2} y^{n-2}+(n-3) b x^{3} y^{n-3}, \\
c x y^{2} \cdot(x+y)^{n-3}= & c x y^{n-1}+(n-3) c x^{2} y^{n-2}+\frac{(n-3)(n-4)}{2} c x^{3} y^{n-3}, \\
d y^{3} \cdot(x+y)^{n-3}= & (n-3) d x y^{n-1}+\frac{(n-3)(n-4)}{2} d x^{2} y^{n-2} \\
& +\frac{(n-3)(n-4)(n-5)}{6} d x^{3} y^{n-3} .
\end{aligned}
$$

First, since $n \equiv 2(\bmod 9)$, we have $n \equiv 2(\bmod 3)$, i.e., $n-3 \equiv 2(\bmod 3)$, $n-4 \equiv 1(\bmod 3)$, and $n-5 \equiv 0(\bmod 3)$.
(1) $c+(n-3) d=0$ implies that $c=d$.
(2) $b+(n-3) c+\frac{(n-3)(n-4)}{2} d=0$ with $c=d$, we have that $b=0$.
(3) $a+(n-3) b+\frac{(n-3)(n-4)}{2} c+\frac{(n-3)(n-4)(n-5)}{6} d=0$ with $b=0$, and $c=d$ yield $a=0$.
In other words, $(x+y) \mid C=y^{2}(x+y)$. Thus the last component of the Jordan type $J_{\ell, S}$ has to be $\geq n-2$, i.e.,

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-2$. Moreover, there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n}=0 .
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \geq n+1$ and $\lambda_{4} \geq n-2$, i.e.,

$$
J_{\ell, S}=(n+3, n+1, n-2, n-2)
$$

(e) Let $n \equiv-2(\bmod 9)$ and $\ell=x+y$. Note that

$$
\begin{aligned}
& (x+y)^{n+1}=-x^{3} y^{n-2}+x^{2} y^{n-1} \neq 0 \\
& (x+y)^{n+2}=0
\end{aligned}
$$

this shows that the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Furthermore, there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n+1}=0,
$$

and no quadratic form $Q$ such that

$$
Q \cdot(x+y)^{n-2}=0
$$

we see that $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \geq n+2, \lambda_{3} \geq n-1$, and $\lambda_{4} \geq n-3$, i.e.,

$$
J_{\ell, S}=(n+2, n+2, n-1, n-3)
$$

(f) Let $n \equiv 3(\bmod 9)$. Note that

$$
\begin{aligned}
& (x+y)^{n+2}=x^{3} y^{n-1} \neq 0, \\
& (x+y)^{n+3}=0, \quad \text { and }
\end{aligned}
$$

there is no quadratic form $Q$ such that

$$
Q \cdot(x+y)^{n-1}=0
$$

So the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{3} \geq n$ and $\lambda_{4} \geq n-3$, i.e., $J_{\ell, S}$ has to be

$$
J_{\ell, S}=(n+3, n, n, n-3)
$$

(g) Let $n \equiv-3(\bmod 9)$. Note that

$$
\begin{aligned}
& (x+y)^{n+2}=-x^{3} y^{n-1} \neq 0, \\
& (x+y)^{n+3}=0,
\end{aligned}
$$

there is no quadratic form $Q$ such that

$$
Q \cdot(x+y)^{n-1}=0 .
$$

So the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{3} \geq n$ and $\lambda_{4} \geq n-3$, i.e.,

$$
J_{\ell, S}=(n+3, n, n, n-3)
$$

This completes the proof.
Theorem 4.6 (char $\mathbb{k} \geq 5$ and $m=4$ ). Let $S:=\mathbb{k}[x, y] /\left(x^{4}, y^{n}\right)$ with char $\mathbb{k}=$ $p \geq 5$ and $n \geq 4$. For a linear form $\ell=x+y$ and for $n \equiv 0, \pm 1, \pm 2(\bmod p)$, $S$ fails to have the $S L P$, and the Jordan type $J_{\ell, S}$ is as follows.

|  | $J_{\ell, S}$ |  |
| :---: | :---: | :---: |
| $n \equiv 0$ | $(\bmod p)$ | $(n, n, n, n)$ |
| $n \equiv-1$ | $(\bmod p)$ | $(n+1, n+1, n+1, n-3)$ |
| $n \equiv-2$ | $(\bmod p)$ | $(n+2, n+2, n-1, n-3)$ |
| $n \equiv 1$ | $(\bmod p)$ | $(n+3, n-1, n-1, n-1)$ |
| $n \equiv 2$ | $(\bmod p)$ | $(n+3, n+1, n-2, n-2)$ |

Proof. Recall that by Theorem 2.5, if $n \equiv 0, \pm 1, \pm 2(\bmod p), S$ fails to have the SLP. Otherwise, $S$ has the SLP (see Theorem 2.1). First, note that there is no cubic form $C$ such that

$$
C \cdot(x+y)^{n-4}=0 .
$$

So the Jordan type is of the form

$$
J_{\ell, S}=\left(\lambda_{1}, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$.
(a) Let $n \equiv 0(\bmod p)$. Then

$$
\begin{aligned}
(x+y)^{n-1} & =-x^{3} y^{n-4}+x^{2} y^{n-3}-x y^{n-2}+y^{n-1} \neq 0, \quad \text { and } \\
(x+y)^{n} & =0 .
\end{aligned}
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n, n, n, n)
$$

(b) Let $n \equiv 1(\bmod p)$. Note that

$$
\begin{aligned}
& (x+y)^{n+2}=x^{3} y^{n-1} \neq 0, \quad \text { and } \\
& (x+y)^{n+3}=0
\end{aligned}
$$

Note that for any linear form $L$

$$
L \cdot(x+y)^{n+2}=0,
$$

so we have

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \leq n+2$ and $\lambda_{4} \geq n-3$. If for a quadratic form $Q$

$$
Q \cdot(x+y)^{n-2}=0
$$

then

$$
Q=x y+y^{2}=(x+y) y=\ell \cdot y .
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2} n+2, \lambda_{3} \geq n-1, \lambda_{4} \geq n-3\right)
$$

But the second component $n+2$ of $J_{\ell, S}$ is not possible. Moreover, since $S$ does not have the SLP, $J_{\ell, S}$ is not of the form

$$
J_{\ell, S}=(n+3, n+1, n-1, n-3) .
$$

Furthermore, there is no linear form $L \neq x+y$ such that

$$
\begin{array}{r}
L \cdot(x+y)^{n-1} \neq 0 \\
L \cdot(x+y)^{n}=0
\end{array}
$$

and thus $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \leq n-1, \lambda_{3} \geq n-1$, and $\lambda_{4} \geq n-3$, i.e., $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=(n+3, n-1, n-1, n-1)
$$

(c) Let $n \equiv 2(\bmod p)$. Note that

$$
\begin{aligned}
& (x+y)^{n+2}=4 x^{3} y^{n-1} \neq 0, \quad \text { and } \\
& (x+y)^{n+3}=0 .
\end{aligned}
$$

Note that for any linear form $L$

$$
L \cdot(x+y)^{n+2}=0 .
$$

So

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \leq n+2$ and $\lambda_{4} \geq n-3$. If for a cubic form $C$

$$
C \cdot(x+y)^{n-3}=0
$$

then

$$
C=y^{2} \cdot(x+y)=y^{2} \cdot \ell .
$$

This implies that

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \leq n+2$ and $\lambda_{4} \geq n-2$ and so

$$
J_{\ell, S}=\left(n+3, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \leq n+1$ and $\lambda_{4} \geq n-2$. Since there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n}=0
$$

$J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+3, n+1, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-2$, i.e.,

$$
J_{\ell, S}=(n+3, n+1, n-2, n-2)
$$

(d) Let $n \equiv-1(\bmod p)$. Note that

$$
\begin{aligned}
(x+y)^{n} & =-x^{3} y^{n-3}+x^{2} y^{n-2}-x y^{n-1} \neq 0, \quad \text { and } \\
(x+y)^{n+1} & =0
\end{aligned}
$$

So

$$
J_{\ell, S}=\left(n+1, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Furthermore there is no quadratic form $Q$ such that $(x+y) \nmid Q$ and

$$
Q \cdot(x+y)^{n}=0
$$

so,

$$
J_{\ell, S}=\left(n+1, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{3} \geq n+1$ and $\lambda_{4} \geq n-3$, i.e.,

$$
J_{\ell, S}=(n+1, n+1, n+1, n-3)
$$

(e) Let $n \equiv-2(\bmod p)$. Note that

$$
\begin{aligned}
& (x+y)^{n+1}=-x^{3} y^{n-2}+x^{2} y^{n-1} \neq 0, \quad \text { and } \\
& (x+y)^{n+2}=0 .
\end{aligned}
$$

So $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{4} \geq n-3$. Now consider a quadratic form $Q=a x^{2}+b x y+x y^{2}$ with $a, b, c \in \mathbb{k}$ such that

$$
Q(x+y)^{n-2}=0 .
$$

Note that

$$
\begin{aligned}
(x+y)^{n-2}= & y^{n-1}+(n-2) x y^{n-3}+\frac{(n-2)(n-3)}{2} x^{2} y^{n-4} \\
& +\frac{(n-2)(n-3)(n-4)}{6} x^{3} y^{n-5}
\end{aligned}
$$

This implies that

$$
\begin{aligned}
a x^{2}(x+y)^{n-2}= & a x^{2} y^{n-2}+(n-2) a x^{3} y^{n-3} \\
b x y(x+y)^{n-2}= & b x y^{n-1}+(n-2) b x^{2} y^{n-2}+\frac{(n-2)(n-3)}{2} b x^{3} y^{n-3} \\
c y^{2}(x+y)^{n-2}= & (n-2) c x y^{n-1}+\frac{(n-2)(n-3)}{2} c x^{2} y^{n-2} \\
& +\frac{(n-2)(n-3)(n-4)}{6} c x^{3} y^{n-3} .
\end{aligned}
$$

Moreover, $Q(x+y)^{n-2}=0$ yields

$$
\begin{array}{rll}
b+(n-2) c=0 & \text { if and only if } & b=4 c \\
a+(n-2) b+\frac{(n-2)(n-3)}{2} c=0 & \text { if and only if } & a=6 c .
\end{array}
$$

Hence we may take that $a=6, b=4$, and $c=1$. But,

$$
\begin{aligned}
& (n-2) a+\frac{(n-2)(n-3)}{2} b+\frac{(n-2)(n-3)(n-4)}{6} c \\
= & (n-2) \cdot 6+\frac{(n-2)(n-3)}{2} \cdot 4+\frac{(n-2)(n-3)(n-4)}{6} \neq 0,
\end{aligned}
$$

which follows that there is no quadratic form $Q$ such that

$$
Q \cdot(x+y)^{n-2}=0
$$

In other words, $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2,, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{3} \geq n-1$ and $\lambda_{4} \geq n-3$. Note that there is no linear form $L \neq x+y$ such that

$$
L \cdot(x+y)^{n+1}=0
$$

So the Jordan type $J_{\ell, S}$ is of the form

$$
J_{\ell, S}=\left(n+2, \lambda_{2}, \lambda_{3}, \lambda_{4}\right)
$$

with $\lambda_{2} \geq n+2, \lambda_{3} \geq n-1$, and $\lambda_{4} \geq n-3$, i.e.,

$$
J_{\ell, S}=(n+2, n+2, n-1, n-3)
$$

This completes the proof of Theorem 4.6.
Remark 4.7. We found a general formula for characteristic $p \geq 2 m-3$, but not for low characteristic $p<2 m-3$, which were discussed individually in Sections 3 and 4. It has been explored when $S=\mathbb{k}[x, y] /\left(x^{m}, y^{n}\right)$ has the SLP using a different language 'representation theory' for $m \leq n$ and $m=3,4$ in [3]. As we mentioned in the introduction, there is a recursive formula how to find the Jordan type for $S$ [10]. However, not much is known about the Jordan type of $S=\mathbb{k}\left[x_{1}, \ldots, x_{r}\right] /\left(x_{1}^{m_{1}}, \ldots, x_{r}^{m_{r}}\right)$ for $r \geq 3$ over a field $\mathbb{k}$ of a prime characteristic $p$ smaller than the socle degree $j=\left(\sum_{i} m_{i}\right)-r$, except for the strong Lefschetz case treated in [2] and completed in [13].

## References

[1] A. C. Aitken, The Normal Form of Compound and Induced Matrices, Proc. London Math. Soc. (2) 38 (1935), 354-376. https://doi.org/10.1112/plms/s2-38.1.354
[2] D. Cook, II, The Lefschetz properties of monomial complete intersections in positive characteristic, J. Algebra 369 (2012), 42-58. https://doi.org/10.1016/j.jalgebra. 2012.07.015
[3] S. P. Glasby, C. E. Praeger, and B. Xia, Decomposing modular tensor products, and periodicity of 'Jordan partitions', J. Algebra 450 (2016), 570-587. https://doi.org/ 10.1016/j.jalgebra.2015.11.025
[4] J. A. Green, The modular representation algebra of a finite group, Illinois J. Math. 6 (1962), 607-619. http://projecteuclid.org/euclid.ijm/1255632708
[5] T. Harima, T. Maeno, H. Morita, Y. Numata, A. Wachi, and J. Watanabe, The Lefschetz properties, Lecture Notes in Mathematics, 2080, Springer, Heidelberg, 2013. https: //doi.org/10.1007/978-3-642-38206-2
[6] T. Harima, J. Migliore, U. Nagel, and J. Watanabe, The weak and strong Lefschetz properties for Artinian K-algebras, J. Algebra 262 (2003), no. 1, 99-126. https://doi. org/10.1016/S0021-8693(03)00038-3
[7] D. G. Higman, Indecomposable representations at characteristic p, Duke Math. J. 21 (1954), 377-381. http://projecteuclid.org/euclid.dmj/1077465741
[8] J. E. Humphreys, Introduction to Lie Algebras and Representation Theory, Graduate Texts in Mathematics, 9, Springer-Verlag, New York, 1978.
[9] A. Iarrobino, P. M. Marques, and C. McDaniel, Artinian Algebras and Jordan type, arXiv:math.AC/1802.07383 (2018).
[10] K. Iima and R. Iwamatsu, On the Jordan decomposition of tensored matrices of Jordan canonical forms, Math. J. Okayama Univ. 51 (2009), 133-148.
[11] Y. R. Kim and Y.-S. Shin, An Artinian point-configuration quotient and the strong Lefschetz property, J. Korean Math. Soc. 55 (2018), no. 4, 763-783. https://doi.org/ 10.4134/JKMS.j170035
[12] D. E. Littlewood, Polynomial Concomitants and Invariant Matrices, J. London Math. Soc. 11 (1936), no. 1, 49-55. https://doi.org/10.1112/jlms/s1-11.1.49
[13] S. Lundqvist and L. Nicklasson, On the structure of monomial complete intersections in positive characteristic, J. Algebra 521 (2019), 213-234. https://doi.org/10.1016/ j.jalgebra.2018.11.024
[14] J. Migliore and U. Nagel, Survey article: a tour of the weak and strong Lefschetz properties, J. Commut. Algebra 5 (2013), no. 3, 329-358. https://doi.org/10.1216/JCA-2013-5-3-329
[15] L. Nicklasson, The strong Lefschetz property of monomial complete intersections in two variables, Collect. Math. 69 (2018), no. 3, 359-375. https://doi.org/10.1007/s13348-017-0209-3
[16] W. E. Roth, On direct product matrices, Bull. Amer. Math. Soc. 40 (1934), no. 6, 461-468. https://doi.org/10.1090/S0002-9904-1934-05899-3
[17] B. Srinivasan, The modular representation ring of a cyclic p-group, Proc. London Math. Soc. (3) 14 (1964), 677-688. https://doi.org/10.1112/plms/s3-14.4.677
[18] R. P. Stanley, Weyl groups, the hard Lefschetz theorem, and the Sperner property, SIAM J. Algebraic Discrete Methods 1 (1980), no. 2, 168-184. https://doi.org/10.1137/ 0601021
[19] J. Watanabe, The Dilworth number of Artinian rings and finite posets with rank function, in Commutative algebra and combinatorics (Kyoto, 1985), 303-312, Adv. Stud. Pure Math., 11, North-Holland, Amsterdam, 1987. https://doi.org/10.2969/aspm/ 01110303

Jung Pil Park
Faculty of Liberal Education
Seoul National University
Seoul 08826, Korea
Email address: batoben0@snu.ac.kr
Yong-Su Shin
Department of Mathematics
Sungshin Women's University
Seoul 02844, Korea
AND
KiAs, 85 Hoegiro, Dongdaemun-gu, Seoul 02455, Korea
Email address: ysshin@sungshin.ac.kr

