

# Optimal Two Degrees-of-Freedom Based Neutral Point Potential Control for Three-Level Neutral Point Clamped Converters

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## Abstract

Although the dual modulation wave method can solve the low-frequency neutral point potential (NPP) fluctuation problem for three-level neutral point clamped converters, it also increases the switching frequency and limits the zero-sequence voltage. That makes it harmful when dealing with the NPP drift problem if the converter suffers from a long dead time or asymmetric loads. By introducing two degrees of freedom (2-DOF), an NPP control based on a search optimization method can demonstrate its ability to cope with the above mentioned two types of NPP problems. However, the amount of calculations for obtaining an optimal 2-DOF is so large that the method cannot be applied to certain industrial applications with an inexpensive digital signal processor. In this paper, a novel optimal 2-DOF-based NPP control is proposed. The relationships between the NPP and the 2-DOF are analyzed and a method for directly determining the optimal 2-DOF is also discussed. Using a direct calculation method, the amount of calculations is significantly reduced. In addition, the proposed method is able to maintain the strongest control ability for the two types of NPP problems. Finally, some experimental results are given to confirm the validity and feasibility of the proposed method.

**Key words:** Control ability, Direct calculation, Neutral point potential, Three level converter, Two degrees of freedom

## I. INTRODUCTION

As a vital technology for medium and high voltage high power applications, multilevel converters have been widely used by scholars both nationally and abroad [1]-[3]. In particular, the three-level neutral point clamped (TL-NPC) converter is usually utilized in applications up to 10kV [3]-[5]. In this paper, some of the problems of the TL-NPC converter are discussed in detail, as shown in Fig. 1.

Although the TL-NPC converter has numerous merits when compared with two-level converters, the neutral point potential (NPP) problem should be carefully considered and solved [1]-[5]. Essentially, this problem can be classified into two types. The first type is a NPP drift problem, which

originates from dead time, asymmetric loads and switching devices inconsistencies. This type of problem can damage the switching devices and DC-link capacitors [6]-[9]. The second type is a low frequency NPP fluctuation problem. This type of problem is caused by the characteristics of modulation strategies based on the nearest-three-vector pulse width modulation (NTV-PWM), such as sinusoidal PWM (SPWM), space vector PWM (SVPWM) and selective harmonic elimination PWM (SHEPWM).

In recent years, numerous methods have been developed to solve the above-mentioned two types of NPP problems. Conventional methods to solve the NPP problems are based on the SPWM or SVPWM. Since the redundant vectors in a three-level space vector diagram have opposite effects on the NPP, the authors of [6], [7] balanced the NPP by adjusting the duty cycle of the redundant vectors via a P/PI controller. Since SVPWM is equivalent to SPWM, the same NPP control performance can be realized by adjusting the zero-sequence voltage [8], [9]. Although these methods can solve

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the NPP drift problem, the generation of a low-frequency NPP fluctuation is inevitable.

It is noted in [3]-[7] that low-frequency NPP fluctuation is an important issue in NTV-PWM based NPP control. Thus, virtual space vector PWM (VSVPWM) based NPP control has been developed [10]-[15] to solve this problem. Concurrently, the authors of [16] proposed an easy method for achieving the same thing via SPWM, which is called the dual modulation wave (DMW) method. Owing to the open-loop characteristic [16] of the DMW method, some compensation methods [17] have been provided for overcoming the NPP drift problem in the VSVPWM and DMW method. However, all of these methods discuss the NPP drift problem under symmetrical loads by setting a small initial NPP drift. In addition, they do not consider the NPP control speed. Actually, the NPP control speed is an important factor for evaluating control capability for the NPP drift problem. However, the NPP control capability of the DMW method is significantly limited when compared with the conventional approaches based on NTV-PWM. The DMW method overcomes the low-frequency NPP fluctuation problem by sacrificing the control domain of the neutral point current ( $i_o$ ) and maintaining  $i_o$  at zero at any time. It is harmful to deal with the NPP drift problem when the TL-NPC converter suffers from a long dead time or when it experiences asymmetrical loads. Moreover, the DMW method increases the switching frequency (SF) by 4/3 times [16], [17]. Thus, a search optimization method with two degrees of freedom (2-DOF) was proposed in [18], [19]. Here, the 2-DOF include the zero-sequence voltage ( $u_z$ ) and another control variable ( $u_{zs}$ ), which will be explained in detail later in Section II.C. "Optimal" implies that the selected 2-DOF are the best choices for the NPP control. Since 2-DOF can control the NPP without changing the line voltages, this method can simultaneously solve both types of NPP problems. It has an enhanced NPP control ability and can decrease the SF.

However, it has been shown in a further analysis that the calculation amount for obtaining the optimal 2-DOF is so large that the method cannot be used in some industrial applications with an inexpensive digital signal processor (DSP). Based on [18], [19], a novel optimal 2-DOF based NPP control is proposed in this paper. By analyzing the relationships between the NPP and the 2-DOF, the optimal 2-DOF can be easily acquired via an interpolation method, which can drastically reduce calculation amount. While maintaining the strongest control capability for the two types of NPP problems, the proposed method can yield the actual optimal 2-DOF instead of two quasi-optimal DOFs with the search optimization method. Moreover, the proposed method can also master the output waveforms, since the relationships between the output waveforms and the 2-DOF are clearly derived.

The remainder of this paper is organized as follows. First, Section II explains the conventional NTV-PWM based NPP

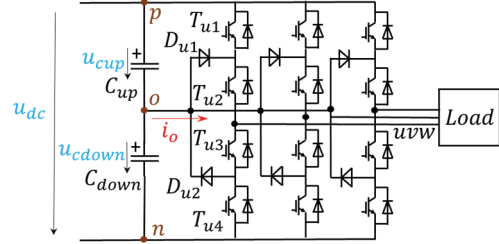


Fig. 1. TL-NPC converter (IGBTs).

control, the DMW method and the search optimization method of 2-DOF. Then, the relationships between the NPP and the 2-DOF are analyzed, and a method for achieving the optimal 2-DOF is discussed in Section III. Next, some experimental results are shown in Section IV to confirm the validity and feasibility of the proposed method. Finally, some conclusions are presented in Section V.

## II. SOME CONVENTIONAL NPP CONTROL METHODS AND THEIR DISADVANTAGES

As shown in Fig. 1, if  $i_o$  flows from a neutral point ( $o$ ), the upper capacitor ( $C_{up}$ ) charges and the lower capacitor ( $C_{down}$ ) discharges. If  $i_o$  flows into the neutral point,  $C_{up}$  discharges and  $C_{down}$  charges [8]. Moreover, the NPP error ( $\Delta v_o$ ) is defined as  $(u_{cdown} - u_{cup})/2$ , the relationship between  $i_o$  and  $\Delta v_o$  can be expressed in (1).

$$\begin{cases} C = C_{up} = C_{down} \\ i_o = -(2C / T_s) \Delta v_o \end{cases} \quad (1)$$

$T_s$  is the control period. Therefore, it is necessary to modify the modulation modes for generating a minus neutral point current ( $i_{oc}$ ) to compensate  $i_o$ , as expressed in (2) [8].

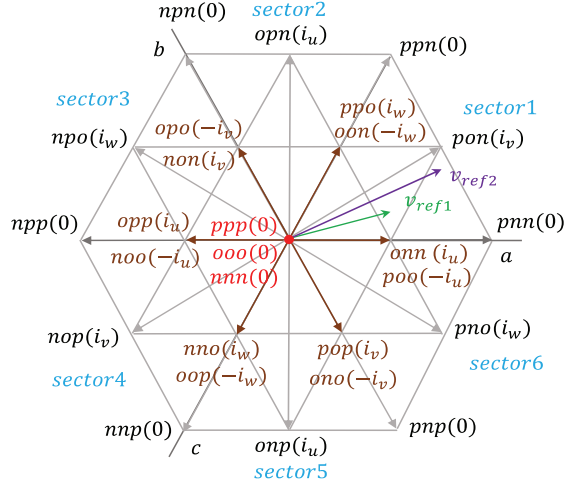
$$i_{oc} = -i_o = (2C / T_s) \Delta v_o \quad (2)$$

It is noteworthy that for different modulation modes,  $i_{oc}$  can be expressed and adjusted by different methods.

### A. NTV-PWM based NPP Control

In this part, some basic principles and disadvantages of the NTV-PWM based NPP control are explained. The reference voltages ( $v_{ref1}$ ,  $v_{ref2}$ ) are modulated by using a space vector diagram, as displayed in Fig. 2. The reference voltage is synthesized by the nearest three vectors. Here, some redundant vectors can generate the same line voltage, but with opposite effects on  $i_o$ .

Thus, some methods [7], [8] have been developed to control the NPP by adjusting the duty time of the redundant vectors. The NPP can be controlled well when  $v_{ref1}$  is small. Meanwhile, the NPP cannot be adjusted well by the redundant vectors when  $v_{ref2}$  is large. At this point, a significant low-frequency NPP fluctuation appears when the modulation ratio ( $m$ ) is big. This makes reductions of the DC-link capacitors difficult [7].



e. g.  $onn(i_u)$  means that  $i_o$  is equal to  $i_u$  for the vector  $onn$

Fig. 2. Space vector diagram of a three level converter.

### B. DMW Method based NPP Control

Given that SVPWM is equivalent to SPWM, the DMW method is illustrated here to deal with the low-frequency NPP fluctuation problem, which is a special case of VSVPWM and is easy to realize. Assume the three phase voltages ( $u_u, u_v, u_w$ ) as (3).

$$\begin{cases} u_u = (2/\sqrt{3})m \cos(\theta) \\ u_v = (2/\sqrt{3})m \cos(\theta - 2\pi/3) \\ u_w = (2/\sqrt{3})m \cos(\theta + 2\pi/3) \end{cases} \quad (3)$$

$m$  is defined as  $\sqrt{3}v_{ref}/u_{dc}$ . A zero-sequence voltage ( $u_z = -(u_{max} + u_{min})/2$ ) is injected into ( $u_u, u_v, u_w$ ) to realize the maximum linear modulation, as expressed in (4). The three phase voltages with  $u_z$  injected are defined as ( $u_{zu}, u_{zv}, u_{zw}$ ). Here, the maximum, middle, and minimum voltages of ( $u_u, u_v, u_w$ ) are defined as ( $u_{max}, u_{mid}, u_{min}$ ), and the corresponding currents of ( $u_{max}, u_{mid}, u_{min}$ ) are defined as ( $i_{max}, i_{mid}, i_{min}$ ).

$$\begin{cases} u_{zu} = u_u + u_z, u_{zv} = u_v + u_z, u_{zw} = u_w + u_z \\ u_z = -(u_{max} + u_{min})/2 \end{cases} \quad (4)$$

The DMW method modulates the reference voltage by comparing two modulation waves with the two carrier waves ( $u_{carrier}^p, u_{carrier}^n$ ). Thus, the two modulation waves ( $u_{ip}, u_{in}$ ) are reconstructed from the reference voltage ( $u_{zi}$ ), as expressed in (5).

$$\begin{cases} u_{zu} = u_{up} + u_{in} \\ u_{zv} = u_{vp} + u_{vn} \\ u_{zw} = u_{wp} + u_{wn} \end{cases} \Leftrightarrow \begin{cases} u_{zi} = u_{ip} + u_{in} \\ 0 \leq u_{ip} \leq 1, -1 \leq u_{in} \leq 0 \\ i = u, v, w \end{cases} \quad (5)$$

Consequently, the output voltage ( $u_{out}$ ) can be obtained according to (6), as shown in Fig. 3. Thus,  $i_o$  can be expressed as (7) [16].

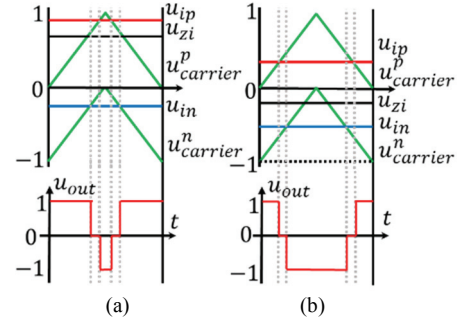


Fig. 3. DMW method: (a)  $u_{zi} \geq 0$ ; (b)  $u_{zi} < 0$ .

$$u_{out} = \begin{cases} 1, & \text{if } u_{ip} > u_{carrier}^p \ \& \ u_{in} > u_{carrier}^n \\ -1, & \text{if } u_{ip} < u_{carrier}^p \ \& \ u_{in} < u_{carrier}^n \\ 0, & \text{if } u_{ip} > u_{carrier}^p \ \& \ u_{in} < u_{carrier}^n \\ & \text{or } u_{ip} < u_{carrier}^p \ \& \ u_{in} > u_{carrier}^n \end{cases} \quad (6)$$

$$i_o = |1 + u_{un} - u_{up}| i_u + |1 + u_{vn} - u_{vp}| i_v + |1 + u_{wn} - u_{wp}| i_w \quad (7)$$

To overcome low-frequency NPP fluctuation, it is necessary to ensure that  $i_o$  can be maintained at zero at all times. Equation (8) exhibits a special solution ( $u_x = (u_{min} - u_{max})/2$ ) of (7).

$$\text{if } |1 + u_{un} - u_{up}| = |1 + u_{vn} - u_{vp}| = |1 + u_{wn} - u_{wp}| = |1 + u_x| \quad (8)$$

then  $i_o = |1 + u_x| (i_u + i_v + i_w) = 0$

Then the reconstructed modulation waves ( $u_{ip}, u_{in}$ ) can be rewritten in (9) [16].

$$\begin{cases} u_{ip} = \frac{u_i - u_{min}}{2} \geq 0 \\ u_{in} = \frac{u_i - u_{max}}{2} \leq 0 \end{cases}, \quad i = u, v, w \quad (9)$$

It can be seen from (6) and (9) that when the reference voltage is equal to  $u_{mid}$ , the PWM waveforms of  $u_{out}$  are similar to the waveforms in Fig. 3 [17]. Since two switching transforms occur during a control period, the SF of the DMW method is 1/3 larger than that of the NTV-PWM.

### C. NPP Control based on the Search Optimization Method of 2-DOF

Although the DMW method can solve the low-frequency NPP fluctuation problem, it is simply an open-loop method, which has difficulty dealing with the NPP drift problem. Some methods [17] were developed to compensate for the NPP drift. However, the DMW method essentially sacrifices many control domains of  $i_o$  to keep  $i_o$  at zero, when compared with NTV-PWM. It limits the control capability for coping with a serious NPP drift problem when a system is suffering from a long dead time or experiencing strong asymmetrical loads. Therefore, the control domains of  $i_o$  under the NTV-PWM and DMW methods with a compensator are given here in Fig. 4 at different values for both  $m$  and the power factor angle ( $\varphi$ ). The

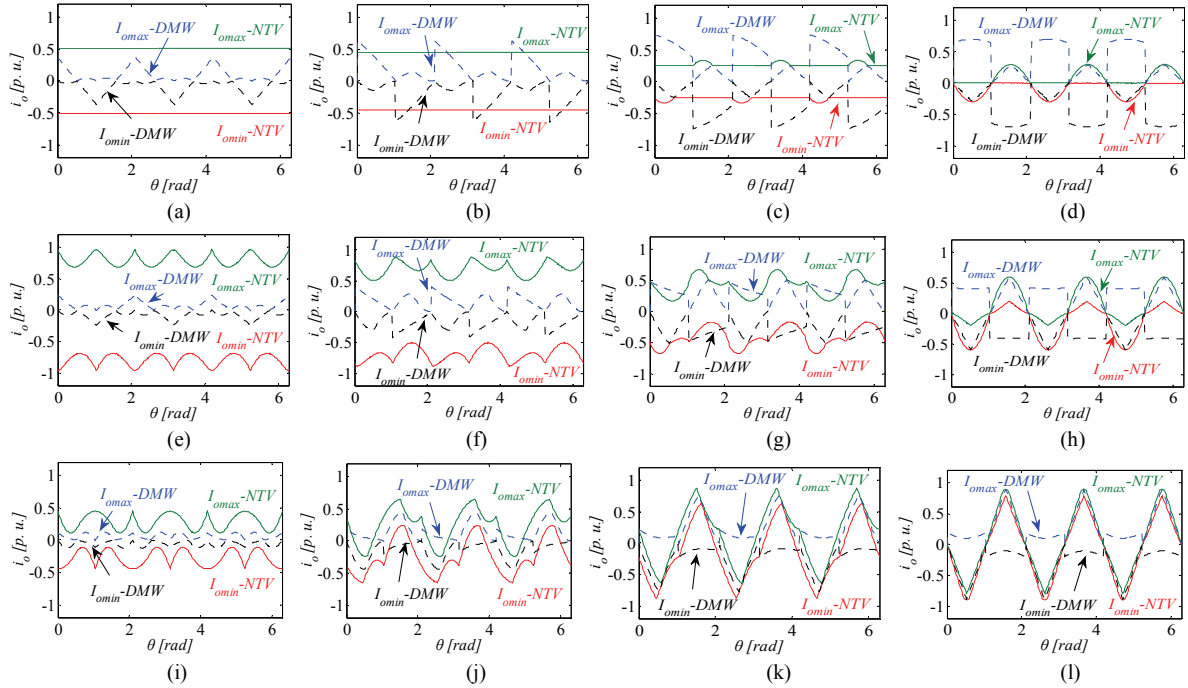


Fig. 4. Control domains of  $i_o$  under the NTV-PWM and DMW methods: (a)  $\varphi=0$ ,  $m=0.3$ ; (b)  $\varphi=\pi/6$ ,  $m=0.3$ ; (c)  $\varphi=\pi/3$ ,  $m=0.3$ ; (d)  $\varphi=\pi/2$ ,  $m=0.3$ ; (e)  $\varphi=0$ ,  $m=0.6$ ; (f)  $\varphi=\pi/6$ ,  $m=0.6$ ; (g)  $\varphi=\pi/3$ ,  $m=0.6$ ; (h)  $\varphi=\pi/2$ ,  $m=0.6$ ; (i)  $\varphi=0$ ,  $m=0.9$ ; (j)  $\varphi=\pi/6$ ,  $m=0.9$ ; (k)  $\varphi=\pi/3$ ,  $m=0.9$ ; (l)  $\varphi=\pi/2$ ,  $m=0.9$ .

results at different values of  $m$  and  $\varphi$  are provided to demonstrate the generality of the analysis and discussion. In Fig. 4, the horizontal axis represents the phase angle ( $\theta$ ) of the reference voltage of phase  $u$ . The vertical axis shows the adjustment range of  $i_o$ . The NTV-PWM and DMW methods can be used to adjust  $i_o$  from  $I_{omax}$  to  $I_{omin}$ . Thus, the difference between  $I_{omax}$  and  $I_{omin}$  determines control capability for compensating  $i_{oc}$ . Thus, the method that has a wider control domain for  $i_o$  has a stronger control ability for the NPP drift problem.

Under ideal operation conditions, it is necessary to keep  $i_o$  zero during the entire region of  $\theta$ , since it is directly related to the low-frequency NPP fluctuation. For example, it can be found in Figs. 4(a-g) and (i) that  $I_{omax-NTV}$  is always larger than zero and that  $I_{omin-NTV}$  is smaller than zero. Therefore,  $i_o$  can be adjusted to zero by amending  $u_z$ . This does not result in the low frequency NPP fluctuation problem under these operation conditions for NTV-PWM. However, it can be seen from Figs. 4(h) and (j-l) that both  $I_{omax-NTV}$  and  $I_{omin-NTV}$  may be simultaneously larger or smaller than zero for some  $\theta$ . Therefore, it is not possible to keep  $i_o$  at zero during the whole period. A low-frequency NPP fluctuation is generated under these operation conditions.

However, it is easy to see from Fig. 4 that  $I_{omax-DMW}$  is always larger than zero, whereas  $I_{omin-DMW}$  is smaller than zero under all operation conditions. Thus, it is possible to keep  $i_o$  zero to completely avoid the low frequency NPP fluctuation problem. However, it can be seen that the control domain of  $i_o$  for the DMW method with a compensator

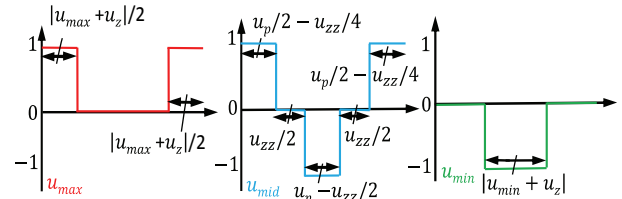


Fig. 5. PWM waveforms of the proposed method.

sometimes becomes narrower than that in the NTV-PWM, as shown in Figs. 4 (a), (b), (e), (f) and (j). Therefore, as mentioned above, although the DMW method can overcome the low frequency NPP fluctuation problem that exists in NTV-PWM under some operation conditions, the control capability for the NPP drift problem is weakened and the control speed for the NPP drift problem is slowed down.

The search optimization method in [18], [19] introduces 2-DOF ( $u_z$ ,  $u_{zz}$ ) to solve the two types of NPP problems. This method has a stronger control capability for the NPP drift problem. The first DOF is the zero-sequence voltage ( $u_z$ ). It is possible to control the NPP by adjusting  $u_z$  within a limit range, as given in (10). This is similar to the conventional NTV-PWM based NPP control.

$$-1 - u_{\min} \leq u_z \leq 1 - u_{\max} \quad (10)$$

Simultaneously, the duty time of the voltage (0) is defined as another DOF ( $u_{zz}$ ), as shown in Fig. 5. A special modulation mode is performed in  $u_{mid}$ . Here, the duty times ( $u_p$ ,  $u_n$ ) are calculated in (11).

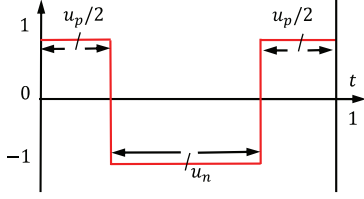
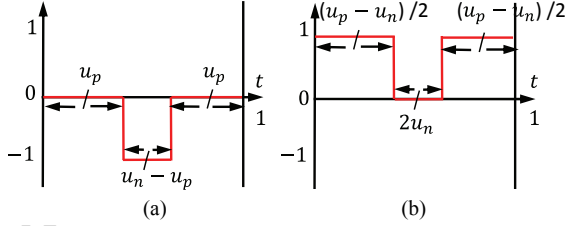


Fig. 6. Extreme case one.

Fig. 7. Extreme case two: (a)  $u_p < u_n$ ; (b)  $u_p > u_n$ .

$$\begin{cases} u_p = (1 + u_{mid} + u_z) / 2 \\ u_n = (1 - u_{mid} - u_z) / 2 \end{cases} \quad (11)$$

Thus, it is very important to decide the limit range of  $u_{zz}$ . Two extreme cases are obtained, which can be utilized to determine the limit range of  $u_{zz}$ . The first extreme case is depicted in Fig. 6. It can be seen from Fig. 6 that  $u_{zz}$  decreases to 0, as shown in (12). Moreover, the three-level modulation is degraded to a two-level modulation. This is undesirable, since it may result in the loss of some of the advantages of a three-level converter. For example, it is harmful for the electromagnetic interference (EMI) problem.

$$0 \leq u_{zz} \quad (12)$$

The other extreme case is presented in Fig. 7. Then the limit range of  $u_{zz}$  can be fixed as (13).

$$u_{zz} \leq 2 \min(u_p, u_n) \quad (13)$$

It can be seen from Fig. 7 that the output waveforms become similar to the NTV-PWM method instead of the DMW method. This is key to reducing the SF for high-power applications. More importantly, the boundaries of the NTV-PWM and DMW methods are blurred. Transitions between two modulation modes is performed based on the NPP control.

Lastly, the limit range of  $u_{zz}$  can be expressed as (14).

$$0 \leq u_{zz} \leq 2 \min(u_p, u_n) \quad (14)$$

Concurrently,  $i_o$ , which is caused by  $u_z$  and  $u_{zz}$ , can be expressed in (15) based on Fig. 5.

$$\begin{cases} i_o = i_{\max o} + i_{\min o} + i_{mid o} \\ i_{\max o} = (1 - |u_{\max} + u_z|) i_{\max} \\ i_{\min o} = (1 - |u_{\min} + u_z|) i_{\min} \\ i_{mid o} = u_{zz} i_{mid} \end{cases} \quad (15)$$

From (2), (10), (14) and (15), it is known that it is necessary to adjust  $u_z$  and  $u_{zz}$  to meet the following equation:

$$\Delta v_o = - \frac{(1 - |u_{\max} + u_z|) i_{\max} + (1 - |u_{\min} + u_z|) i_{\min} + u_{zz} i_{mid}}{(2C / T_s)} \quad (16)$$

However, it is difficult to obtain the optimal  $u_z$  and  $u_{zz}$  from (16), particularly when the limit range of  $u_{zz}$  is governed by  $u_z$ . As a simple approach, the search optimization method of 2-DOF is proposed in [18], [19]. All of the values of  $u_z$  and  $u_{zz}$  are searched within the limit ranges of (10) and (14) and then  $\Delta v_o$  is calculated. Next, the optimal values of  $u_z$  and  $u_{zz}$ , for which  $\Delta v_o$  is closest to  $\Delta v_o$ , are chosen. Lastly, to unify the modulation modes as (6), three phase voltages are reconstructed in (17). The *floor* in (17) implies a floor function.

$$\begin{cases} u_{\max p} = (u_{\max} + u_z) \cdot [1 + \text{floor}(u_{\max} + u_z)] \\ u_{\max n} = -(u_{\max} + u_z) \cdot \text{floor}(u_{\max} + u_z) \\ u_{\min p} = (u_{\min} + u_z) \cdot [1 + \text{floor}(u_{\min} + u_z)] \\ u_{\min n} = -(u_{\min} + u_z) \cdot \text{floor}(u_{\min} + u_z) \\ u_{mid p} = u_p - u_{zz} / 2, u_{mid n} = -(u_n - u_{zz} / 2) \end{cases} \quad (17)$$

Thus, when the optimal values of  $u_z$  and  $u_{zz}$  are achieved, the reference voltages can be easily modulated based on (6) and (17). Similarly, the control domains of  $i_o$  for the DMW method and the search optimization method of 2-DOF can be analyzed in Fig. 8 at different values of  $m$  and  $\varphi$ . Firstly, it can be seen from Fig. 8 that  $I_{\max-2DOF}$  is always larger than zero, and that  $I_{\min-2DOF}$  is smaller than zero under all the operation conditions. Thus, the search optimization method of 2-DOF can also solve the low-frequency NPP fluctuation problem. Furthermore, when compared with the NTV-PWM and DMW methods, it can be seen that the control domain of  $i_o$  for the search optimization method of 2-DOF is typically the widest under all the operation conditions. The search optimization method of 2-DOF can deal with a more serious NPP drift problem and it can enhance the control speed when the system is suffering from a long dead time, strong asymmetrical loads and so on. When compared with the DMW method, the search optimization method of 2-DOF does not sacrifice any advantages, and achieves a stronger NPP control capability.

### III. OPTIMAL 2-DOF BASED NPP CONTROL FOR TL-NPC CONVERTERS

As analyzed in Section II and in [18], [19], it can be found that the search optimization method can simultaneously solve the NPP drift problem and the low frequency NPP fluctuation problem. Concurrently, it can achieve the widest control domain of  $i_o$  and reduce the SF by mastering the 2-DOF. The method is a good choice for reducing DC-link capacitors, which is very important for reducing the weight and volume of TL-NPC converters. However, the problem is that the



$$\begin{aligned}
i_o &= -(u_{\max} + u_z)i_{\max} - (u_{\min} + u_z)i_{\min} - (1-k)(u_{\text{mid}} + u_z)i_{\text{mid}} - ki_{\text{mid}} \\
&= -u_{\max}i_{\max} - u_{\min}i_{\min} - u_{\text{mid}}i_{\text{mid}} - k(u_{\text{mid}} + u_z)i_{\text{mid}} - ki_{\text{mid}} \\
&= c_4 - k(1 - u_{\text{mid}} - u_z)i_{\text{mid}}
\end{aligned} \quad (23)$$

Here,  $c_1$ - $c_4$  are intermediate variables.  $k$  is used to control  $u_{zz}$ .  $i_o$  is simultaneously adjusted by  $u_z$  and  $k$ . If  $k$  is equal to 0, it implies that  $u_{zz}$  is not used to control  $i_o$ , and the modulation mode returns to NTV-PWM. This is good for reducing the SF. The neutral point current ( $i_{zo}$ ) when  $k$  is equal to 0, can be expressed as follows:

1) If  $-1 - u_{\min} < u_z < -u_{\max}$ , then  $i_o$  can be rewritten as:

$$\begin{cases} i_{zo} = u_{\max}i_{\max} + u_{\min}i_{\min} + u_{\text{mid}}i_{\text{mid}} = c_1 \\ i_{zo}(p_0) = i_{zo}(-1 - u_{\min}) = c_1 \\ i_{zo}(p_1) = i_{zo}(-u_{\max}) = c_1 \end{cases} \quad (24)$$

2) If  $-u_{\max} < u_z < -u_{\text{mid}}$ , then  $i_o$  can be rewritten as:

$$\begin{cases} i_{zo} = -u_{\max}i_{\max} + u_{\min}i_{\min} + u_{\text{mid}}i_{\text{mid}} - 2u_zi_{\max} = c_2 - 2u_zi_{\max} \\ i_{zo}(p_2) = i_{zo}(-u_{\text{mid}}) \\ i_{zo}(p_3) = -u_{\max}i_{\max} + u_{\min}i_{\min} + u_{\text{mid}}i_{\text{mid}} + 2u_zi_{\max} = c_2 + 2u_zi_{\max} \end{cases} \quad (25)$$

3) If  $-u_{\text{mid}} < u_z < -u_{\min}$ , then  $i_o$  can be rewritten as:

$$\begin{cases} i_{zo} = -u_{\max}i_{\max} + u_{\min}i_{\min} - u_{\text{mid}}i_{\text{mid}} + 2u_zi_{\min} = c_3 + 2u_zi_{\min} \\ i_{zo}(p_3) = i_{zo}(-u_{\min}) \\ i_{zo}(p_4) = -u_{\max}i_{\max} + u_{\min}i_{\min} - u_{\text{mid}}i_{\text{mid}} - 2u_zi_{\min} = c_4 \end{cases} \quad (26)$$

4) If  $-u_{\min} < u_z < 1 - u_{\max}$ , then  $i_o$  can be rewritten as:

$$\begin{cases} i_{zo} = -u_{\max}i_{\max} - u_{\min}i_{\min} - u_{\text{mid}}i_{\text{mid}} = c_4 \\ i_{zo}(p_4) = i_{zo}(1 - u_{\max}) = c_4 \end{cases} \quad (27)$$

On the other hand, if  $k$  is equal to 1, this implies that  $u_{zz}$  is fully utilized. Thus, the neutral point current ( $i_{z0}$ ) when  $k$  is equal to 1, can be expressed as follows.

1) If  $-1 - u_{\min} < u_z < -u_{\max}$ , then  $i_o$  can be rewritten as:

$$\begin{cases} i_{z0} = c_1 - (1 + u_{\text{mid}} + u_z)i_{\text{mid}} \\ i_{z0}(p_0) = i_{zo}(p_0) - (u_{\text{mid}} - u_{\min})i_{\text{mid}} \\ i_{z0}(p_1) = i_{zo}(p_1) - (1 + u_{\text{mid}} - u_{\max})i_{\text{mid}} \end{cases} \quad (28)$$

2) If  $-u_{\max} < u_z < -u_{\text{mid}}$ , then  $i_o$  can be rewritten as:

$$i_{z0}(p_2) = i_{zo}(p_2) - i_{\text{mid}} \quad (29)$$

3) If  $-u_{\text{mid}} < u_z < -u_{\min}$ , then  $i_o$  can be rewritten as:

$$i_{z0}(p_3) = i_{zo}(p_3) - (1 - u_{\text{mid}} + u_{\min})i_{\text{mid}} \quad (30)$$

4) If  $-u_{\min} < u_z < 1 - u_{\max}$ , then  $i_o$  can be rewritten as:

$$i_{z0}(p_4) = i_{zo}(p_4) - (-u_{\text{mid}} + u_{\max})i_{\text{mid}} \quad (31)$$

However,  $p_0$ - $p_4$  cannot be obtained simultaneously under some operation conditions.

- 1) If  $u_{\max} - u_{\min} < 1$ , then the points ( $p_0$ - $p_4$ ) can be obtained.
- 2) If  $u_{\max} - u_{\min} > 1$ , then the points ( $p_1, p_3$ ) cannot be obtained.

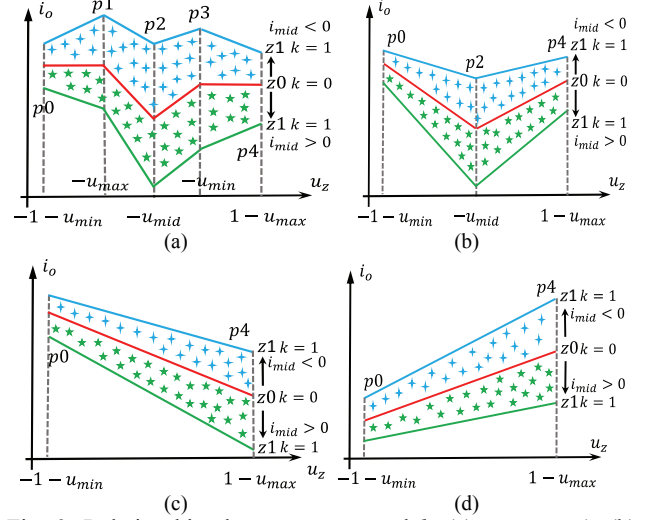


Fig. 9. Relationships between  $i_o$ ,  $u_z$  and  $k$ : (a)  $u_{\max} - u_{\min} < 1$ ; (b)  $u_{\max} - u_{\min} > 1$  and  $|u_{\text{mid}}| + \max(|u_i|) < 1$ ; (c)  $u_{\max} - u_{\min} > 1$  and  $u_{\max} - u_{\min} > 1$ ; (d)  $u_{\max} - u_{\min} > 1$  and  $u_{\text{mid}} - u_{\min} > 1$

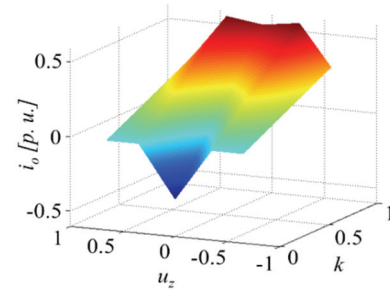


Fig. 10. 3-D diagram of the relationships between  $i_o$ ,  $u_z$  and  $k$ .

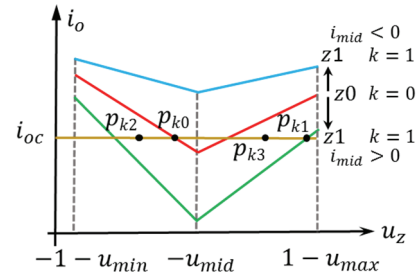


Fig. 11. Example of determining the optimal values for  $u_z$  and  $k$ .

3) If  $|u_{\text{mid}}| + \max(|u_i|) > 1$ , the points ( $p_1, p_2, p_3$ ) cannot be achieved.

Therefore, the tracks of  $i_o$  can be drawn into the four cases in Fig. 9. The three-dimensional diagram is shown in Fig. 10.

### B. Control Flow to Achieve the Optimal 2-DOF

For example, if  $\Delta v_o$  exists, which needs to be compensated by  $i_{oc}$  in Fig. 11, it is necessary to consider how to determine the optimal values for  $u_z$  and  $k$ . It is noted from Fig. 11 that there are numerous choices for generating the same  $i_{oc}$ . The NPP control proposed in section III searches for all of the points in Fig. 10 to determine  $u_z$  and  $k$ . The chosen  $u_z$  and  $k$

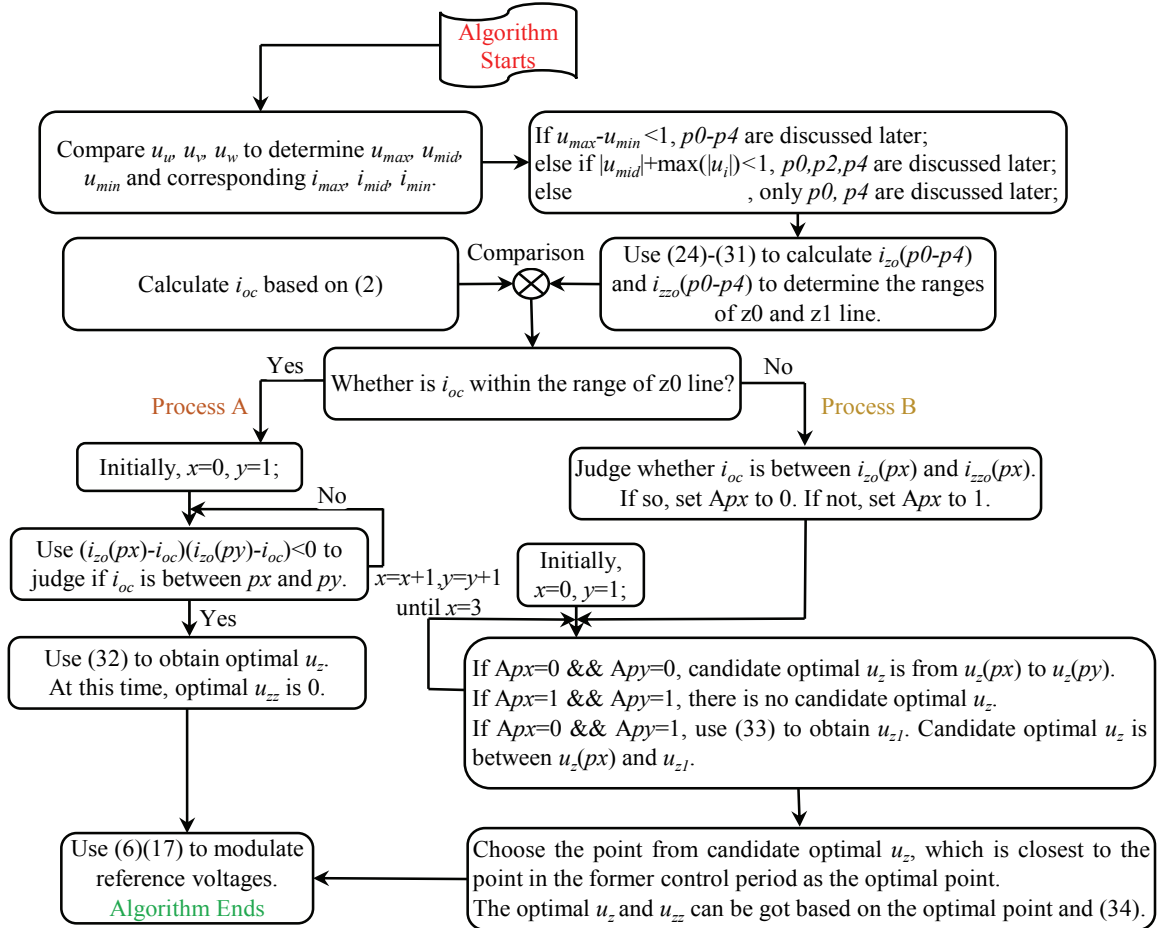


Fig. 12. Overall flow chart of the proposed direct calculation method.

correspond to the last point that can generate the required  $i_{oc}$ . In Fig. 11, the point  $(p_{k1})$  is chosen. It needs a large amount of calculations and causes the three-level modulation to degrade to a two-level modulation. Furthermore, different choices correspond to different output performances. For example, if the point  $(p_{k0})$  is chosen, the modulation mode returns to NTV-PWM, which is beneficial for improving the SF. If the point  $(p_{k2})$  is chosen in the former control period and the point  $(p_{k3})$  is chosen in this control period, it causes an additional switching. Moreover, the harmonic characteristics are also different for different points.

Because NTV-PWM has some advantages in terms of the SF and harmonic characteristics, the point on z0 line is chosen preferentially. For example, in Fig. 11, although the points  $(p_{k0}-p_{k3})$  can generate the same  $i_{oc}$ , the point  $(p_{k0})$  is chosen. The optimal  $u_z$  and  $k$  are decided as follows.

1) Compare three phase voltages to determine the maximum, middle and minimum voltages ( $u_{max}$ ,  $u_{mid}$ ,  $u_{min}$ ) and their corresponding currents ( $i_{max}$ ,  $i_{mid}$ ,  $i_{min}$ ).

2) Use the voltage limits ( $u_{max}-u_{min}<1$ ,  $|u_{mid}|+\max(|u_i|)<1$ ) to determine the tracks of  $i_o$ , as shown in Fig. 9.

3) Calculate  $i_{zo}(p0-p4)$  and  $i_{zso}(p0-p4)$  to determine the ranges of the z0 and z1 lines.

4) Calculate  $i_{oc}$  and decide which zone  $i_{oc}$  is in to try different processes, A or B.

Process A: ( $i_{oc}$  is within the range of the z0 line).

a1) Use  $(i_{zo}(px)-i_{oc})(i_{zo}(py)-i_{oc})<0$  to judge if  $i_{oc}$  is between the points  $px$  and  $py$  ( $x, y = 0, 1, 2, 3, 4$ ).

a2) If  $i_{oc}$  is between  $px$  and  $py$ , an interpolation theory is used [9] to obtain the optimal  $u_z$  as (32). At this time, the optimal  $k$  is equal to 0. This is to say,  $u_{zz}$  is 0.

$$u_z = \frac{u_z(py)[i_{oc} - i_{zo}(px)] - u_z(px)[i_{oc} - i_{zo}(py)]}{i_{zo}(py) - i_{zo}(px)} \quad (32)$$

Process B: ( $i_{oc}$  is beyond the range of the z0 line).

b1) Judge whether  $i_{oc}$  is between  $i_{zo}(px)$  and  $i_{zso}(px)$ . If it is, set  $A_{px}$  to 0. If it is not, set  $A_{px}$  to 1.

b2) If  $A_{px}$  and  $A_{py}$  are both 0, all of the  $u_z$  between  $u_z(px)$  and  $u_z(py)$  are candidates for the optimal  $u_z$ . If  $A_{px}$  and  $A_{py}$  are both 1, there is no candidate for an optimal  $u_z$  between  $u_z(px)$  and  $u_z(py)$ . If  $A_{px}$  is 0 and  $A_{py}$  is 1, the interpolation theory can be used to obtain one optimal  $u_{z1}$  as (33). At this time, the candidate optimal  $u_z$  is between  $u_z(px)$  and  $u_{z1}$ .

$$u_{z1} = \frac{u_z(py)[i_{oc} - i_{zso}(px)] - u_z(px)[i_{oc} - i_{zso}(py)]}{i_{zso}(py) - i_{zso}(px)} \quad (33)$$



b3) Because all of the candidates for the optimal  $u_z$  have been obtained,  $u_{zz}$  is determined as in (34).

$$u_{zz} = -\frac{i_{oc} - \sum_{i=\max, mid, \min} (1 - |u_i + u_z|) i_i}{i_{mid}} \quad (34)$$

b4) Last, the final optimal  $u_z$  and  $u_{zz}$  should be decided, considering the SF. If the point ( $pa$ ) is chosen in the former control period, the point that is closest to  $pa$  is chosen as the final optimal point in this control period. Then the optimal values for  $u_z$  and  $u_{zz}$  can also be determined based on the final optimal point.

An overall flow chart is shown in Fig. 12.

#### IV. EXPERIMENTAL VERIFICATION

In this section, some experimental results are presented to verify the advantages of the proposed method when compared with the conventional NPP controls. The experiment set-up is based on a DSP/TMS320C 6657 and a FPGA/XC6SLX45, as displayed in Fig. 13. The TL-NPC converter is composed of a three-phase diode rectifier and a three-phase TL-NPC inverter. The current sensor board and voltage sensor board are based on a LEM/FA-050P and a LEM/LV-25P, respectively. Moreover, a voltage slider is utilized to adjust the DC-link voltage to 220 V. The parameters are listed in Table I. Because the NPP control performance of the proposed method only depends on  $m$  and the power factor (PF) in principle, the output frequency can be set to any value. However, do to limitations in the experimental environment, it is set to 40Hz for obtaining the loads, where the PF is approximately 0.37, 0.625 and 0.99.

##### A. NPP Control Performance of the Proposed Method in Stable Loads

In this part, five sets of experimental results under different operation conditions are presented to discuss the four methods. The operation conditions are shown as follows.

- 1) Operation condition 1 (OC1):  
R1-L1(5Ω/25mH) PF = 0.625,  $m = 0.85$
- 2) Operation condition 2 (OC2):  
R1-L1(5Ω/25mH) PF = 0.625,  $m = 0.75$
- 3) Operation condition 3 (OC3):  
R2-L2(2.5Ω/25mH) PF = 0.37,  $m = 0.85$
- 4) Operation condition 4 (OC4):  
R2-L2(2.5Ω/25mH) PF = 0.37,  $m = 0.75$
- 5) Operation condition 5 (OC5):  
R3-L3(10Ω/5mH) PF = 0.99,  $m = 0.85$

The initial NPP error ( $\Delta v_o$ ) is set as 30V. The experimental results of these methods are shown in Figs. 14-17, Figs. 18-21, Figs. 22-24, Figs. 25-27 and Fig. 28 for OC1-5. A lot of results are given here to confirm the validity and feasibility of the proposed method under any operation condition. Because the results under all of the operation conditions provide similar conclusions, a detailed description of Figs. 14-17 is given.

TABLE I  
EXPERIMENTAL PARAMETERS

Items	Parameters
DC-link voltage	220 V
Cup and Cdown	1800 uF
Switching frequency	4 kHz
Output frequency ( $f_s$ )	40 Hz
Loads	R1-L1(5Ω/25mH) PF=0.625
	R2-L2(2.5Ω/25mH) PF=0.37
	R3-L3(10Ω/5mH) PF=0.992

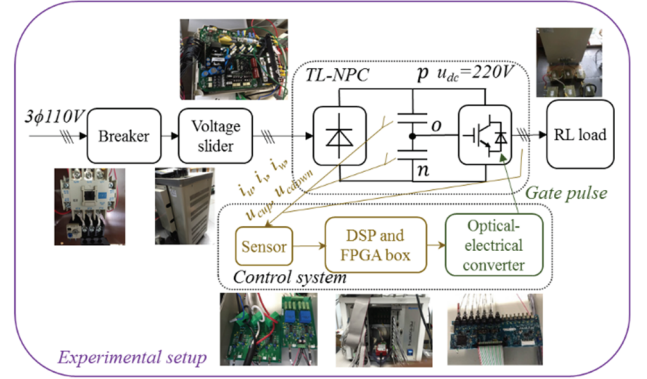


Fig. 13. Experimental setup.

Figs. 14 and 15 show experimental results of the NTV-PWM based NPP control and the DMW method with a compensator. First, it is known from Fig. 14(c) that the NPP drift can be controlled to 0 within 40 ms. However, a low frequency fluctuation appears in the NPP, which limits the reductions of the capacitors. Concurrently, the SF of the conventional NPP control is 4 kHz and the calculation time (CT) is approximately 3 us for a TMS320C6657, as shown in Fig. 14(d). However, as shown in Fig. 15(c), both the NPP drift and the low frequency NPP fluctuation are solved with the DMW method. The problem is that the SF increases to 5.3 kHz. In addition, the three-level modulation sometimes degrades to a two-level modulation, as shown in Figs. 14(a) and 15(a). A two-level converter should generate  $-u_{dc}/2$  and  $u_{dc}/2$ . A three-level converter should generate  $-u_{dc}/2$ , 0 and  $u_{dc}/2$ . Therefore, the condition that a method can generate a voltage (0) determines whether a method is a two-level or three-level modulation. However, it can be seen from Fig. 15(a) that when the output voltage changes from -100 V to 100 V, the conventional DMW method with a compensator cannot generate a voltage (0). Therefore, for the conventional DMW method, the three-level modulation will be degraded to a two-level modulation. This is undesirable, since some of the advantages of three-level converters disappear. For example, the  $du/dt$  increases, which is harmful for improving the EMI. From Fig. 14(b) and 15(b), it is also noted that the range of  $u_z$  for NTV-PWM is wider than that for the DMW method.

Experimental results of the search optimization method of 2-DOF are given, as shown in Fig. 16. It can be seen from Fig. 16(b) that the 2-DOF ( $u_z$  and  $u_{zz}$ ) are adjusted in a wider

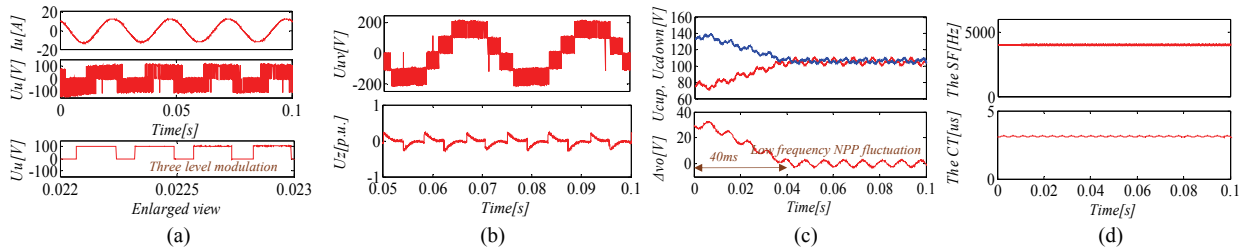


Fig. 14. Conventional NTV-PWM based NPP control (OC1): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$  and  $u_z$ ; (c)  $NPP$ ; (d) SF and CT.

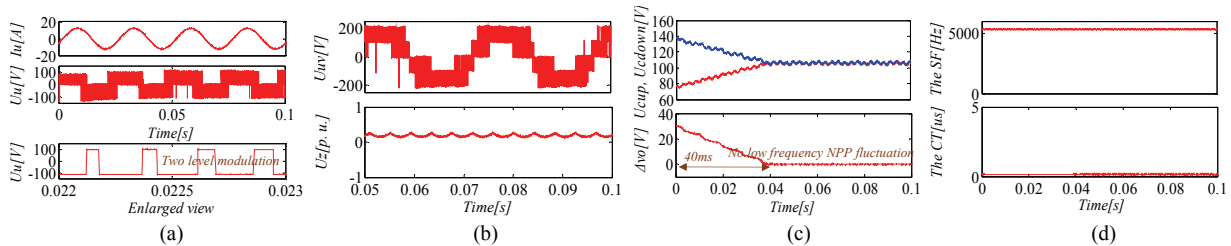


Fig. 15. Conventional DMW method with a compensator (OC1): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$  and  $u_z$ ; (c)  $NPP$ ; (d) SF and CT.

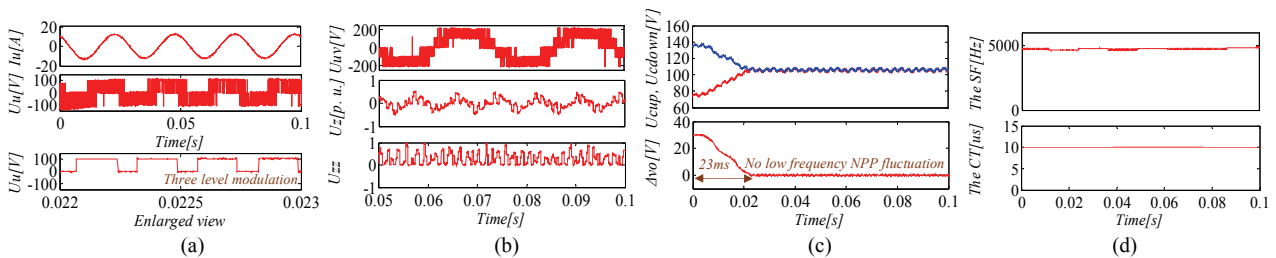


Fig. 16. NPP control based on the search optimization method of 2-DOF (OC1): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

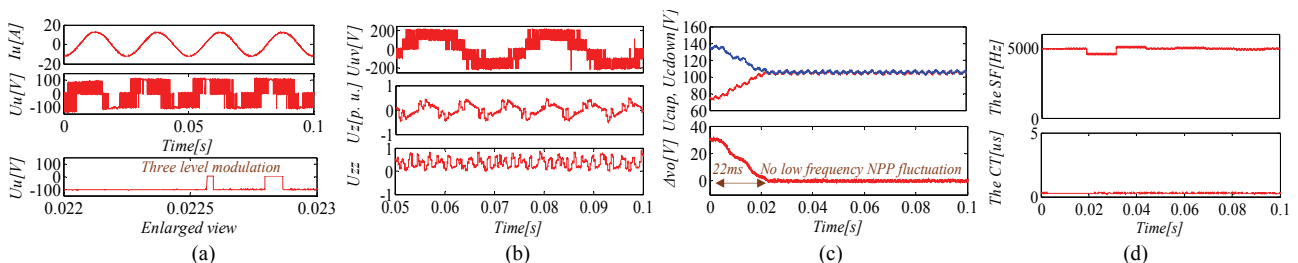


Fig. 17. Proposed direct calculation method of the optimal 2-DOF based NPP control (OC1): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

range. Moreover, this method can avoid the degradation of the three-level modulation to a two-level modulation when compared with the DMW method, as shown in Figs. 15(a) and 16(a). Concurrently, the NPP drift and low-frequency NPP fluctuation problems can also be solved simultaneously. Moreover, it is noteworthy that the control speed of the NPP drift problem is faster at 20 ms. This corresponds to a stronger NPP control capability for the NPP drift problem, which is generated from a long dead time or strong asymmetric loads. Furthermore, the SF is also decreased from 5.3 kHz to 4.8 kHz. However, as analyzed in Section III, the CT increases to approximately 10 us. Here,  $u_z$  and  $u_{zz}$  are divided into 100 parts and 10 part, respectively. If a more accurate control is desired, the CT becomes larger than 10 us, which is intolerant for some inexpensive DSPs and applications.

The direct calculation method can realize the same control performance as the search optimization method with a lower amount of calculations. Experimental results of the proposed method are shown in Figs. 17 and 18 at different loads. It can be seen from Fig. 17(c) that the NPP drift and low frequency NPP fluctuation problems have been overcome within 22 ms. From Fig. 17(d), it is known that the CT is reduced from 10 us to 0.3 us. This ensures that the three-level modulation does not degrade to a two-level modulation.

Simultaneously, FFT analyses of the line voltage and characteristics of the four methods are summarized in Table II under OC1. According to the THD and SF in Table II, the conventional NPP control has the best output performance, since it adopts NTV-PWM. However, the NPP drift control ability is in the middle and the low-frequency NPP fluctuation

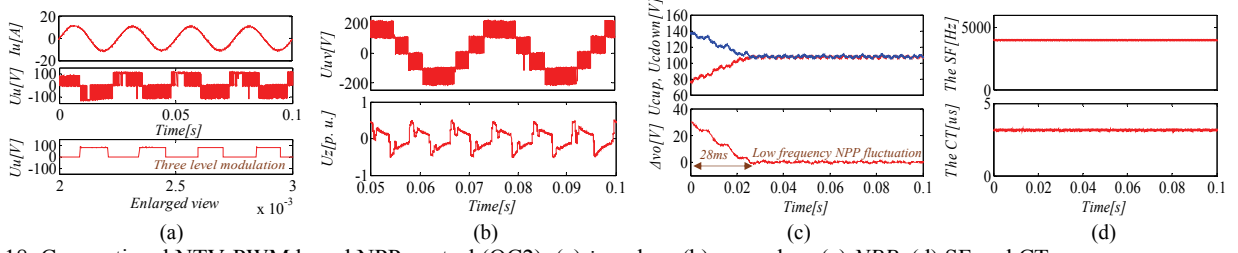
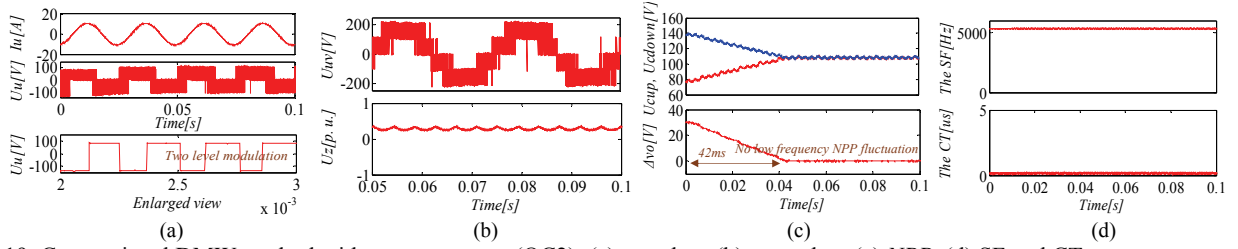
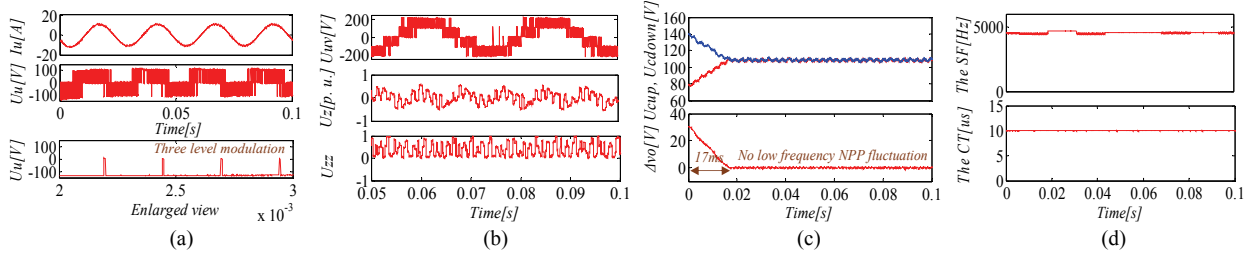
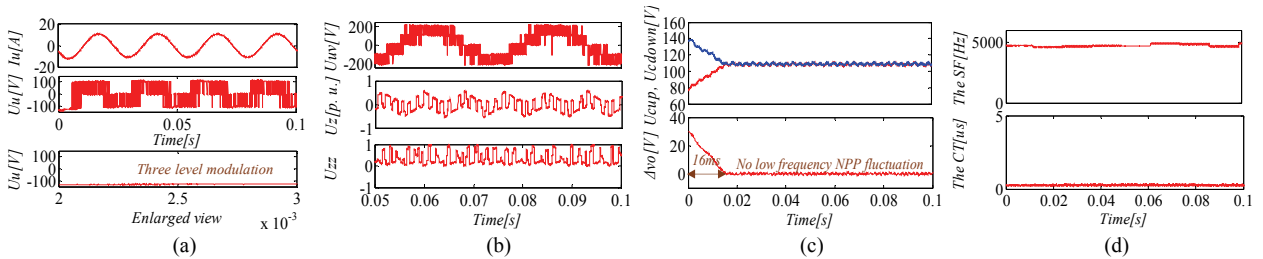

 Fig. 18. Conventional NTV-PWM based NPP control (OC2): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$  and  $u_z$ ; (c)  $NPP$ ; (d) SF and CT.

 Fig. 19. Conventional DMW method with a compensator (OC2): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$  and  $u_z$ ; (c)  $NPP$ ; (d) SF and CT.

 Fig. 20. NPP control based on the search optimization method of 2-DOF (OC2): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

 Fig. 21. Proposed direct calculation method of the optimal 2-DOF based NPP control (OC2): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

 TABLE II  
 EXPERIMENTAL PARAMETERS (OC1)

Methods	THD ( $U_{uv}$ )	SF	Low frequency NPP fluctuation	NPP drift/ control ability	CT
NTV-PWM based NPP control	37.87%	4.0kHz	×	○/middle	3 us
DWM method	53.78%	5.3kHz	○	○/weak	0.15 us
Search optimization method	54.92%	4.8kHz	○	○/strong	10 us
Proposed direct calculation method	47.63%	4.8kHz	○	○/strong	0.3 us

cannot be overcome. In some medium and high voltage high-power applications, which have an urgent need to reduce the DC-link capacitors, this processing is intolerable.

On the other hand, the DMW method with a compensator can solve the low frequency NPP fluctuation problem. However, this is done at the cost of the THD, SF and NPP drift control capability. When compared with these conventional approaches, the search optimization method and the proposed method can solve the two types of NPP problems simultaneously and have a stronger control capability for the NPP drift problem. Although the output performances of the THD and SF are worse than those of the NTV-PWM, they are improved when compared with the DMW method. More importantly, the proposed method can achieve a more accurate 2-DOF with a lower amount of calculations when compared with the search

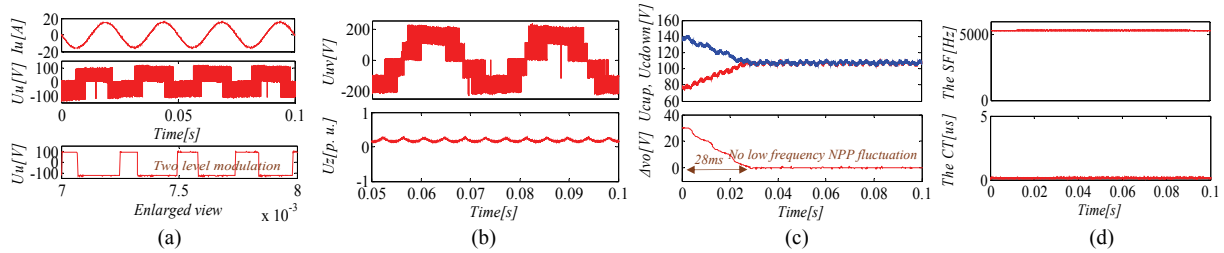


Fig. 22. Conventional DMW method with a compensator (OC3): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$  and  $u_z$ ; (c)  $NPP$ ; (d) SF and CT.

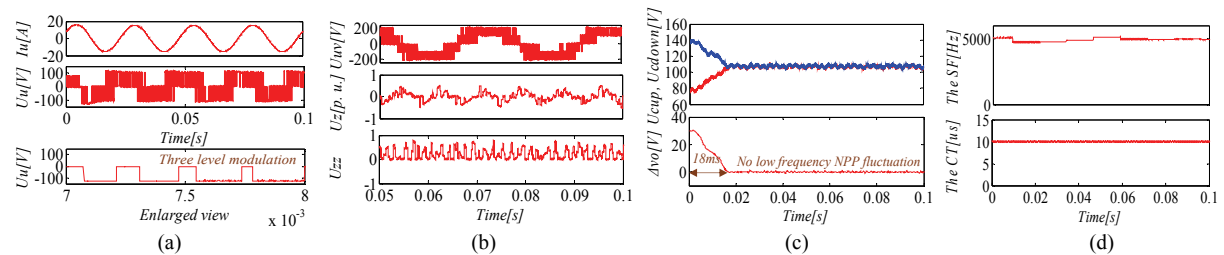


Fig. 23. NPP control based on the search optimization method of 2-DOF (OC3): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

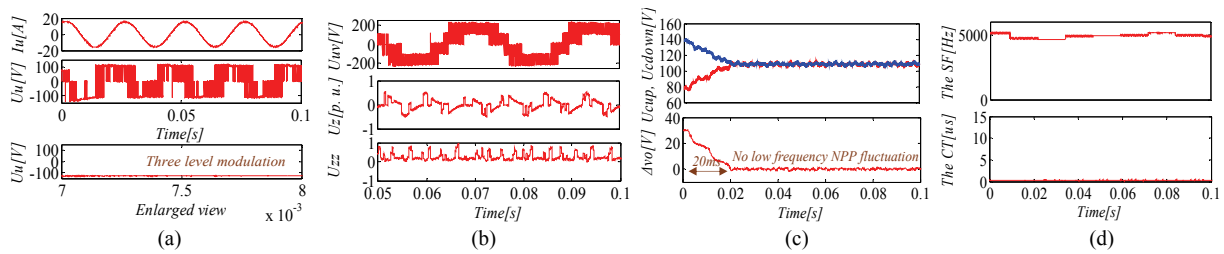


Fig. 24. Proposed direct calculation method of the optimal 2-DOF based NPP control (OC3): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

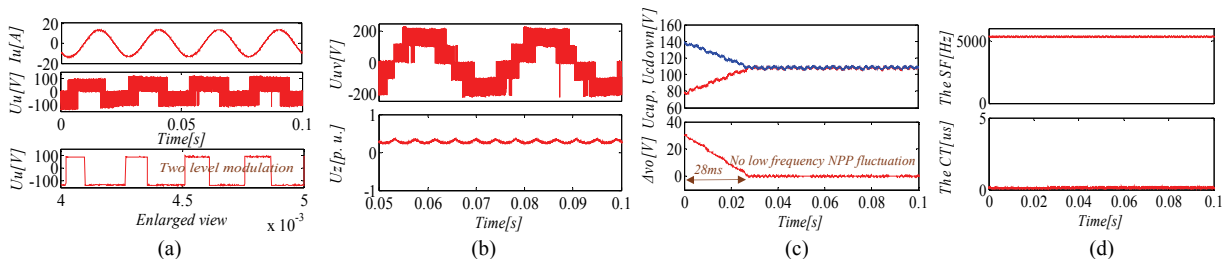


Fig. 25. Conventional DMW method with a compensator (OC4): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$  and  $u_z$ ; (c)  $NPP$ ; (d) SF and CT.

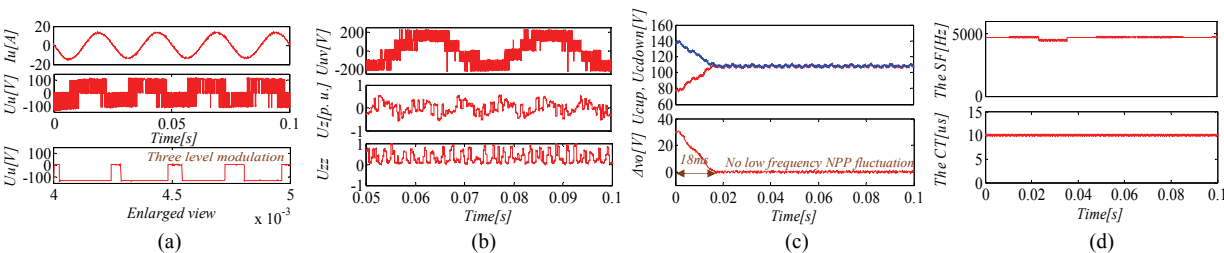


Fig. 26. NPP control based on the search optimization method of 2-DOF (OC4): (a)  $i_u$  and  $u_u$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

optimization method, which utilizes the proposed method that is suitable for some industry applications with an expensive DSP. In fact, the output performance of the THD is also better than that of the search optimization method. It should be noted that the word “optimal” in this paper aims at an optimal NPP control performance, rather than an optimal THD. Theoretically,

the THD is determined by the modulation strategies. Therefore, the optimal 2-DOF obtained by the search optimization method cannot ensure that the THD is better than that of the proposed method. It is not possible to realize the search optimization method online with the infinite precision  $u_z$  and  $u_{zz}$  considering the amount of calculations. Thus,  $u_z$  and  $u_{zz}$  are divided to 100

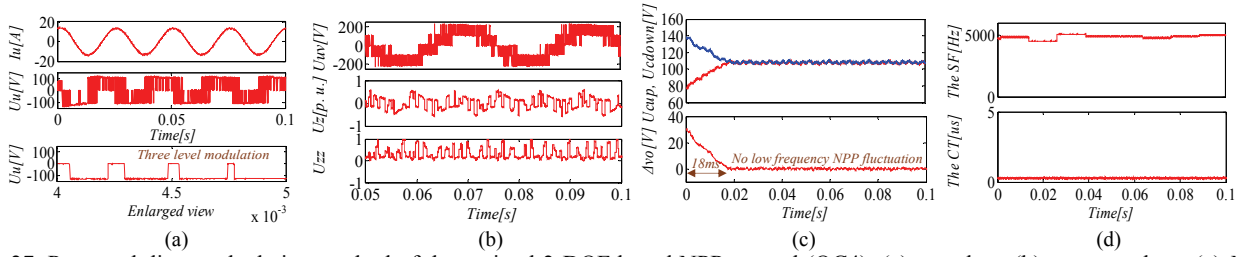


Fig. 27. Proposed direct calculation method of the optimal 2-DOF based NPP control (OC4): (a)  $i_u$  and  $u_{u_i}$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

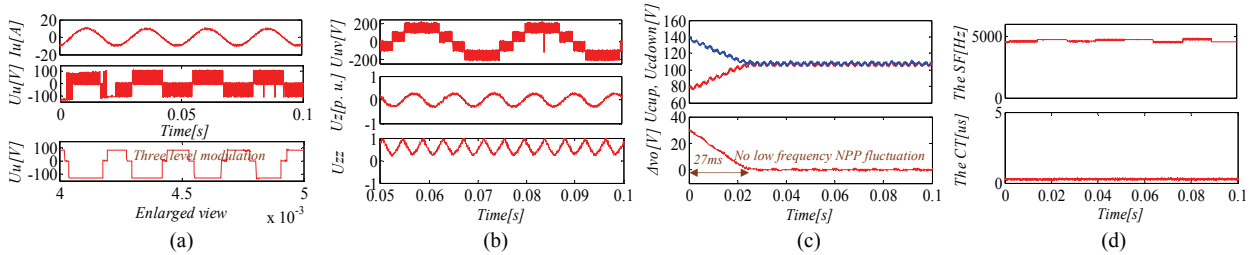


Fig. 28. Proposed direct calculation method of the optimal 2-DOF based NPP control (OC5): (a)  $i_u$  and  $u_{u_i}$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

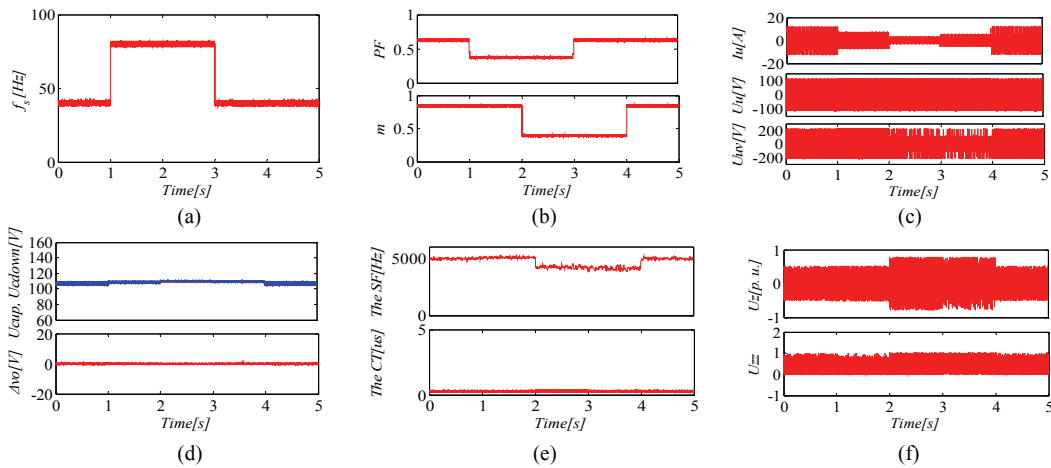


Fig. 29. Proposed direct calculation method of optimal 2-DOF based NPP control (Sudden change loads): (a) Output frequency; (b) PF and  $m$ ; (c)  $i_u$ ,  $u_u$ ; and  $u_{uv}$ ; (d)  $NPP$ ; (e) SF and CT; (f)  $u_z$  and  $u_{zz}$ .

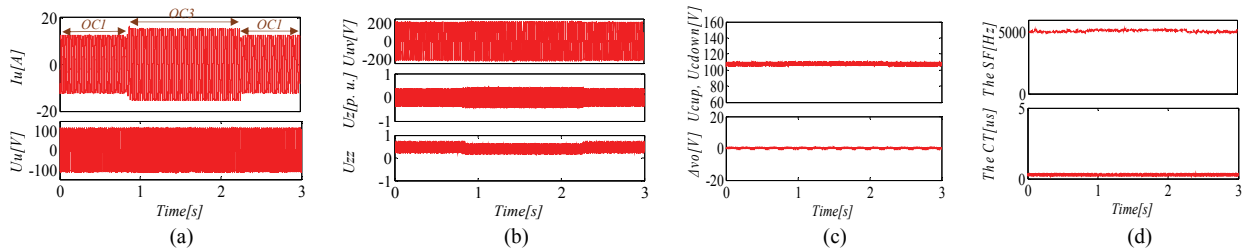


Fig. 30. Proposed direct calculation method of the optimal 2-DOF based NPP control (Sudden change loads): (a)  $i_u$  and  $u_{u_i}$ ; (b)  $u_{uv}$ ,  $u_z$  and  $u_{zz}$ ; (c)  $NPP$ ; (d) SF and CT.

parts and 10 parts in the experiment results, respectively. On the other hand, the proposed method is based on the direct calculation method. Therefore, in theory, the two methods are the same when  $u_z$  and  $u_{zz}$  have infinite precision. However, this is impossible. Thus, the two methods achieve different optimal 2-DOF. This can also be confirmed from Figs. 16(b) and 17(b).

As a result, the THD of the two methods are also different.

Based on an analysis of Figs. 14-17, it can be clearly seen that the proposed method has many advantages over the conventional NPP control. In fact, similar conclusions can also be achieved based on Figs. 18-28. These results show that the proposed method is valid under any operation

TABLE III  
A SUMMARY ON THE CHARACTERISTICS OF THREE NPP CONTROLS

Methods	OC1			OC2			OC3			OC4			OC5		
	CS	SF	CT	CS	SF	CT	CS	SF	CT	CS	SF	CT	CS	SF	CT
DWM method	40ms	5.3kHz	0.15us	42ms	5.3kHz	0.15us	28ms	5.3kHz	0.15us	28ms	5.3kHz	0.15us	180ms	5.3kHz	0.15us
Search optimization method	23ms	4.8kHz	10.0us	17ms	4.6kHz	10.0us	18ms	4.8kHz	10.0us	18ms	4.7kHz	10.0us	27ms	4.6kHz	10.0us
Proposed direct calculation method	22ms	4.8kHz	0.30us	16ms	4.7kHz	0.30us	20ms	4.8kHz	0.30us	18ms	4.8kHz	0.30us	27ms	4.6kHz	0.30us

conditions. A summary is given as Table III. Since the NTV-PWM based NPP control cannot deal with the low-frequency NPP fluctuation problem, it is not summarized in Table III. CS is the NPP control speed.

### B. NPP Control Performance of the Proposed Method under Sudden Load Changes

Based on the explanations in section IV.A, the validity and feasibility of the proposed method under stable loads have been verified. In this part, some experimental results are also given to show that the proposed method has a good dynamic response under sudden load changes. Since RL loads are utilized in the experiment, the inductive reactance of L can be adjusted by changing the output frequency ( $f_s$ ). Thus, the PF and phase currents of the RL loads are also changed. Moreover, when the modulation ratio is changed, the phase currents can also be revised. Thus, the operation conditions are set as follows.

- 1) At 1s, change the output frequency from 40Hz to 80Hz (PF from 0.625 to 0.37, phase current from 8.85A to 5.25A).
- 2) At 2s, change the modulation ratio from 0.85 to 0.4 (phase current from 5.25A to 2.35A).
- 3) At 3s, change the output frequency from 80Hz to 40Hz (PF from 0.37 to 0.625, phase current from 2.35A to 3.8A).
- 4) At 4s, change the modulation ratio from 0.4 to 0.85 (phase current from 3.8A to 8.85A).

It is obvious from Fig. 29 that although the PF,  $m$ , phase current and output frequency change abruptly, there is no effects on the NPP control performance of the proposed method. It can maintain the NPP at zero at any point. Moreover, the amount of calculations is also maintained as a low level. Thus, there is no problem with the dynamic response. The NPP control performance of the proposed method is not affected.

Fig. 30 shows experimental results when the operation condition changes from OC1 to OC3 and from OC3 to OC1. Although different RL loads are utilized in OC1 and OC3, there is still no effect on the NPP control performance of the proposed method. It can also verify that the proposed method has a good dynamic response.

## V. CONCLUSIONS

In this paper, the low-frequency NPP fluctuation problem for TL-NPC converters is discussed in detail. The DMW

method solves this problem by sacrificing the control domains of  $i_o$  to keep  $i_o$  zero at any time. The search optimization method can adjust the 2-DOF to greatly expand the control domains of  $i_o$ . A wider control domain of  $i_o$  corresponds to a stronger control capability for coping with the NPP drift problem. In comparison with the DMW method, the SF can also be decreased. However, the calculation amount of the search optimization method is a vital problem for some industrial applications with a cheap DSP. Thus, a direct calculation method is proposed in this paper for achieving the relationships between the NPP and the 2-DOF. The actual optimal 2-DOF can be easily acquired via an interpolation method, which can drastically reduce the calculation amount. Thus, the proposed method realizes the same NPP control performance as the search optimization method but with a small calculation amount, which is vital for some applications with an inexpensive DSP. While maintaining a strong control capability and a fast control speed for the two types of NPP problems, the proposed method can also master the output waveforms, which is beneficial for reducing the SF and improving the EMI problem. In summary, the search optimization method may be the best choice if a high-performance DSP is available, since the principle of the method is easy to understand and apply by general engineering officers. However, if only a low-cost DSP can be used in an application, the method proposed in this paper would be a good choice.

Lastly, it is worth noting that the proposed method is not better than the search optimization method [18], [19] in all aspects, since the relationships between the quasi-optimal and actual optimal values are very complex. Specifically, in this paper, "optimal" only implies that the control performance in the NPP problem is optimal. Thus, the actual optimal 2-DOF may not be the actual optimum, when the THD, SF and NPP problems are considered as a whole. Some further analysis and discussions on the proposed method and the search optimization method will be performed in the future. A careful choice between the two methods should be made based on the specific application.

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