

## CURVES WITH MAXIMAL RANK, BUT NOT ACM, WITH VERY HIGH GENERA IN PROJECTIVE SPACES

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ABSTRACT. A curve  $X \subset \mathbb{P}^r$  has maximal rank if for each  $t \in \mathbb{N}$  the restriction map  $H^0(\mathcal{O}_{\mathbb{P}^r}(t)) \rightarrow H^0(\mathcal{O}_X(t))$  is either injective or surjective. We show that for all integers  $d \geq r + 1$  there are maximal rank, but not arithmetically Cohen-Macaulay, smooth curves  $X \subset \mathbb{P}^r$  with degree  $d$  and genus roughly  $d^2/2r$ , contrary to the case  $r = 3$ , where it was proved that their genus grows at most like  $d^{3/2}$  (A. Dolcetti). Nevertheless there is a sector of large genera  $g$ , roughly between  $d^2/(2r + 2)$  and  $d^2/2r$ , where we prove the existence of smooth curves (even aCM ones) with degree  $d$  and genus  $g$ , but the only integral and non-degenerate maximal rank curves with degree  $d$  and arithmetic genus  $g$  are the aCM ones. For some  $(d, g, r)$  with high  $g$  we prove the existence of reducible non-degenerate maximal rank and non aCM curves  $X \subset \mathbb{P}^r$  with degree  $d$  and arithmetic genus  $g$ , while  $(d, g, r)$  is not realized by non-degenerate maximal rank and non aCM integral curves.

### 1. Introduction

Let  $X \subset \mathbb{P}^r$ ,  $r \geq 3$ , be an integral and non-degenerate curve. Set  $d := \deg(X)$  and  $g := p_a(X)$ . We recall that  $X$  is said to be *arithmetically Cohen-Macaulay* (or *aCM* for short) if  $h^1(\mathcal{I}_X(t)) = 0$  for all  $t \in \mathbb{N}$  and that it is said to have *maximal rank* if for each  $t \in \mathbb{N}$  either  $h^0(\mathcal{I}_X(t)) = 0$  or  $h^1(\mathcal{I}_X(t)) = 0$ . Thus if  $X$  has maximal rank and for some  $t \in \mathbb{N}$  we know the integer  $h^0(\mathcal{O}_X(t))$ , then we know the integers  $h^0(\mathcal{I}_X(t)) = \max\{0, \binom{r+t}{t} - h^0(\mathcal{O}_X(t))\}$  and  $h^1(\mathcal{I}_X(t)) = \max\{0, h^0(\mathcal{O}_X(t)) - \binom{r+t}{t}\}$ . An aCM curve has maximal rank, but easy examples show that the converse does not hold. In the case  $r = 3$  all pairs  $(d, g)$  realized by some integral (and then by some smooth, too) aCM curve are known ([9, 17]). For all integers  $d \geq r$  set  $\pi(d, r) := \binom{m}{2}(r-1) + m\epsilon$ , where  $m := \lfloor (d-1)/(r-1) \rfloor$  and  $\epsilon := d-1 - m(r-1)$ . We recall that for any non-degenerate  $X$  Castelnuovo proved that  $g \leq \pi(d, r)$  and classified the

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Received October 8, 2018; Revised March 5, 2019; Accepted May 23, 2019.

2010 *Mathematics Subject Classification.* 14H50.

*Key words and phrases.* projective curve, Hilbert function, curve of maximal rank, aCM curve.

The author was partially supported by MIUR and GNSAGA of INdAM (Italy).

curves with  $g = \pi(d, r)$  ([11, Theorems 3.7 and 3.11]). Such curves exist for all  $d \geq r$ . Note that

$$\lim_{d \rightarrow +\infty} \pi(d, r)/d^2 = \frac{1}{2r-2}.$$

A. Dolcetti proved the existence of a real number  $K > 0$  such that if the pair  $(d, g)$  is realized by a maximal rank, but not aCM, curve  $X \subset \mathbb{P}^3$  (i.e.,  $d := \deg(X)$  and  $g := p_a(X)$ ), then  $g \leq Kd^{3/2}$ . As far as we know the family of maximal rank space curves which asymptotically for large  $d$  have the largest ratio  $d^{3/2}/g$  are the ones constructed by A. Hirschowitz and R. Hartshorne in [14, 5.4, 5.5 and 5.8] (see [8, Example 1.7] for a description of the pairs  $(d, g)$  obtained in this way). There is a smaller positive real number  $K_1$  such that for all  $d \gg 0$  and all  $g \leq K_1 d^{3/2}$  there is a smooth maximal rank space curve  $X \subset \mathbb{P}^3$  with degree  $d$  and genus  $g$  and these curves are not aCM, except for a few pairs  $(d, g)$  ([3]). The aim of this note is to prove that Dolcetti's result is peculiar to the case  $r = 3$ . We show this claim proving the following result.

**Theorem 1.1.** *Fix an integer  $r \geq 4$ .*

- (1) *If  $(d, g)$  is realized by some non-degenerate integral maximal rank curve  $X \subset \mathbb{P}^r$ , which is not aCM, then  $g \leq \pi(d, r+1)$ .*
- (2) *For each  $r \geq 5$  and each integer  $d \geq r+1$  there is a smooth, connected and non-degenerate maximal rank curve in  $\mathbb{P}^r$  with degree  $d$ , genus  $\pi(d, r+1)$  and not aCM.*
- (3) *For each even integer  $d \geq 6$  there is a smooth, connected and non-degenerate maximal rank curve in  $\mathbb{P}^4$  with degree  $d$ , genus  $\pi(d, 5)$  and not aCM.*

For all integers  $d \geq r$  there are smooth and non-degenerate aCM curves  $X \subset \mathbb{P}^r$  with degree  $d$  and genus  $\pi(d, r)$  (Remark 3.5) and so part (1) of Theorem 1.1 shows that to be of maximal rank, but not aCM, gives a (small) restriction on the growth of the genera. Parts (2) and (3) of Theorem 1.1 show that for  $r > 3$  the growth is still quadratic in  $d$ . We stress that the restriction in part (1) does not arise for aCM curves (Remark 3.5). In Section 4 we prove the existence of maximal rank, but not aCM, curves with high genus  $g < \pi(d, r+1)$ . More precisely the maximal genus  $< \pi(d, r)$  is the integer  $\pi_1(d, r+1)$ , which we define here following [11, Theorem 3.15 and Section 3.c]. For all integers  $r \geq 4$  and  $d \geq 2r+1$  set  $\pi_1(d, r) := \binom{m_1}{2}r + m_1(\epsilon_1 + 1) + \mu_1$ , where  $m_1 := \lfloor (d-1)/r \rfloor$ ,  $\epsilon_1 := d - m_1r - 1$ ,  $\mu_1 := 1$  if  $\epsilon_1 = r-1$  and  $\mu_1 := 0$  if  $\epsilon_1 \neq r-1$ . Note that  $\lim_{d \rightarrow +\infty} \pi_1(d, r)/d^2 = \frac{1}{2r}$ .

We prove the following result.

**Proposition 1.2.** *Fix integers  $r \geq 5$ ,  $d \geq 2r+3$ , and  $g < \pi(d, r+1)$ . If there is an integral, non-degenerate maximal rank, but not aCM, curve  $X \subset \mathbb{P}^r$  with degree  $d$  and genus  $g$ , then  $g \leq \pi_1(d, r+1)$ .*

For  $r \geq 9$  the only integers for which there is a smooth curve of degree  $d$  and genus  $\pi_1(d, r + 1)$  are  $\equiv 0, 1 \pmod{r + 2}$  (Remark 4.2). See Examples 4.3 and 4.4 for existence results in the set-up of Proposition 1.2 for  $r = 7, 8$ .

All the curves with maximal rank with very large genus appearing in Theorem 1.1 and potentially appearing in the set-up of Proposition 1.2 are contained in a quadric hypersurface. If we impose that the minimal degree of a hypersurface containing the curve  $X$  is at least 3 there are stronger upper bounds (but still quadratic in  $d$ ) for the genus (Corollary 2.6).

In Section 5 we consider reducible, connected and non degenerate curves  $W \subset \mathbb{P}^r$  with maximal rank, but they are not aCM and for which there is no integral, non-degenerate curve  $X \subset \mathbb{P}^r$  with maximal rank and not aCM and with  $(\deg(X), p_a(X)) = (\deg(W), p_a(W))$  (Remark 5.2).

We work over an algebraically closed field with characteristic 0.

We thank the referee for useful observations.

### 2. Preliminary results

Let  $X \subset \mathbb{P}^r$  be an integral and non-degenerate curve. Let  $s(X)$  denote the minimal positive integer  $x$  such that  $h^0(\mathcal{I}_X(x)) > 0$ . Since  $X$  is non-degenerate, we have  $s(X) \geq 2$ . Let  $H \subset \mathbb{P}^r$  be a general hyperplane. Consider the exact sequence

$$(2.1) \quad 0 \rightarrow \mathcal{I}_X(t - 1) \rightarrow \mathcal{I}_X(t) \rightarrow \mathcal{I}_{X \cap H, H}(t) \rightarrow 0.$$

Let  $\sigma(X)$  be the minimal integer  $x$  such that  $h^0(H, \mathcal{I}_{X \cap H, H}(x)) \neq 0$ . Obviously,  $\sigma(X) \leq s(X)$ . Since  $X$  is integral, we have  $h^1(\mathcal{I}_X) = 0$ . Thus the case  $t = 1$  of (2.1) gives  $h^0(H, \mathcal{I}_{X \cap H, H}(1)) = 0$ , i.e.,  $X \cap H$  spans  $H$ . Thus  $\sigma(X) \geq 2$ .

*Remark 2.1.* Several times we will be in the following set-up. Let  $W \subset \mathbb{P}^n$  be an integral and non-degenerate surface such that  $W$  spans  $\mathbb{P}^n$ ,  $W$  is aCM and it is contained in at least one quadric surface; later we will take  $r = n - 1$ . Let  $C \subset W$  be an integral and non-degenerate curve. Since  $h^0(\mathcal{I}_W(2)) \neq 0$ , we have  $h^1(\mathcal{I}_C(2)) \neq 0$ . Thus  $C$  has maximal rank if and only if  $h^1(\mathcal{I}_C(t)) = 0$  for all  $t \geq 2$ , while  $C$  is aCM if and only if  $h^1(\mathcal{I}_C(t)) = 0$  for all  $t > 0$  (note that  $h^1(\mathcal{I}_C) = 0$ , because  $C$  is integral). Thus  $C$  is aCM if and only if it has maximal rank and it is linearly normal. Since  $W$  is aCM,  $C$  is aCM (resp. has maximal rank) if and only if for each integer  $t > 0$  (resp.  $t \geq 2$ ) the restriction map  $H^0(\mathcal{O}_W(t)) \rightarrow H^0(\mathcal{O}_C(t))$  is surjective. We will always have  $h^1(\mathcal{O}_W(t)) = 0$  for all  $t > 0$ . Thus the restriction map  $H^0(\mathcal{O}_W(t)) \rightarrow H^0(\mathcal{O}_C(t))$  is surjective if and only if  $h^1(\mathcal{O}_W(t)(-C)) = 0$ . Now fix  $o \in \mathbb{P}^n \setminus W$  such that the linear projection  $\ell : \mathbb{P}^n \setminus \{o\} \rightarrow \mathbb{P}^{n-1}$  from  $o$  maps  $W$  isomorphically onto the surface  $Y := \ell(W)$  (we need that either  $n \geq 6$  or  $n = 5$  and  $W$  is the Veronese surface). Hence  $X := \ell(C)$  is isomorphic to  $C$ . Suppose that  $h^1(\mathbb{P}^{n-1}, \mathcal{I}_Y(t)) = 0$  for all  $t \geq 2$  and that  $C$  has maximal rank. Since  $X$  is not linearly normal, it is not aCM. We claim that  $X$  has maximal rank. Since  $X$  spans  $\mathbb{P}^{n-1}$ , it is sufficient to prove that  $h^1(\mathbb{P}^{n-1}, \mathcal{I}_X(t)) = 0$  for all  $t \geq 2$ . Fix an integer  $t \geq 2$ .

Since  $\ell$  induces an isomorphism between  $W$  and  $Y$  and between  $C$  and  $X$  and the restriction map  $H^0(\mathcal{O}_W(t)) \rightarrow H^0(\mathcal{O}_C(t))$  is surjective, the restriction map  $H^0(\mathcal{O}_Y(t)) \rightarrow H^0(\mathcal{O}_X(t))$  is surjective. Since  $h^1(\mathbb{P}^{n-1}, \mathcal{I}_Y(t)) = 0$ , we get  $h^1(\mathbb{P}^{n-1}, \mathcal{I}_X(t)) = 0$ .

We recall the following lemma proved for  $r = 3$  in [8, Lemma 1.2]; the same proof works for any  $r$ .

**Lemma 2.2.** *Assume that  $X$  has maximal rank.  $X$  is aCM if and only if  $s(X) = \sigma(X)$  and the restriction map  $H^0(\mathcal{I}_X(s(X))) \rightarrow H^0(H, \mathcal{I}_{X \cap H, H}(s(X)))$  is surjective.*

*Remark 2.3.* Assume that the non-degenerate curve  $X \subset \mathbb{P}^r$  is linearly normal, i.e., assume  $h^1(\mathcal{I}_X(1)) = 0$ . By (2.1) the restriction map  $H^0(\mathcal{I}_X(2)) \rightarrow H^0(\mathcal{I}_{X \cap H, H}(2))$  is surjective. Assume  $s(X) = 2$  and that  $X$  has maximal rank. Since  $X$  is integral, we have  $h^1(\mathcal{I}_X) = 0$ . Thus the case  $t = 1$  of (2.1) gives  $h^0(H, \mathcal{I}_{X \cap H, H}(1)) = 0$ . Thus  $\sigma(X) = 2$ . Lemma 2.2 shows that  $X$  is aCM.

**Lemma 2.4.** *Let  $X \subset \mathbb{P}^r$  be an integral and non-degenerate curve such that  $\sigma(X) > 2$ . Set  $d := \deg(X)$  and fix an integer  $\sigma$  such that  $2 \leq \sigma \leq \sigma(X)$ . Let  $H \subset \mathbb{P}^r$  be a general hyperplane. Set  $S := X \cap H$  and  $\beta := h^0(H, \mathcal{I}_{S, H}(\sigma))$ . Take  $A, B \subset S$  and an integer  $\alpha > 0$  such that  $h^1(H, \mathcal{I}_{A, H}(\alpha)) = 0$  and  $h^0(H, \mathcal{I}_{A, H}(\alpha)) > 0$ . If  $|B| \leq \binom{r+\sigma-1}{r-1} - \beta$ , then  $h^1(H, \mathcal{I}_{A \cup B, H}(\alpha + \sigma)) = 0$  and  $h^0(H, \mathcal{I}_{A \cup B, H}(\alpha + \sigma)) > 0$ .*

*Proof.* Note that  $\beta = 0$  if and only if  $\sigma < \sigma(X)$ . Since  $h^0(H, \mathcal{I}_{S, H}(\sigma)) = \beta$ , there is  $D \subseteq S$  such that  $|D| = \binom{r+\sigma-1}{r-1} - \beta$  and  $h^1(H, \mathcal{I}_{D, H}(\sigma)) = 0$ . Since  $S$  has the Uniform Position Property in the sense of [11, Ch. III],  $h^1(H, \mathcal{I}_{F, H}(\sigma)) = 0$  for all  $F \subseteq S$  such that  $|F| \leq \binom{r+\sigma-1}{r-1} - \beta$ . Since  $|A| < \binom{r+\alpha-1}{r-1}$ ,  $|B| \leq \binom{r+\sigma-1}{r-1}$  and  $\binom{r+\alpha-1}{r-1} + \binom{r+\sigma-1}{r-1} \leq \binom{r+\alpha+\sigma-1}{r-1}$ , we have  $h^0(H, \mathcal{I}_{A \cup B, H}(\alpha + \sigma)) > 0$ . Taking  $B \setminus B \cap A$  instead of  $B$  we reduce to the case  $A \cap B = \emptyset$ . Set  $z := |B|$ . We use induction on the integer  $z$  starting with the trivial case  $z = 0$ . Take a general  $Q \in |\mathcal{I}_{A, H}(\alpha)|$ . Since  $S$  is in uniform position, either  $h^1(H, \mathcal{I}_{S, H}(\alpha)) = 0$  or  $Q \cap (S \setminus A) = \emptyset$ . Since in the former case the lemma is true, we may assume  $Q \cap (S \setminus A) = \emptyset$  and in particular  $Q \cap B = \emptyset$ . We may assume  $z > 0$ . Take  $p \in B$  and set  $B' := B \setminus \{p\}$ . By the inductive assumption we have  $h^1(H, \mathcal{I}_{A \cup B', H}(\alpha + \sigma)) = 0$ . Let  $Q'$  be a general element of  $|\mathcal{I}_{B', H}(\sigma)|$ . Since  $h^1(H, \mathcal{I}_{D, H}(\sigma)) = 0$  and  $Q'$  is general, we have  $p \notin Q'$ . Thus  $p \notin Q \cup Q'$ . Hence  $h^0(H, \mathcal{I}_{A \cup B, H}(\alpha + \sigma)) < h^0(H, \mathcal{I}_{A \cup B', H}(\alpha + \sigma))$ . Thus  $h^1(H, \mathcal{I}_{A \cup B, H}(\alpha + \sigma)) = 0$ .  $\square$

We only use the case  $\sigma = 2$  of Lemma 2.4 to prove Corollary 2.6, which will be used to prove part (1) of Theorem 1.1.

**Lemma 2.5.** *Let  $X \subset \mathbb{P}^r$  be an integral and non-degenerate curve such that  $\sigma(X) > 2$ . Let  $H \subset \mathbb{P}^r$  be a general hyperplane. Set  $S := X \cap H$  and  $d := \deg(X)$ . Write  $d = a \binom{r+1}{2} + b$  with  $a \in \mathbb{N}$  and  $-1 \leq b \leq \binom{r+1}{2} - 2$ .*

- (a) We have  $h^1(H, \mathcal{I}_{S,H}(1)) = d - r$  and  $h^1(H, \mathcal{I}_{S,H}(2)) = d - \binom{r+1}{2}$ .
- (b) We have  $h^1(H, \mathcal{I}_{S,H}(t)) = 0$  for all  $t \geq 2a + 2$ .
- (c) We have  $h^1(H, \mathcal{I}_{S,H}(2a + 1)) \leq \max\{0, b - r + 1\}$ .
- (d) If  $4 \leq t \leq 2a$  and  $t = 2x$  is even we have  $h^1(H, \mathcal{I}_{S,H}(t)) \leq d - x \binom{r+1}{2} + 1$ .
- (e) If  $3 \leq t \leq 2a - 1$  and  $t = 2x + 1$  is odd, we have  $h^1(H, \mathcal{I}_{S,H}(t)) \leq d - x \binom{r+1}{2} + 1 - r$ .

*Proof.* Since  $h^0(H, \mathcal{I}_{S,H}(2)) = 0$ , we have  $d \geq \binom{r+1}{2}$  and hence part (a) is trivial. Since  $H$  is general, the set  $S$  has cardinality  $d$  and it is in uniform position, i.e.,  $h^0(H, \mathcal{I}_{A,H}(t)) = h^0(H, \mathcal{I}_{B,H}(t))$  for all  $t \in \mathbb{N}$  and any  $A, B \subseteq S$  with  $|A| = |B|$  ([11, page 85]). Since  $h^0(H, \mathcal{I}_{S,H}(2)) = 0$ , we have  $h^1(H, \mathcal{I}_{A,H}(2)) = 0$  for all  $A \subset S$  such that  $|A| \leq \binom{r+1}{2}$ .

Now we prove part (d). Fix any  $A \subset S$  with  $|A| \leq \binom{r+1}{2} - 1$ . Thus  $h^0(H, \mathcal{I}_{A,H}(2)) = \binom{r+1}{2} - |A| > 0$ . Take a general  $Q \in |\mathcal{I}_{A,H}(2)|$ . Since  $S$  is in uniform position and  $Q$  is general, we have  $Q \cap S = A$ . Write  $S = A_1 \sqcup B_2 \sqcup \dots \sqcup B_{a-1} \sqcup D$  with  $|B_i| = \binom{r+1}{2}$  for all  $i$  and  $|D| = b$ . Part (d) is empty if  $a = 3$ . To get part (d) for  $x = 2$  (hence  $a \geq 4$ ) use Lemma 2.4 with  $\alpha = \sigma = 2$ , and  $B := B_1$ . Then use Lemma 2.4 for  $\alpha = 2x - 2$  and  $\sigma = 2$  to prove  $h^1(H, \mathcal{I}_{A \cup \dots \cup B_x, H}(2x)) = 0$  by induction on  $x$ . This vanishing proves part (d).

To prove part (b) it is sufficient to prove that  $h^1(H, \mathcal{I}_{S,H}(2a+2)) = 0$ , which is proved from the case  $t = 2a$  using that  $|D| \leq \binom{r+1}{2}$  and applying Lemma 2.4 for  $\sigma = 2$  and  $\alpha = 2a$ .

Now we prove part (e). We may assume  $a \geq 2$ , because if  $a = 1$  part (e) is empty. We fix  $E \subset S$  with  $|E| = r - 1$ . Since  $S$  is in uniform position and it spans  $H$ ,  $E$  spans a hyperplane  $M$  of  $H$  such that  $M \cap S = E$ . Write  $S = E \sqcup F_1 \sqcup \dots \sqcup F_x \sqcup D'$  with  $|F_i| = \binom{r+1}{2}$  for all  $i$ . Apply  $x$  times Lemma 2.4, always with  $\sigma = 2$  and  $\alpha = 1, 3, \dots, 2x - 3$ . We get  $h^1(H, \mathcal{I}_{E \sqcup F_1 \sqcup \dots \sqcup F_x, H}(2x + 1)) = 0$  and so  $h^1(H, \mathcal{I}_{S,H}(2x + 1)) \leq |D'| = d - r + 1 - x \binom{r+1}{2}$ .

Part (c) follows from part (e) for  $t = 2a$  using Lemma 2.4 with  $\alpha = 2a - 1$  and  $\sigma = 2$ . □

**Corollary 2.6.** *Let  $X \subset \mathbb{P}^r$  be an integral and non-degenerate curve such that  $\sigma(X) > 2$ . Set  $d := \deg(X)$  and  $g := p_a(X)$ . Write  $d = a \binom{r+1}{2} + b$  with  $a \in \mathbb{N}$  and  $-1 \leq b \leq \binom{r+1}{2} - 2$ . Then  $g \leq 2ad - a^2 \binom{r+1}{2} - ra + a - 2 + \max\{0, b - r + 1\}$ .*

*Proof.* Let  $H \subset \mathbb{P}^r$  be a general hyperplane. Set  $S := X \cap H$ . By the Castelnuovo's method ([11, Corollary 3.2]) we have  $g \leq \sum_{t \geq 1} h^1(H, \mathcal{I}_{S,H}(t))$ . Parts (a), (b) and (c) of Lemma 2.5 give  $h^1(H, \mathcal{I}_{S,H}(1)) = d - r$ ,  $h^1(H, \mathcal{I}_{S,H}(2)) = d - \binom{r+1}{2}$ ,  $h^1(H, \mathcal{I}_{S,H}(t)) = 0$  for all  $t \geq 2a + 2$  and  $h^1(H, \mathcal{I}_{S,H}(2a + 1)) \leq \max\{0, b - r + 1\}$ . For all integers  $x$  such that  $2 \leq x \leq a$  we have  $h^1(H, \mathcal{I}_{S,H}(2x)) \leq d - x \binom{r+1}{2} + 1$  (part (d) of Lemma 2.5). For all integers  $x$  such that  $1 \leq x \leq a - 1$  we have  $h^1(H, \mathcal{I}_{S,H}(2x + 1)) \leq d - x \binom{r+1}{2} + 1 - r$  (part (e) of Lemma 2.5).

Since  $\sum_{x=2}^a (d - x \binom{r+1}{2} + 1) = (a - 1)d + a - 1 - \binom{r+1}{2}(a + 2)(a - 1)/2$  and  $\sum_{x=1}^{a-1} (d - x \binom{r+1}{2} + 1 - r) = (a - 1)d - (a - 1)(r - 1) - \binom{r+1}{2}a(a - 1)/2$ , we get  $g \leq 2ad - a^2 \binom{r+1}{2} - ra + a - 2 + \max\{0, b - r + 1\}$ .  $\square$

*Remark 2.7.* Note that the upper bound on the arithmetic genus  $g$  in Corollary 2.6 is quadratic in  $d$ , but with a leading coefficient,  $\frac{2}{r(r+1)}$ , which is far smaller both of the one for the upper bound,  $\pi(d, r)$ , for degree  $d$  non-degenerate curves,  $\frac{1}{2r-2}$  ([11, Theorem 3.7]), and the one for non-linearly normal non-degenerate curves (i.e.,  $\pi(d, r + 1)$ ),  $\frac{1}{2r}$  ([11, Theorem 3.15]) and  $\pi_1(d, r + 1)$ ,  $\frac{1}{2r+2}$ . Set  $\gamma(d, r) := 2ad - a^2 \binom{r+1}{2} - ra + a - 2 + \max\{0, b - r + 1\}$ . Set  $m_1 := \lfloor (d-1)/(r+1) \rfloor$ ,  $\epsilon_1 := d - m_1(r + 1) - 1$ ,  $\mu_1 = 0$  if  $\epsilon_1 \neq r$  and  $\mu_1 = 1$  if  $\epsilon_1 = r$ . Recall that  $\pi_1(d, r + 1) = \binom{m_1}{2}(r + 1) + m_1(\epsilon_1 + 1) + \mu_1$ .

*Claim 1:* We have  $\gamma(d, r) < \pi(d, r + 1)$  for all  $r \geq 4$  and  $d \geq \binom{r+1}{2}$ .

*Claim 2:* We have  $\gamma(d, r) < \pi_1(d, r + 1)$  for all  $r \geq 5$  and  $d \geq \binom{r+1}{2}$ .

*Proofs of Claims 1 and 2:* Since  $\pi_1(d, r + 1) \leq \pi(d, r + 1)$  when the former is defined, i.e., for  $d \geq 2r + 5$ , for  $r \geq 5$  it is sufficient to prove Claim 2 and then prove Claim 1 for  $r = 4$  (note that  $\binom{r+1}{2} \geq 2r + 5$  if and only if  $r \geq 5$ ).

(a) Take  $d = \binom{r+1}{2}$ . Thus  $a = 1$ ,  $b = 0$  and  $\gamma(\binom{r+1}{2}, r) = \binom{r+1}{2} - r - 1 = \binom{r}{2} - 1$ .

Now we compute  $\pi_1(\binom{r+1}{2}, r + 1)$ . First assume  $r$  even. We get  $m_1 = r/2 - 1$  and  $\epsilon_1 = r$ . Thus  $\mu_1 = 1$  and  $\pi_1(\binom{r+1}{2}, r + 1) = (r - 2)(r - 4)(r + 1)/8 + (r/2 - 1)(r + 1) + 1 = (r - 2)(r - 4)(r + 1)/8 + \binom{r}{2}$ . Thus  $\pi_1(\binom{r+1}{2}, r + 1) > \gamma(\binom{r+1}{2}, r)$  for all even  $r \geq 4$ . Now assume  $r$  odd. We get  $m_1 = (r - 1)/2 = \epsilon_1$  and hence  $\pi_1(\binom{r+1}{2}, r + 1) = (r - 1)(r - 3)(r + 1)/8 + (r^2 - 1)/4$ . Thus  $\pi_1(\binom{r+1}{2}, r + 1) > \gamma(\binom{r+1}{2}, r)$  for all odd  $r \geq 5$ .

(b) Now we take  $r \geq 5$  and any  $d \geq \binom{r+1}{2}$ , assume  $\pi_1(d, r + 1) > \gamma(d, r)$  and prove that  $\pi_1(d + 1, r + 1) > \gamma(d + 1, r)$ . By step (a) this would conclude the proof of Claim 2. Set  $z := \pi_1(d + 1, r + 1) - \pi_1(d, r + 1)$  and  $w := \gamma(d + 1, r) - \gamma(d, r)$ . It is sufficient to prove that  $z \geq w$ . We call  $a, b, m_1, \epsilon_1, \mu_1$  the integers associated to  $d$  and compute the corresponding integers for  $d + 1$  (which we will write with a prime, say  $a', b'$  and so on). If  $b \neq \binom{r+1}{2} - 2$  we have  $a' = a$  and  $b' = b + 1$ . Thus  $2a \leq w \leq 2a + 1$  (the first inequality holding if and only if  $b \leq r - 2$ ). If  $b = \binom{r+1}{2} - 2$ , i.e.,  $d = (a + 1)\binom{r+1}{2} - 2$ , then  $a' = a + 1$  and  $b' = 0$ . In this case we have  $\gamma((a + 1)\binom{r+1}{2} - 2, r) = (2a^2 + 2a)\binom{r+1}{2} - 4a - a^2 \binom{r+1}{2} - ar + a - 2 + \binom{r+1}{2} - 1 - r$ ,  $\gamma((a + 1)\binom{r+1}{2} - 1, r) = (2a^2 + 4a + 1)\binom{r+1}{2} - 2(a + 1) - (a + 1)^2 \binom{r+1}{2} - ar - r + a - 1$  and hence  $w = 2a - r$ .

If  $\epsilon_1 < r$  we have  $m'_1 = m_1$ ,  $\epsilon'_1 = \epsilon_1$ . We have  $\mu'_1 = 1$  if and only if  $\epsilon'_1 = r - 1$ . Thus  $m_1 \leq z \leq m_1 + 1$  in this case and  $z = m_1 + 1$  if and only if  $\epsilon_1 = r - 1$ . Now assume  $\epsilon_1 = r$  and so  $\mu_1 = 1$ . We have  $m'_1 = m_1 + 1$  and  $\epsilon'_1 = \mu'_1 = 0$ . Since  $\binom{m_1 + 1}{2} - \binom{m_1}{2} = m_1$ , we get  $z = m_1$  in this case. Thus to prove that  $z > w$  it is sufficient to prove that  $m_1 \geq 2a + 1$ . We have  $(r + 1)m_1 = d - 1 - \epsilon_1 \geq d - r - 1$

and  $d = a\binom{r+1}{2} + b$ . For any  $a \geq 1, b \geq -1$  is sufficient to assume either  $r \geq 6$  or  $r = 5, a \geq 2$  and  $b \geq 1$ .

Now assume  $r = 5$ . Hence  $\binom{r+1}{2} = 15$ . Thus  $\gamma(15 + x, 5) = 9 + 2x$  for  $0 \leq x \leq 3, \gamma(19 + x, 5) = 18 + 3x$  for  $0 \leq x \leq 9$  and  $\gamma(29 + x, 5) = 46 + 4x$  for  $0 \leq x \leq 4$ . To compute  $\pi_1(15, 6)$  we use that  $m_1 = 2$  and  $\epsilon_1 = 1$ . Thus  $\pi_1(15 + x, 6) = 12 + 2x$  for  $0 \leq x \leq 2, \pi_1(18 + x, 6) = 19 + 3x$  for  $0 \leq x \leq 4, \pi_1(24, 6) = 37, \pi_1(25 + x, 6) = 40 + 4x$  for  $0 \leq x \leq 4$ , and so on.

(c) Take  $r = 4$  and so  $\binom{r+1}{2} = 10$ . Thus  $\gamma(10, 4) = 5$ . Call  $m$  and  $\epsilon$  the integers associated to the pair  $(10, 5)$  for the computation of  $\pi(10, 5)$ . Since  $m = 2$  and  $\epsilon = 1$ , we have  $\pi(10, 5) = 6$ . Now take an integer  $d \geq 10$  and assume  $\gamma(d, 4) \leq \pi(d, 4)$ . Take  $a, b, w := \gamma(d + 1, 4) - \gamma(d, 4)$  as in step (b). Set  $z' := \pi(d + 1, 5) - \pi(d, 5)$ . Call  $m, \epsilon$  (resp.  $m', \epsilon'$ ) the integers needed to compute  $\pi(d, 5)$  (resp.  $\pi(d + 1, 5)$ ). If  $\epsilon \leq 3$  we have  $m' = m, \epsilon' = \epsilon + 1$  and so  $z' = m$ . If  $\epsilon = 4$  we have  $m' = m + 1, \epsilon' = 0$  and so  $z' = m$ . Recall that  $w \leq 2a + 1$  with  $w = 2a$  if  $b \leq 3$ , that  $(a, b) \neq (1, -1)$  and that  $d - 1 = 4m + \epsilon = 15a + b - 1$  with  $-1 \leq b \leq 8$ . To get  $z' \geq w$  use that  $10a + 7 \geq 4(2a + 1)$  and  $10a - 2 \geq 8a$  for all  $a \geq 1$ .

### 3. Proof of Theorem 1.1

*Proof of part (1) of Theorem 1.1.* Let  $X \subset \mathbb{P}^r$  be an integral and non-degenerate maximal rank curve which is not aCM. Set  $d := \text{deg}(X)$  and  $g := g(X)$  and assume  $g > \pi(d, r + 1)$ . By Castelnuovo's theory ([11, Theorem 3.7]),  $X$  is linearly normal and hence  $h^1(\mathcal{I}_X(1)) = 0$ . Assume for the moment  $h^0(\mathcal{I}_X(2)) \neq 0$  and hence  $h^0(\mathcal{I}_X(t)) \neq 0$  for all  $t \geq 2$ . Since  $X$  has maximal rank,  $h^1(\mathcal{I}_X(t)) = 0$  for all  $t \geq 2$ . Since  $X$  is integral, we have  $h^1(\mathcal{I}_X) = 0$ . Thus  $X$  is aCM, contradicting one of our assumptions. Thus  $h^0(\mathcal{I}_X(2)) = 0$ . Since  $h^1(\mathcal{I}_X(1)) = 0$ , we have  $\sigma(X) > 2$ . Taking a general hyperplane section and using the definition of  $\sigma(X)$  we get  $d \geq \binom{r+1}{2}$ . To get a contradiction and conclude the proof of part (1) of Theorem 1.1 it is sufficient to quote Claim 1 of Remark 2.7.  $\square$

For the constructive proof of part (2) of Theorem 1.1 we recall the description of the Hirzebruch surfaces, i.e., the  $\mathbb{P}^1$ -bundles over  $\mathbb{P}^1$  ([12, §V.2]; to translate the notation below to the one used in [12] set  $H := h + ef$ ).

Let  $F_e$  be the Hirzebruch surface with a section of the ruling with self-intersection  $-e$ . The embeddings of these surfaces, plus the cones over rational normal curves give the minimal degree surfaces ([11, Proposition 3.10]). We have  $\text{Pic}(F_e) \cong \mathbb{Z}^2$  and we take as a  $\mathbb{Z}$ -basis of  $\text{Pic}(F_e)$  a fiber  $f$  of one of its rulings (the only one if  $e > 0$ ) and a section  $h$  of the ruling with  $h^2 = -e$ ;  $h$  is unique if  $e > 0$ . We have  $\omega_{F_e} \cong \mathcal{O}_{F_e}(-2h - (e + 2)f)$ . All the smooth surfaces  $Y \subset \mathbb{P}^r, r \geq 3$ , with minimal degree  $r - 1$  are obtained embedding some  $F_e$  with  $e \equiv r - 1 \pmod{2}$  and  $0 \leq e \leq r - 2$  by the complete linear system  $|\mathcal{O}_{F_e}(h + \frac{r-1+\epsilon}{2}f)|$ . From now on we often identify  $F_e$  and  $Y$ , so that a curve  $X \subset Y$  belongs to a certain linear system  $|\mathcal{O}_{F_e}(ah + bf)|, (a, b) \in \mathbb{N}^2$ .

Fix an integral and non-degenerate curve  $X \subset Y$  with  $X \in |\mathcal{O}_{F_e}(ah + bf)|$ . Since  $X$  is integral and non-degenerate, we have  $a > 0, b > 0, b \geq ae$  and either  $a \geq 2$  or  $a = 1$  and  $b > (r - 1 + e)/2$ . We have  $d := \deg(X) = a(r - 1 + e)/2 + b - ea = a(r - 1 - e)/2 + b$ . Set  $g := p_a(X)$ . Since  $\omega_{F_e} \cong \mathcal{O}_{F_e}(-2h - (e + 2)f)$ , the adjunction formula gives  $\omega_X \cong \mathcal{O}_X((a - 2)h + (b - e - 2)f)$  and hence  $2g - 2 = -ea(a - 2) + a(b - e - 2) + b(a - 2)$ , i.e.,  $g = 1 + ab - b + (ea - ea^2)/2 - a$ .

Now we check for which  $(x, y) \in \mathbb{Z}^2$  we have  $h^1(\mathcal{O}_{F_e}(xh + yf)) = 0$ . Let  $\pi : F_e \rightarrow \mathbb{P}^1$  denote the ruling induced by the complete linear system  $|\mathcal{O}_{F_e}(f)|$ . For any integer  $c \geq 0$  we have  $\pi_*(\mathcal{O}_{F_e}(ch + df)) \cong \bigoplus_{i=0}^c \mathcal{O}_{\mathbb{P}^1}(d - ie)$ . We have  $h^1(\mathcal{O}_{\mathbb{P}^1}(t)) = 0$  if and only if  $t \geq -1$ . Thus if  $x \geq 0$  we have  $h^1(\mathcal{O}_{F_e}(xh + yf)) = 0$  if and only if  $y \geq ex - 1$ .

Now assume  $x = -1$ . Since  $h^i(\mathcal{O}_{\mathbb{P}^1}(-1)) = 0, i = 0, 1$ , and  $\pi$  is flat, the changing basis theorem gives  $\pi_*(\mathcal{O}_{F_e}(ah + bf)) = R^1\pi_*(\mathcal{O}_{F_e}(ah + bf)) = 0$ . Thus the Leray spectral sequence of  $\pi$  gives  $h^1(\mathcal{O}_{F_e}(-h + yf)) = 0$ .

Now assume  $x \leq -2$ . Since  $\omega_{F_e} \cong \mathcal{O}_{F_e}(-2h - (e + 2)f)$ , duality gives  $h^1(\mathcal{O}_{F_e}(xh + yf)) = h^1(\mathcal{O}_{F_e}((-2 - x)f + (-b - e - 2)h))$ . Since  $-2 - x \geq 0$ , we just saw that  $h^1(\mathcal{O}_{F_e}(xh + yf)) = 0$  if and only if  $-y - e - 2 \geq e(-2 - x) - 1$ , i.e., if and only if  $y \leq (x + 1)e - 1$ .

Fix an integer  $x > 0$ . We have  $\mathcal{O}_{F_e}(x) \cong \mathcal{O}_{F_e}(xh + x \frac{r_1 + e}{2} f)$ . Since  $Y$  is projectively normal, we have  $h^1(\mathcal{I}_X(x)) = 0$  if and only if  $h^1(\mathcal{O}_{F_e}(x)(-X)) = 0$ , i.e., if and only if  $h^1(\mathcal{O}_{F_e}((x - a)h + (x \frac{r_1 + e}{2} - b)f)) = 0$ . We do not need to show all the possible solutions for arbitrary  $e$  for the following reason. In the particular cases (i.e.,  $e = 0, 1$ ) we will do below we will get all integers  $d \geq r + 1$  and for each of these cases the genus is  $\pi(d, r + 1)$  by Remark 3.1 below. So the long discussion of the Hirzebruch surfaces, rational cones and the Veronese embedding would only give (by the Castelnuovo's theorem explained in [11, Ch. III]) all possible smooth curves in part (2) of Theorem 1.1.

*Remark 3.1.* Take any smooth curve  $X \subset \mathbb{P}^r$  with maximal rank, but not aCM constructed as an isomorphic linear projection of an aCM and linearly normal curve  $X' \subset \mathbb{P}^{r+1}$  contained in a minimal degree surface  $T$ , i.e., either a cone of a rational normal curve of  $\mathbb{P}^{r+1}$  or the isomorphic image of an Hirzebruch surface  $F_e, e \equiv r + 1 \pmod{2}, 0 \leq e \leq r - 2$ . Set  $d := \deg(X)$ . We claim that  $p_a(X) = \pi(d, r + 1)$ , i.e., that  $p_a(X') = \pi(d, r + 1)$ . Indeed, since  $X'$  is aCM, we have  $h^1(\mathcal{I}_{X'}(x)) = 0$  for all  $x \geq 0$ . Let  $H \subset \mathbb{P}^{r+1}$  be a general hyperplane. Note that  $H \cap X'$  are  $d$  points of the rational normal curve  $T \cap H$ . Use the proof of Castelnuovo's theorem given in [11, Ch. III].

**3.1.  $\mathbb{P}^r, r \geq 6$  and  $r$  even**

Fix an integer  $t \geq 3$ . In this section we consider non-degenerate curves  $X \subset \mathbb{P}^{2t}$ , which are not linearly normal (and in particular they are not aCM), but which have maximal rank. Set  $F_0 := \mathbb{P}^1 \times \mathbb{P}^1$ . The line bundle  $\mathcal{O}_{F_0}(1, t)$  is very ample and it gives a linearly normal embedding  $\phi : F_0 \rightarrow \mathbb{P}^{2t+1}$  as a minimal degree surface (and in particular as an aCM surface). Let  $Y \subset \mathbb{P}^{2t}$



be a general linear projection of  $\phi(F_0)$ . Since  $2t \geq 6$ ,  $Y \cong F_0$  and hence for each curve  $X \subset F_0$  we get an embedding of  $X$  into  $\mathbb{P}^{2t}$ . Fix integers  $a \geq 2$  and  $b > 0$  and take any smooth  $C_{a,b} \in |\mathcal{O}_{F_0}(a,b)|$ . Let  $X_{a,b} \subset Y$  denote the curve obtained by the linear projection of  $\phi(C_{a,b})$ . Since  $a \geq 2$ ,  $\phi(C_{a,b})$  spans  $\mathbb{P}^{2t+1}$  and hence  $X_{a,b}$  is non-degenerate and  $h^1(\mathcal{I}_{X_{a,b}}(1)) > 0$ . We have  $\omega_{F_0} \cong \mathcal{O}_{F_0}(-2, -2)$ . Hence the adjunction formula gives  $\omega_{C_{a,b}} \cong \mathcal{O}_{X_{a,b}}(a-2, b-2)$ . Thus  $p_a(X_{a,b}) = ab - a - b - 1$ . Note that  $\deg(X_{a,b}) = b + ta$ . For any integer  $x > 0$  let  $\eta_{x,a,b} : H^0(\mathcal{O}_{F_0}(x, tx)) \rightarrow H^0(\mathcal{O}_{X_{a,b}}(x))$  denote the restriction map.

*Remark 3.2.* Fix  $(u, v) \in \mathbb{Z}^2$ . By the Künneth formula we have  $h^1(\mathcal{O}_{F_0}(u, v)) = 0$  if and only if either  $u \geq 0$  and  $v \geq -1$  or  $u = -1$  or  $u \leq -2$  and  $v \geq 0$ .

*Remark 3.3.* By [1, Corollary 3.3] or [2, Theorem 2] we have  $h^1(\mathcal{I}_Y(x)) = 0$  for all  $x \geq 2$ . Thus for every integer  $x \geq 2$  the restriction map  $\rho_x : H^0(\mathcal{O}_{\mathbb{P}^{2t}}(x)) \rightarrow H^0(\mathcal{O}_Y(x))$  is surjective. Note that  $\mathcal{O}_Y(x) \cong \mathcal{O}_{F_0}(1, xt)$  and that  $h^0(\mathcal{O}_{F_0}(2, 2t)) = 6t + 3$ . Since  $\rho_2$  is surjective, we have  $h^0(\mathcal{I}_Y(2)) = \binom{2t+2}{2} - 6t - 3 > 0$ . Thus every curve contained in  $Y$  is contained in a quadric hypersurface. Note that  $\eta_{x,a,b}$  is surjective (a condition equivalent to  $h^1(\mathcal{I}_{C_{a,b}}(x)) = 0$  if  $x \geq 2$ ) if and only if  $h^1(\mathcal{O}_{F_0}(x-a, tx-b)) = 0$ . By the Künneth's formula we have  $h^1(\mathcal{O}_{F_0}(x-a, tx-b)) = 0$  if and only if either  $x \geq a-1$  or  $b \geq tx-1$ . Since  $X_{a,b}$  is an isomorphic linear projection of  $\phi(C_{a,b})$  and  $h^1(\mathcal{I}_Y(x)) = 0$  for all  $x \geq 2$ , we have  $h^1(\mathcal{I}_{C_{a,b}}(x)) = 0$  if and only if  $h^1(\mathcal{O}_{F_0}(x-a, xt-b)) = 0$ , i.e., if and only if either  $x \geq a$  and  $xt-b \geq -1$  or  $x-a = -1$  or  $x-a \leq -2$  and  $tx-b < 0$ .

Take positive integers  $a, b$ . The restriction maps  $\eta_{x,a,b}$  are surjective for all  $x \geq 2$  if and only if  $h^1(\mathcal{O}_{F_0}(x-a, tx-b)) = 0$  for all  $x \geq 2$ . Recall that  $t \geq 3$  and  $\mathcal{O}_{F_0}(1) = \mathcal{O}_{F_0}(1, t)$ . First assume  $x \geq a$ . In this case  $h^1(\mathcal{O}_{F_0}(x-a, tx-b)) = 0$  if and only if  $tx-b \geq x-a-1$  (Remark 3.2) and this is the case if and only if  $b \leq ta+1$ . If  $x = a-1$ , then  $h^1(\mathcal{O}_{F_0}(x-a, tx-b)) = 0$  for any  $b$ . Now assume  $x \leq a-2$ . Since  $x \geq 2$ , in this part we are assuming  $a \geq 4$ . By Remark 3.2 we have  $h^1(\mathcal{O}_{F_0}(x-a, tx-b)) = 0$  if and only if  $b \geq tx$ . Thus  $\eta_{x,a,b}$  is surjective for all  $x \geq 2$  if and only if

$$(3.1) \quad 2ta - 2t \leq b \leq 2ta + 1.$$

So for each fixed  $t \geq 3$  (i.e., for each fixed even  $r \geq 6$ ) and each fixed  $a \geq 2$  we have  $2t+1$  possible degrees (ranging from  $2ta-2t$  and  $2ta+1$ ), each of them with a different genus (which increases from  $ta^2 - 2at - ta + 2t - a + 1 = ta^2 - 3at - a + 1$  to  $ta^2 + a - ta - a + 1 = ta^2 - ta + 1$ ). The maximal degree  $2ta + 1$ , for the integer  $a$  is higher than the minimal degree,  $2ta$ , for the integer  $a + 1$ . Thus increasing  $a$  we get as degrees all integers which are at least the minimal degree which occurs when  $a = 4$ , i.e., all  $d \geq 6t$ . Then we add the non-degenerate examples coming for the integers  $a = 1, 2, 3$  (i.e., for  $a = 1$  we assume  $b > t$  and hence  $b = t + 1$ ). We get all examples with  $d \geq 2t + 1 = r + 1$ .

**3.2.  $\mathbb{P}^r$ ,  $r \geq 5$  and  $r$  odd**

Fix an odd integer  $r \geq 5$ . Thus  $r = 2t - 1$  for some integer  $t \geq 3$ . The linear system  $|\mathcal{O}_{F_1}(h + tf)|$  induces an embedding  $\phi : F_1 \rightarrow \mathbb{P}^{2t}$ . Let  $Y \subset \mathbb{P}^{2t-1} = \mathbb{P}^r$  be a general linear projection of  $\phi(F_1)$ . We have  $h^1(\mathcal{I}_Y(x)) = 0$  for all  $x \geq 2$  by either [2, Theorem 2] or [1, Corollary 3.3]. Fix integer  $b \geq a \geq 2$ . Fix a smooth curve  $X \in |\mathcal{O}_{F_1}(ah + bf)|$ . Since  $\omega_{F_1} \cong \mathcal{O}_{F_1}(-2h - 3f)$ , the adjunction formula gives  $\omega_X \cong \mathcal{O}_X((a - 2)h + (b - 3)f)$  and so  $2p_a(X) - 2 = a(b - 3) + (a - 2)b - a(a - 2)$ , i.e.,  $X$  has genus  $1 + ab - a(a + 3)/2$ . Let  $X_{a,b} \subset Y$  be the image of  $X$  by the linear projection sending  $\phi(F_1)$  isomorphically onto  $Y$ . Note that  $\deg(X_{a,b}) = b + ta - a$ . For any  $x \in \mathbb{N}$  let  $\eta_{x,a,b} : H^0(\mathcal{O}_{F_1}(xh + xtf)) \rightarrow H^0(\mathcal{O}_X(x))$  denote the restriction map. We only consider the case  $b = ta$ .

**Lemma 3.4.** *We have  $h^1(\mathcal{O}_{F_1}(uh + vf)) = 0$  if and only if either  $u \geq 0$  and  $v \geq u - 1$  or  $u = -1$  or  $u \leq -2$  and  $u \geq v$ .*

*Proof.* First assume  $u \geq 0$ . Let  $\pi : F_1 \rightarrow \mathbb{P}^1$  be the ruling of  $F_1$ . We have  $\pi_*(\mathcal{O}_{F_1}(uh + vf)) \cong \bigoplus_{i=0}^u \mathcal{O}_{F_1}(v - i)$ . Since  $h^1(\mathcal{O}_{\mathbb{P}^1}(x)) = 0$  if and only if  $x \geq -1$ , the Leray spectral sequence of  $\pi$  gives  $h^1(\mathcal{O}_{F_1}(uh + vf)) = 0$  if and only if  $v \geq u - 1$ .

Now assume  $u = -1$ . Since  $\pi_*(\mathcal{O}_{F_1}(-h + vf)) = 0$  and  $R^1\pi_*(\mathcal{O}_{F_1}(-h + vf)) = 0$ , the Leray spectral sequence of  $\pi$  gives  $h^1(\mathcal{O}_{F_1}(-h + vf)) = 0$ .

Now assume  $u \leq -2$ . Since  $\omega_{F_1} \cong \mathcal{O}_{F_1}(-2h - 3f)$ , duality gives  $h^1(\mathcal{O}_{F_1}(uh + vf)) = h^1(\mathcal{O}_{F_1}((-2 - u)h + (-3 - v)f))$ . Thus  $h^1(\mathcal{O}_{F_1}(uh + vf)) = 0$  if and only if  $-3 - v \geq -2 - u - 1$ , i.e.,  $u \geq v$ . □

Take integers  $b \geq a > 0$  and a smooth  $X \in |\mathcal{O}_{F_1}(ah + bf)|$ . Note that  $X$  is connected.

Fix positive integers  $a$  and  $b$ . The restriction maps  $\eta_{x,a,b}$  are surjective for all  $x \geq 2$  if and only if  $h^1(\mathcal{O}_{F_1}((x - a)h + (tx - b)f)) = 0$  for all  $x \geq 2$ . Recall that  $t \geq 3$  and  $\mathcal{O}_{F_1}(1) = \mathcal{O}_{F_1}(h + tf)$ . First assume  $x \geq a$ . In this case  $h^1(\mathcal{O}_{F_1}((x - a)h + (tx - b)f)) = 0$  if and only if  $tx - b \geq x - a - 1$  (Remark 3.4) and this is the case if and only if  $b \leq ta + 1$ . If  $x = a - 1$ , then  $h^1(\mathcal{O}_{F_1}((x - a)h + (tx - b)f)) = 0$  for any  $b$  (Remark 3.4). Now assume  $x \leq a - 2$ . Since  $x \geq 2$  we are assuming  $a \geq 4$ . Thus  $\eta_{x,a,b}$  is surjective for all  $x \geq 2$  if and only if  $ta - 2t \leq b \leq ta + 1$ . Note that an element of  $|\mathcal{O}_{F_1}(ah + bf)|$  has degree  $ta + b - a$ . Thus for a fixed  $a$  we get all integers between  $2ta - 2t - a$  and  $2ta + 1 - a$ . Note that the maximum of this set of integers for the integer  $a$  is smaller than the minimum one arising for the integer  $a + 1$ . We add the non-degenerate maximal rank curves coming from the integers  $a = 1, 2, 3$ . The non-degeneracy gives no restriction if  $a > 1$ , while if  $a = 1$  we need  $b > t$  and hence  $b = t + 1$ . Thus as in the case with even  $r \geq 6$  we get as degrees of maximal rank, but not aCM, curves of all degrees  $d \geq r + 1$ .

**3.3. Case  $r = 4$**

Call  $\phi : \mathbb{P}^2 \rightarrow \mathbb{P}^5$  the order 2 Veronese embedding. Set  $W := \phi(\mathbb{P}^2)$ . The Veronese surface is aCM and a general linear projection  $Y$  of it in  $\mathbb{P}^4$  is isomorphic to it. Thus for each integer  $a \geq 3$  and any smooth degree  $a$  plane curve  $A \subset \mathbb{P}^2$  we get a smooth curve  $\phi(A)$  with degree  $2a$  and genus  $(a - 1)(a - 2)/2 = \pi(2a, 5)$ . The curve  $\phi(A)$  is aCM, because  $W$  is aCM and  $h^1(\mathcal{O}_{\mathbb{P}^2}(a - t)) = 0$  for all  $t \in \mathbb{N}$ , i.e., for all  $t \in \mathbb{N}$  the restriction map  $H^0(\mathcal{O}_W(t)) \rightarrow H^0(\mathcal{O}_{\phi(A)}(t))$  is surjective. Let  $X \subset Y$  be the image of  $\phi(A)$  by the isomorphic linear projection  $W \rightarrow Y$ . It is well-known that  $h^1(\mathcal{I}_Y(t)) = 0$  for all  $t \geq 2$  (this also follows from the case  $(d, k) = (2, 4)$  of [2, Theorem 3]). Since all restriction maps  $H^0(\mathcal{O}_W(t)) \rightarrow H^0(\mathcal{O}_{\phi(A)}(t))$ ,  $t \geq 2$ , are surjective,  $X$  has maximal rank. Since  $X$  is not linearly normal,  $X$  is not aCM. Since  $a \geq 3$ ,  $X$  is non-degenerate. Note that we really need to exclude the case  $a = 2$ , because the only non-degenerate degree 4 curves of  $\mathbb{P}^4$  are the rational normal curves, which are aCM.

*Proof of part (2) of Theorem 1.1.* For  $r$  even and  $r \geq 6$  we use the linear projection of the embeddings of  $F_0$ . For all odd  $r \geq 5$  we use the linear projections of the embeddings of  $F_1$ . Remark 3.1 gives that if  $X \subset \mathbb{P}^r$  arises in that way we have  $p_a(X) = \pi(\deg(X), r + 1)$ . □

*Remark 3.5.* We explain the existence for all integers  $d \geq r$ ,  $r \geq 4$ , of smooth, aCM and non-degenerate curves  $X \subset \mathbb{P}^r$ ,  $r \geq 4$ , with  $p_a(X) = \pi(d, r)$ . If  $r$  is odd use the images of the smooth curves  $X \in |\mathcal{O}_{F_0}(ah + a\frac{r-1}{2}f)|$  by the embedding of  $F_0$  induced by the complete linear system  $|\mathcal{O}_{F_0}(h + \frac{r-1}{2}f)|$ . If  $r$  is even use the images of the smooth curves  $X \in |\mathcal{O}_{F_1}(ah + a\frac{r}{2}f)|$  by the embedding of  $F_1$  induced by the complete linear system  $|\mathcal{O}_{F_1}(h + \frac{r}{2}f)|$ ; note that these linearly normal examples work even when  $r = 4$ , because  $\mathcal{O}_{F_1}(h + 2f)$  is very ample and  $h^0(\mathcal{O}_{F_1}(h + 2f)) = 5$ .

**4. Genus  $g < \pi(d, r + 1)$**

*Remark 4.1.* Let  $W \subset \mathbb{P}^n$ ,  $n \geq 3$ , be an integral and non-degenerate surface of degree  $n$ , i.e., the next degree after the minimal one for non-degenerate surfaces. T. Fujita studied these surfaces (and their higher dimensional generalization) in the set-up of polarized varieties with  $\Delta$ -genera 1 (more precisely, either they have  $\Delta$ -genus 1 or they are the isomorphic linear projection of a polarized variety of  $\Delta$ -genus 0). By [4, Theorem 1.2] they are either normal, aCM and anti-canonically embedded (often called normal del Pezzo surfaces) or exterior linear projection of minimal degree surfaces of  $\mathbb{P}^{n+1}$ . In the latter case if  $W$  is smooth we run in the case which we have handled in Section 3 and which only gives degree  $d$  maximal rank curves of genus  $\pi(d, n + 1)$ ; if  $W$  is singular, still no new smooth curve may arise in this way and so the degree  $d$  maximal rank curves contained in them have genus  $\pi(d, n + 1)$ . We recall that the classification of so-called normal del Pezzo surfaces is quite complicated if we

allow non-Gorenstein singularities or we allow that  $\omega_W$  is not ample ([5, 10]), but for us it is sufficient to look at normal Gorenstein surfaces for which  $\omega_W$  is a line bundle and  $\omega_W^*$  is ample (we even only need them when  $\omega_X^*$  is very ample). In this case there is a complete and easy classification ([15, 16]). The main point is that in this case the surface  $Y \subset \mathbb{P}^n$  is anticanonically embedded and it is either the cone over a linearly normal elliptic curve of  $\mathbb{P}^{n-1}$  or it is the one described in [7] as the image of a blowing up of  $\mathbb{P}^2$  by a system of plane cubics (when  $W$  is not smooth it corresponds to the sequences of blowing ups in almost general position in the terminology of [7]). In the latter cases we have  $n \leq 9$  and hence they give examples in the set-up of Proposition 1.2 only for  $r := n - 1 \leq 8$ . We only do the cases which gives examples for  $r = 7, 8$ .

*Remark 4.2.* The effective Weil divisors of cones are described in [12, Ex. II.6.3 and Ex. V.2.9]. Fix a hyperplane  $H \subset \mathbb{P}^n$  and  $o \in \mathbb{P}^n \setminus \{o\}$ . Fix a smooth and non-degenerate curve  $C \subset H$  and let  $W \subset \mathbb{P}^n$  denote the cone with vertex  $o$  and base  $C$ . Let  $\ell_1 : W \setminus \{o\} \rightarrow C$  denote the morphism induced by the linear projection from  $o$ . Let  $X \subset W$  be a smooth and non-degenerate curve. If  $o \notin X$ , then  $\ell_1$  induces a morphism  $\ell : X \rightarrow C$ . If  $o \in X$ , then  $\ell_{1|_{X \setminus \{o\}}}$  induces a morphism  $\ell : X \rightarrow C$ , because  $C$  and  $X$  are assumed to be smooth. Set  $a := \deg(\ell)$ . Since  $X$  is non-degenerate, we have  $a \geq 2$ . If  $o \notin X$ , we have  $\deg(X) = a \deg(C)$ , because  $\mathcal{O}_X(1) \cong \ell^*(\mathcal{O}_C(1))$ . If  $o \in X$ , we have  $\deg(X) = a \deg(C) + 1$ , because  $\mathcal{O}_X(1) \cong \ell^*(\mathcal{O}_C(1))(o)$  (here we use that  $o$  is a smooth point of  $X$ ). Thus for all smooth and non-degenerate curves  $X \subset W$  there is an integer  $a \geq 2$  such that either  $\deg(X) = a \deg(W)$  or  $\deg(X) = a \deg(W) + 1$ . For this statement the smoothness of  $X$  is essential. Now take as  $W \subset \mathbb{P}^{r+1}$  a cone over a linearly normal elliptic curve of  $\mathbb{P}^r$ . We have  $\deg(W) = r + 2$ . Thus for a fixed  $r$  the degrees  $d$  of the smooth curves contained in such cones are very restrictive:  $d \equiv 0, 1 \pmod{r + 2}$ . By Remark 4.1 we get that for  $r \geq 9$  the only possible integers  $d$  appearing as smooth curves with genus  $\pi_1(d, r + 1)$  are  $\equiv 0, 1 \pmod{r + 2}$ , contrary to the case of Theorem 1.1.

#### 4.1. $Y$ rational

In this case  $W$  is the image by the anticanonical linear system of a sequence of  $c := 9 - r$  blowing-ups starting with  $\mathbb{P}^2$  and the sequence is called *in almost general position*. Since  $c \geq 0$ , this implies  $r \leq 9$  and if  $r = 9$  we just have the order 3 Veronese embedding of  $\mathbb{P}^2$ . We do only the cases  $r = 7, 8$ , because the lower  $r$  are messy (just to give a sample, look at [13] in which the only problem is to find that all pairs  $(d, g)$  in certain ranges are realized (and here the 5 points are assumed to be in general position)).

**Example 4.3.** Assume  $r = 8$ . In this case  $Y$  is an isomorphic linear projection of the order 3 Veronese embedding  $W$  of  $\mathbb{P}^2$ . Let  $X' \subset W$  be the image of a degree  $m$  integral curve of  $\mathbb{P}^2$ . We have  $g := p_a(X) = (m - 1)(m - 2)/2$  and  $d := \deg(X') = 3m$ . Thus  $g = (d - 3)(d - 6)/18$ . The curve  $X'$  is aCM and

its isomorphic linear projection in  $\mathbb{P}^8$  has maximal rank, but it is not aCM, because it is not linearly normal.

**Example 4.4.** Assume  $r = 7$ . In this case the surface  $W \subset \mathbb{P}^7$  whose isomorphic linear projection gives the example is smooth and it is the embedding of  $F_1$  by its anticanonical linear system  $|\mathcal{O}_{F_1}(2h + 3f)|$ . We use the notation of section 3. Suppose that  $C \subset Y$  is the image of an integral curve  $X \in |\mathcal{O}_{F_1}(ah + bf)|$  with  $a > 0$ ,  $b > 0$  and  $b \geq a$ . To have  $X$  and  $C$  non degenerate we need either  $b \geq 4$  or  $(a, b) = (3, 3)$ . We have  $d := \deg(X) = 2b + 3a - 2a = 2b + a$  and  $g := p_a(X) = 1 + ab - (a^2 + a)/2$ . We are in the set-up of Remark 2.1. Fix an integer  $t > 0$ . Let  $Y \subset \mathbb{P}^7$  be a general linear projection from  $\mathbb{P}^8$  of the anticanonical embedding of  $F_1$ . By [2] we have  $h^1(\mathcal{I}_Y(t)) = 0$  for all  $t \geq 2$ . By Remark 2.1 we have  $h^1(\mathcal{I}_X(t)) = 0$  if and only if  $h^1(\mathcal{O}_{F_1}((2t - a)h + (3t - b)f)) = 0$ . We quote the case  $e = 1$  of Section 3. If  $a = 2t + 1$  we have  $h^1(\mathcal{O}_{F_1}((2t - a)h + (3t - b)f)) = 0$  for any  $b$ . If  $a \leq 2t$  we have  $h^1(\mathcal{O}_{F_1}((2t - a)h + (3t - b)f)) = 0$  if and only if  $3t - b \geq 2t - a - 1$ , i.e.,  $b \leq a + t + 1$ . If  $a \geq 2t + 2$  we have  $h^1(\mathcal{O}_{F_1}((2t - a)h + (3t - b)f)) = 0$  if and only if  $3t - b \leq 2t - a$ , i.e.,  $b \leq a + t$ .

*Proof of Proposition 1.2.* Assume for the moment  $\sigma(X) > 2$ . Taking a general hyperplane section and using the definition of  $\sigma(X)$  we get  $d \geq \binom{r+1}{2}$ . Claim 2 of Remark 2.7 gives a contradiction. Thus  $\sigma(X) = 2$ . First assume  $h^1(\mathcal{I}_X(1)) = 0$ . Thus (2.1) gives  $s(X) = 2$  and that the restriction map  $H^0(\mathcal{I}_X(2)) \rightarrow H^0(H, \mathcal{I}_{X \cap H, H}(2))$  is surjective, Lemma 2.2 gives that  $X$  is aCM, a contradiction. Thus  $X$  is an isomorphic projection of  $X' \subset \mathbb{P}^{r+1}$ . Apply [11, Corollary 3.17] to  $X'$ .  $\square$

## 5. Reducible curves with maximal rank

We only consider reduced curves and so our curves in this section are irreducible if and only if they are integral. In the case  $r = 3$  there is a complete description of all  $(d, g)$  for which there is a reducible aCM space curve, but no irreducible aCM space curve ([9, 17]) and this description is exploited in [6] from the geometric point of view. No such description is known for  $r > 3$  (and it is not expected, since we not even know the triples  $(d, g, r)$  for which aCM curves exists). Much less is expected for maximal rank curves. However for  $r \geq 5$  we construct in this section several examples of reducible maximal rank curves, but not aCM, with degree  $d$  and arithmetic genus  $g$  with  $(d, g)$  not covered by any integral maximal rank curve. Take a reduced maximal rank curve  $X \subset \mathbb{P}^r$ .  $X$  is degenerate if and only if  $h^0(\mathcal{I}_X(1)) \neq 0$ , i.e.,  $h^0(\mathcal{I}_X(t)) \neq 0$  for all  $t > 0$ . Since  $X$  has maximal rank by assumption, it is aCM and  $X$  is just an aCM curve in some proper linear subspace of  $\mathbb{P}^r$ . Thus it is not restrictive to focus our attention on the case of maximal rank non-degenerate curves. We claim that for  $r \geq 5$  the examples given in part (2) of Theorem 1.1 and in Examples 4.3, 4.4 or in Remark 4.2 have  $h^0(\mathcal{I}_X(2)) \geq 2$ . Note that in all these examples we have  $h^0(\mathcal{I}_X(1)) = 0$  and

$h^1(\mathcal{I}_X(1)) = 1$ . The long cohomology exact sequence of the exact sequence (2.1) gives  $h^0(\mathcal{I}_X(2)) \geq h^0(H, \mathcal{I}_{X \cap H, H}(2)) - 1$ . Since  $X$  has maximal rank, but it is not aCM, Lemma 2.2 shows that if  $h^0(H, \mathcal{I}_{X \cap H, H}(2)) - 1 > 0$ , then  $h^0(\mathcal{I}_X(2)) = h^0(H, \mathcal{I}_{X \cap H, H}(2)) - 1$ . Thus for  $r \geq 5$  the examples given in part (2) of Theorem 1.1 have  $h^0(\mathcal{I}_X(2)) = \binom{r+1}{2} - 2(r-1) - 2$ , while the examples used in Proposition 1.2 have  $h^0(\mathcal{I}_X(2)) = \binom{r+1}{2} - 2r - 1$ . Thus there are many examples with large  $h^0(\mathcal{I}_X(2))$ .

In this section we prove the following result.

**Proposition 5.1.** *Let  $X \subset \mathbb{P}^r$ ,  $r \geq 5$ , be a reduced and connected maximal rank curve having an irreducible component  $T$  spanning  $\mathbb{P}^r$ . Assume  $h^0(\mathcal{I}_X(2)) \geq 2$  and set  $a := \lfloor h^0(\mathcal{I}_X(2))/2 \rfloor$ . Fix an integer  $b$  such that  $1 \leq b \leq a$ . Let  $Y \subset \mathbb{P}^r$  be the union of  $X$  and  $b$  general lines  $L_1, \dots, L_b$ , each of them intersecting quasi-transversally  $T$  and at a unique point. Then  $\deg(Y) = \deg(X) + b$ ,  $p_a(Y) = p_a(X)$ ,  $h^0(\mathcal{I}_Y(2)) = h^0(\mathcal{I}_X(2)) - 2b$  and  $Y$  has maximal rank, but it is not aCM.*

*Proof.* The word “quasi-transversally” means that for each  $L_i$  we have  $L_i \cap \text{Sing}(T) = \emptyset$  and that at each  $q \in T \cap L_i$  the line  $L_i$  is not the tangent line to  $T$  at  $q$ . Note that the set  $A(T)$  of all lines  $L \subset \mathbb{P}^r$  intersecting  $T$  at a unique point and quasi-transversally is a non-empty and irreducible quasi-projective variety of dimension  $r$  (use that  $\dim T = 1$  and that for each  $p \in \mathbb{P}^r$  the set of all lines of  $\mathbb{P}^r$  containing  $p$  is a projective space of dimension  $r - 1$ ). Thus it makes sense to speak about the general point of  $A(T)$ , i.e., of general  $L_i$ ’s. With our formulation of the proposition the case  $b = 1$  gives the general case (if  $b > 1$  use induction on  $b$  and apply the case  $b = 1$  to the same  $T$  and the maximal rank curve  $X' := X \cup L_1 \cup \dots \cup L_{b-1}$  which have  $h^0(\mathcal{I}_{X'}(2)) = h^0(\mathcal{I}_X(2)) - 2b + 2 \geq 2$ ), except the statement that  $Y$  is not aCM. Thus until step (f) we assume  $b = 1$  and write  $L := L_1$ . Fix a general  $L \in A(T)$  and set  $W := X \cup L$ . Since  $L \cap T \neq \emptyset$  and  $X$  is connected,  $W$  is connected.

(a) In this step we check that  $p_a(W) = p_a(X)$ . We have  $|T \cap L| = 1$  because  $r > 2$ ,  $\dim A(T) = r$  and  $T$  has only  $\infty^2$  secant lines. Since  $\text{Sing}(X)$  is a finite set, there are only  $\infty^1$  lines containing a smooth point of  $T$  and a singular point of  $X$ . Thus  $L \cap \text{Sing}(X) = \emptyset$ . Since  $\dim A(T) > 1$ ,  $T$  has only  $\infty^1$  tangent lines and  $L \cap \text{Sing}(X) = \emptyset$ ,  $L$  intersects quasi-transversally  $T$ . Since  $|L \cap T| = 1$  and  $L$  intersects quasi-transversally  $T$ . Thus it is sufficient to prove that  $L \cap E = \emptyset$  for each irreducible component  $E$  of  $X$  such that  $E \neq T$  (if any). Indeed, for any  $q \in \mathbb{P}^r$  and any reduced curve  $F \subset \mathbb{P}^r$  with  $q \notin F$  we have  $R \cap F = \emptyset$  for the general line  $R \subset \mathbb{P}^r$  containing  $q$ .

(b) Since  $W \supset T$ , we have  $h^0(\mathcal{I}_W(t)) = 0$  for all  $t \leq 1$ . Thus to prove that  $W$  has maximal rank it is sufficient to prove that  $h^1(\mathcal{I}_W(t)) = 0$  for all  $t \geq 2$ . In this step we prove that  $h^0(\mathcal{O}_W(t)) = h^0(\mathcal{O}_X(t)) + t$ . Consider the Mayer-Vietoris exact sequence

$$(5.1) \quad 0 \rightarrow \mathcal{O}_W(t) \rightarrow \mathcal{O}_X(t) \oplus \mathcal{O}_L(t) \rightarrow \mathcal{O}_{X \cap L}(t) \rightarrow 0,$$

which only requires that  $L$  is not an irreducible component of  $X$  and in which  $X \cap L$  is the scheme-theoretic intersection. Thus  $h^0(\mathcal{O}_{X \cap L}(t)) = \deg(X \cap T)$ . By step (a) we have  $\deg(X \cap L) = 1$ . Since  $L$  is a line,  $\deg(X \cap L) = 1$  and  $t \geq 0$ , we have  $h^0(\mathcal{O}_L(t)) = t + 1$  and the restriction map  $H^0(\mathcal{O}_L(t)) \rightarrow H^0(\mathcal{O}_{X \cap L}(t))$  is surjective. Thus (5.1) gives  $h^0(\mathcal{O}_W(t)) = h^0(\mathcal{O}_X(t)) + t$ .

(c) Now we check that  $h^0(\mathcal{I}_W(2)) = h^0(\mathcal{I}_X(2)) - 2$ . Since  $h^0(\mathcal{O}_W(2)) = h^0(\mathcal{O}_X(2)) + 2$  by step (a), we have  $h^0(\mathcal{I}_W(2)) \geq h^0(\mathcal{I}_X(2)) - 2$  and so we only need to prove that  $h^0(\mathcal{I}_W(2)) \leq h^0(\mathcal{I}_X(2)) - 2$ . Fix  $q \in T_{\text{reg}}$  and call  $R_q$  a general line containing  $q$ . It is sufficient to prove that  $h^0(\mathcal{I}_{X \cup R_q}(2)) \leq h^0(\mathcal{I}_X(2)) - 2$  for a general  $q$ . Since  $R_q$  contains a general point  $p \in \mathbb{P}^r$  and  $h^0(\mathcal{I}_X(2)) > 0$ , we have  $h^0(\mathcal{I}_{X \cup R_q}(2)) \leq h^0(\mathcal{I}_{X \cup \{p\}}(2)) = h^0(\mathcal{I}_X(2)) - 1$  for any  $q$ . Thus it is sufficient to prove that a general element of  $|\mathcal{I}_{X \cup \{p\}}(2)|$  does not contain  $R_q$  if  $q$  is general in  $T$ . Since  $h^0(\mathcal{I}_X(2)) \geq 2$  and  $p$  is general in  $\mathbb{P}^r$ , this is the case if and only if a general  $Q \in |\mathcal{I}_X(2)|$  is a cone with vertex containing  $q$ . This is not the case for a general  $q \in T$ , because  $T$  spans  $\mathbb{P}^r$  and the singular locus of a quadric hypersurface of  $\mathbb{P}^r$  is a proper linear subspace of  $\mathbb{P}^r$ .

(d) Now we check that for each integer  $t \geq 2$  we have  $h^0(\mathcal{I}_W(t)) = h^0(\mathcal{I}_X(t)) - t$ . Set  $\{q\} := X \cap L$ . Since  $h^0(\mathcal{O}_W(t)) = h^0(\mathcal{O}_X(t)) + t$  by step (a), we have  $h^0(\mathcal{I}_W(t)) \geq h^0(\mathcal{I}_X(t)) - t$  and so we only need to prove that  $h^0(\mathcal{I}_W(t)) \leq h^0(\mathcal{I}_X(t)) - t$ . In step (c) we proved the case  $t = 2$ . Thus we may assume  $t > 2$ . Since the restriction map  $H^0(\mathcal{O}_{\mathbb{P}^r}(t)) \rightarrow H^0(\mathcal{O}_X(t))$  is surjective and  $L \cap X = \{q\}$  as schemes, it is sufficient to prove the surjectivity of the restriction map  $\lambda_t : H^0(\mathbb{P}^r, \mathcal{I}_X(t)) \rightarrow H^0(L, \mathcal{I}_{\{q\}, L}(t))$ . We use induction on  $t$ . Fix a general  $S \subset L$  such that  $|S| = t$  and write  $S = \{o_1, \dots, o_t\}$  and set  $S_i := \{o_1, \dots, o_i\}$ . Since  $h^0(L, \mathcal{I}_{\{q\}, L}(t)) = t$ , it is sufficient to prove that  $h^0(\mathcal{I}_{X \cup S_i}(t)) \leq h^0(\mathcal{I}_X(t)) - i$  for  $i = 1, \dots, t$ . Step (c) gives the existence of  $Q \in |\mathcal{I}_X(2)|$  such that  $X \cap L = \{q, o_1\}$ . The union of  $Q$  and  $t - 2$  general hyperplanes gives  $h^0(\mathcal{I}_{X \cup \{o_1\}}(t)) = h^0(\mathcal{I}_X(t)) - 1$ . Let  $H_i$  be a general hyperplane of  $\mathbb{P}^r$  containing  $\{o_i\}$ . Let  $M_i$  be a general hyperplane (so  $S \cap M_i = \emptyset$  for all  $i$ ). The degree  $t$  hypersurface  $Q \cup (\bigcup_{h=1}^i H_h) \cup (\bigcup_{h=i+1}^t M_h)$  gives  $h^0(\mathcal{I}_{X \cup S_i}(t)) < h^0(\mathcal{I}_{X \cup S_{i-1}}(t))$ .

(e) Steps (a) and (d) prove that  $W$  has maximal rank.

(f) Now for any  $b \geq 1$  we prove that  $X \cup L_1 \cup \dots \cup L_b$  is not aCM. As in step (a) we get  $h^0(\mathcal{O}_{X \cup L_1 \cup \dots \cup L_b}(1)) = h^0(\mathcal{O}_X(1)) + b \geq r + 1 + b$  and so  $X \cup L_1 \cup \dots \cup L_b$  is not linearly normal.  $\square$

*Remark 5.2.* Take  $r \geq 5$  and a pair  $(d, g)$  such that  $d \geq r + 1$  and  $g = \pi(d, r + 1)$ . By part (2) of Theorem 1.2 there is a smooth, integral and non-degenerate curve  $X \subset \mathbb{P}^r$  with  $\deg(X) = d$ ,  $p_a(X) = g$  and maximal rank, but it is not aCM. We saw before Proposition 5.1 that  $h^0(\mathcal{I}_X(2)) \geq 2$  and hence the case  $b = 1$  of Proposition 5.1 shows that  $(d + 1, g)$  is realized by some reducible curve with maximal rank, but not aCM. We need to find  $(d, g, r)$  for which  $(d + 1, g, r)$  is not realized by any  $X$ . Obviously  $\pi_1(d + 1, r + 1) > \pi_1(d, r + 1)$ . For  $d \gg 0$

we have  $\pi_1(d+1, r+1) \sim d^2/(2r+2)$  and hence  $\pi_1(d+1, r+1) < \pi(d, r+1)$ . Apply part (1) of Proposition 1.2.

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