

Energy Efficient Adaptive Relay Station ON/OFF Scheme for Cellular Relay Networks

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ABSTRACT

This paper proposes an energy efficient adaptive relay station ON/OFF scheme with different frequency reuse factors (FRFs) to enhance the system throughput and reduce the transmission energy consumption for the transparent mode of 2-hop cellular relay networks (CRNs) based on orthogonal frequency division multiple access and time division duplex. In the proposed scheme, the base station turns on or off the relay stations (RSs) when they are overutilized and underutilized based on the traffic density of the cell coverage, respectively. Through the simulation results, we show that the proposed scheme outperforms the conventional CRN in terms of the energy consumption with the same system throughput. Further, in order to increase the system throughput with low energy consumption, the best way is FRF 1 when the number of operating RSs is up to 4 and FRF 2 otherwise.

☞ keyword : Cellular relay network, OFDMA, Adaptive, Relay station, Energy saving

1. Introduction

Recently, cellular relay networks (CRNs) have been proposed as an attractive solution for the next generation mobile communication, i.e., LTE-A and 5G, [1-3] since they can enhance the system performance. However, the energy consumption of the CRN increases by adding relay stations (RSs) and the RSs waste their energy when the traffic density is low. Thus, an adaptive power control scheme is necessary to reduce the energy consumption of the RSs.

In [4], authors proposed a path selection algorithm for two-hop CRNs to enhance the downlink (DL) throughput and energy consumption. The proposed scheme determines either a single-hop or two-hop path with six RSs using different frequency reuse factors but the RSs always operates, i.e., the RSs are not turned off. In [5], an optimal energy saving scheme has been presented for the conventional cellular network. It allows some cells to be switched off and other cells to remain active, and this extends the service coverage during low traffic periods. The energy consumption models are analyzed by simply using the traffic load in a base station

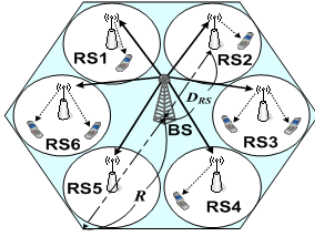
(BS) coverage over a day and showed superior performance in terms of the energy consumption. In [6], authors proposed an energy efficient RS operation scheme to reduce the energy consumption of the transmission power for the DL of the non-transparent mode of 2-hop CRNs when the system is underutilized such as during night periods. That is, some BSs change their service modes into the single-hop mode using the same transmission power of RSs to reduce the energy consumption while others simultaneously change their service modes into the 3-hop mode using the new RSs from neighbor cells for their second tier RSs.

This paper proposes an energy efficient adaptive relay station ON/OFF scheme with different frequency reuse factors (FRFs) to enhance the system throughput and reduce the transmission energy consumption for the transparent mode of 2-hop CRNs based on orthogonal frequency division multiple access and time division duplex (OFDMA-TDD). In the proposed scheme, the BS turns on or off the RSs when they are overutilized and underutilized based on the traffic density of the cell coverage, respectively. Through the simulation results, we show that the proposed scheme outperforms the conventional CRN in terms of the energy consumption with the same system throughput. Further, in order to increase the system throughput with low energy consumption, the best way is FRF 1 when the number of operating RSs is up to 4 and FRF 2 otherwise.

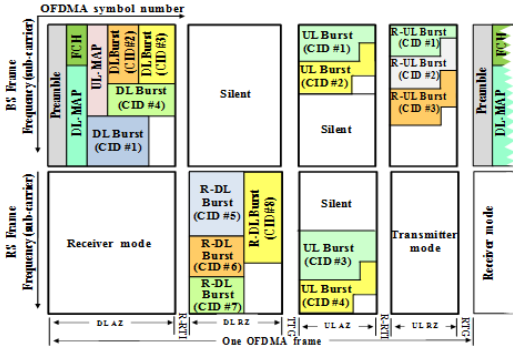
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(a) System topology



(b) OFDMA-TDD frame structure for the transparent mode

(Figure 1) System models for 2-hop CRNs

The remainder of this paper is organized as follows. Section 2 introduces various system models while Section 3 proposes an energy efficient adaptive RS ON/OFF scheme. Section 4 evaluates the performance results and finally section 5 concludes this paper with future research direction.

2. System Model

2.1 System Topology and Frame Structure

Fig. 1 describes system models for the transparent mode of 2-hop CRNs. Fig. 1-(a) shows the system topology that consists of hexagonal cells of radius R and the cell coverage (C) which is obtained via $C = 6\sqrt{3} \cdot R^2/4$. A BS is located at the center of each cell and surrounded by 6 fixed RSs that are placed at a distance of D_{RS} from the BS. Then, the given total area is covered by a target cell and 19 cells in two-tiers, i.e., 6 and 12 cells are placed in the first and second tiers, respectively and the center cell is observed. Fig. 1-(b) shows an OFDMA-TDD frame structure for the

(Table 1) Frequency reuse patterns for 6 RSs in RZ

FRF	Frequency reuse patterns
1	$G_1(\text{RS } 1, 2, 3, 4, 5, 6)$
2	$G_1(\text{RS } 1, 3, 5), G_2(\text{RS } 2, 4, 6)$
3	$G_1(\text{RS } 1, 4), G_2(\text{RS } 2, 5), G_3(\text{RS } 3, 6)$
6	Each RS uses different subchannel groups ($G_1 \sim G_6$)

transparent mode. The BS divides the timeline into contiguous frames each of which includes the DL and uplink (UL) subframes. Then, the DL and UL subframes are further divided into two zones, i.e., access zone (AZ) and relay zone (RZ). For example, during the DL subframe, the BS transmits data to both mobile stations (MSs) and RSs in AZ, i.e., BS-RS/MS communication, and then the RSs subsequently relay the received data to their serving MSs but the BS is in silent mode in RZ, i.e., RS-MS communication. Further, the technique of frequency reuse is considered to improve the overall network capacity and spectral efficiency. We assume that the FRF is always 1 for AZ because the BS only transmits data to RSs and MSs within the BS region, whereas the RSs use different FRFs for RZ. Table 1 shows the frequency reuse patterns for 6 RSs in RZ. For instance, all RSs are in group 1, G_1 , for FRF 1 and they use the same subchannels while each RS is in different RS groups from G_1 to G_6 for FRF 6 and they use different subchannels. The number of subchannels for each group is obtained by (the number of total subchannels / the number of RS groups).

2.2 Channel Models

We use two wireless links, i.e., BS to RSs and BS/RS to MSs, for CRNs. For the wireless link between the BS and RSs, the line-of-sight can be practically realized by placing RSs at carefully selected locations such as on the roof of buildings. Thus, we use the IEEE 802.16 type D model that is used for the free-space path loss condition as below [7].

$$PL(\text{dB}) = \begin{cases} 20 \log\left(\frac{4\pi d}{\lambda}\right) & \text{for } d < d'_0 \\ A + 10\gamma \log\left(\frac{d}{d_0}\right) + \Delta PL_f + \Delta PL_{nt} & \text{for } d > d'_0 \end{cases} \quad (1)$$

where, d is the distance between the transmitter and receiver and $d_0 = 100\text{m}$. $A = 20 \cdot \log_{10}(4\pi d_0/\lambda)$ and λ is the wavelength

in meter. $d_0 = d_0 \cdot 10^{-((\Delta PL_f + \Delta PL_{ht})/10 \cdot \gamma)}$ and $\gamma = a - b \cdot h_b + c/h_b$ where h_b is the height of the receiving RS and MS antennas. ΔPL_f is the correction factor for carrier frequency and ΔPL_{ht} is the height of the receiving antenna. ΔPL_f and ΔPL_{ht} can be expressed as (2) and (3), respectively.

$$\Delta PL_f = 6 \log_2 \left(\frac{f}{2000} \right), \quad (2)$$

$$\Delta PL_{ht} = \begin{cases} -10 \log \left(\frac{h_t}{3} \right) & \text{for } h_t < 3m \\ -20 \log \left(\frac{h_t}{3} \right) & \text{for } h_t > 3m, \end{cases} \quad (3)$$

where, f is the carrier frequency in MHz and h_t is the height of the transmitting BS (and RS) antennas. Further, $a = 3.6$, $b = 0.005$, and $c = 20$.

For the wireless link between the BS/RSs and MSs, we use the IEEE 802.16 type B model that is used for the intermediate path-loss condition as below [8].

$$PL(\text{dB}) = A + 10\gamma \log \left(\frac{d}{d_0} \right) + \Delta PL_f + \Delta PL_{ht}, \quad (4)$$

where, the parameters are the same height as type D path loss model but $\Delta PL_{ht} = -10.8 \cdot \log_{10}(h/2)$. Further, $a = 0.0075$, $b = 0.0065$, and $c = 0.005$.

2.3 SINR Models for CRNs

We revise an SINR model in [6] to use for the performance evaluation of CRNs. In the SINR model, the interference mainly comes from two sources: intra-cell interference (I_{intra}^{zone}) and inter-cell interference (I_{inter}^{zone}). I_{intra}^{zone} is caused by BS and/or RSs using the same channel within a cell while I_{inter}^{zone} is caused by signals from BSs and/or RSs from other cells. We assume that L BSs are placed in a given area and each BS is surrounded by M RSs. Then, the SINR of the MS or RS serviced by the BS can be expressed as (5).

$$SINR_{BS-RS/MS} = \frac{S_{BS}}{P_N + I_{intra}^{zone} + I_{inter}^{zone}}, \quad (5)$$

where, S_{BS} is the received signal power from a BS in the i -th cell ($1 \leq i \leq L$) and P_N is the white noise power. BSs are

in silent mode in RZ but I_{intra}^{AZ} and I_{inter}^{AZ} in AZ can be written as (6).

$$I_{intra}^{AZ} = 0, \\ I_{inter}^{AZ} = \sum_{l=1, l \neq i}^L S_{BS_l}. \quad (6)$$

On the other hand, the SINR of the MSs served by a RS from the j -th surrounding RSs ($1 \leq j \leq M$) in the i -th cell can be expressed as (7).

$$SINR_{RS-MS} = \frac{S_{RS_j}}{P_N + I_{intra}^{zone} + I_{inter}^{zone}}. \quad (7)$$

The RSs are in the receive-mode in AZ but I_{intra}^{RZ} and I_{inter}^{RZ} in RZ can be written as (8).

$$I_{intra}^{RZ} = \sum_{m=1, m \neq j}^M S_{RS_{i,m}}, \\ I_{inter}^{RZ} = \sum_{l=1, l \neq i}^L \sum_{m=1}^M S_{RS_{l,m}}. \quad (8)$$

2.4 Resource Allocation and System Throughput

To determine the path and analyze the system throughput of the i -th cell (T_i) which is in bps, we introduce a method for calculating the required number of symbols per second for the i -th cell (ξ_i) under the given traffic density (ρ) in Mbps/km². The number of symbols for AZ ($\xi_{i,AZ}$) can be obtained by (9).

$$\xi_{i,AZ} = \xi_{i,AZ-BS} + \xi_{i,AZ-RS}, \quad (9)$$

where, $\xi_{i,AZ-BS}$ and $\xi_{i,AZ-RS}$ are the numbers of symbols for the BS and all RSs and they can be written as (10).

$$\xi_{i,AZ-BS} = \rho \cdot \sum_{n=1}^N \frac{A_{BS}^n}{R_{AZ}^n}, \\ \xi_{i,AZ-RS} = \rho \cdot \sum_{m=1}^M \sum_{n=1}^N \frac{A_{RS_{i,m}}^n}{R_{RZ}}, \quad (10)$$

where, N is the modulation and coding scheme (MCS) levels. A_{BS}^n and $A_{RS_{i,m}}^n$ are the areas of the n -th MCS level for the BS and the m -th RS in the i -th cell, respectively. R_{AZ}^n and R_{RZ} are the transmission rates (modulation efficiency)

per symbol of the n -th MCS level for BS-MS and BS-RS communications in AZ, respectively.

The numbers of symbols for the RSs ($\xi_{i,RZ}$) in RZ is obtained by (11).

$$\xi_{i,RZ} = \frac{\rho}{\alpha} \cdot \sum_{m=1}^M \sum_{n=1}^N \frac{A_{RS,m}^n}{R_{AZ}^n}, \quad (11)$$

where, $\alpha = M/\beta$ and β is the value of FRFs.

Therefore, ξ_i can be represented as (12).

$$\xi_i = \xi_{i,AZ} + \xi_{i,RZ} \quad (\xi_i \leq \xi_{Total}), \quad (12)$$

where ξ_{Total} is the number of total symbols available for the DL of the BS and RSs and is assigned by the number of symbols per subcarrier per frame per second.

Consequently, maximum T_i can be written as (13).

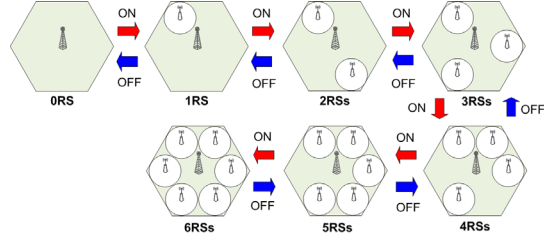
$$Max T_i = \rho_{MAX} \cdot \left(\sum_{n=1}^N A_{BS}^n + \sum_{m=1}^M \sum_{n=1}^N A_{RS,m}^n \right), \quad (13)$$

where, ρ_{MAX} is maximum ρ according to (12)

3. Proposed Energy Efficient Adaptive RS ON/OFF Scheme

Fig. 2 describes an example of the proposed adaptive RS ON/OFF scheme to operate RSs between 0 and 6 RSs. In the proposed scheme, the BS periodically receives information, e.g., traffic density, from the operating RSs and decides the RSs to turn on or off. For example, the BS turns on the RS when the BS and operating RSs are overutilized, i.e., they can not serve the amount of total data traffic in the cell coverage (T_{cell}) which is obtained by $T_{cell} = \rho C$ while the BS turns off the RS when the BS and operating RSs are underutilized, i.e., they can serve T_{cell} after the BS reduces 1 RS from the number of currently operating RSs.

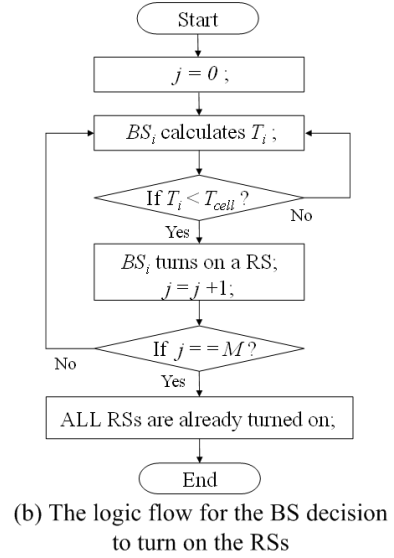
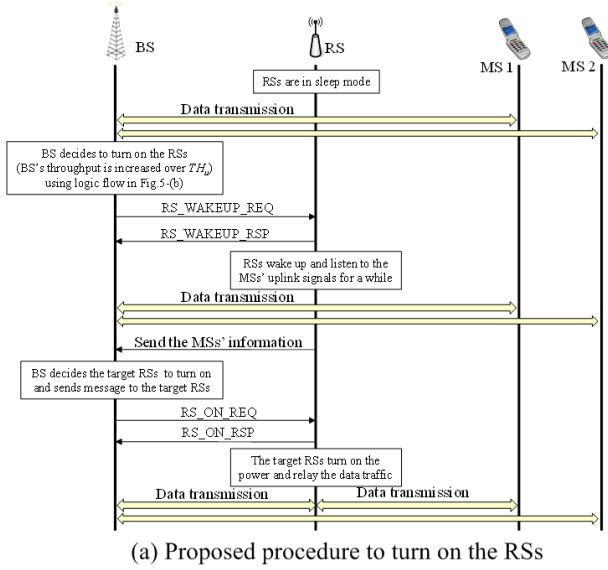
Fig. 3 describes the proposed adaptive RS ON/OFF scheme to turn on the RSs. Fig. 3-(a) shows the procedure of the proposed scheme to turn on the RSs. First, the BS periodically observes T_i and T_{cell} and decides to turn on the RSs when T_{cell} increases over T_i . Then, the BS exchanges messages, i.e., RS_WAKEUP_REQ and RS_WAKEUP_RSP,



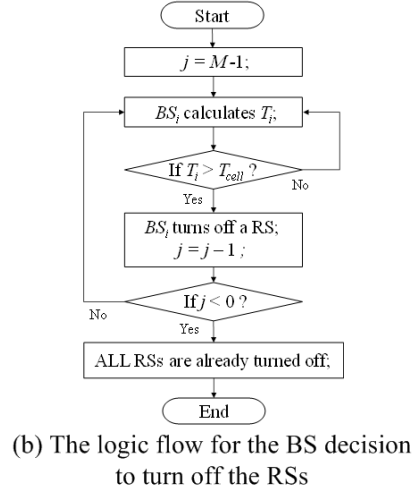
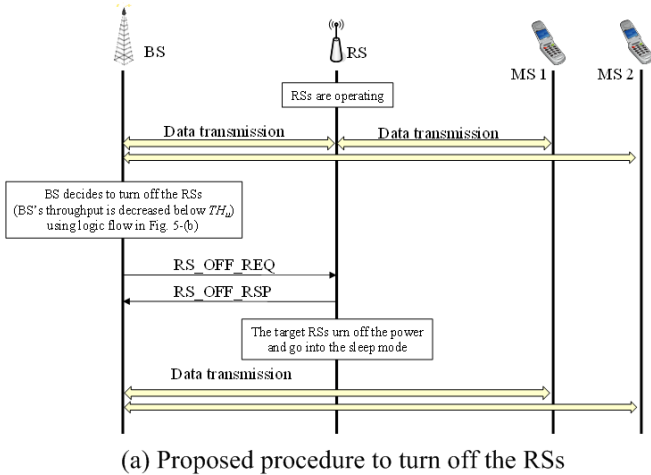
(Figure 2) An example of the proposed adaptive RS ON/OFF scheme to operate RSs between 0 and 6

with the RSs that are in sleep mode and the RSs wake up to listen to the MSs' uplink signals for a while. After a few moments, the RSs send the MSs' information, e.g., the link quality between the RSs and MSs and the amount of data traffic in the RS coverage, to the BS. Finally, the BS decides the target RS to turn on the power and then exchanges messages, i.e., RS_ON_REQ and RS_ON_RSP, with the target RS. Finally, after the target RS turns on the power, it relays the data traffic between the BS and MSs while other RSs go into the sleep mode again. Fig. 3-(b) shows the logic flow for the BS decision to turn on the RSs according to T_i and T_{cell} . First, the BS calculates T_i and decides to turn on the RS if $T_i < T_{cell}$. Then, the BS turns on the RS one by one until all RSs are turned on, i.e., j and M are the same.

Fig. 4 describes the proposed adaptive RS ON/OFF scheme to turn off the RSs. Fig. 4-(a) shows the procedure of the proposed scheme to turn off the RSs. First, the BS periodically observes T_i and T_{cell} and decides an operating RS as a target RS to turn off when T_{cell} decreases to lower than T_i . Then, the BS exchanges messages, i.e., RS_OFF_REQ and RS_OFF_RSP, with the target RS to turn off. Finally, the BS communicates with the MSs served by the target RS after the target RS turns off their power and goes into the sleep mode. Fig. 4-(b) shows the logic flow of the BS decision to turn off the RS according to T_i and T_{cell} . That is, the BS calculates T_i and decides to turn off the RS if $T_i > T_{cell}$. Here, T_i is obtained by the BS with $j-1$ RSs when the number of operating RSs is j . Then, the BS turns off the RS one by one until all RSs are turned off, i.e., $j < 0$.



(Figure 3) Proposed energy efficient adaptive RS ON/OFF scheme to turn on the RSs



(Figure 4) Proposed energy efficient adaptive RS ON/OFF scheme to turn off the RSs

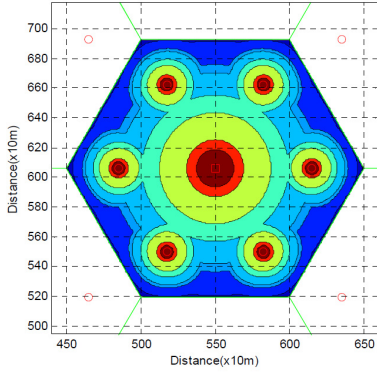
4. Performance Evaluation

We evaluate the DL performance of the proposed adaptive RS ON/OFF scheme and compare it to that of the conventional CRN (CCRN) which always turns on the power of all RSs in terms of the system throughput and energy consumption using a Monte Carlo simulation. The transmit

powers of the BS and RS are 20W and 5W, respectively. The energy consumption of one RS is 10% of that of the BS. As shown in Table 1, we evaluate the system performance with different values of FRFs. Log-normal shadow fading is only considered for the access links with zero mean and a standard deviation of 8.0 dB. Table 2 describes the key system parameters while the MCS option with a bit error rate

(Table 2) System parameters

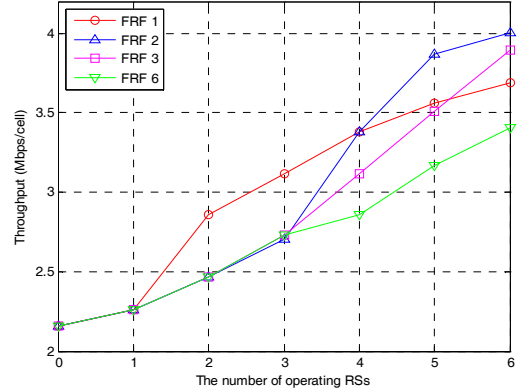
Parameter	Value
Carrier frequency (f)	2.3GHz
Bandwidth	10MHz
Number of RSs/cell (M)	6
R and D_{RS}	1km and 600m
Traffic density (ρ)	Uniform distribution
TDD frame length	5ms
Ratio of DL and UL in a frame	2 : 1
Number of sub-carriers	768
Number of symbols for DL/frame	24
Number of frames/sec	200
Antenna type (BS and RS)	Omni-directional
Antenna height	BS:30m, RS:10m, MS:2m
P_N	-174dBm/Hz



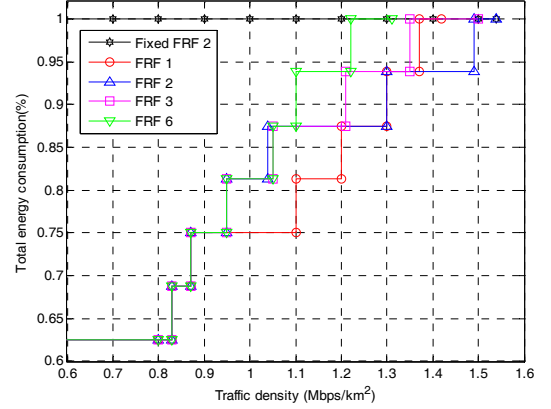
(Figure 5) An example of the SINR distribution of the cell coverage with 6 RSs in the CRN

less than 10^6 is in [6] and the unit of modulation efficiency is bit/symbol/subcarrier [8]. Fig. 5 presents an example of the SINR distribution of the coverage of the center cell with 6 RSs in the CRN.

Fig. 6 shows the system throughput with different FRFs when the number of operating RSs is from 0 to 6 RSs. FRF 1 achieves the highest T_i from 2 to 3 RSs because the RSs have a small amount of interference with each other but the interference from the RSs is highly increased over 4 RSs and thus T_i of FRF 1 is less than that of FRF 2. Further, FRF 6 always achieves the lowest throughput because the RSs use different subchannel groups even though every RS has no interference from neighbor RSs. The results with 6 RSs are



(Figure 6) System throughput with different FRFs



(Figure 7) Total transmission energy consumption

the same as the performance of the CCRN since the CCRN always operates all RSs. Therefore, in the CCRN, FRF 2 and 6 have the highest and lowest throughputs, respectively.

Fig. 7 shows the total transmission energy consumption of the proposed scheme compared to the CCRN based on ρ . As shown in Fig. 6, the CCRN has the highest throughput using 6 RSs with FRF 2, i.e., fixed FRF 2, and the energy consumption is always 1. On the other hand, in the proposed scheme, all FRFs have the same energy consumption when $\rho < 0.95$ Mbps/km². However, FRF 1 and 3 have the lowest energy consumptions when ρ is lower than 1.2 and 1.48 Mbps/km², respectively. Therefore, it is shown that the proposed scheme can reduce from 37% to 6% compared to the CCRN with FRF 2 when ρ is from 0.83 to 1.48 Mbps/km², respectively.

5. Conclusion

In this paper, we proposed an energy efficient adaptive RS ON/OFF scheme for the transparent mode of 2-hop CRNs based on OFDMA-TDD to reduce the DL energy consumption. In the proposed scheme, the BS turns on or off the RSs when the BS and operating RSs are overutilized or underutilized based on ρ , respectively. Through the simulation results, it is shown that the proposed scheme outperforms the CCRN in terms of the energy consumption with the same system throughput. Further, in order to increase the system throughput with low energy consumption, the best way is FRF 1 when the number of operating RSs is up to 4 and FRF 2 otherwise. For the future work, we are planning to study a dynamic subchannel assignment with the proposed RS ON/OFF scheme to evaluate the system throughput, energy consumption, delay and fairness.

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