

중합체 매개 용융압출에 의한 참당귀 나노복합체의 제조

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Fabrication of Nano-composites from the Radix of *Angelica gigas* Nakai by Hot Melt Extrusion Mediated Polymer Matrixs

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ABSTRACT

Background: The objective of this study was to make colloidal dispersions of the active compounds of radix of *Angelica gigas* Nakai that could be characterized as nano-composites using hot melt extrusion (HME). Food grade hydrophilic polymer matrices were used to disperse these compound in aqueous media.

Methods and Results: Extrudate solid formulations (ESFs) mediated by various HPMCs (hydroxypropyl methylcelluloses) and Na-Alg polymers made from ultrafine powder of the radix of *Angelica gigas* Nakai were developed through a physical crosslink method (HME) using an ionization agent (treatment with acetic acid) and different food grade polymers [HPMCs, such as HP55, CN40H, AN6 and sodium alginate (Na-Alg)]. X-ray powder diffraction (XRD) analysis confirmed the amorphization of crystal compounds in the HP55-mediated extrudate solid formulation (HP55-ESF). Differential scanning calorimetry (DSC) analysis indicated a lower enthalpy ($\Delta H = 10.62$ J/g) of glass transition temperature (T_g) in the HP55-ESF than in the other formulations. Infrared fourier transform spectroscopy (FT-IR) revealed that new functional groups were produced in the HP55-ESF. The content of phenolic compounds, flavonoid (including decursin and decursinol angelate) content, and antioxidant activity increased by 5, 10, and 2 times in the HP55-ESF, respectively. The production of water soluble (61.5%) nano-sized (323 nm) particles was achieved in the HP55-ESF.

Conclusions: Nano-composites were developed herein utilizing melt-extruded solid dispersion technology, including food grade polymer enhanced nano dispersion (< 500 nm) of active compounds from the radix of *Angelica gigas* Nakai with enhanced solubility and bioavailability. These nano-composites of the radix of *Angelica gigas* Nakai can be developed and marketed as products with high therapeutic performance.

Key Words: *Angelica gigas* Nakai, Food Grade Polymer, Hot Melt Extrusion, Nano-composite, Solid Formulation

INTRODUCTION

Radix of *Angelica gigas* Nakai is an important herbal medicine in Korea and has several pharmacological properties to menopausal syndromes, anemia, abdominal

pain, inhibition of breast cancer, and amenorrhea (Nam *et al.*, 2018).

A. gigas Nakai has been studied extensively and found to contain a variety of substances including coumarins (Ryu *et al.*, 1990). Coumarins are composed of decursin

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and decursinol angelate, which has long been used as a traditional medicine for the treatment of anemia, as a sedative, and as an anodyne or a tonic agent (Yook, 1990).

Most of the decursin and decursinol angelate metabolized to decursinol, more hydrophilic, it is low bioavailability and water solubility due to poorly and slowly absorbed across the gut and via the blood following oral and intravenous administrations (Kim *et al.*, 2009).

Many herbal medicines such as Radix of *A. gigas* Nakai are used in functional food products; however, there is currently a great deal of concern over possible absorption, tissue distribution, metabolism, and elimination following oral administration associated with such pharmaceutical active compound in animal model and human body.

This point was explained that pharmaceutical active compound have strong intermolecular covalent bonds in the crystal lattice, the diverse range of structural components, the high bonding capacity within the molecules, and the large molecular weight of the end-product make it less functional. (Khaledi, 1997; Khoddami *et al.*, 2013).

Also, pharmaceutical industry is facing the challenge of having more and more poorly soluble drug molecules that have to be developed into dosage forms so that high and reliable drug absorption can be guaranteed on being administered to patients (Breitkreutz, 1998).

Usually the drug company will seek a way to modify the molecule in a way that it become more soluble, different approach such as physical modification (salts, amorphous solid dispersions, and particle size reduction) and carrier or delivery systems (co-solvent, micelles, microemulsion, and nanoparticles) to overcome the solubility issues and enhancing the drug molecule's bioavailability of drug molecule (Perria and Rades, 2010).

Janssens and Van den Mooter (2009) defined that solid dispersion is formulation of poorly soluble compounds as solid dispersions might lead to particle size reduction, improve wetting, reduced agglomeration, changes in the physical state of the drug and possibly dispersion on a molecular level, according to the physical state of the solid dispersion.

Solid dispersion are system where one component is

dispersed in a carrier (usually polymeric and often amorphous), it used ways to improve the solubility and hence the bioavailability of drug (Newman *et al.*, 2012). Solid dispersion are prominent nowadays when preparation method such as hot-melt extrusion. In hot-melt extrusion (HME), extruder's screw the materials is mixed and dispersed at the same time, HME does by applying shear stress to the drug and the polymer, it generated energy by friction in order to overcome the crystal lattice energy to transform the drug into its amorphous form and to soften the polymer (Qi *et al.*, 2011).

The application of food grade polymer in food and drug industry is getting special attention for the development of control delivery system. Hydroxypropyl ethylcellulose (HPMC) is a water soluble cellulose mainly used as a carrier material for the control release of active ingredients.

HPMC is hydrophilic and biocompatible polymer having capabilities of the hydration and gel forming which is prolong the releasing time of the active ingredients (Kadajji and Beageri, 2011). HPMC is extensively used in the food industry as a stabilizer, as an emulsifier, as a protective colloid, and as a thickener (BeMiller and Whistler, 1996).

HPMC is used as a raw material for coatings with moderate strength, moderate moisture and oxygen barrier properties, elasticity, transparency, and resistance to oil and fat (Kadajji and Beageri, 2011). It forms gel upon heating with gelation temperature of 75 - 90°C during extrusion. By reducing the molar substitution of hydroxyl propyl group, the glass transition temperature of HPMC can be reduced to 40°C (Deshmukh *et al.*, 2017).

Recently, in order to produce sustained release matrix tablets, hot melt extrudates of ethyl cellulose as a release-controlling polymer and HPMC as a hydrophilic drug release modifier together with different drug such as ibuprofen and metoprolol tartrate were molded in to tablets using extruder (Vervae *et al.*, 2008; Quinten *et al.*, 2008).

Another food grade carrier, alginate is a natural hydrophilic polysaccharide extracted from brown seaweed (Yang *et al.*, 2011). This biopolymer is considered biocompatible, biodegradable, non-toxic and its use as food additive has been generally recognized as safe by Food and Drug Administration (Andersen *et al.*, 2012).

As a food ingredient, the applications of alginate are

based on three main properties: thickening, gelling, and film forming due to presence of reactive sites, such as hydroxyl and carbonyl groups along the backbone (Zia *et al.*, 2015).

Application of alginate in food industry is being increasing due to its dynamic chemical and biological properties (Zia *et al.*, 2015). In addition to alginate is increasingly used to encapsulate active compound, acting as a carrier for controlled delivery system and protective coating for fruits and vegetables (Qin *et al.*, 2018).

Previously a group of researcher prepared nano-composite of radix of *A. gigas* Nakai based on polymer and biopolymer to enhanced solubility and bioavailability (Piao *et al.*, 2015), oral cancer therapy (Nam *et al.*, 2017), control delivery system (Lee *et al.*, 2016), breast cancer therapy (Lee *et al.*, 2017a).

Researchers have discovered the compatible polymeric material for the preparation of hydrogel matrix in order to enhance solubility (Baird and Taylor, 2012; Shit and Shah, 2014). From the nutraceutical point of view, polymer control the rheological characteristics of food materials and prolong the releasing time.

In this regards, nano-composite of radix of *A. gigas* Nakai were prepared based on HPMC (HP55, CN40H and AN6) and Na-Alg to enhance solid dispersion of the active compound. This nano composite would be potentially enhance the nanonization, water solubility and amorphization of the active compound from *A. gigas* Nakai extrudate.

MATERIALS AND METHODS

1. Chemical and reagents

Acetic acid (1 M), citric acid, tween 80 (hydrophilic-lipophilic balance, HLB : 15.0), span 80 (HLB : 4.3), phenolic reagent (Folin Ciocalteu, 2 N), sodium bicarbonate (Na_2CO_3), aluminum nitrate (AlNO_3), potassium acetate ($\text{CH}_3\text{CO}_2\text{K}$), DPPH (2, 2-diphenyl-1-picrylhydrazyl), and acetic acid were purchased from Sigma-Aldrich (St. Louis, MO, USA). Food grade sodium alginate was purchased from esfood, Pocheon, Korea and HPMCs (HP55, CN40H and AN6) were given as a donation by Lotte Fine Chemical. All other chemicals used were of analytical grade and purchased from Merck Chemical (Darmstadt, Germany). Deionized, distilled water (EC value $< 0.3 \mu\text{S}\cdot\text{cm}^{-1}$)

was used for sample preparation.

2. Preparation of ultrafine powder of radix of *A. gigas* Nakai

Coarse powder was prepared from freeze dried radix of *Angelica gigas* Nakai. Radix of *A. gigas* Nakai were milled into coarse powder by a pin crusher (JIC-P10-2; Myungsung Machine, Seoul, Korea) equipped with a 30-mesh sieve. The milled powder was fractionated using a sieve shaker (CG-213, Ro-Top, Chunggye Industrial Mfg. Co., Seoul, Korea) equipped with a series of sieves (F 20 cm).

The powder was passed through 300 μm mesh size sieves, and unpassed particles were grinded again with the pin crusher. The coarse powders were pulverized and classified by a low temperature turbo mill (HKP-05; Korea Energy Technology Co., Ltd., Seoul, Korea). The temperature of the mill chamber was kept at -18°C . The ultrafine powder of radix of *A. gigas* Nakai was stored in a desiccator for the further use.

3. Solid formulation of ultrafine powder of radix of *A. gigas* Nakai with polymers using HME

Extrudate solid formulation of ultrafine powder of radix of *A. gigas* Nakai was developed using STS-25HS twin-screw HME (Hankook E.M. Ltd., Pyoung Taek, Korea) with polymers such as HPMCs (HP55, CN40H and AN6) and Na-Alg. HPMCs (10% w/w) and Na-Alg (5% w/w) were added with ultrafine powder of radix of *A. gigas* Nakai in extrusion processing.

First, acetic acid 0.1 M was added to each formulation to facilitate the ionization. Before extrusion, ultrafine powder of radix of *A. gigas* Nakai and polymers were mixed well using electric blender.

The extruder was equipped with a round-shaped die (1 mm) at feeding rate 40 g/min, rpm 150 with high shear. Temperature profile from feeding zone to die was 80/100/100/80/70 $^\circ\text{C}$. The extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymers were drying in an oven at 50 $^\circ\text{C}$ then grinding for further analysis.

4. Particle size analysis

Extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer (ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg 0.5 g) was suspended in 50 ml

of distilled water. The supernatant was separated by centrifugation at 3,000 rpm for 10 min. The particle size of the supernatant was studied using a light-scattering spectrophotometer (ELS-Z1000; Otsuka Electronics, Tokyo, Japan) with three replications.

5. Solubility measurements

One gram of ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg powders were suspended in 50 mL of distilled water at room temperature, gently stirred for 1 h, and then centrifuged at 3,000 rpm for 10 mins. The supernatant was decanted into an evaporating dish of known weight. Water solubility was calculated by the formula described by Piao *et al.* (2015).

6. Infrared Fourier transform spectroscopy (FT-IR) analysis

Fourier transform infrared spectroscopy (FT-IR) spectra of ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were recorded on a Perkin-Elmer Model 1600 apparatus (Norwalk, CT, USA) using KBr stressed disks in the range of 4,000–400 cm^{-1} .

Ten milligrams of each sample was positioned in contact with the attenuated total reflectance (ATR) plate. All spectra were subtracted against a background of air spectra. After every scan, a new reference of air background spectra was taken. The ATR plate was carefully cleaned by scrubbing with 70% isopropyl alcohol twice followed by drying with soft tissue before being filled in with the next sample, making it possible to dry the ATR plate.

7. Differential scanning calorimetry (DSC) analysis

The DSC curves of ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were obtained on a calorimeter (DSC Q2000, TA Instruments, New Castle, DE, USA) using aluminum crucibles with approximately 2.0 ± 0.1 mg of samples under a nitrogen atmosphere, at a flow of 50 $\text{mL} \cdot \text{min}^{-1}$.

Rising temperature experiments were conducted at the temperature range of 20°C to 250°C with a heating rate of 10°C·min⁻¹. Indium (melting point, 156.6°C) was used as the standard for equipment calibration. Data were analyzed using the software (Universal Analysis 2000, TA Instruments, New Castle, DE, USA).

8. X-ray powder diffraction (XRPD) analysis

The XRPD analysis of ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were carried out in an X'Pert PRO XRD diffractometer (PANalytical B.V., Almelo, Netherlands) that scanned from 10 to 55 (2min^{-1}) on the 2 h scale and with CuK α_1 radiation. The equipment was operated at 40.0 kV and 30.0 mA. The data were analyzed using the Origin® version 8.1 software (Origin Lab, Northampton, MA, USA).

9. Extractions

One gram of ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were added to 100 mL of distilled deionized water. The sample was shaken at 150 rpm, 25°C, using a shaking incubator (SI-900RF, JEIO TECH, Seoul, Korea) for 1 h. The sample was filtered through a 125 mm filter paper (Advantech 5B, Toyo Roshi Kaisha, Tokyo, Japan), and then the extract was collected and stored in the refrigerator at -20°C for further analysis.

10. Determination of total phenolic contents (TPC)

The total phenolic contents of extracts in ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were determined by the Folin-Ciocalteu assay (Singleton and Rossi, 1965). The absorbance was measured at 725 nm using a spectrophotometer (UV-1800 240V, Shimadzu Co., Kyoto, Japan). The TP was expressed as gallic acid equivalents (GAE) on a dry weight basis (mg/100 g).

11. Determination of total flavonoid content (TF)

The total flavonoid content (TF) of extracts in ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were determined according to Ghimeray *et al.* (2014). The total flavonoids were measured using a spectrophotometer (UV-1800 240V, Shimadzu Co., Kyoto, Japan) at 415 nm. The TF was expressed as mg/100 g coumarin equivalents on a dry weight basis.

12. DPPH free radical scavenging activity

The DPPH free radical scavenging activity in of extracts ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg were determined on the basis of the scavenging activity of the stable 2, 2-diphenyl-1-picryl hydrazyl (DPPH) free radical according to methods described by Braca *et al.* (2003).

The absorbance was measured at 517 nm using a

spectrophotometer (UV-1800, Shimadzu Co., Kyoto, Japan). The percent inhibition activities of the sample were calculated against a blank sample using the following equation: inhibition (%) = (blank sample - extract sample / blank sample) × 100.

13. HPLC analysis of decursin and decursinol angelate

Contents of decursin and decursinol angelate was determined in ESF-HP55, ESF-CN40H, ESF-AN6 and ESF-Na-Alg by HPLC. An HPLC system (CBM-20A, Shimadzu Co., Ltd., Japan) with two gradient pump systems (LC-20AT, Shimadzu Co., Ltd., Japan), a C18 column (Kinetex, 100 × 4.6 mm, 2.6 micron, Phenomenex), an auto-sample injector (SIL-20A, Shimadzu Co., Ltd., Japan), a UV-detector (SPD-10A, Shimadzu Co., Ltd., Japan) and a column oven (35°C, CTO-20A, Shimadzu Co., Ltd., Japan) was used for analysis. Solvent A was 0.4% formic acid in water, and solvent B was acetonitrile. A gradient elution was used (0 - 15 min, 33 - 45% B; 15 - 30 min, 45 - 55% B; 30 - 40 min, 55 - 80% B; 40 - 45 min, 80 - 33% B). The flow rate was 1.0 ml/min, injection volume was 10 µl and detection wavelength was 329 nm. Decursin and decursinol angelate at concentrations of 10, 20, 40, 60 and 80 µg/ml were prepared as standards.

14. Statistical analysis

All data were expressed as means ± SD of triplicate measurements. The obtained results were compared among the different polymer types using a paired *t*-test in order to observe the significant differences at the level of 5%. The paired *t*-test between mean values was analyzed by MINITAB version 16.0 (Minitab Inc., State College, PA, USA).

RESULTS AND DISCUSSION

1. Physical-chemical characteristics of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer

1) Particle size reduction

In our study, the particle size of the ultrafine powder of radix of *A. gigas* Nakai was recorded at 1,467 nm, whereas the particle size was reduced to 585 nm at the ESFs without polymer adding. Among the ESFs mediated

polymers such as HPMCs (HP55, CN40H and AN6) and Na-Alg, the least particle size (323 nm) was achieved in the HP55-ESF (Table 1).

Previously it is reported that HME extrusion is the most suitable process to form nano particle size (Maniruzzaman *et al.*, 2012; Lee *et al.*, 2017b). The particle size reduction strategy results in increased surface area, decreased diffusional distance, and increased dissolution rates (Repka *et al.*, 2007; Merisko-Liversidge and Liversidge, 2008).

In the case of a limited dissolution rate, decreasing the particle size of the crystal form of active compounds can improve solubility. By downsizing the particle size, the surface will increase, this usually improves the wettability and hence dissolution kinetics. Down sizing particles lead to molecular dispersed system.

HP55-ESF has the lowest particle size among ESFs mediated other HPMCs polymers and Na-Alg polymer, physical and chemical properties of the HP55 might have direct influence to reduce the particle size.

Melt viscosity is important factor for determined extrudability of a polymer, polymer with a high molecular weight exhibit high melt viscosity and are difficult to extrude (Chokshi *et al.*, 2005). Higher viscosity which means a higher shear stress with higher extrusion temperature might even lead to higher impurity level of ingredient in extruded materials (Ghebremeskel *et al.*,

Table 1. Particle size and diffusion coefficient of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer.

Formulations	Particle size (nm)	Diffusion coefficient
UFP ¹⁾	1467.0 ± 8.3 ^d	1.35E-09
ESF control ²⁾	585.0 ± 4.1 ^c	1.40E-09
ESF-AA ³⁾	580.0 ± 6.7 ^c	1.40E-09
ESF-Na-Alg ⁴⁾	448.0 ± 3.4 ^b	1.60E-09
ESF-HP55 ⁵⁾	323.0 ± 6.2 ^a	1.00E-08
ESF-CN40H ⁶⁾	341.0 ± 9.6 ^a	1.50E-08
ESF-AN6 ⁷⁾	334.0 ± 9.7 ^a	1.40E-08

¹⁾UFP; ultrafine powder from radix of *A. gigas* Nakai, ²⁾ESF-control: acetic acid not treated extrudate solid formulations control, ³⁾ESF-AA; acetic acid treated extrudate solid formulations without polymer, ⁴⁾ESF-Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium alginate (5% w/w), ⁵⁾ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), ⁶⁾ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), ⁷⁾ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w).

2007) may cause degradation of active compounds and polymer. The high viscosity are not suitable.

For instant, HP55 possess 27 - 35% of phthalyl with viscosity of 32 - 48 cSt, pH < 5.5 where as CN40H and AN6 possess 19 - 24 % and 28 - 30% of methoxyl with viscosity of 4000 and 6 cSt, pH 5 - 8, respectively. On the other hand, Na-Alginate viscosity is 300 cSt and pH > 7.

Previous research have investigated the mechanism of hydrophilic HMPC effect on the particle size of the active compound (Miranda *et al.*, 2007).

2) Solubility analysis

The results in Table 2 show that water solubility was improved in HP55-ESF (61.5%) compared to ultrafine powder of radix of *A. gigas* Nakai (34.4%), acetic acid not treated ESF control (38.4), acetic acid treated ESF control without polymer (47.2%).

According to the Noyes-Whitney equation, particle size has a direct effect on the dissolution rate. The reduction of particle size increases the diffusional coefficient and nanonization.

In addition, an acidic solution (H^+) increases the concentration gradient as well as enhances the dissolution rate through ionization process (Szekeres and Tombác, 2012).

Amorphous nanoparticles exhibit very high saturation solubility compared to the crystalline form (Murdande *et al.*, 2010). The HME process tends to make more channels to enhance the permeability and penetration of water into the core of the material's matrix (Piao *et al.*, 2015). Preparation of amorphous solid dispersion is a promising way to improve solubility. Crystalline compound exhibit poor water solubility, since the lattice energy must be overcome in order for dissolution (Li *et al.*, 2013; Chuah *et al.*, 2014).

The solubility of amorphous substances is higher solubility than the thermodynamically stable crystalline forms, because their internal bonding forces are weak. Solutions obtained from amorphous forms are supersaturated, and crystallization occurs once a crystal of the stable form develops (Gangurde *et al.*, 2015).

In order to improve solubility, HPMC polymer carriers have been used because they readily generate amorphous forms and may be able to retain the amorphous nature of

Table 2. Water solubility analysis of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer.

Formulations	Solubility (%)
UFP ¹⁾	34.4 ± 1.3 ^d
ESF control ²⁾	38.4 ± 1.7 ^d
ESF-AA ³⁾	47.2 ± 1.7 ^c
ESF-Na-Alg ⁴⁾	53.3 ± 0.6 ^b
ESF-HP55 ⁵⁾	61.5 ± 0.7 ^a
ESF-CN40H ⁶⁾	59.7 ± 1.7 ^a
ESF-AN6 ⁷⁾	60.4 ± 1.3 ^a

¹⁾UFP; ultrafine powder from radix of *A. gigas* Nakai, ²⁾ESF-control: acetic acid not treated extrudate solid formulations control, ³⁾ESF-AA; acetic acid treated extrudate solid formulations without polymer, ⁴⁾ESF + Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium alginate (5% w/w), ⁵⁾ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), ⁶⁾ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), ⁷⁾ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w).

the compound (Leuner and Dressman, 2000). The higher solubility achieved in the HP55-ESF among ESFs mediated other HPMCs polymers and Na-Alg polymer. The reason might be due to the physicochemical characterization of the HP55 polymer.

3) XRD, DSC and FT-IR analysis

Fig. 1 shows the XRD diffractogram of the ESFs mediated other HPMCs polymers and Na-Alg polymer. The presence of a large number of peaks of different intensities in the diffractogram suggests the presence of unidentified complex substances in the ESFs mediated other HPMCs polymers and Na-Alg polymer. The HP55-ESF/CN40-ESF showed sharp diffraction peaks at angles between 25° and 30° with a lower degree of diffraction among the formulations. Application of pressure and agitation through an extrusion channel to mix materials together, subsequently forcing them out through a die to form an amorphous solid (Wilson *et al.*, 2012).

In the DSC analysis, it is determined the glass transition temperature (T_g) (Fig. 2). The extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer had a lower ΔH value of T_g (> 10 J/g) than ultrafine powder of radix of *A. gigas* Nakai (ΔH of 146 J/g). It is well known that amorphous materials have a lower T_g compared to crystalline materials (Yoshioka *et al.*, 1994).

The ΔH of T_g of the extrudate solid formulations

(ESFs) mediated various HPMCs and Na-Alg polymer appeared as very weak transitions, as more crystalline materials act as physical crosslinks that restrain the mobility of the amorphous regions (Zeleznaк and Hoseneу, 1987). The lowest ΔH was achieved in CN40-ESF where ΔH was recorded at 1.3 J/g.

DSC analyzes the system has been widely used to study the thermal properties of materials used for example in hot melt extrusion. DSC can be used for the determination of T_g coupled with endothermic and exothermic phase transformation. The decreased T_g in the DSC scan of the HME indicated that the drug is present in an amorphous or molecularly dissolved state rather than crystalline form (Singhal *et al.*, 2011)

It is previously reported that the T_g of the formulation can be reduced to 40°C by reducing the molar substitution of hydroxyl propyl group of HPMC (Deshmukh *et al.*, 2017).

FT-IR spectroscopy investigated the new compounds produced in the ESFs, since it can detect a range of functional groups according to molecular structure (Cocchi *et al.*, 2004; Tita *et al.*, 2011). The spectra are presented

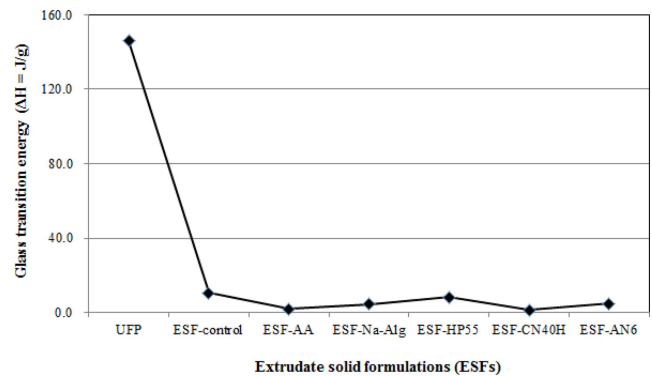


Fig. 2. Glass transition energy of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer. UFP; ultrafine powder from radix of *A. gigas* Nakai, ESF-control: acetic acid not treated extrudate solid formulations control, ESF-AA; acetic acid treated extrudate solid formulations without polymer, ESF-Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium algininate (5% w/w), ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w). Mean values \pm SD from triplicate separated experiments are shown. *Value marked by different letters in each column are significantly different by *t*-test ($p < 0.05$) compared ultrafine powder from radix of *A. gigas* Nakai (UFP).

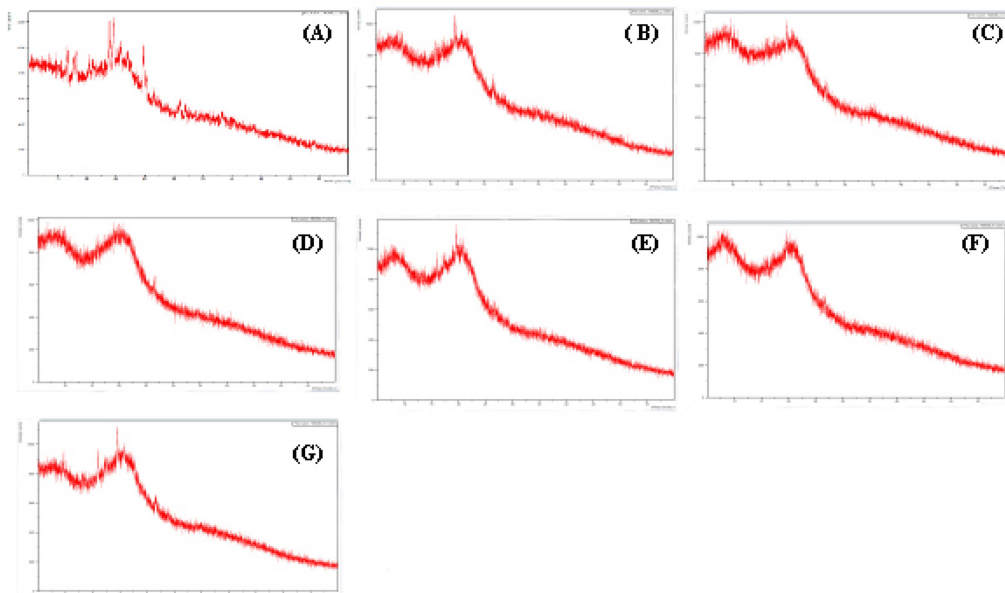


Fig. 1. XRD diffractogram of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer. (A); UFP; ultrafine powder from radix of *A. gigas* Nakai, (B); ESF-control: acetic acid not treated extrudate solid formulations control, (C); ESF-AA; acetic acid treated extrudate solid formulations without polymer, (D); ESF-Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium algininate (5% w/w), (E); ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), (F); ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), (G); ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w).

in Fig. 3, which shows that the splitting peak in 1,700 - 3,500 cm^{-1} in ESFs, however, no splitting peak were observed in ultrafine powder from radix of *A. gigas* Nakai at the same range. Moreover, it is also observed that polymer matrix has no effect to produce new compound but HME.

In the ESFs, there is a strong peak in wavelength of 2,800 - 3,000 cm^{-1} , which correspond to alkane C-H stretching. Alkynes, benzene and its derivatives stretching occurred near 3,300 cm^{-1} in all ESFs except ultrafine powder of radix of *A. gigas* Nakai. The other prominent peaks at 1,700 - 1,500 cm^{-1} for all ESFs possess the characteristics of methylene and methyl bending. The peak region between 3,500 - 3,000 cm^{-1} is related to C-H, OH

compounds (SP^2), which we attribute to the nature of the organic compounds in the ESFs. Peak regions at $<2,000 \text{ cm}^{-1}$ represent the carbonyl group compounds and the =C bonds in the aromatic rings and aromatic CH bonds on substituted rings (Silverstein *et al.*, 2006). The peaks in the region $<1,500 \text{ cm}^{-1}$ are related to carbon-oxygen bonds (CO) in ethers, esters, and carboxylic acids and are indicative of a wide variety of metabolites, such as tannins, flavonoids, and anthraquinones (Correia *et al.*, 2011).

2. Analytical investigation of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer

Among extrudate solid formulations (ESFs) mediated

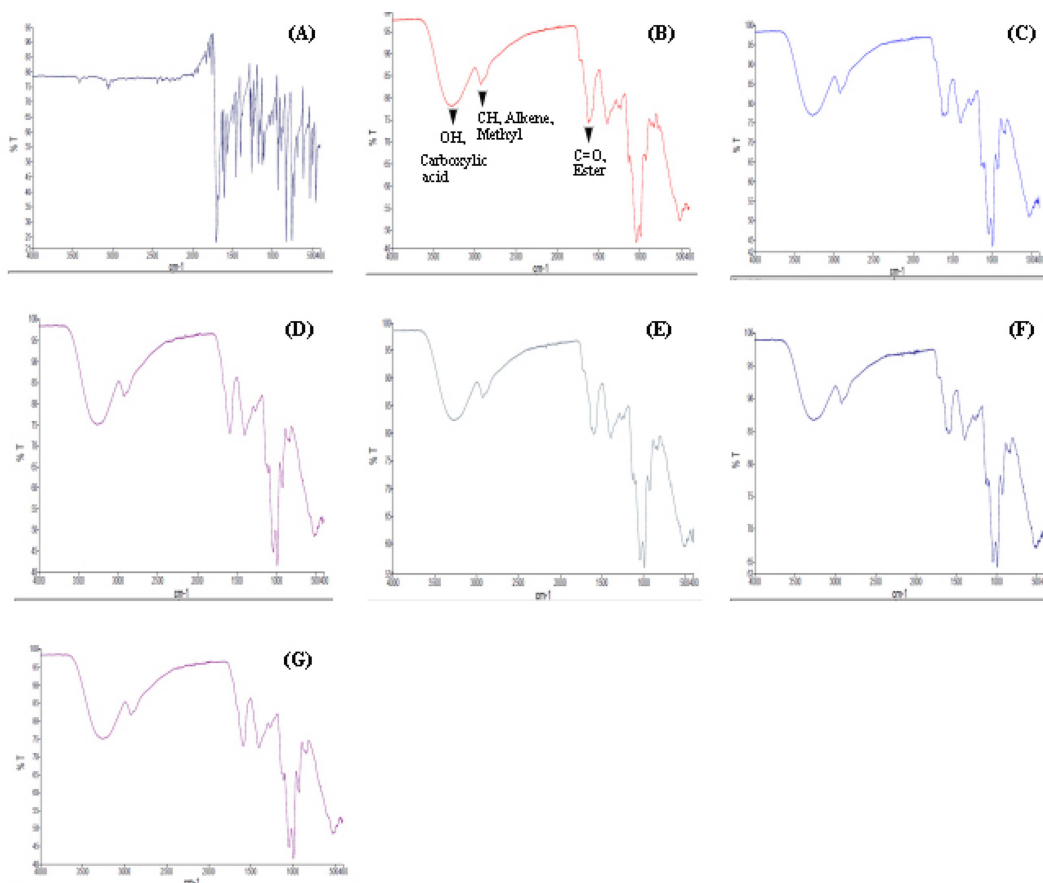


Fig. 3. FTIR chromatogram of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer. (A); UFP; ultrafine powder from radix of *A. gigas* Nakai, (B); ESF-control: acetic acid not treated extrudate solid formulations control, (C); ESF-AA; acetic acid treated extrudate solid formulations without polymer, (D); ESF-Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium algininate (5% w/w), (E); ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), (F); ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), (G); ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w).

Table 3. Total phenolic content, total flavonoid and antioxidant activity of extract in extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer.

Formulations	Total phenol content (mg·GAE/100 g)	Total flavonoid content (mg·COU/100 g)	DPPH radical scavenging activity (%)
UFP ¹⁾	460.0±112.7d	15.5±2.2f	57.0±3.4c
ESF control ²⁾	690.2±159.2c	46.1±6.4e	71.0±6.2b
ESF-AA ³⁾	704.7±105.3c	68.2±3.4d	88.0±5.8a
ESF-Na-Alg ⁴⁾	1532.2±89.8b	97.7±4.6c	86.0±5.7a
ESF-HP55 ⁵⁾	2136.0±136.6a	180.5±5.2a	93.0±6.3a
ESF-CN40H ⁶⁾	2023.0±123.5a	106.6±13.3c	79.0±8.7b
ESF-AN6 ⁷⁾	2187.0±113.8a	165.9±17.6b	74.0±8.6b

¹⁾UFP; ultrafine powder from radix of *A. gigas* Nakai, ²⁾ESF-control: acetic acid not treated extrudate solid formulations control, ³⁾ESF-AA; acetic acid treated extrudate solid formulations without polymer, ⁴⁾ESF-Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium aliginate (5% w/w), ⁵⁾ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), ⁶⁾ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), ⁷⁾ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w). Mean values ± SD from triplicate separated experiments are shown. *Value marked by different letters in each column are significantly different by *t*-test ($p < 0.05$) compared ultrafine powder from radix of *A. gigas* Nakai (UFP).

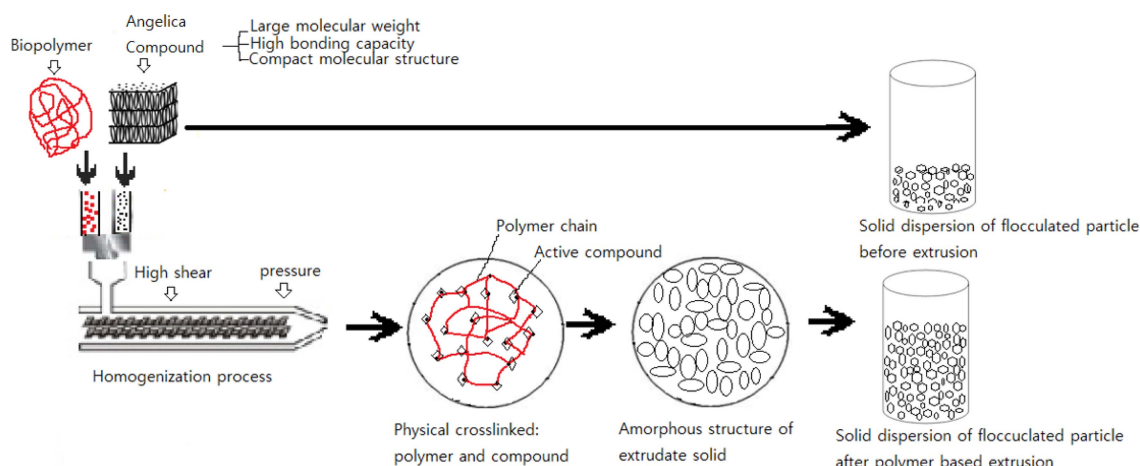


Fig. 4. Schematic illustration of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer and solid dispersions by hot melt extrusion process.

various HPMCs and Na-Alg polymer, ESF-HP55 showed the highest phenolic compound content (TPC; 2,136 mg·GAE/100g, TF: 180 mg·COU/100g, decursin; 200 mg/100g, decursinol angelate: 182 mg/100g, DPPH scavenging activity; 93%) (Table 3). The high level of compression and shear forces exerted on the crystalline structure of phenolic molecules lead to their disruption and defibration and the formation of an amorphous structure (Jurišić *et al.*, 2015) (Fig. 4).

The physical crosslinking process by HME destructured the fiber matrix and caused phenolic compounds to be released into solution (Yu *et al.*, 2002). HME increases the reactive surface areas of compounds and destructure the fiber matrix, thus causing enhanced decursin and

decursinol angelate to be released into solution (Fig. 5). Therefore, the most likely explanation for the enhanced active compound extraction from the extrudate sample is the disruption of cell wall structure (Piao *et al.*, 2015).

In this study HP55 polymer enhanced the extraction efficiency of phenolic compound. It is explained that due to hydrophilic characteristics and sol-gel behaviour of the HPMC polymer, extraction was facilitated (Kjoniksen *et al.*, 2005). It is reported that HME enhances the dissolution rate of poorly water-soluble compounds (Hulsmann *et al.*, 2000). Moreover, Hagi and Hatami (2010) determined higher levels of flavonoid were produced by the use of an acid-mediated solution from vegetables and medicinal plants.

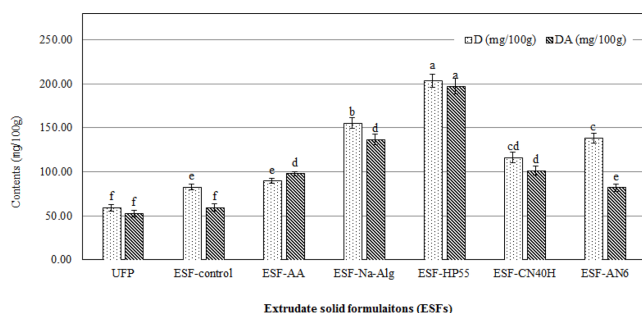


Fig. 5. Contents of decursin and decursinol angelate of extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer. UFP; ultrafine powder from radix of *A. gigas* Nakai, ESF-control: acetic acid not treated extrudate solid formulations control, ESF-AA; acetic acid treated extrudate solid formulations without polymer, ESF-Na-Alg; acetic acid treated extrudate solid formulations with polymers, sodium alginat (5% w/w), ESF-HP55; acetic acid treated extrudate solid formulations with polymers, HP55 polymer (10% w/w), ESF-CN40H; acetic acid treated extrudate solid formulations with polymers, CN40H (10% w/w), ESF-AN6; acetic acid treated extrudate solid formulations with polymers, AN6 (10% w/w). Mean values \pm SD from triplicate separated experiments are shown. *Value marked by different letters in each column are significantly different by *t*-test ($p < 0.05$) compared ultrafine powder from radix of *A. gigas* Nakai (UFP).

3. Nano-composite by solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer

It is concluded that ultrafine powder of radix of *A. gigas* Nakai has the micro size particle (1.4 μ m) where as ESF without polymer converted to nano particle (585 nm). The least nano particle (323 nm) was attained in HP55-ESF nano-composite.

The solubility also increased to 61.5% in HP55-ESF nano-composite, whereas it appeared 34.4% in the ultrafine powder of radix of *A. gigas* Nakai. Development of the functional group, lower Tg temperature with amorphous compound was achieved in polymer mediated ESFs rather than ultrafine powder of radix of *A. gigas* Nakai.

In the same way, extraction of the total phenolic content and antioxidant activity was also increased in the extrudate solid formulations (ESFs) mediated various HPMCs and Na-Alg polymer compared to ultrafine powder of radix of *A. gigas* Nakai.

Poduction of drug-loaded nanoparticles for the poorly water-soluble drugs is an alternative and promising approach to overcome their low aqueous solubilities and the consequential low bioavailabilities (Muller *et al.*, 2001).

Most pharmaceutical systems currently produced using

HME for bioavailability-enhancement applications are performed to create an amorphous solid dispersion. Solid dispersions have received a significant amount of interest in the scientific literature as a method to improve the oral bioavailability of poorly water-soluble compounds (Breitenbach, 2002; Crowley *et al.*, 2007). These systems contain at least one drug substance dispersed within an inert carrier such as polymer in the solid state (Sekiguchi and Obi, 1961; Chiou and Riegelman, 1971). A number of polymeric materials are utilized for melt-extruded solid dispersions processes have been used with great success for the production of nano-composite.

Both particle dissolution kinetics and solubility are size dependent. Thus, the dissolution of drug nanoparticles *in vivo* is usually accompanied by an increase in bioavailability (Hintz and Johnson, 1989; Borm *et al.*, 2006).

In most cases, these materials and methods were designed for pharmaceutical technologies and have been applied to melt extrusion, which are commonly used for coatings, and binders, which are commonly used for granulations and compression. While these materials and methods have shown sufficient applicability in food and functional food industries, melt-extruded solid dispersions specifically designed for new functional food materials are in development of nano-composites and have recently begun to reach the market as well.

By being specifically designed melt-extruded solid dispersions for fabrication of nano-composites, these new functional food materials will provide benefits for processing and bioavailability enhancement.

Food processing of radix of *Angelica gigas* Nakai contain a majority of compounds with limited solubility that require formulation intervention to improve delivery. In this study, nano-composites have been developed utilizing melt-extruded solid dispersions technology to improve bioavailability. This nano-composites of radix of *Angelica gigas* Nakai developmental and marketed products to enable therapeutic performance.

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