

## BRÜCK CONJECTURE AND A LINEAR DIFFERENTIAL POLYNOMIAL

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ABSTRACT. In the paper we consider the uniqueness of a meromorphic function and a linear differential polynomial when they share a small function.

### 1. Introduction, definitions and results

Let  $f, g$  be nonconstant meromorphic functions defined in the open complex plane  $\mathbb{C}$ . For  $a \in \mathbb{C} \cup \{\infty\}$  the functions  $f, g$  are said to share the value  $a$  CM (counting multiplicities) if  $f, g$  have the same  $a$ -points with the same multiplicities.

The standard definitions and notations of the value distribution theory are available in [5]. We need the following in the paper.

**Definition 1.1.** For a meromorphic function  $f$  and for  $a \in \mathbb{C} \cup \{\infty\}$  and for a positive integer  $k$

- (i)  $N_{(k)}(r, a; f)$  ( $\overline{N}_{(k)}(r, a; f)$ ) denotes the counting function (reduced counting function) of those  $a$ -points of  $f$  whose multiplicities are not less than  $k$ ;
- (ii)  $\tilde{N}_k(r, a; f)$  ( $\overline{\tilde{N}}_k(r, a; f)$ ) denotes the counting function (reduced counting function) of those  $a$ -points of  $f$  whose multiplicities are not greater than  $k$ ;
- (iii)  $N_k(r, a; f)$  denotes the sum  $\overline{N}(r, a; f) + \sum_{j=2}^k \overline{N}_j(r, a; f)$ .

Clearly  $N_k(r, a; f) \leq k\overline{N}(r, a; f)$ .

Considering the uniqueness problem of an entire function sharing a single value CM with its first derivative, R. Brück [3] proved the following theorem.

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**Theorem A** ([3]). *Let  $f$  be a nonconstant entire function. If  $f$  and  $f'$  share the value 1 CM and  $N(r, 0; f') = S(r, f)$ , then  $f - 1 = c(f' - 1)$ , where  $c$  is a nonzero constant.*

For a finite order entire function, L. Z. Yang [9] proved the following theorem.

**Theorem B** ([9]). *Let  $f$  be a nonconstant entire function of finite order and let  $a (\neq 0, \infty)$  be a constant. If  $f$  and  $f^{(k)}$  share the value  $a$  CM, then  $f - a = c(f^{(k)} - a)$ , where  $c$  is a nonzero constant and  $k (\geq 1)$  is an integer.*

R. Brück [3] proposed the following conjecture.

**Brück Conjecture.** *Let  $f$  be a nonconstant entire function of finite nonintegral hyper-order. If  $f$  and  $f'$  share one finite value  $a$  CM, then  $f' - a = c(f - a)$  for some constant  $c (\neq 0)$ .*

Apart from Theorem A and Theorem B a number of results on Brück's conjecture are available in the literature. H. L. Qiu [8] extended Theorem A to a linear differential polynomial.

A meromorphic function  $a$  is called a small function of a meromorphic function  $f$  if  $T(r, a) = S(r, f)$ .

A. H. H. Al-khaladi [1] pointed out that in Theorem A one cannot replace the value 1 by a small function by considering  $f(z) = 1 + \exp(e^z)$  and  $a(z) = \frac{e^z}{e^z - 1}$ . He proved the following result.

**Theorem C** ([1]). *Let  $f$  be a nonconstant entire function satisfying  $N(r, 0; f') = S(r, f)$  and let  $a (\neq 0, \infty)$  be a small function of  $f$ . If  $f - a$  and  $f' - a$  share the value 0 CM, then  $f - a = (1 + \frac{c}{a})(f' - a)$ , where  $1 + \frac{c}{a} = e^\beta$ ,  $c$  is a constant and  $\beta$  is an entire function.*

Extending Theorem C to a linear differential polynomial, J. F. Chen and G. R. Wu [4] proved the following result.

**Theorem D** ([4]). *Let  $f$  be a nonconstant entire function satisfying  $N(r, 0; f') = S(r, f)$ ,  $a (\neq 0, \infty)$  be a small function of  $f$  and  $L = L(f) = \sum_{j=1}^k a_j f^{(j)}$ , where  $k$  is a positive integer and  $a_1, a_2, \dots, a_k (\neq 0)$  are small entire functions of  $f$ . If  $f - a$  and  $L - a$  share 0 CM, then  $f - a = (1 + \frac{c}{a})(L - a)$ , where  $1 + \frac{c}{a} = e^\beta$ ,  $c$  is a constant and  $\beta$  is an entire function.*

A similar result of Theorem D is proved in [7] for meromorphic functions. In the paper we investigate the following problem: *Under which situation  $f - a$  becomes a constant multiple of  $L - a$  even if  $a (\neq 0, \infty)$  is a small function of  $f$ ?*

Throughout the paper we denote by  $L = L(f)$  a linear differential polynomial of the following form:

$$(1.1) \quad L = L(f) = a_1 f^{(1)} + a_2 f^{(2)} + \dots + a_k f^{(k)},$$

where  $f$  is a nonconstant meromorphic function,  $a_1, a_2, \dots, a_k (\neq 0)$  are constants and  $k$  is a positive integer.

We prove in the paper the following theorems.

**Theorem 1.1.** *Let  $f$  be a nonconstant meromorphic function with  $\overline{N}(r, 0; f') + \overline{N}(r, \infty; f) = S(r, f)$ . Suppose that  $L$ , as defined by (1.1), is nonconstant and  $k(\geq 2)$  is a positive integer. Let  $a(\neq 0, \infty)$  be a small function of  $f$  such that  $\overline{N}(r, \infty; a) \leq \lambda T(r, a) + S(r, a)$ , where  $0 < \lambda < 1 - \frac{1}{k}$ . If  $f - a$  and  $L - a$  share 0 CM, then  $f \equiv L$ .*

**Theorem 1.2.** *Let  $f$  be a nonconstant meromorphic function with  $\overline{N}(r, 0; f^{(2)}) + \overline{N}_{(2)}(r, \infty; f) = S(r, f)$ . Suppose that  $L$ , as defined by (1.1), is nonconstant, where  $a_1 = 0$  and  $k(\geq 2)$  is a positive integer. Let  $a(\neq 0, \infty)$  be a small function of  $f$  such that  $N(r, \infty; a) \leq \lambda T(r, a) + S(r, a)$ , where  $0 < \lambda < 1 - \frac{1}{k}$ . If  $f - a$  and  $L - a$  share 0 CM, then  $f - a \equiv c(L - a)$ , where  $c(\neq 0)$  is a constant.*

### 2. Lemmas

In this section we present some necessary lemmas.

**Lemma 2.1** ([2]). *Let  $k(\geq 2)$  be a positive integer and  $f$  be a nonconstant meromorphic function. If  $\overline{N}(r, 0; f^{(k)}) + \overline{N}_{(2)}(r, \infty; f) = S(r, f)$ , then either  $N_{(1)}(r, \infty; f) = S(r, f)$  or  $f(z) = \frac{-(k+1)^{k+1}}{k!c\{z+d(k+1)\}} + p_{k-1}(z)$ , where  $c(\neq 0)$ ,  $d$  are constants and  $p_{k-1}(z)$  is a polynomial of degree at most  $k - 1$ .*

**Lemma 2.2.** *Let  $f$  be a nonconstant meromorphic function and  $k(\geq 2)$  be a positive integer. Suppose that  $a(\neq 0, \infty)$  is a small function of  $f$ , and  $L$ , as given in Theorem 1.2, is nonconstant. If  $\overline{N}(r, 0; f^{(2)}) + \overline{N}_{(2)}(r, \infty; f) = S(r, f)$  and  $f - a, L - a$  share 0 CM, then  $\overline{N}(r, \infty; f) = S(r, f)$ .*

*Proof.* If  $f(z) = \frac{-27}{2c(z+3d)} + p_1(z)$ , then  $a$  becomes a constant. Clearly, in this case,  $f - a$  and  $L - a$  cannot share 0 CM. Therefore by Lemma 2.1 we get  $\overline{N}(r, \infty; f) = S(r, f)$ . □

**Lemma 2.3** ([5, p. 47, Th. 2.5]). *Let  $f$  be a nonconstant meromorphic function and  $a_1, a_2, a_3$  be distinct meromorphic small functions of  $f$ . Then*

$$T(r, f) \leq \sum_{j=1}^3 \overline{N}(r, 0; f - a_j) + S(r, f).$$

**Lemma 2.4** ([6]). *Given a transcendental meromorphic function  $f$  and a constant  $\Gamma > 1$ . Then there exists a set  $M(\Gamma)$  whose upper logarithmic density is at most*

$$\delta(\Gamma) = \min\{(2e^{\Gamma-1} - 1)^{-1}, (1 + e(\Gamma - 1)) \exp(e(1 - \Gamma))\}$$

*such that for every positive integer  $k$ ,*

$$\limsup_{r \rightarrow \infty, r \notin M(\Gamma)} \frac{T(r, f)}{T(r, f^{(k)})} \leq 3e\Gamma.$$

### 3. Proofs of the theorems

We prove Theorem 1.2 only, as Theorem 1.1 can be proved similarly.

*Proof of Theorem 1.2.* If  $f$  is not transcendental, then  $f$  must be a polynomial because by Lemma 2.2 we have  $\bar{N}(r, \infty; f) = S(r, f)$ . If  $\deg(f) > 2$ , then  $\deg(L) = \deg(f) - 2$  and if  $\deg(f) \leq 2$ , then  $\deg(L) = 0$ , which is impossible as  $L$  is nonconstant. Since in this case  $a$  is a constant, we see that  $f - a$  and  $L - a$  cannot share the value 0 CM, a contradiction. Therefore  $f$  is a transcendental meromorphic function.

Let  $h = \frac{f-a}{L-a}$ . Then  $h$  is entire and the poles of  $f$  are precisely the zeros of  $h$  so that by the hypothesis and Lemma 2.2 we get

$$(3.1) \quad \bar{N}(r, 0; h) \leq \bar{N}(r, \infty; f) = S(r, f).$$

Now differentiating

$$(3.2) \quad f - a = hL - ah$$

twice we get

$$(3.3) \quad f^{(2)} - a^{(2)} = (hL)^{(2)} - (ah)^{(2)}.$$

We now consider the following cases.

CASE I. Let  $a^{(2)} \neq 0$ . We put

$$(3.4) \quad W = \frac{(hL)^{(2)}}{hf^{(2)}} - \frac{(ha)^{(2)}}{ha^{(2)}}.$$

First we suppose that  $W \neq 0$ . Let  $z_0$  be a zero of  $f^{(2)} - a^{(2)}$  and  $a^{(2)}(z_0) \neq 0, \infty$ . Then from (3.3) we see that  $z_0$  is a zero of  $(hL)^{(2)} - (ha)^{(2)}$ . Hence  $W(z_0) = 0$ . We see that

$$\begin{aligned} m(r, W) &\leq m\left(r, \frac{(hL)^{(2)}}{hf^{(2)}}\right) + m\left(r, \frac{(ha)^{(2)}}{ha^{(2)}}\right) \\ &\leq m\left(r, \frac{(hL)^{(2)}}{hL}\right) + m\left(r, \frac{L}{f^{(2)}}\right) + m\left(r, \frac{(ha)^{(2)}}{ha}\right) + m\left(r, \frac{a}{a^{(2)}}\right) \\ &= S(r, f). \end{aligned}$$

Therefore

$$(3.5) \quad \begin{aligned} \bar{N}(r, 0; f^{(k)} - a^{(k)}) &\leq N(r, 0; W) + S(r, f) \\ &\leq T(r, W) + S(r, f) \\ &= N(r, W) + S(r, f). \end{aligned}$$

Let  $z_1$  be a pole of  $f$  with multiplicity  $p$  such that  $a(z_1) \neq 0, \infty$  and  $a^{(2)}(z_1) \neq 0$ . Then  $z_1$  is a zero of  $h$  with multiplicity  $k$ . Hence  $z_1$  is a pole of  $(hL)^{(2)}$  with multiplicity  $p + 2$ . Also  $hf^{(2)}$  has a pole at  $z_1$  of multiplicity  $p + 2 - k$ . Therefore  $z_1$  is a pole of  $\frac{(hL)^{(2)}}{hf^{(2)}}$  with multiplicity  $(p + 2) - (p + 2 - k) = k$ . Also

$z_1$  is a pole of  $\frac{(ha)^{(2)}}{ha^{(2)}}$  with multiplicity  $2 \leq k$ . Therefore  $z_1$  is a pole of  $W$  with multiplicity at most  $k$ .

Let  $z_2$  be a zero of  $f^{(2)}$  with multiplicity  $q$  and  $a(z_2) \neq 0, \infty, a^{(2)}(z_2) \neq 0$ . If  $q > k$ , then  $z_2$  is a zero of  $hL$  with multiplicity  $q - k + 2$ . So  $z_2$  is a zero of  $(hL)^{(2)}$  with multiplicity  $(q - k + 2) - 2 = q - k$ . Hence  $z_2$  is a pole of  $W$  with multiplicity at most  $q - (q - k) = k$ .

Therefore in view of Lemma 2.2 we get

$$\begin{aligned} N(r, W) &\leq k\bar{N}(r, \infty; f) + N_k(r, 0; f^{(2)}) + \bar{N}(r, 0; f^{(2)}) + S(r, f) \\ (3.6) \quad &\leq k\bar{N}(r, \infty; f) + (1 + k)\bar{N}(r, 0; f^{(2)}) + S(r, f) \\ &= S(r, f). \end{aligned}$$

By (3.5) and (3.6) we get  $\bar{N}(r, 0; f^{(2)} - a^{(2)}) = S(r, f)$ . So by Lemma 2.3 and Lemma 2.2 we obtain

$$\begin{aligned} T(r, f^{(2)}) &\leq \bar{N}(r, \infty; f^{(2)}) + \bar{N}(r, 0; f^{(2)}) + \bar{N}(r, 0; f^{(2)} - a^{(2)}) + S(r, f^{(2)}) \\ (3.7) \quad &= S(r, f). \end{aligned}$$

Let  $M(\Gamma)$  be defined as in Lemma 2.4. Then by (3.7) there exists a sequence  $r_n \rightarrow \infty, r_n \notin M(\Gamma)$  such that  $\frac{T(r_n, f^{(2)})}{T(r_n, f)} \rightarrow 0$  as  $n \rightarrow \infty$ . This contradicts Lemma 2.4. Therefore  $W \equiv 0$  and so from (3.3) and (3.4) we get  $(f^{(2)} - a^{(2)})a^{(2)} = (ha)^{(2)}(f^{(2)} - a^{(2)})$ . Since  $f^{(2)} \not\equiv a^{(2)}$ , we obtain  $(ha)^{(2)} = a^{(2)}$ . Integrating twice we get  $ha = a + \alpha z + \beta$  and so  $h = 1 + \frac{\alpha z + \beta}{a}$ , where  $\alpha, \beta$  are constants.

We again note that  $h$  is entire and the zeros of  $h$  are precisely the poles of  $f$ . Also each zero of  $h$  is of multiplicity  $k$ . Let  $\alpha \neq 0$ . Then  $T(r, h) = T(r, a) + O(\log r)$ . Also  $\bar{N}(r, 1; h) = \bar{N}(r, \infty; a) + O(\log r)$  and  $\bar{N}(r, 0; h) = \frac{1}{k}N(r, 0; h)$ . Therefore by the second fundamental theorem and the hypothesis we get

$$\begin{aligned} T(r, h) &\leq \bar{N}(r, 1; h) + \bar{N}(r, 0; h) + S(r, h) \\ &= \bar{N}(r, \infty; a) + \frac{1}{k}N(r, 0; h) + O(\log r) + S(r, h) \\ &\leq \lambda T(r, a) + \frac{1}{k}T(r, h) + O(\log r) + S(r, h) \\ &= (\lambda + \frac{1}{k})T(r, h) + O(\log r) + S(r, h) \end{aligned}$$

and so  $T(r, h) = O(\log r) + S(r, h)$ . This implies that  $h - 1$  is a polynomial, say  $P(z)$ . If  $P(z) \equiv 0$ , then  $h \equiv 1$  and we get the result. We suppose that  $P(z) \not\equiv 0$ . Then  $h = 1 + \frac{\alpha z + \beta}{a}$  implies  $a = \frac{\alpha z + \beta}{P(z)}$ .

We suppose that  $\alpha z + \beta$  is a factor of  $P(z)$ . Then  $a = \frac{1}{Q(z)}$ , where  $P(z) = (\alpha z + \beta)Q(z)$ . This implies that  $T(r, a) = (\deg Q) \log r + O(1) = N(r, \infty; a) + O(1)$ , a contradiction. So  $\alpha z + \beta$  is not a factor of  $P(z)$ . Then  $T(r, a) =$

$\max\{\deg P, 1\} \log r + O(1)$  and  $N(r, \infty; a) = (\deg P) \log r + O(1)$ . By the hypothesis we get  $\deg P \leq \lambda \max\{\deg P, 1\}$ . This implies  $\deg P = 0$  and so  $a = \frac{\alpha z + \beta}{d}$ , where  $d (\neq 0)$  is a constant. Hence  $h = 1 + d$ , a constant.

Let  $\alpha = 0$ . Then  $h = \frac{a + \beta}{a}$ . Since  $h$  is entire and each zero of  $h$  is of multiplicity  $k$ , we have  $\overline{N}(r, 0; a) \equiv 0$  and  $\overline{N}(r, 0; a + \beta) \leq \frac{1}{k} N(r, 0; a + \beta)$ . Therefore, if  $\beta \neq 0$ , we get by the second fundamental theorem

$$\begin{aligned} T(r, a) &\leq \overline{N}(r, \infty; a) + \overline{N}(r, 0; a) + \overline{N}(r, 0; a + \beta) + S(r, a) \\ &\leq \left(\lambda + \frac{1}{k}\right) T(r, a) + S(r, a), \end{aligned}$$

a contradiction. So  $\beta = 0$  and  $h \equiv 1$ .

CASE II. Let  $a^{(2)} \equiv 0$ . Then  $a$  is a polynomial of degree at most 1. From (3.3) we get  $f^{(2)} = (hL)^{(2)} - (ah)^{(2)}$ , which implies

$$(3.8) \quad \frac{1}{h} = \frac{(hL)^{(2)}}{hf^{(2)}} - \frac{(ah)^{(2)}}{hf^{(2)}}.$$

We put  $F = f^{(2)}$ ,  $G = \frac{(hL)^{(2)}}{hf^{(2)}}$  and  $b = \frac{(ah)^{(2)}}{h}$ . So from (3.8) we get

$$(3.9) \quad \frac{1}{h} = G - \frac{b}{F}.$$

Differentiating (3.9) we obtain

$$(3.10) \quad -\frac{1}{h} \cdot \frac{h'}{h} = G' - \frac{b'}{F} + \frac{b}{F} \cdot \frac{F'}{F}.$$

From (3.9) and (3.10) we have

$$(3.11) \quad \frac{A}{F} = G' + G \frac{h'}{h},$$

where  $A = b \frac{h'}{h} + b' - b \frac{F'}{F}$ .

First we suppose that  $G \equiv 0$ . Then on integration we get  $hL = Q_1$ , where  $Q_1 = Q_1(z)$  is a polynomial of degree at most 1. Putting  $h = \frac{f-a}{L-a}$  we get

$$(3.12) \quad (f-a)L = (L-a)Q_1.$$

Since  $a$  is a polynomial, from (3.12) we see that  $f$  is an entire function. Hence  $h$  is an entire function having no zero. We put  $h = e^\alpha$ , where  $\alpha$  is an entire function.

Now  $f = a + h(L-a) = a + Q_1 - ae^\alpha$  and  $L = Q_1 e^{-\alpha}$ . Also we see from the definition of  $L$  that  $L = R(\alpha')e^\alpha$ , where  $R(\alpha') (\neq 0)$  is a differential polynomial in  $\alpha'$  with polynomial coefficients. Therefore  $R(\alpha')e^\alpha = Q_1 e^{-\alpha}$  and so  $e^{2\alpha} = \frac{Q_1}{R(\alpha')}$ . This shows that  $T(r, e^\alpha) = S(r, e^\alpha)$ , a contradiction. Hence  $G \not\equiv 0$ .

If  $h$  is constant, then we achieve the result. So we suppose that  $h$  is nonconstant.

Let  $b \equiv 0$ . Then on integration we get  $ah = P_1$ , where  $P_1 = P_1(z)$  is a polynomial of degree at most 1. Since  $h$  is entire and  $a$  is a polynomial of degree at most 1,  $h = \frac{P_1}{a}$  implies that  $a$  is a factor of  $P_1$  and hence

$$(3.13) \quad h = Q_1^*,$$

where  $Q_1^* = Q_1^*(z)$  is a polynomial of degree at most 1. Since each pole of  $f$  is a zero of  $h$  with multiplicity  $k(\geq 2)$ , by (3.13) we see that  $f$  is entire. So  $h$  is an entire function having no zero, which by (3.13) implies that  $h$  is a constant, a contradiction. So  $b \not\equiv 0$ .

Let  $A \equiv 0$ . Then from (3.11) we get  $\frac{G'}{G} + \frac{h'}{h} \equiv 0$  and so on integration we obtain  $Gh \equiv K$  so that

$$(3.14) \quad (hL)^{(2)} = Kf^{(2)},$$

where  $K$  is a nonzero constant.

Again  $\frac{A}{b} = \frac{h'}{h} + \frac{b'}{b} - \frac{F'}{F} = 0$  implies on integration that  $hb = MF$  and so

$$(3.15) \quad (ah)^{(2)} = Mf^{(2)},$$

where  $M$  is a nonzero constant.

Since  $a$  is a polynomial and  $h$  is entire, from (3.15) we see that  $f$  is entire and so  $h = e^\alpha$ , where  $\alpha$  is an entire function.

Integrating (3.14) twice we get

$$(3.16) \quad hL = Kf + P_1^*,$$

where  $P_1^* = P_1^*(z)$  is a polynomial of degree at most 1.

Since  $hL = f - a + ah$ , we get from (3.16)

$$(3.17) \quad (1 - K)f = a(1 - e^\alpha) + P_1^*.$$

If  $K = 1$ , from (3.17) we get  $e^\alpha = 1 + \frac{P_1^*}{a}$ , a contradiction. Hence  $K \neq 1$  and from (3.17) we obtain

$$(3.18) \quad f = \frac{ae^\alpha}{K - 1} - \frac{a + P_1^*}{K - 1}.$$

From the definition of  $L$  we get by (3.18)

$$(3.19) \quad L = R_1(\alpha')e^\alpha,$$

where  $R_1(\alpha')(\neq 0)$  is a differential polynomial in  $\alpha'$  with polynomial coefficients.

From (3.16) and (3.18) we get

$$(3.20) \quad L = \frac{Ka}{K - 1} - \frac{a + (2 - K)P_1^*}{K - 1}e^{-\alpha}.$$

From (3.19) and (3.20) we obtain

$$R_1(\alpha')e^{2\alpha} = \frac{Kae^\alpha}{K - 1} - \frac{a + (2 - K)P_1^*}{K - 1},$$

which implies  $T(r, e^\alpha) = S(r, e^\alpha)$ , a contradiction. Therefore  $A \not\equiv 0$ .

Now  $A = b \left( \frac{h'}{h} + \frac{b'}{b} - \frac{F'}{F} \right)$  implies  $m(r, A) = S(r, f)$ . Also the poles of  $A$  are contributed by (i) the poles of  $b = \frac{(ah)^{(2)}}{h}$ , (ii) the poles of  $\frac{h'}{h}$  and (iii) the poles of  $\frac{F'}{F} = \frac{f^{(3)}}{f^{(2)}}$ . Since  $h$  is entire and the zeros of  $h$  are precisely the poles of  $f$  and each zero of  $h$  is of multiplicity  $k$ , we get

$$N(r, A) \leq (k+1)\bar{N}(r, \infty; f) + \bar{N}(r, 0; f^{(2)}) + S(r, f) = S(r, f),$$

by the hypothesis and Lemma 2.2. Therefore  $T(r, A) = S(r, f)$ .

Now by (3.11) we get

$$\begin{aligned} m(r, \frac{1}{F}) &\leq m(r, \frac{1}{A}) + m(r, G' + G\frac{h'}{h}) \\ &\leq T(r, A) + m(r, G) + m(r, \frac{G'}{G} + \frac{h'}{h}) \\ &= m(r, G) + S(r, f) \\ (3.21) \quad &= m(r, \frac{(hL)^{(2)}}{hL} \cdot \frac{L}{f^{(2)}}) + S(r, f) \\ &\leq m(r, \frac{(hL)^{(2)}}{hL}) + m(r, \frac{L}{f^{(2)}}) + S(r, f) \\ &= S(r, f). \end{aligned}$$

Again in view of (3.1) we get

$$\begin{aligned} T(r, b) &= N(r, b) + S(r, f) \\ (3.22) \quad &= N(r, \frac{(ah)^{(2)}}{h}) + S(r, f) \\ &\leq 2\bar{N}(r, 0; h) + S(r, f) \\ &= S(r, f). \end{aligned}$$

Let  $z_3$  be a zero of  $F = f^{(2)}$  with multiplicity  $q \geq k+1$  such that  $a(z_0) \neq 0$ . Then  $z_3$  is a zero of  $(hL)^{(2)}$  with multiplicity at least  $q - (k-2) - 2 = q - k$ . So  $z_3$  is a zero of  $FG = \frac{(hL)^{(2)}}{h}$  with multiplicity at least  $q - k$ . Hence  $z_3$  is a zero of  $b = FG - \frac{F}{h}$  with multiplicity at least  $q - k$ .

Therefore by (3.22) we get

$$N_{(k+1)}(r, 0; f^{(2)}) \leq N(r, 0; b) + k\bar{N}_{(k+1)}(r, 0; f^{(2)}) = k\bar{N}_{(k+1)}(r, 0; f^{(2)}) + S(r, f).$$

Therefore

$$\begin{aligned} N(r, \frac{1}{F}) &= N(r, 0; f^{(2)}) \\ &= N_k(r, 0; f^{(2)}) + N_{(k+1)}(r, 0; f^{(2)}) \\ &\leq k\bar{N}_k(r, 0; f^{(2)}) + k\bar{N}_{(k+1)}(r, 0; f^{(2)}) + S(r, f) \\ &= k\bar{N}(r, 0; f^{(2)}) + S(r, f) \end{aligned}$$



$$(3.23) \quad = S(r, f).$$

From (3.21), (3.23) and the first fundamental theorem we get  $T(r, f^{(2)}) = S(r, f)$ , which is (3.7), and likewise we arrive at a contradiction.  $\square$

#### 4. The counter-example of Al-Khaladi

As mentioned in the introduction A. H. H. Al-Khaladi, considering  $f(z) = 1 + \exp(e^z)$  and  $a(z) = \frac{e^z}{e^z - 1}$ , established that in Theorem A, the shared value cannot be replaced by a shared small function. In stead, he proved Theorem C.

In fact, the poles of  $a(z) = \frac{e^z}{e^z - 1}$  play the most crucial role. Here we note that  $\bar{N}(r, \infty; a) = T(r, a) + S(r, a)$ . On the other hand, we see that a small function with relatively less number of poles can yield a rather impressive output. For example, let  $\bar{N}(r, \infty; a) \leq \lambda T(r, a) + S(r, a)$ , where  $0 < \lambda < 1$ . Since by Theorem C,  $e^\beta = 1 + \frac{c}{a}$ , clearly  $a$  and  $a + c$  have no zero. So if  $c \neq 0$ , by the second fundamental theorem we get

$$T(r, a) \leq \bar{N}(r, \infty; a) + S(r, a) \leq \lambda T(r, a) + S(r, a),$$

a contradiction. Therefore  $c = 0$  and  $f \equiv f'$ .

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