



## Original Article

## Theoretical models of threshold stress intensity factor and critical hydride length for delayed hydride cracking considering thermal stresses

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## ABSTRACT

Delayed hydride cracking (DHC) is an important failure mechanism for Zircaloy tubes in the demanding environment of nuclear reactors. The threshold stress intensity factor,  $K_{IH}$ , and critical hydride length,  $l_c$ , are important parameters to evaluate DHC. Theoretical models of them are developed for Zircaloy tubes undergoing non-homogenous temperature loading, with new stress distributions ahead of the crack tip and thermal stresses involved. A new stress distribution in the plastic zone ahead of the crack tip is proposed according to the fracture mechanics theory of second-order estimate of plastic zone size. The developed models with fewer fitting parameters are validated with the experimental results for  $K_{IH}$  and  $l_c$ . The research results for radial cracking cases indicate that a better agreement for  $K_{IH}$  can be achieved; the negative axial thermal stresses can lessen  $K_{IH}$  and enlarge the critical hydride length, so its effect should be considered in the safety evaluation and constraint design for fuel rods; the critical hydride length  $l_c$  changes slightly in a certain range of stress intensity factors, which interprets the phenomenon that the DHC velocity varies slowly in the steady crack growth stage. Besides, the sensitivity analysis of model parameters demonstrates that an increase in yield strength of zircaloy will result in a decrease in the critical hydride length  $l_c$ , and  $K_{IH}$  will firstly decrease and then have a trend to increase with the yield strength of Zircaloy; higher fracture strength of hydrided zircaloy will lead to very high values of threshold stress intensity factor and critical hydride length at higher temperatures, which might be the main mechanism of crack arrest for some Zircaloy materials.

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## 1. Introduction

Owing to low neutron absorption cross-section and excellent pellet cladding interaction resistance [1], Zircaloy cladding tubes have been extensively used in boiling water reactors (BWRs) and pressurized water reactors (PWRs). Delayed hydride cracking (DHC) was reported to occur in the cladding tubes by detailed post irradiation examinations [2–4], where long and shallow axial cracks were found to initiate outside or inside the cladding tubes and propagate in the radial direction. This kind of radial failure mode has been a potential concern for guaranteeing the fuel cladding safety.

Generally, DHC is regarded as a repeated coupling process,

including the stress-induced diffusion and accumulation of hydrogen atoms ahead of the stress concentrator, brittle hydride precipitation and fracture [1]. Cracking happens and gives rise to a new crack tip only after a period of waiting time to reach the critical hydride length,  $l_c$ . This subcritical crack-growth behavior only occurs when the actual stress intensity factor,  $K_I$ , is larger than the threshold stress intensity factor,  $K_{IH}$ , for Mode I cracking [5]. So, the threshold stress intensity factor and critical hydride length are important parameters to evaluate the safety of Zircaloy tubes.

A number of theoretical models [5–8] have been proposed for the threshold stress intensity factor and critical hydride length. Near the crack tip, there is usually a plastic zone which has a length,  $r_p$  along the cracking direction. The yield criterion is satisfied at the boundary of plastic zone with a normal stress  $\sigma_p$ , which is the stress component perpendicular to the crack plane.

Most models for  $K_{IH}$  are developed based on a fracture criterion that fracture occurs when the maximum normal stress

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perpendicular to the crack plane at a distance of  $r_M$  ahead of the crack tip reaches a critical value, and can be described as

$$\sigma(r_M) + \sigma^h(r_M) = \sigma_F \quad (1)$$

where  $\sigma(r_M)$  is the maximum stress in the plastic zone induced by the external loads when there is no hydride present;  $r_M$  is the position where the maximum stress,  $\sigma(r_M)$ , exists;  $\sigma^h(r_M)$  is the normal stress at  $r_M$  induced only by the formation of hydride;  $\sigma_F$  is the fracture strength of hydrided Zircaloy.

The critical hydride length  $l_C$  is also an important parameter to calculate the DHC velocity for a given stress intensity factor  $K_I$ . A model was developed by Shmakov et al. [5], where the critical hydride length  $l_C$  was dependent on  $K_{IH}$  and  $r_M$ . As mentioned above, the location of the maximum stress  $r_M$  plays an important role in determining  $K_{IH}$ , so it is of significance to obtain the value of  $r_M$  and the relation with the applied stress intensity factor.

One can realize that it is very important to determine the maximum stress  $\sigma(r_M)$  and its location, which depend on the plastic zone size,  $r_p$ , and the normal stress distribution,  $\sigma(r)$ . In the model proposed by Shi and Puls [6],  $\sigma(r)$  was set as a constant value equaling  $\sigma_p$ , and  $r_p$  was calculated according to a first-order estimate of plastic zone size [9]; as for  $r_M$ , it was assumed as  $2\delta$ , where  $\delta$  was the crack opening displacement (COD) and was calculated based on the solution given by Rice and Johnson [10]. Although in the model by Wappling et al. [7], the assumption of  $r_M = 2\delta$  and  $\sigma(r_M) = \sigma_p$  maintained,  $\sigma(r)$  inside the zone  $r < r_M$  was set as yield strength of Zircaloy and  $\delta$  was calculated using the strip-yield model [9]. Kim et al. [8] proposed another model using a cohesive zone model in the plastic zone, with the cohesive stress being zero at the crack tip considering occurrence of damage and  $\sigma_p$  at the boundary of cohesive zone; besides, the cohesive stresses are set to linearly increase from zero to the maximum stress and then decrease to  $\sigma_p$  at the boundary of plastic zone. Shmakov et al. [5] simplified Kim's model by assuming a continuity of the derivative  $\frac{d\sigma(r)}{dr}$  at  $r_p$ , and obtained that  $\sigma_M = \frac{4}{3}\sigma_p$ ,  $r_M = \frac{1}{3}r_p$ .

In most of the current models for  $K_{IH}$ , the lengths of plastic zone or cohesive zone were all calculated using a first-order estimate of plastic zone size [9]. In fact, the stresses along the cracking plane are redistributed because of plastic deformation and damage accumulation surrounding the crack tip, so the length of plastic zone should be recalculated, and must increase in size according to the fact that the normal stresses should satisfy equilibrium according to the fracture mechanics theory [9]. If strain hardening is neglected, a simple force balance results in a second-order estimate of plastic zone size which is twice as large as the first-order estimate of plastic zone size by the Irwin approach [9]. If the strain-hardening plastic behavior of Zircaloy is considered, a maximum normal stress larger than  $\sigma_p$  occurs in the plastic zone, and damages will accumulate and lead to a lowered stress at the crack tip. Besides, according to the cohesive zone model, the lowered stress at the crack tip is uneasy to reach zero for the ductile Zircaloy under the threshold stress intensity factor to trigger DHC. Therefore, it is necessary to give a more reasonable distribution of normal stresses in the plastic zone, with the strain-hardening plastic behavior and damage accumulation effect involved.

In the in-pile operation environment, nonhomogeneous temperature variations exist in the cladding tubes, and the constraint conditions will affect the magnitudes of thermal stresses. As a result, the effect of the induced thermal stresses on DHC should be investigated [11] in order to evaluate the safety and optimize the constraint design of fuel rods. Experiments have shown that the plane stress state and plane strain state can have different effects on the DHC velocity [12]. The thermal stresses due to nonhomogeneous temperature variations will change the stress state in the

plastic zone, however, studies of these effects on  $K_{IH}$  are limited in previous researches, especially for the radial cracking cases.

In this study, according to the fracture mechanics theory of second-order estimate of plastic zone size [9], a new stress distribution is proposed with thermal stress contribution involved for the strain-hardening plastic materials, mainly for the plane-strain state cases such as the radial cracking case. The corresponding theoretical models for threshold stress intensity factor and critical hydride length are developed. Some experimental results of threshold stress intensity factor and critical hydride length for Zircaloy-2 tubes are compared with the theoretical predictions. The effects of thermal stresses on the threshold stress intensity factor and critical hydride length are investigated. Besides, the effects of mechanical properties are discussed, including the yield strength and fracture toughness of Zircaloy together with the fracture strength of hydrided Zircaloy.

## 2. Theoretical models for threshold stress intensity factor and critical hydride length

As mentioned before, DHC is a repeated multi-field coupling process: the diffusion and accumulation of hydrogen atoms; the precipitation, growth and fracture of hydride when the applied stress intensity factor  $K_I$  exceeds the threshold stress intensity factor  $K_{IH}$ . Hydrogen atoms will diffuse to the crack tip zone driven by concentration gradient of hydrogen, the gradient of hydrostatic stress and temperature gradient. As shown in Fig. 1, it is supposed that the hydride will firstly precipitate at the location  $r = r_M$  where the maximum normal stress perpendicular to the crack plane is reached, and grow along the crack extension line until the critical hydride length  $l_C$  is finally attained.

If there are no external loads, the hydride formed ahead of the crack tip is expected to be compressed due to a large (17%) volume increase associated with the transformation from zirconium alloy to brittle hydride [5]. The normal stresses in the plastic zone are generally supposed to be the sum of external-load induced stresses without considering hydride precipitation and the stresses only caused by the hydride formation. As shown in Fig. 1, the maximum normal stress ( $\sigma_{22}$ ) is supposed to appear at the point  $r = r_M$ .

When  $K_I = K_{IH}$ , the normal stress at  $r_M$  reaches the fracture strength of hydrided Zircaloy and the hydride length is assumed to be infinite. Therefore, a local fracture criterion for the hydrided Zircaloy can be described as

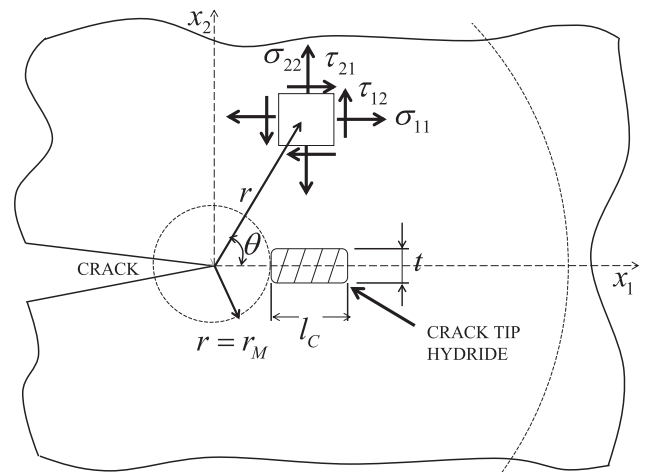


Fig. 1. The schematic diagram for the variables in DHC model.

$$\sigma_{22}(r_M) + \sigma^h(r_M) = \sigma_F \quad (2)$$

where  $\sigma_{22}(r)$  is the normal stress induced by external loads when there is no hydride present,  $\sigma^h(r_M)$  depicts the normal stresses resulting from the precipitation of infinite length of hydride, which is obtained as [6]

$$\sigma^h(r_M) = -\frac{E\varepsilon_{\perp}t}{4\pi(1-\nu^2)} \frac{1}{r_M} \quad (3)$$

where  $E, \nu$  depict the elastic modulus and the Poisson's ratio;  $\varepsilon_{\perp}$  is the vertical misfit strain induced by hydride precipitation;  $t$  is the hydride thickness and  $r_M$  should satisfy  $r_M > 0.25t$ .

If the condition  $r_M > 0.25t$  is not satisfied, another equation for  $\sigma^h(r_M)$  is given as [6]

$$\sigma^h(r_M) = -\frac{E\varepsilon_{\perp}t}{4\pi(1-\nu^2)} \frac{1}{r_M + \Delta t} \quad (4)$$

where  $\Delta = \frac{1}{2\pi} \exp(-6.158r_M/t)$ .

The maximum normal stress  $\sigma_M$  and the distance  $r_M$  depend on the stress distribution ahead of the crack tip. In the following, new stress distribution is proposed considering the fracture mechanics theory of the second-order estimate of plastic zone size [9] together with the contribution of thermal stresses.

### 2.1. The redistributed tensile stresses in the plastic zone

As shown in Fig. 2, there is a long and shallow axial crack AO in the cladding tube, and the crack will propagate in the radial direction under large hoop stresses; local rectangular and cylindrical coordinates at the crack tip are also given. As is known, in the reactor environment there is a temperature gradient between the inside and outside of the tube. When  $r$  is small, the temperature distribution along  $Ox_1$  can be expressed as [13]

$$T(r) = Ar^{0.5} + B \quad (5)$$

where  $A$  is a coefficient depending on the temperature gradient along the radial direction;  $B$  is the temperature at the crack tip.

According to linear elastic fracture mechanics, under plane strain conditions the stress field in the near-tip region is given as

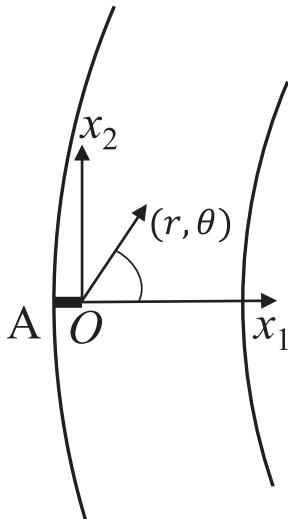


Fig. 2. The cross section of an axially-cracked tube.

$$\begin{aligned} \sigma_{11} = \sigma_{22} &= \frac{K_I}{\sqrt{2\pi r}} \\ \sigma_{33} &= \nu(\sigma_{11} + \sigma_{22}) - \alpha^T E \Delta T \\ \tau_{12} = \tau_{23} = \tau_{13} &= 0 \end{aligned} \quad (6)$$

where  $K_I = K_I^q + K_I^T$ ,  $K_I^q$  is the mechanical stress intensity factor induced by the pressure on the inside and outside surfaces of the tube, and  $K_I^T$  is thermal stress intensity factor induced by thermal gradient, which is small and ignored in this study. Detailed comparisons of these two stress intensity factors are discussed in Appendix.  $\alpha^T, E, \nu$  depict the secant coefficient of thermal expansion, the elastic modulus and the Poisson's ratio, respectively;  $\Delta T$  is the temperature increment, which is expressed as

$$\Delta T = T(r) - T_f = Ar^{0.5} + B - T_f \quad (7)$$

where  $T_f$  is the reference temperature, which refers to the thermal-stress-free temperature. Thus, the axial thermal stress can be involved when the thermal strains are constrained due to various mechanisms.

As shown in Eq. (6), there is a stress-field singularity at the crack tip. In fact, the singularity is impossible in real cases, as plastic deformation and damages will appear when the normal stress ahead of the crack tip reaches the maximum normal stress  $\sigma_M$  and subsequently the actual stress at the crack tip will decrease due to damage accumulation. Thus, the normal stresses will be redistributed. Based on the correction of plastic zone size by the Irwin approach [9], the distribution of tensile stresses  $\sigma_{22}$  along the crack tip extension line (Line  $Or$  in Fig. 3) is proposed in this study, as shown in Fig. 3. The length of plastic region is denoted as  $r_p$  in the crack tip zone which contains damages when  $r < r_M$ . And a virtual crack tip is assumed at  $r = r_M$  in order to solve  $r_M$  and the length of plastic zone  $r_p$ . The stress  $\sigma_0$  describes the lowered tensile stress owing to damages in the real crack tip. Because Zircaloy is an elasto-plastic material, the stress at the crack tip can't easily reach zero under the external thermo-mechanical loads. In fact, the large strains at the crack tip cause the crack to blunt, which reduces the stress triaxiality locally and makes  $\sigma_{11} = 0$ , but  $\sigma_{22}$  is not easy to reach zero [9]. The value of  $\sigma_0$  will be discussed in the following. In the region ( $r > r_p$ ) outside the plastic region, the stress calculation obeys the theory of linear elastic fracture mechanics, with the stress at the boundary of plastic zone denoted as  $\sigma_p$ .

Under the applied thermo-mechanical loads, following linear elastic fracture mechanics the stress along  $Or$  when  $r > r_M$  can be obtained as

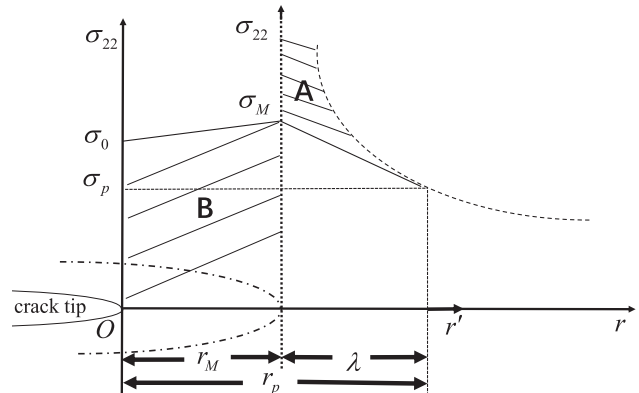


Fig. 3. A schematic diagram of the tensile stresses ahead of the crack tip.

$$\begin{aligned}\sigma_{11} &= \sigma_{22} = \frac{K_I}{\sqrt{2\pi r'}} \\ \sigma_{33} &= \nu(\sigma_{11} + \sigma_{22}) - \alpha^T E \Delta T \\ \tau_{12} &= \tau_{23} = \tau_{13} = 0\end{aligned}\quad (8)$$

where  $r' = r - r_M$ , and the plane strain condition is considered. It should be noted that the effect of the virtual crack increment  $r_M$  on  $K_I$  is ignored.

When  $r = r_p$  ( $r' = \lambda = r_p - r_M$ ), the tensile stress  $\sigma_{22}$  in Eq. (8) becomes

$$\sigma_{22}(r_p) = \sigma_p = \frac{K_I}{\sqrt{2\pi\lambda}} \quad (9)$$

By applying Von Mises yield criterion at  $r = r_p$ , a relation between the plastic zone length and stress intensity factor can be obtained as

$$\sigma_{ys} = (1 - 2\nu) \frac{K_I}{\sqrt{2\pi\lambda}} + \alpha^T E (A\sqrt{r_p} + B - T_f) \quad (10)$$

where  $\sigma_{ys}$  is the yield strength.

The force equivalence between redistributed normal stress field in the plastic zone and the local stresses resulting from linear elastic fracture mechanics can be obtained as

$$\frac{\sigma_0 + \sigma_M}{2} r_M + \frac{\sigma_p + \sigma_M}{2} \lambda = \int_0^\lambda \frac{K_I}{\sqrt{2\pi\xi}} d\xi \quad (11)$$

Combining Eq. (11) with Eq. (9), the position of maximum stress  $r_M$  and the length of plastic zone  $r_p$  are given as

$$r_M = \frac{3\sigma_p - \sigma_M}{\sigma_M + \sigma_0} \lambda \quad (12)$$

$$r_p = \frac{3\sigma_p + \sigma_0}{\sigma_M + \sigma_0} \lambda \quad (13)$$

It is noted that the value of the maximum stress  $\sigma_M$  depends on the material's deformation characteristics. For a plane strain state, by using the Hutchinson, Rice, Rosengren (HRR) singular solution for strain-hardening materials [14,15],  $\sigma_M$  can be obtained as [16]

$$\sigma_M = \sigma_{22}(r_M) = 3.35\sigma_{ys} \quad (14)$$

The stress  $\sigma_0$  is expressed as  $\sigma_0 = c\sigma_{ys}$ .  $c = 3.35$  is assumed in this study, and means the normal stress at the crack tip is equal to the maximum stress, believing that the tensile stress at the crack tip is a little lower than the maximum stress for  $K_I = K_{IH}$ . Besides, in the following sections the results under the assumption  $c = 0$  will also be discussed for comparison.

## 2.2. Threshold stress intensity factor

If  $r_M > 0.25t$  is satisfied, from Eqs. (2), (3), (9), (12) and (14), a nonlinear equation that the threshold stress intensity factor satisfies is derived out as

$$[3\sigma_p(K_{IH}) - 3.35\sigma_{ys}] \left( \frac{K_{IH}}{\sigma_p(K_{IH})} \right)^2 = \frac{(3.35 + c)E\epsilon_\perp t \sigma_{ys}}{2(1 - \nu^2)(3.35\sigma_{ys} - \sigma_F)} \quad (15)$$

where  $K_{IH}$  can be obtained from this equation using Newton iteration method.  $\sigma_p$  is the normal stress in Eq. (9) as a function of  $K_I$ .  $\sigma_p$

can be obtained by combining Eqs. (9), (10), (13) and (14) for a given  $K_I = K_{IH}^n$ , where  $K_{IH}^n$  is the  $n$ th iteration value of  $K_{IH}$ .

When the temperature gradient is not considered,  $\sigma_p(K_{IH})$  can be written as

$$\sigma_p = \frac{\sigma_{ys} - \alpha^T E (B - T_f)}{1 - 2\nu} \quad (16)$$

Thus, if  $c = 3.35$ , Eq. (15) can be expressed as

$$K_{IH} = \frac{\bar{\sigma}_{ys}}{(1 - 2\nu)} \sqrt{\frac{3.35E\epsilon_\perp t}{(1 - \nu^2)(3.35\sigma_{ys} - \sigma_F)(\frac{3x}{1 - 2\nu} - 3.35)}} \quad (17)$$

where  $\bar{\sigma}_{ys} = \sigma_{ys} - \alpha^T E (B - T_f)$ ,  $x = \frac{\bar{\sigma}_{ys}}{\sigma_{ys}}$ .

If  $c = 0$ , Eq. (15) can be expressed as

$$K_{IH} = \frac{\bar{\sigma}_{ys}}{(1 - 2\nu)} \sqrt{\frac{3.35E\epsilon_\perp t}{2(1 - \nu^2)(3.35\sigma_{ys} - \sigma_F)(\frac{3x}{1 - 2\nu} - 3.35)}} \quad (18)$$

Therefore, when the temperature gradient is not considered,  $K_{IH}$  for  $c = 3.35$  is  $\sqrt{2}$  times as large as  $K_{IH}$  for  $c = 0$ .

## 2.3. Critical hydride length

In order to obtain the critical hydride length for a stress intensity factor  $K_I > K_{IH}$ , based on Ref. [5], a two-phase composite model is adopted to describe the material ahead of the crack tip, as shown in Fig. 4, where  $\alpha + \delta$  region contains the plastic  $\alpha$ -phase Zircaloy and brittle  $\delta$ -phase hydride. The degraded fracture toughness of the formed two-phase composite material is assumed to be the weighed superposition of the ones of the two phases. When the applied stress intensity factor  $K_I$  equals the degraded fracture toughness, the critical hydride length  $l_C$  is reached and fracture will happen, so we have

$$K_I = V_f^\alpha K_I^\alpha + V_f^\delta K_I^\delta = \frac{r_M}{r_M + l_C} K_I^\alpha + \frac{l_C}{r_M + l_C} K_I^\delta \quad (19)$$

where  $V_f^\alpha$  and  $V_f^\delta$  are the volume fractions of  $\alpha$ -phase and  $\delta$ -phase,  $K_I^\alpha$  is the fracture toughness of  $\alpha$ -phase,  $K_I^\delta$  represents the fracture toughness for the compressed hydride in the Zircaloy matrix with  $K_I^\delta = K_{IH}$ .  $r_M$  is the position of maximum stress and can be obtained from Section 2.1. Therefore, the equation for  $l_C$  is obtained as

$$l_C = \left( \frac{K_I^\alpha - K_I}{K_I - K_{IH}} \right) r_M \quad (20)$$

When the temperature gradient is not considered, Eq. (20) can be simplified as

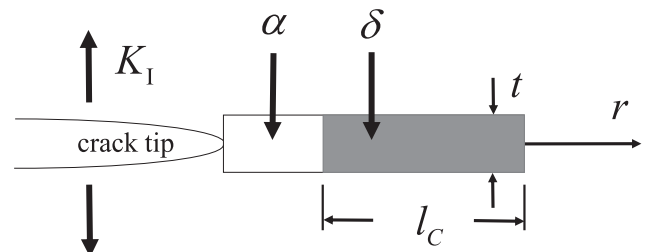


Fig. 4. The precipitated hydride platelet away from the crack tip.

$$l_c = \frac{(3x - 3.35(1 - 2\nu))(1 - 2\nu)}{2\pi(3.35 + c)x^2} \left( \frac{K_I^\alpha - K_{IH}}{K_I - K_{IH}} \right) \left( \frac{K_I}{\sigma_{ys}} \right)^2 \quad (21)$$

$$\text{where } x = \frac{\sigma_{ys} - \alpha^T E (B - T_f)}{\sigma_{ys}}$$

### 3. Validation of the theoretical models

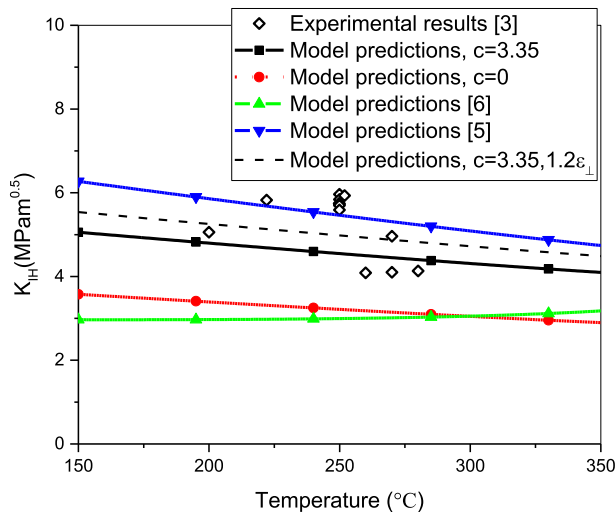
Kubo et al. [3] conducted some experimental tests on the delayed hydride cracking for Zircaloy-2 cladding tubes. Pre-cracks were produced at the outer surface of the tubes, and these cracks propagated in the radial direction to the inner surface under external loads. The material properties of these tubes are listed in Table 1. In the table, CW refers to the Zircaloy-2 tubes fabricated in the cold worked condition, and SR450 means the Zircaloy-2 tubes annealed at 450°C. Besides, the values of yield strength for CW above 275°C and SR450 above 250°C are extrapolated according to the experimental data in Ref. [3] for the lack of more data. In addition, a constant hydride thickness  $t = 2 \mu\text{m}$  is used in this model. It should be noted that the vertical misfit strain induced by hydride precipitation and the fracture toughness of Zircaloy are temperature dependent. In these experiments [3], the thermal stresses in the cladding tubes can be neglected according to the designed temperature loading process, especially for the cases of constant temperature DHC tests. Thus, model predictions in this section will not take the thermal stresses into account.

Comparison of threshold stress intensity factor ( $K_{IH}$ ) calculated by different theoretical models with the experimental data [3] can

**Table 1**  
Parameters of Zircaloy-2 cladding tube materials.

Parameters	Material models and variable values	Ref.
$\sigma_{ys}(\text{CW})$	$1307 - 1.369T \text{ MPa}$	[3]
$\sigma_{ys}(\text{SR450})$	$828.89 - 1.1556(T - 273) \text{ MPa}$	[3]
$\nu$	$0.3908 - 8 \times 10^{-5}T$	[3]
$E$	$115.39 - 0.0634T \text{ GPa}$	[3]
$\sigma_F$	$676 - 0.09T \text{ MPa}$	[17]
$\epsilon_\perp$	$0.06646 + 1.9348 \times 10^{-5}T$	[18]
$e^{th} = \alpha^T(T - T_f)$	$-1.238 \times 10^{-3} + 4.441 \times 10^{-6}T$ , $300 \text{ K} < T < 1073 \text{ K}$ , $T_f = 300 \text{ K}$	[19]
$K_I^\alpha$	$30 + 0.045(T - 300) \text{ MPa}\sqrt{\text{m}}$	[7]

(T is the temperature in Kelvin).

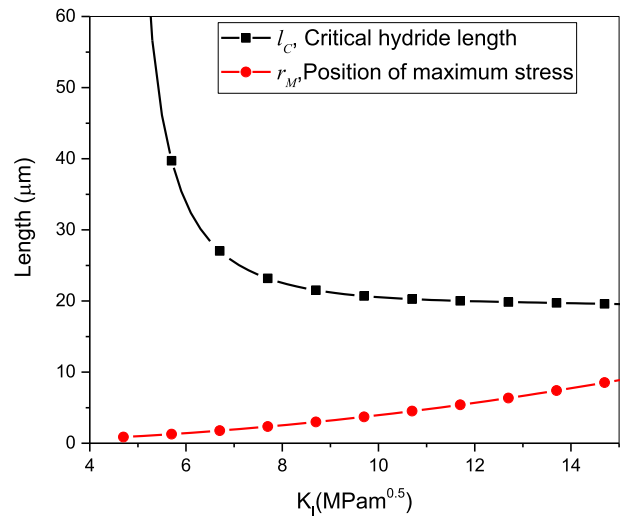


**Fig. 5.** Comparison of the predicted threshold stress intensity factors between model predictions and experimental results.

be found in Fig. 5 for the material of CW. Three sets of theoretical results are obtained by Eq. (17), respectively for  $c = 0$ ,  $c = 3.35$  and the case with  $c = 3.35$  and enlarged vertical misfit strain induced by hydride precipitation. The theoretical predictions by the other two models [5,6] are also plotted.

The model predictions in this study indicate that  $K_{IH}$  decreases with the temperature in the given range. It is obvious that  $K_{IH}$  for  $c = 3.35$  is greater than that for  $c = 0$ . One can see that the theoretical results for  $c = 3.35$  have a good agreement with the experimental ones. So the assumption of  $c = 3.35$  in the developed model of this study is suitable, and the developed model can be validated. The predictions from the theoretical model in Ref. [6] underestimate the threshold stress strength factors largely. While, the threshold stress strength factors are overestimated by the model in Ref. [5]. And it should be noted that  $r_M > 0.25t$  is satisfied in all the calculations, and the models for the hydride thickness and vertical misfit strain  $\epsilon_\perp$  in Refs. [5,6] are adopted to obtain the results for model predictions [5] and [6] in Fig. 5. In order to investigate the effects of vertical misfit strain, the model predictions with a higher strain magnitudes denoted as  $1.2\epsilon_\perp$  are also given in Fig. 5. It can be seen that a higher  $\epsilon_\perp$  could make  $K_{IH}$  larger, and the corresponding theoretical results seem to match the experimental ones better. So, the misfit strains due to hydride precipitation can have a certain effect on the threshold stress intensity factor.

According to the experimental measurement after testing at 200°C in Ref. [3], the length of radial hydrides for SR450 tubes was found to be from about 14  $\mu\text{m}$  to 18  $\mu\text{m}$ . Fig. 6 gives the critical hydride length for different stress intensity factors at 200°C together with the position of maximum stress  $r_M$ . It can be seen that  $l_c$  decreases with  $K_I$  ( $K_I > K_{IH}$ ), and it approaches infinity when  $K_I$  is close to  $K_{IH}$ .  $l_c$  is predicted to be about 20  $\mu\text{m}$  when  $K_I$  is 10  $\text{MPa}\sqrt{\text{m}}$  which is close to the experimental condition [3], which is consistent with the experimental measurement of 18  $\mu\text{m}$ . While, the prediction of  $l_c$  by the model in Ref. [5] is about half as large as the model predictions in this study. Therefore, the model for the critical hydride length has been improved to some extent, and the difference between the predicted results and the experimental ones may result from and the uncertainties of material yield strength and fracture toughness at 200°C. In Ref. [3], only the values of yield strength at 25°C and 250°C for SR450 were given. The yield strength at 200°C is obtained according to the fitting relation as a



**Fig. 6.** The critical hydride length and position of maximum stress for different stress intensity factors.



function of temperature. The position of maximum stress away from the crack tip  $r_M$  rises as  $K_I$  increases, and is greater than  $0.25t$ , so, Eqs. (17) and (21) are effective under most conditions.

## 4. Results and discussions

### 4.1. Effects of thermal stress

According to Eq. (7), when the reference temperature equals the temperature at the crack tip, the axial thermal stresses can be induced by temperature gradients. When the temperature gradient is not zero, there is no explicit formula to calculate the threshold stress intensity factor, and the Newton iterative method is adopted to solve  $K_{IH}$  with only several iterations to obtain the convergent results. As Eq. (5) is used to describe the temperature distribution,  $A$  is related with temperature gradient, so different values of  $A$  are considered to investigate the effect of temperature gradient, as is shown in Fig. 7. A higher value of  $A$  means a higher temperature gradient [20]. One can see that a positive temperature gradient makes  $K_{IH}$  smaller, while a negative temperature gradient makes it larger, but they have a very small impact on  $K_{IH}$ . Therefore, the effects of temperature gradient are very slight and can be ignored.

The reference temperature can lead to a temperature change, which can induce thermal stresses. The effects of reference temperature on the threshold stress intensity factor are shown in Fig. 8, where  $B$  is the temperature at the crack tip and  $T_f$  is the reference temperature. It is noted that the reference temperature refers to the temperature resulting in no thermal stresses, and after that the thermal expansion or shrinkage is constrained to cause thermal stresses. The case with  $T_f = B$  means thermal stresses are not considered. It can be seen that if the reference temperature is 300 K,  $K_{IH}$  will be smaller compared to the case with  $T_f = B$ . In the in-pile operation environment, the temperatures of cladding tubes are higher than room temperature. If the axial deformation is constrained, the axial thermal stresses could exist, making  $K_{IH}$  lower. In order to raise  $K_{IH}$  for cladding tubes, the axial thermal stress should be avoided, for example, by weakening the constraint in the axial direction. In the DHC experiments, the specimens are usually heated to a certain temperature and kept for some time, which is followed by lowering the temperature to the test temperature. As can be seen from the results with  $T_f = 608$  K, if the shrinkage deformation during the course of decreasing temperature is constrained, the reference temperature should be higher than the test

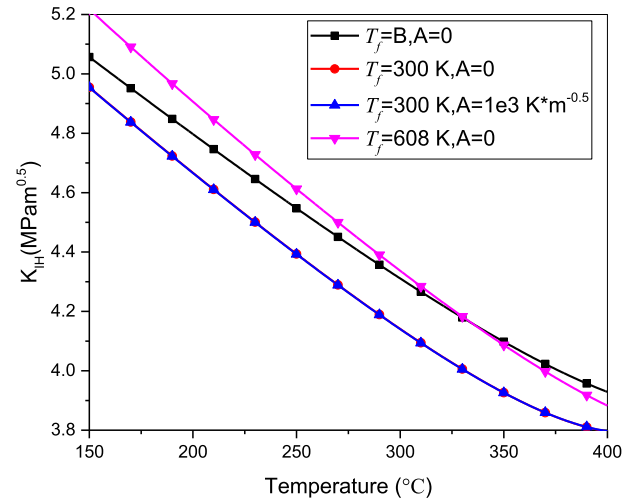


Fig. 8. Effects of reference temperature on  $K_{IH}$ .

temperature, which will make  $K_{IH}$  higher than the case no thermal stress is considered. One can also see that the effect of temperature gradient on  $K_{IH}$  is much smaller than that of reference temperature.

As mentioned before, the critical hydride length,  $l_c$ , decreases with increasing the stress intensity factor  $K_I$ . Fig. 9 displays the relation between  $l_c$  and  $K_I$  at different temperatures. It is evident that  $l_c$  is larger at a higher temperature when  $K_I$  is greater than  $6 \text{ MPa}\sqrt{\text{m}}$ . Besides, when  $K_I$  is in the range from  $9 \text{ MPa}\sqrt{\text{m}}$  to  $15 \text{ MPa}\sqrt{\text{m}}$ ,  $l_c$  changes slowly, so it can explain, to some extent, the phenomenon that the DHC velocity changes gradually at a certain range of  $K_I$ .

The critical hydride length can be also affected by thermal stresses. As shown in Fig. 10, the critical hydride length,  $l_c$ , increases with the temperature, and the results are obtained for a stress intensity factor of  $12 \text{ MPa}\sqrt{\text{m}}$ . Fig. 10 also gives the results at different temperature gradients. One can see that  $l_c$  increases with the temperature, at  $400^\circ\text{C}$  it is more than four times as long as that at  $150^\circ\text{C}$ . It can be found that the effect of temperature gradient can be neglected.

Fig. 11 shows the effects of reference temperature on the critical hydride length. One can see that if the reference temperature is lower than the temperature at the crack tip,  $l_c$  will increase by

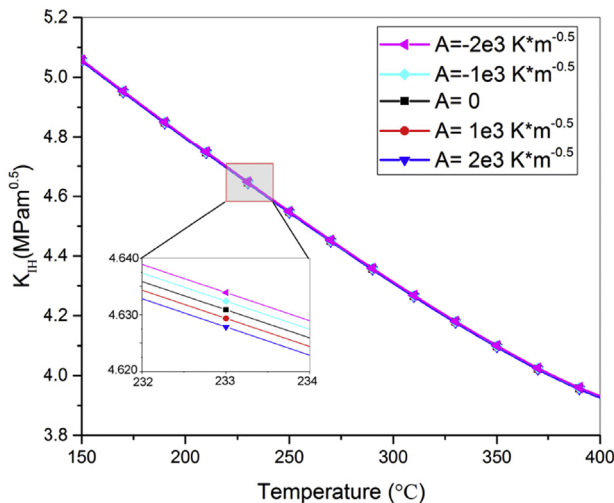


Fig. 7. Effect of temperature gradients on  $K_{IH}$ .

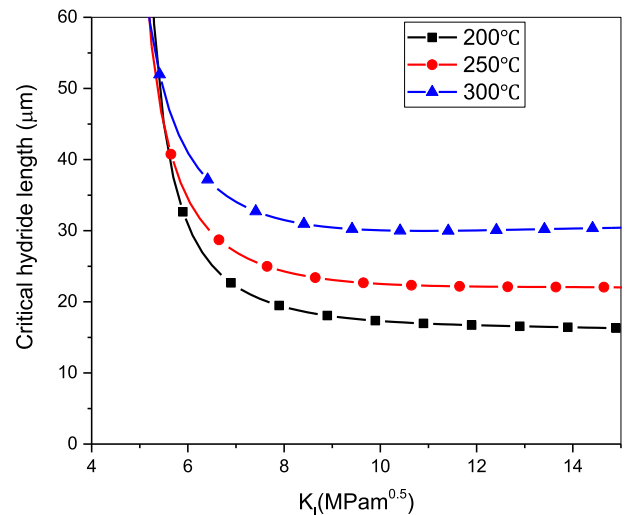


Fig. 9. Relation between the critical hydride length and  $K_I$  at different temperatures.

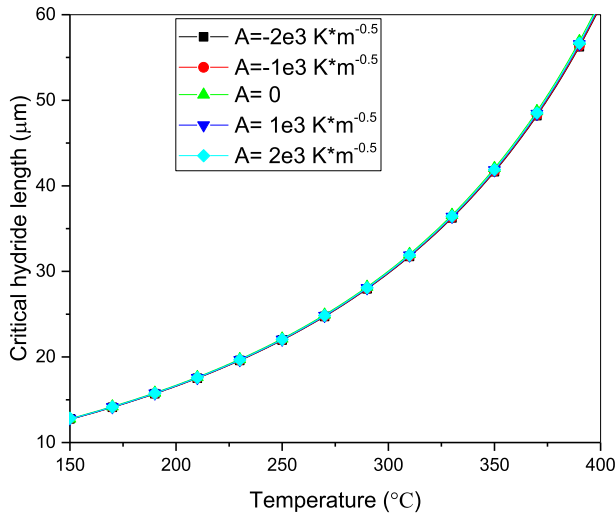


Fig. 10. Effects of temperature gradient on critical hydride length.

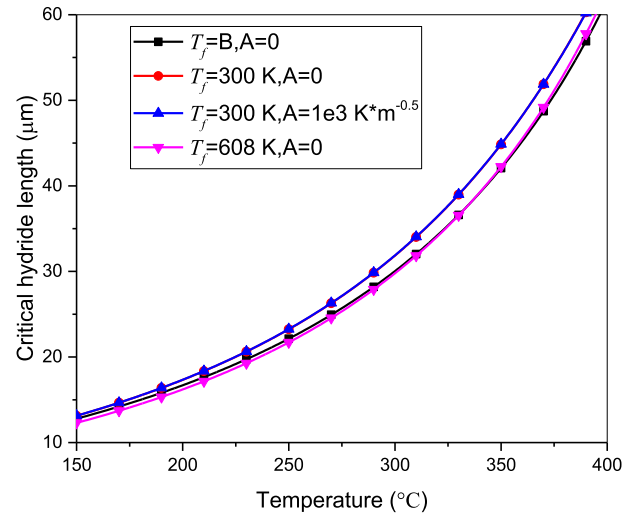


Fig. 11. Effects of reference temperature on critical hydride length.

2.6%–6.6% compared to the case no thermal stress is considered. If the reference temperature is higher than the test temperature (the temperature at the crack tip),  $l_c$  will be smaller, which implies that the DHC velocity may be higher as it may take less time to form enough length of hydride. In Ref. [3], it was mentioned that the DHC velocity results are somewhat faster for the decreasing temperature tests than those for the constant temperature tests. In the decreasing temperature tests, the test temperature was decreasing when applying the tensile loads. For the reason that the loading device intimately contacted with the cladding tube when decreasing the test temperature, the shrinkage deformation is constrained resulting in large axial tensile stresses, which is equivalent to the case with a high reference temperature than the test temperature. One can conclude that the DHC velocity might be smaller in the nuclear reactors if the axial deformation of the cladding tubes is constrained although the threshold stress intensity factor is reduced. However, in the transient operation condition such as a rapid power ramp, the effects of thermal stresses will be of complexity.

#### 4.2. Effects of mechanical properties

There are many factors that affect threshold stress intensity factor and critical hydride length such as the crystallographic texture of the cladding and irradiation effects. The texture of zirconium grains have been found to have a significant influence on the threshold stress intensity factor [2,21]. It's been shown that radially-oriented hydrides are much more detrimental to mechanical properties than circumferentially-oriented hydrides [22]. The mechanical strength of Zircaloy can also be significantly affected by the formation of hydride [23]. Irradiation can also exert an effect on the mechanical properties due to irradiation hardening and irradiation embrittlement. In the following, the effects of mechanical properties on threshold stress intensity factor and critical hydride length will be discussed, and thermal stress is not considered in these calculations.

The threshold stress intensity factor at 250°C is plotted versus yield strength in Fig. 12. It can be seen that the model predictions of  $K_{IH}$  in this study indicates that it will decrease to a minimum value and then increase with the yield strength. Model predictions by Ref. [5] show that  $K_{IH}$  will have a rise with increasing yield strength. While, the model predictions by Ref. [6] reveal that  $K_{IH}$  will decrease with increasing yield strength. The experimental results

in Ref. [3] demonstrate that  $K_{IH}$  has a trend to firstly decrease then increase with the yield strength, which reflects a consistent trend with the model predictions in this study. As mentioned previously, a higher  $\varepsilon_{\perp}$  could make  $K_{IH}$  higher, the model predictions with higher  $\varepsilon_{\perp}$  seem to have a better agreement with the experimental ones. According to Eq. (17), yield strength should be greater than  $\frac{1}{3.35}\sigma_F$  to get an effective  $K_{IH}$ , otherwise DHC will not take place. It can be found from Eq. (19) that the minimum value of  $K_{IH}$  will be reached when yield strength is  $\frac{2}{3.35}\sigma_F$ , so the model predictions by the theoretical model in this study present a variation rule depicted in Fig. 12. It should be noted that  $r_M > 0.25t$  is satisfied in the current calculations, otherwise Eq. (4) should be considered, which may happen when yield strength is much larger.

The yield strength of zircaloy could also affect the critical hydride length, as depicted in Fig. 13. The considered stress intensity factor,  $K_I$ , is 12 MPa $\sqrt{m}$ . It is evident that smaller yield strength can lead to a larger value of critical hydride length, which can be also obtained from Eq. (21). Besides, the values of fracture toughness for different Zircaloy materials also have uncertainties. According to Eq. (21), a smaller fracture toughness of Zircaloy can reduce the critical hydride length, as displayed in Fig. 14. Due to the increase in yield strength induced by irradiation hardening and the decrease in

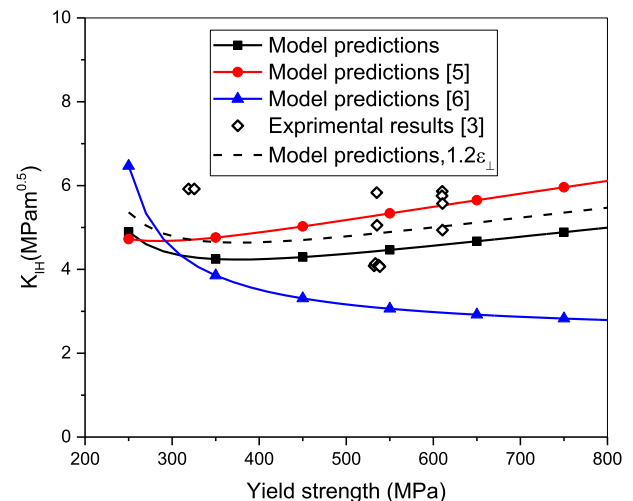


Fig. 12. The effects of yield strength on  $K_{IH}$ .

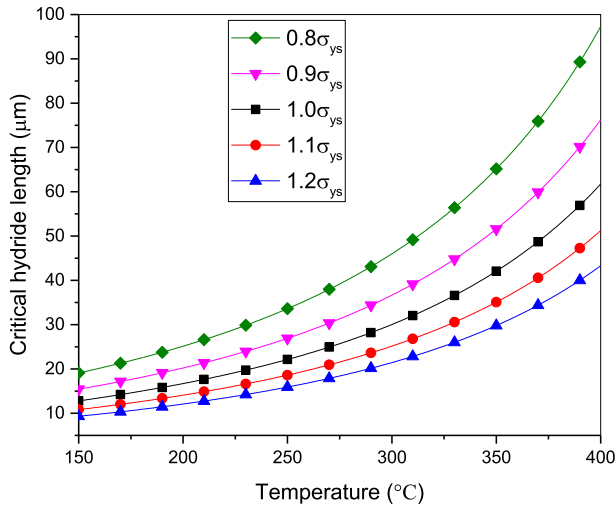


Fig. 13. The effects of yield strength on critical hydride length.

fracture toughness induced by irradiation embrittlement, there will be a smaller critical hydride length if irradiation effect is involved. It can be obtained that the DHC velocity in the steady crack growth stage will be faster for the irradiated Zircaloy tubes although the threshold stress intensity factor is heightened.

According to Eq. (17), a larger fracture strength of hydrided Zircaloy can make  $K_{IH}$  larger as it is much more difficult to fracture with a higher fracture strength. The sensitivity analysis is also performed for the fracture strength of hydrided Zircaloy. By magnifying the fracture strength  $\sigma_F$  by a factor larger than 1.0, the obtained results can be found in Fig. 15. One can see that,  $K_{IH}$  will increase with the fracture strength  $\sigma_F$ ; when the values of  $\sigma_F$  are less than 1.5 times of the initial values at different temperatures,  $K_{IH}$  have a trend of decreasing with an increase of temperature; while when the values of  $\sigma_F$  are twice as large as its initial values,  $K_{IH}$  will firstly increase very slowly with the temperature, and at higher temperatures  $K_{IH}$  will be very sensitive to the temperature increase. It was also reported in the experiments by Holston et al. [24] that  $K_{IH}$  could be enlarged as temperature increases. Therefore, DHC is difficult to occur at high temperatures when  $\sigma_F$  is comparably large. From Eq. (19), one can find that the factor  $(3.35\sigma_{ys} - \sigma_F)$  in the denominator can affect the magnitude of  $K_{IH}$  remarkably. If it is

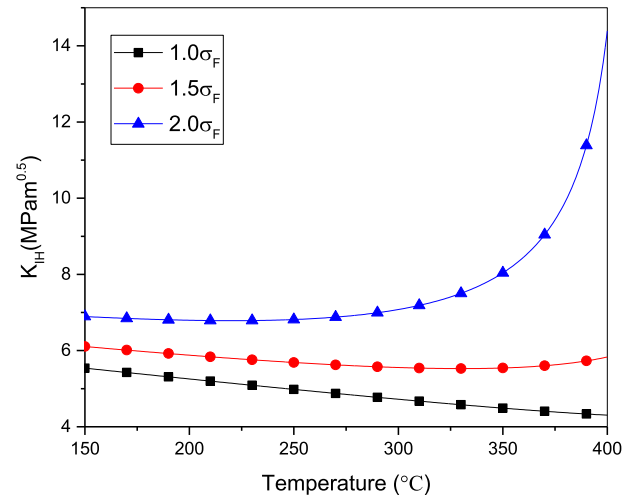


Fig. 15. Effects of fracture strength of hydride on  $K_{IH}$ .

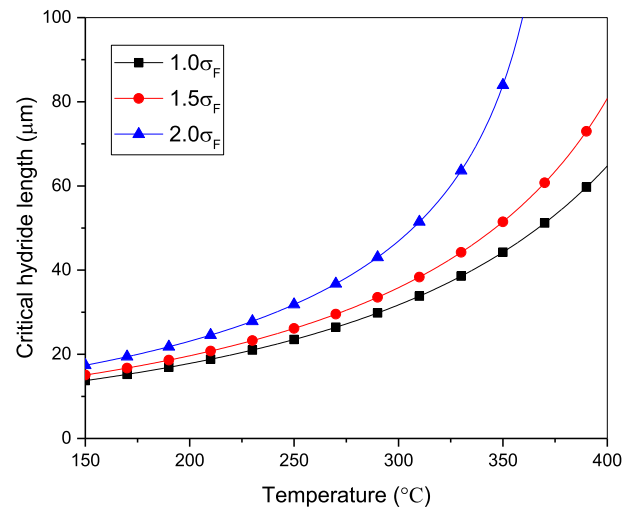


Fig. 16. Effects of fracture strength of hydride on critical hydride length.

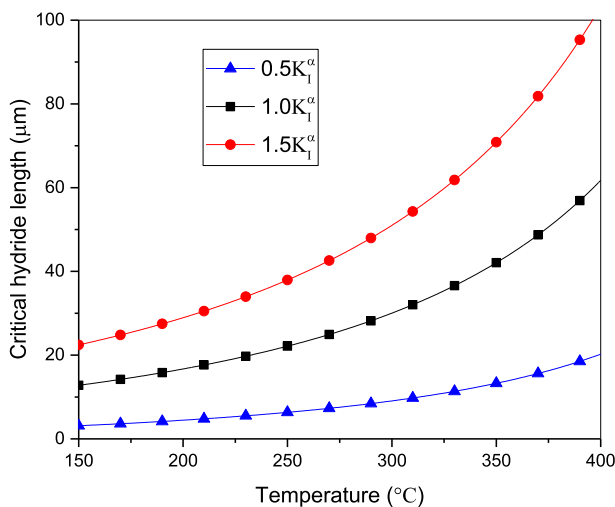


Fig. 14. The effects of fracture toughness of zircaloy on critical hydride length.

very small and larger than zero, it will lead to a high value of  $K_{IH}$ . With increasing the temperature, the yield strength of Zircaloy materials tends to decrease, the factor  $(3.35\sigma_{ys} - \sigma_F)$  might be very small or less than zero for some cases, so that the DHC can be arrested at high temperatures for a certain stress intensity factor. This might be an important mechanism of crack arrest at higher temperatures for some Zircaloy materials. The actual behavior depends on the values of yield strength and fracture strength for hydrided Zircaloy at higher temperatures.

Fracture strength  $\sigma_F$  could also affect the critical hydride length, as illustrated in Fig. 16. It can be seen that a higher  $\sigma_F$  can make  $l_C$  larger. The values of  $l_C$  are all calculated with  $K_I$  equaling  $12 \text{ MPa}\sqrt{\text{m}}$  which is very close to  $K_{IH}$  at high temperatures under a large fracture strength, so  $l_C$  is very large at these conditions implying that DHC may not occur. And it is consistent with the phenomenon that there is usually a crack arrest temperature above which DHC velocity is so small that DHC does not occur.

## 5. Conclusions

A new stress distribution in the plastic zone with thermal stresses considered is derived based on a second-order estimate of



plastic zone size, and new theoretical models to calculate threshold stress intensity factor and critical hydride length are given in this study. A good agreement of model predictions with the experimental results is obtained. As the temperature gradient exerts little effect on  $K_{IH}$  and  $l_c$  for the radial cracking cases, the effect of temperature gradient can be ignored and the models can be simplified as Eq. (17) and Eq. (21) with  $c = 3.35$ . The effects of thermal stresses and mechanical properties are also discussed, and conclusions can be drawn as follows.

- (1) A larger positive change of temperature can make  $K_{IH}$  smaller and  $l_c$  larger. The effects of thermal stresses should be considered in the safety evaluation and optimized constraint design for fuel rods in the nuclear reactors.
- (2) The critical hydride length  $l_c$  change slightly when the stress intensity factor is in a certain range for Zircaloy materials, and it increases with temperature in this range.
- (3) An increase in yield strength of zircaloy will result in a decrease in the critical hydride length  $l_c$ , and  $K_{IH}$  will firstly decrease and then have a trend to increase with the yield strength of Zircaloy.  $l_c$  also increases with the fracture toughness. Irradiated Zircaloy tubes will have a higher threshold stress intensity factor and faster DHC velocity.
- (4) A large fracture strength of hydrided Zircaloy could make  $K_{IH}$  and  $l_c$  extremely large at high temperatures, making DHC difficult to happen, which mainly results from the very small or negative values of  $(3.35\sigma_{ys} - \sigma_F)$  in Eq. (19).

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## Appendix. Comparison between mechanical stress intensity factor and thermal stress intensity factor for the in-plane stresses

As it is difficult to obtain the stress intensity factors for tubes with edge cracks, the formulae for an infinite plate with a central crack are used to estimate these stress intensity factors. The crack has a length of  $2a$  with a uniform tensile stress  $\sigma^\infty$  perpendicular to crack plane at infinite and a temperature gradient component  $k$  along crack plane at infinite position. The Mode I singular field can be determined by the stress intensity factor,  $K_I$ , which consists of mechanical stress intensity factor and thermal stress intensity factor.

The mechanical stress intensity factor is

$$K_I^M = \sigma^\infty \sqrt{\pi a} \quad (A.1)$$

For a plane strain condition, the thermal stress intensity factor given by Tang [20] is expressed as

$$K_I^T = \frac{\alpha^T E a k}{4 - 4\nu} \sqrt{\pi a} \quad (A.2)$$

When the temperature gradient is 50 K/mm and the temperature is 523 K, with the material properties in Table 1 used, the comparison between the obtained two stress intensity factors are shown in Table 2. The results are displayed at different tensile stresses and crack lengths, and small values of crack lengths are used due to that many cladding tubes have a wall thickness of 0.7 mm. One can see that thermal stress intensity factor is quite

small compared to mechanical stress intensity factor, thus, the thermal stress intensity factor can be ignored in the theoretical models for the threshold stress intensity factor and critical hydride length, which means  $K_I^T$  is ignored in Eq. (8). However, it should be noted that the thermal stress component in  $x_3$  direction can still contribute to the threshold stress intensity factor and critical hydride length for DHC, which results from displacement constraint in the axial direction of cladding tubes.

**Table 2**

Comparison between mechanical stress intensity factor and thermal stress intensity factor.

$\sigma^\infty$ (MPa)	$a$ ( $\mu$ m)	$K_I^M$ (MPa $\sqrt{m}$ )	$K_I^T$ (MPa $\sqrt{m}$ )	$\frac{K_I^T}{K_I^M} \times 100\%$
300	50	3.760	0.005	0.128
	200	7.520	0.039	0.512
	300	9.210	0.071	0.768
400	50	5.013	0.005	0.096
	200	10.027	0.039	0.384
	300	12.280	0.071	0.576
500	50	6.267	0.005	0.077
	200	12.533	0.039	0.307
	300	15.350	0.071	0.461

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