

CLASSIFICATION OF A FAMILY OF RIBBON 2-KNOTS WITH TRIVIAL ALEXANDER POLYNOMIAL

TAIZO KANENOBU AND TOSHIO SUMI

ABSTRACT. We consider a family of ribbon 2-knots with trivial Alexander polynomial. We give nonabelian $SL(2, \mathbf{C})$ -representations from the groups of these knots, and then calculate the twisted Alexander polynomials associated to these representations, which allows us to classify this family of knots.

1. Introduction

A ribbon 2-knot is an embedded 2-sphere in S^4 obtained by adding r 1-handles to a trivial 2-link with $r + 1$ components for some r , which is called a ribbon 2-knot of r -fusion (cf. [14, 15]). Yasuda [16–20] studied an enumeration of ribbon 2-knot with ribbon crossing number up to 4, where the Alexander polynomial of each ribbon 2-knot was given but it was not referred about the classification of the knots so much. Takahashi [12] classified ribbon 2-knots of 1-fusion with small ribbon crossing number using the Alexander polynomial, representations of the knot group into $SL(2, \mathbf{C})$, and twisted Alexander polynomial. Recently, Kanenobu and Komatsu [2] have enumerated ribbon 2-knots based on the virtual arc presentation of ribbon 2-knots, and Kanenobu and Sumi [3] have attempted the classification of these ribbon 2-knots, where they used the Alexander polynomial, homology of double branched covering space, representations of the knot group into $SL(2, \mathbf{F})$, \mathbf{F} a finite field, and twisted Alexander polynomial.

In order to classify ribbon 2-knots the Alexander polynomial is a very useful invariant. However, it is difficult to distinguish ribbon 2-knots sharing the same Alexander polynomial. In this paper, we show the effectiveness of the twisted Alexander polynomial in classifying the ribbon 2-knots, which was first achieved by Takahashi [12], and then by the authors [3] as mentioned above. The twisted Alexander polynomial was introduced by Lin [6] for knots in S^3 and by Wada [13] for finitely presentable groups, which is a generalization of the classical

Received May 25, 2017; Revised October 8, 2017; Accepted November 2, 2017.

2010 *Mathematics Subject Classification*. Primary 57Q45; Secondary 57M05.

Key words and phrases. ribbon 2-knot, Alexander polynomial, knot group, twisted Alexander polynomial.

Alexander polynomial and has many applications. In this paper, we classify a family of ribbon 2-knots of 1-fusion with trivial Alexander polynomial $K_n = R(1, n, -n - 1, 1)$, $n \in \mathbf{Z}$ (see Sect. 2 for the definition of $R(1, n, -n - 1, 1)$). First, we show that the number of irreducible representations $\rho : \pi_1(S^4 - K_n) \rightarrow SL(2, \mathbf{C})$ up to conjugate is $2n$ (Proposition 3.5), where $n \geq 0$, classifying the knots K_n , $n \geq 0$. Next, we distinguish K_n and K_{-n-1} , which are mirror images one another, by Wada's twisted Alexander polynomials (Proposition 4.1). Our main theorem is the following.

Theorem 1.1. *For the family of ribbon 2-knots K_n , $n \in \mathbf{Z}$, of 1-fusion we have the following:*

- (i) K_n has trivial Alexander polynomial.
- (ii) The mirror image of K_n is isotopic to K_{-n-1} .
- (iii) K_n is trivial if and only if $n = 0$ or -1 .
- (iv) For $m, n \in \mathbf{Z} - \{-1, 0\}$, K_m and K_n are isotopic if and only if $m = n$.

This paper is organized as follows: In Sect. 2 we define a ribbon 2-knot K_n of 1-fusion and give some properties. In Sect. 3 we decide irreducible representations of the group of the knot K_n into $SL(2, \mathbf{C})$ up to conjugate. In Sect. 4 we calculate the twisted Alexander polynomial of K_n associated to the representations given in Sect. 3.

2. Ribbon 2-knot of 1-fusion

We define a ribbon 2-knot $R(p_1, q_1, \dots, p_n, q_n)$ of 1-fusion as follows. Let $L_0 = S_0^1 \cup S_1^1$ be a trivial link with 2 components in \mathbf{R}^3 . We add a band B to L_0 as shown in Fig. 1, where $\tau_{p_1}, \dots, \tau_{p_n}, \sigma_{q_1}, \dots, \sigma_{q_n}$ are pairs $(D^3, a \cup \beta)$ of a 3-ball D^3 and a properly embedded arc a and band β as shown in Fig. 2.

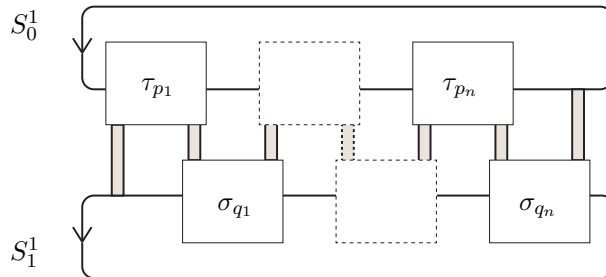


FIGURE 1. Adding a band B to a trivial link $L_0 = S_0^1 \cup S_1^1$.

Regard the band B as the image of an embedding $b : I \times I \rightarrow \mathbf{R}^3$, $B = b(I \times I)$, so that $S_i^1 \cap b(I \times I) = b(I \times \{i\})$, $i = 0, 1$, where I is the unit interval $[0, 1]$. We take disjoint 2-disks $D_0 \cup D_1$ in \mathbf{R}^3 so that $S_i^1 = \partial D_i$, $i = 0, 1$.

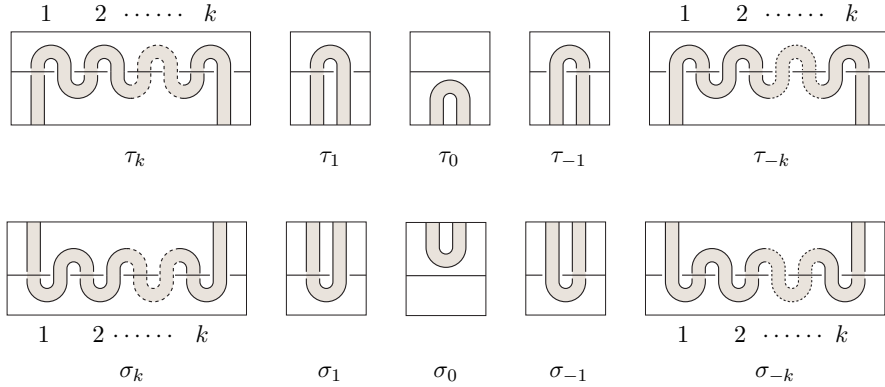


FIGURE 2. τ_p and σ_q .

1. Let $K_0 = (L_0 - b(I \times \partial I)) \cup b(\partial I \times I)$. Then we obtain a ribbon 2-knot $R(p_1, q_1, \dots, p_n, q_n)$ of 1-fusion in $S^4 = \mathbf{R}^4 \cup \{\infty\}$ by the moving pictures:

$$R(p_1, q_1, \dots, p_n, q_n) \cap (\mathbf{R}^3 \times \{t\}) = \begin{cases} K_0 & \text{for } |t| < 1; \\ K_0 \cup B = L_0 \cup B & \text{for } |t| = 1; \\ L_0 & \text{for } 1 < |t| < 2; \\ D_0 \cup D_1 & \text{for } |t| = 2; \\ \emptyset & \text{for } |t| > 2. \end{cases}$$

Any ribbon 2-knot of 1-fusion is represented in this form.

Note that a ribbon 2-knot is *negative-amphicheiral*, that is, a ribbon 2-knot K is ambient isotopic to $-K!$, which is obtained from K by taking the mirror image and then reversing the orientation (see [11, Theorem 2.18], [10, Proposition 4.1]). So, we show that the knot K_n , $n > 0$, is non-positive-amphicheiral and non-invertible. If a ribbon 2-knot has a non-reciprocal Alexander polynomial, that is, $\Delta_K(t) \neq \Delta_K(t^{-1})$ up to $\pm t^k$, then it is not non-positive-amphicheiral and is non-invertible (cf. [11, Proposition 3.26]).

Example 2.1. Figure 3 shows the ribbon 2-knot $K_2 = R(1, 2, -3, 1)$.

Note that $R(p_1, q_1, \dots, p_n, q_n)$ is isotopic to $R(-q_n, -p_n, \dots, -q_1, -p_1)$, which is the mirror image of $R(q_n, p_n, \dots, q_1, p_1)$.

The group of $K = R(p_1, q_1, \dots, p_n, q_n)$, $G = \pi_1(S^4 - K)$, has a Wirtinger presentation

$$(1) \quad \langle x, y \mid x^{-1}w^{-1}yw \rangle, \quad w = x^{p_1}y^{q_1} \dots x^{p_n}y^{q_n},$$

where x and y are meridians of S_0^2 and S_1^2 , respectively.

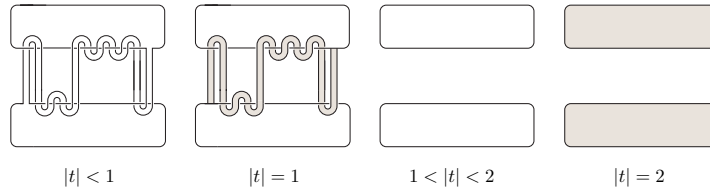


FIGURE 3. The ribbon 2-knot $R(1, 2, -3, 1)$.

The Alexander polynomial of a ribbon 2-knot K , $\Delta_K(t) \in \mathbf{Z}[t^{\pm 1}]$, is defined up to $\pm t^n$, which we normalize so that $\Delta_K(1) = 1$ and $(d/dt)\Delta_K(1) = 0$ (cf. [1, 4, 7]). For a ribbon 2-knot of 1-fusion we have the following.

Proposition 2.2. *The normalized Alexander polynomial of the ribbon 2-knot $R(p_1, q_1, \dots, p_n, q_n)$ of 1-fusion is*

$$\begin{aligned} & t^{-q_1 - q_2 - \dots - q_n} (1 - t^{p_1} + t^{p_1 + q_1} - t^{p_1 + q_1 + p_2} + \dots \\ & \quad - t^{p_1 + q_1 + \dots + p_n} + t^{p_1 + q_1 + \dots + p_n + q_n}) \\ = & t^{p_n + p_{n-1} + \dots + p_1} (1 - t^{-q_n} + t^{-q_n - p_n} - t^{-q_n - p_n - q_{n-1}} + \dots \\ & \quad - t^{-q_n - p_n - q_{n-1} - \dots - q_1} + t^{-q_n - p_n - q_{n-1} - \dots - q_1 - p_1}). \end{aligned}$$

3. Representation to $SL(2, \mathbf{C})$

Let G be a finitely presented group. Two representations, namely homomorphisms, $\rho, \rho' : G \rightarrow SL(2, \mathbf{C})$ are called *conjugate* if $\rho(g) = C\rho'(g)C^{-1}$ for some $C \in SL(2, \mathbf{C})$ and for any $g \in G$. A representation $\rho : G \rightarrow SL(2, \mathbf{C})$ is said to be *abelian* if $\rho(G)$ is an abelian subgroup of $SL(2, \mathbf{C})$. A representation ρ is called *reducible* if there exists a proper invariant subspace of \mathbf{C}^2 under the action of $\rho(G)$. This is equivalent to saying that ρ can be conjugate to a representation whose image consists of upper triangular matrices. It is easy to see that every abelian representation is reducible, but the converse does not hold. When ρ is not reducible, it is called *irreducible*.

The following is due to Riley [8, 9].

Proposition 3.1. *If two matrices X, Y are conjugate in $SL(2, \mathbf{C})$ and $XY \neq YX$, then there exists a matrix $C \in SL(2, \mathbf{C})$ such that*

$$CXC^{-1} = \begin{pmatrix} s & 1 \\ 0 & s^{-1} \end{pmatrix}, \quad CYC^{-1} = \begin{pmatrix} s & 0 \\ u & s^{-1} \end{pmatrix},$$

where $s, u \in \mathbf{C}$ with $s \neq 0$ and $(s, u) \neq (\pm 1, 0)$.

Furthermore, if there exists a matrix $D \in SL(2, \mathbf{C})$ such that

$$DXD^{-1} = \begin{pmatrix} s' & 1 \\ 0 & s'^{-1} \end{pmatrix}, \quad DYD^{-1} = \begin{pmatrix} s' & 0 \\ u' & s'^{-1} \end{pmatrix},$$

where $s', u' \in \mathbf{C}$ with $s' \neq 0$ and $(s', u') \neq (\pm 1, 0)$, then $(s', u') = (s, u)$ or (s^{-1}, u) .

Let us consider the presentation Eq. (1) of the group G of the ribbon 2-knot $R(p_1, q_1, \dots, p_n, q_n)$ of 1-fusion. Then since x and y are conjugate, by Proposition 3.1 any nonabelian representation $G \rightarrow SL(2, \mathbf{C})$ is conjugate to a representation $\rho : G \rightarrow SL(2, \mathbf{C})$ given by

$$(2) \quad \rho(x) = X = \begin{pmatrix} s & 1 \\ 0 & s^{-1} \end{pmatrix}, \quad \rho(y) = Y = \begin{pmatrix} s & 0 \\ u & s^{-1} \end{pmatrix}$$

for some $s, u \in \mathbf{C}$ with $s \neq 0$ and $(s, u) \neq (\pm 1, 0)$; such a representation ρ is parametrized by the trace $s + s^{-1}$ and u . Furthermore, it is easy to prove the following.

Lemma 3.2. *A nonabelian representation ρ in Eq. (2) is reducible if and only if either $u = -(s - s^{-1})^2$ or $u = 0$.*

From now on we focus on the family of ribbon 2-knots $K_n = R(1, n, -n - 1, 1)$, $n \in \mathbf{Z}$ of 1-fusion. Let $G_n = \pi_1(S^4 - K_n)$. Then

$$G_n = \langle x, y \mid w_n x = y w_n \rangle, \quad w_n = x y^n x^{-n-1} y.$$

We define a nonabelian representation

$$\rho : G_n \rightarrow SL(2, \mathbf{C})$$

by the correspondence Eq. (2), where $s, u \in \mathbf{C}$ with $s \neq 0$ and $(s, u) \neq (\pm 1, 0)$. Then, we have the following.

Proposition 3.3. *Suppose $n > 0$. The parameters s and u satisfy:*

$$(3) \quad s = \xi_n^k \quad (k = 1, 2, \dots, 2n, 2n + 2, 2n + 3, \dots, 4n + 1);$$

$$(4) \quad u^2 + (p^2 - 4)u + \epsilon p + 2 = 0,$$

where $\xi_n = \exp \frac{\pi\sqrt{-1}}{2n+1}$, $p = s + s^{-1}$, and $\epsilon = (\xi_n^k)^{2n+1} = (-1)^k$.

We use the following lemma in the proof of Proposition 3.3.

Lemma 3.4. *For $i \in \mathbf{Z}$, we have:*

$$X^i = \begin{pmatrix} s^i & f_i \\ 0 & s^{-i} \end{pmatrix}, \quad Y^i = \begin{pmatrix} s^i & 0 \\ u f_i & s^{-i} \end{pmatrix},$$

where

$$f_i = \begin{cases} \frac{s^i - s^{-i}}{s - s^{-1}} & \text{if } s \neq \pm 1; \\ i s^{i-1} & \text{if } s = \pm 1. \end{cases}$$

Proof. Induction on i . □

Proof of Proposition 3.3. Let

$$W_n = XY^n X^{-n-1} Y = \begin{pmatrix} (W_n)_{11} & (W_n)_{12} \\ (W_n)_{21} & (W_n)_{22} \end{pmatrix}.$$

Then using Lemma 3.4, we have:

$$(5) \quad \begin{aligned} (W_n)_{11} &= s + u(s + s^{-n}f_n + s^{n+1}f_{-n-1}) + u^2 f_n f_{-n-1} \\ &= s + u(1 - s^2)f_n f_{n+1} - u^2 f_n f_{n+1}; \end{aligned}$$

$$(6) \quad \begin{aligned} (W_n)_{12} &= 1 + s^n f_{-n-1} + us^{-1} f_{-n-1} f_n \\ &= -s^{n+1} f_n - us^{-1} f_n f_{n+1}; \end{aligned}$$

$$(7) \quad \begin{aligned} (W_n)_{21} &= u + us^{-n-1} f_n + u^2 s^{-1} f_{-n-1} f_n \\ &= us^{-n} f_{n+1} - u^2 s^{-1} f_n f_{n+1}; \end{aligned}$$

$$\begin{aligned} (W_n)_{22} &= s^{-1} + us^{-2} f_n f_{-n-1} \\ &= s^{-1} - us^{-2} f_n f_{n+1}, \end{aligned}$$

where we use $f_{-k} = -f_k$ and $s^k f_{k+1} - s^{k+1} f_k = 1$ for $k \in \mathbf{Z}$.

Let

$$R_n = W_n X - Y W_n = \begin{pmatrix} (R_n)_{11} & (R_n)_{12} \\ (R_n)_{21} & (R_n)_{22} \end{pmatrix}.$$

Then

$$(R_n)_{11} = 0;$$

$$(8) \quad (R_n)_{12} = (W_n)_{11} - (s - s^{-1})(W_n)_{12};$$

$$(9) \quad (R_n)_{21} = (s - s^{-1})(W_n)_{21} - u(W_n)_{11};$$

$$(10) \quad (R_n)_{22} = (W_n)_{21} - u(W_n)_{12}.$$

From the relation $w_n x = y w_n$, it should hold that $R_n = W_n X - Y W_n = O$. Using Eqs. (6) and (7), we have $(W_n)_{21} - u(W_n)_{12} = u f_{2n+1}$. Then from $(R_n)_{22} = 0$, Eq. (10) yields either $u = 0$ or $f_{2n+1} = 0$. If $u = 0$, then by Eqs. (5) and (6) $(W_n)_{11} = s$ and $(W_n)_{12} = -s^{n+1} f_n$. Substituting them into Eq. (8) we have $(R_n)_{12} = s - (s - s^{-1})(-s^{n+1} f_n) = s^{2n+1} \neq 0$, and so $u \neq 0$. From $f_{2n+1} = 0$ we obtain Eq. (3).

Next, using Eqs. (5) and (6), we have

$$(W_n)_{11} - (s - s^{-1})(W_n)_{12} = s^{2n+1} - u(s - s^{-1})^2 f_n f_{n+1} - u^2 f_n f_{n+1}.$$

Then from $(R_n)_{21} = 0$, Eq. (9) yields Eq. (4). In fact, if $s = \xi_n^k$, then $s^{2n+1} = \epsilon$ and $f_n f_{n+1} = -s/(s + \epsilon)^2 = -1/(s + s^{-1} + 2\epsilon)$. □

For a group G we denote by $r(G)$ the number of irreducible representations to $SL(2, \mathbf{C})$ up to conjugate. Then, by Lemmas 3.6 and 3.7 below, we obtain the following.

Proposition 3.5. *For $n > 0$, we have $r(G_n) = 4n$.*

Lemma 3.6. *The nonabelian representations $\rho : G_n \rightarrow SL(2, \mathbf{C})$ defined as above are irreducible.*

Proof. Assume the representation ρ in Eq. (2) is reducible. Then by Lemma 3.2, $u = 4 - p^2$ or $u = 0$. Then Eq. (4) implies $\epsilon p + 2 = 0$, which contradicts Eq. (3). \square

Lemma 3.7. *If $s = \xi_n^k$ ($k = 1, 2, \dots, 2n, 2n + 2, 2n + 3, \dots, 4n + 1$), then the quadratic equation (4) does not have a double root.*

Proof. From Eq. (4) we have

$$\begin{aligned} 2u &= -(p^2 - 4) \pm \sqrt{p^4 - 8p^2 - 4\epsilon p + 8} \\ &= -(p + 2\epsilon)(p - 2\epsilon) \pm \sqrt{(p + 2\epsilon)(p^3 - 2\epsilon p^2 - 4p + 4\epsilon)}. \end{aligned}$$

So, we have only to prove $p^3 - 2\epsilon p^2 - 4p + 4\epsilon \neq 0$. Suppose $p^3 - 2\epsilon p^2 - 4p + 4\epsilon = 0$. Letting $\gamma(t) = t^6 - 2t^5 - t^4 - t^2 - 2t + 1$, we have $p^3 - 2\epsilon p^2 - 4p + 4\epsilon = s^{-3}\gamma(\epsilon s)$, and so $\gamma(\epsilon s) = 0$. Note that ϵs is a primitive d th root of unity for some d , which is a divisor of $4n + 2$. Let $F_d(t)$ be the d th cyclotomic polynomial, which is an irreducible polynomial with integer coefficients. So, $F_d(t)$ is a factor of $\gamma(t)$. Then since $\deg F_d(t) \leq 6$ and $s \neq \pm 1$, we obtain $d \in \{3, 5, 6, 7, 9, 10, 14, 18\}$. For each d , we see that $F_d(t)$ is not a factor of $\gamma(t)$ (see Table 1), a contradiction. \square

TABLE 1. Cyclotomic polynomials.

d	$F_d(t)$
3	$1 + t + t^2$
5	$1 + t + t^2 + t^3 + t^4$
6	$1 - t + t^2$
7	$1 + t + t^2 + t^3 + t^4 + t^5 + t^6$
9	$1 + t^3 + t^6$
10	$1 - t + t^2 - t^3 + t^4$
14	$1 - t + t^2 - t^3 + t^4 - t^5 + t^6$
18	$1 - t^3 + t^6$

Example 3.8. For G_1 , we have $p = s + s^{-1} = 2 \cos(k\pi/3) = (-1)^{k-1}$ ($k = 1, 2$), and there are 4 irreducible representations $\rho_j : G_1 \rightarrow SL(2, \mathbf{C})$ up to conjugate, $1 \leq j \leq 4$; in Table 2 we list the parameters p, u for each ρ_j .

Remark 3.9. Takahashi [12] considered $K_1 = R(1, 1, -2, 1)$ and $R(-2, 1, 1, -2)$; both of which have trivial Alexander polynomial. He has distinguished their knot groups by the representations to $SL(2, \mathbf{C})$. In fact, the knot group of

TABLE 2. Parameters for the representations $\rho_j : G_1 \rightarrow SL(2, \mathbf{C})$.

Representation	p	u
ρ_1	1	$\frac{3+\sqrt{5}}{2}$
ρ_2	1	$\frac{3-\sqrt{5}}{2}$
ρ_3	-1	$\frac{3+\sqrt{5}}{2}$
ρ_4	-1	$\frac{3-\sqrt{5}}{2}$

$R(-2, 1, 1, -2)$ has infinitely many representations ρ as in Eq. (2) for $s \in \mathbf{C} - \{0, \pm 1\}$ and $u = u_0$, where

$$u_0 = \frac{-(1-s^2)^2(1+s^2) \pm \sqrt{(1-s^2-2s^3-s^4+s^6)(1-s^2+2s^3-s^4+s^6)}}{2s^2(1+s^2)}.$$

Note that $R(-2, 1, 1, -2)$ is positive-amphicheiral.

Example 3.10. For G_2 , we have $p = s + s^{-1} = 2 \cos(k\pi/5)$ ($k = 1, 2, 3, 4$) $= \frac{1+\sqrt{5}}{2}, \frac{-1+\sqrt{5}}{2}, \frac{1-\sqrt{5}}{2}, \frac{-1-\sqrt{5}}{2}$, and there are 8 irreducible representations $\rho_j : G_2 \rightarrow SL(2, \mathbf{C})$ up to conjugate, $1 \leq j \leq 8$; in Table 3 we list the parameters p, u for each ρ_j .

TABLE 3. Parameters for the representations $\rho_j : G_2 \rightarrow SL(2, \mathbf{C})$.

Representation	p	u
ρ_1	$\frac{1+\sqrt{5}}{2}$	1
ρ_2	$\frac{1+\sqrt{5}}{2}$	$\frac{3-\sqrt{5}}{2}$
ρ_3	$\frac{-1+\sqrt{5}}{2}$	1
ρ_4	$\frac{-1+\sqrt{5}}{2}$	$\frac{3+\sqrt{5}}{2}$
ρ_5	$\frac{1-\sqrt{5}}{2}$	1
ρ_6	$\frac{1-\sqrt{5}}{2}$	$\frac{3+\sqrt{5}}{2}$
ρ_7	$\frac{-1-\sqrt{5}}{2}$	1
ρ_8	$\frac{-1-\sqrt{5}}{2}$	$\frac{3-\sqrt{5}}{2}$

4. Twisted Alexander polynomial of K_n

Let $\alpha : G_n \rightarrow \langle t \rangle \cong \mathbf{Z}$ be an abelianization defined by $\alpha(x) = \alpha(y) = t$, which induces the ring homomorphism $\tilde{\alpha} : \mathbf{Z}G_n \rightarrow \mathbf{Z}[t, t^{-1}]$. For an $SL(2, \mathbf{C})$ representation of G_n $\rho : G_n \rightarrow SL(2, \mathbf{C})$ the ring homomorphism $\tilde{\rho} : \mathbf{Z}G_n \rightarrow M(2, \mathbf{C})$ is brought out from ρ . For the free group $\langle x, y \rangle$ with free basis $\{x, y\}$ let $\tilde{\phi} : \langle x, y \rangle \rightarrow G_n$ be the canonical homomorphism, which induces the ring homomorphism $\tilde{\phi} : \mathbf{Z}\langle x, y \rangle \rightarrow \mathbf{Z}G_n$. Now, we define a ring homomorphism $\Phi = (\tilde{\rho} \otimes \tilde{\alpha}) \circ \tilde{\phi}$ as follows.

$$\begin{aligned} \Phi : \mathbf{Z}\langle x, y \rangle &\xrightarrow{\tilde{\phi}} \mathbf{Z}G_n \xrightarrow{\tilde{\rho} \otimes \tilde{\alpha}} M(2, \mathbf{C}[t, t^{-1}]) \\ \frac{\partial r_n}{\partial y} &\longmapsto \sum \nu_g g \longmapsto \sum \nu_g \rho(g) \alpha(g), \end{aligned}$$

where $r_n = w_n x - y w_n$, $\partial/\partial y$ denotes the Fox derivation, $g \in G_n$, and $\nu_g \in \mathbf{Z}$. Let $A_{\rho, y} = \Phi(\partial r_n / \partial y)$. Then the twisted Alexander polynomial of G_n associated to the representation ρ [13] is defined to be a rational function

$$(11) \quad \Delta_{G_n, \rho}(t) = \frac{\det A_{\rho, y}}{\det \Phi(x - 1)}.$$

Note that if two representations ρ, ρ' are conjugate, then $\Delta_{G_n, \rho}(t) = \Delta_{G_n, \rho'}(t)$.

The remainder of this section will be devoted to the proof of the following proposition, where the *breadth* of a Laurent polynomial is the difference between the highest and lowest degrees.

Proposition 4.1. *Suppose $n > 0$. For the irreducible representation ρ defined in Sect. 3 the twisted Alexander polynomial of G_n , $\Delta_{G_n, \rho}(t)$ in Eq. (11), is a Laurent polynomial of breadth $2n$ such that the coefficients of the highest degree term and lowest degree term are 1 and $u/(\epsilon\rho + 2)$, respectively.*

Since

$$\frac{\partial r_n}{\partial y} = \frac{\partial w_n}{\partial y} - y \frac{\partial w_n}{\partial y} - 1,$$

we have

$$\tilde{\alpha} \circ \tilde{\phi} \left(\frac{\partial r_n}{\partial y} \right) = (1 - t) \left(\tilde{\alpha} \circ \tilde{\phi} \left(\frac{\partial w_n}{\partial y} \right) \right) - 1.$$

For $w_n = xy^n x^{-n-1} y$ we have

$$\frac{\partial w_n}{\partial y} = x + xy + xy^2 + \dots + xy^{n-1} + w_n y^{-1}.$$

Thus, we obtain

$$\begin{aligned} A_{\rho, y} &= \Phi \left(\frac{\partial r_n}{\partial y} \right) \\ &= (E - tY) (tX(E + tY + t^2Y^2 + \dots + t^{n-1}Y^{n-1}) + W_n Y^{-1}) - E. \end{aligned}$$

On the other hand,

$$(12) \quad \det \Phi(x-1) = \det(tX - E)t^2 - t(s + s^{-1}) + 1 = (t-s)(t-s^{-1}).$$

We can prove the following by induction.

Lemma 4.2.

$$E + tY + t^2Y^2 + \cdots + t^{n-1}Y^{n-1} = \begin{pmatrix} & g_n & 0 \\ \frac{u}{s-s^{-1}} & (g_n - h_n) & h_n \end{pmatrix},$$

where

$$g_n = \frac{1 - (st)^n}{1 - st}, \quad h_n = \frac{1 - (s^{-1}t)^n}{1 - s^{-1}t}.$$

Put

$$\det A_{\rho,y} = \varphi_0 + \varphi_1 u + \varphi_2 u^2,$$

where $\varphi_i \in \mathbf{C}[t, t^{-1}]$.

Then,

$$\begin{aligned} \varphi_0 &= t^{2n+2}; \\ (s^2 - 1)^2 \varphi_1 &= -t^2 s^{-2n-1} (s^{n+1}t^n - s^{n+3}t^n - s^{3n+3}t^n + s^{3n+5}t^n \\ &\quad + 2s^{2n+3} - s^{4n+5} - s) - s^{-2n-1} (2s^{2n+3} - s^{4n+3} - s^3) \\ &\quad - ts^{-2n-1} (-s^{n+2}t^n + s^{n+4}t^n + s^{3n+2}t^n - s^{3n+4}t^n \\ &\quad - s^{2n+2} - s^{2n+4} - s^{2n+6} + s^{4n+2} + s^{4n+6} - s^{2n} + s^4 + 1); \\ (s^2 - 1)^2 \varphi_2 &= -ts^{-2n-1} (-s^{2n+2} - s^{2n+4} + s^{4n+4} + s^2). \end{aligned}$$

Substituting $s^{2n+1} = \epsilon = (-1)^k$, we obtain:

$$\begin{aligned} (s^2 - 1)^2 \varphi_1 &= -\epsilon t^2 (s^{n+1}t^n - s^{n+3}t^n - \epsilon s^{n+2}t^n + \epsilon s^{n+4}t^n + 2\epsilon s^2 - s^3 - s) \\ &\quad - \epsilon (2\epsilon s^2 - s - s^3) - \epsilon t (-s^{n+2}t^n + s^{n+4}t^n \\ &\quad + \epsilon s^{n+1}t^n - \epsilon s^{n+3}t^n - \epsilon s - \epsilon s^3 - \epsilon s^5 + 2 + 2s^4 - \epsilon s^{-1}) \\ &= -\epsilon t^2 ((1 - s^2 - \epsilon s + \epsilon s^3)s^{n+1}t^n - s(\epsilon - s)^2) + \epsilon s(\epsilon - s)^2 \\ &\quad - \epsilon t ((-s + s^3 + \epsilon - \epsilon s^2)s^{n+1}t^n \\ &\quad - \epsilon s^{-1}(s^2 + s^4 + s^6 - 2\epsilon s - 2\epsilon s^5 + 1)) \\ &= -\epsilon t^2 (\epsilon(\epsilon - s)(1 - s^2)s^{n+1}t^n - s(\epsilon - s)^2) + \epsilon s(\epsilon - s)^2 \\ &\quad - \epsilon t ((\epsilon - s)(1 - s^2)s^{n+1}t^n - \epsilon s^{-1}(\epsilon - s)^2(1 + s^4)); \\ (s^2 - 1)^2 \varphi_2 &= -\epsilon t (-\epsilon s - \epsilon s^3 + 2s^2) = st(\epsilon - s)^2. \end{aligned}$$

Since $s^2 - 1 = (s - \epsilon)(s + \epsilon)$, we have:

$$\begin{aligned} (\epsilon + s)^2 \varphi_1 &= -\epsilon t^2 (\epsilon(\epsilon + s)s^{n+1}t^n - s) + \epsilon s - \epsilon t ((\epsilon + s)s^{n+1}t^n - \epsilon s^{-1}(1 + s^4)) \\ &= -(\epsilon + s)s^{n+1}(\epsilon + t)t^{n+1} + \epsilon st^2 + \epsilon s + s^{-1}(1 + s^4)t \\ &= -(s^{-2n-1} + s)s^{n+1}(\epsilon + t)t^{n+1} + \epsilon st^2 + \epsilon s + s^{-1}(1 + s^4)t; \end{aligned}$$

$$(\epsilon + s)^2 \varphi_2 = st.$$

Since $(\epsilon + s)^2 = s(s + s^{-1} + 2\epsilon)$, we have:

$$\begin{aligned} (s + s^{-1} + 2\epsilon)\varphi_1 &= -(s^{-n-1} + s^{n+1})(\epsilon + t)t^{n+1} + \epsilon t^2 + \epsilon + ((s + s^{-1})^2 - 2)t; \\ (s + s^{-1} + 2\epsilon)\varphi_2 &= t. \end{aligned}$$

Putting $p = s + s^{-1}$ and $\psi_n(p) = s^{-n-1} + s^{n+1} \in \mathbf{Z}[p]$, we obtain:

$$\begin{aligned} (p + 2\epsilon)\varphi_1 &= -\psi_n(p)(\epsilon + t)t^{n+1} + \epsilon t^2 + \epsilon + (p^2 - 2)t; \\ (p + 2\epsilon)\varphi_2 &= t. \end{aligned}$$

Thus, we have:

$$\begin{aligned} &(p + 2\epsilon) \det A_{\rho,y} \\ &= (p + 2\epsilon)t^{2n+2} + (-\psi_n(p)(\epsilon + t)t^{n+1} + \epsilon t^2 + \epsilon + (p^2 - 2)t)u + u^2 t \\ &= \epsilon u + ((p^2 - 2)u + u^2)t + \epsilon ut^2 - \psi_n(p)u(\epsilon + t)t^{n+1} + (p + 2\epsilon)t^{2n+2}. \end{aligned}$$

Since $u^2 + (p^2 - 4)u + \epsilon p + 2 = 0$ from Eq. (4), this becomes:

$$(13) \quad \begin{aligned} &(p + 2\epsilon) \det A_{\rho,y} \\ &= \epsilon u + (2u - \epsilon p - 2)t + \epsilon ut^2 - \psi_n(p)u(\epsilon + t)t^{n+1} + (p + 2\epsilon)t^{2n+2}. \end{aligned}$$

Lemma 4.3. *For the irreducible representation ρ defined in Sect. 3 the twisted Alexander polynomial of G_n , $\Delta_{G_n,\rho}(t)$ in Eq. (11), is a Laurent polynomial.*

Proof. Let $P(t)$ be the right-hand side polynomial of Eq. (13). Then by Eq. (12) the result follows from $P(s) = P(s^{-1}) = 0$. In fact,

$$\begin{aligned} P(s) &= \epsilon u + (2u - \epsilon p - 2)s + \epsilon us^2 - \psi_n(p)u(\epsilon + s)s^{n+1} + (p + 2\epsilon)s^{2n+2} \\ &= \epsilon u + (2u - \epsilon p - 2)s + \epsilon us^2 - (\epsilon s + 1)u(\epsilon + s) + (p + 2\epsilon)\epsilon s \\ &= \epsilon u + (2u)s + \epsilon us^2 - u(2s + \epsilon + \epsilon s^2) = 0; \end{aligned}$$

$P(s^{-1}) = 0$ is similar. □

Remark 4.4. It is known [5] that the twisted Alexander polynomial of a knot in S^3 for any nonabelian representation into $SL(2, \mathbf{F})$ over a field \mathbf{F} is always a Laurent polynomial. For a reducible representation $\rho : \pi K \rightarrow SL(2, \mathbf{C})$ and for a representation $\rho : \pi K \rightarrow SL(2, \mathbf{F}_p)$ over a prime field \mathbf{F}_p there are ribbon 2-knots K of 1-fusion whose twisted Alexander polynomial are not Laurent polynomials (see [3]).

Proof of Proposition 4.1. By Eqs. (12), (13) and Lemma 4.3 we obtain Proposition 4.1. □

Example 4.5. For $n = 1$, we give explicit forms of the twisted Alexander polynomials $\Delta_{G_1,\rho}(t)$. Since $p = -\epsilon$ and $\psi_1(p) = -1$, Eqs. (12) and (13) become

$$\det \Phi(x - 1) = 1 + \epsilon t + t^2;$$

$$\begin{aligned}\det A_{\rho,y} &= u + \epsilon(2u - 1)t + 2ut^2 + \epsilon ut^3 + t^4 \\ &= (1 + \epsilon t + t^2)(u + \epsilon(u - 1)t + t^2),\end{aligned}$$

from which we obtain

$$\begin{aligned}\Delta_{G_1,\rho}(t) &= u + \epsilon(u - 1)t + t^2 \\ &= (\epsilon u - t)(\epsilon - t).\end{aligned}$$

For each representation ρ_j we list the polynomial in Table 4.

TABLE 4. Twisted Alexander polynomials of G_1 .

Representation	$\Delta_{G_1,\rho}(t)$
ρ_1	$\frac{3+\sqrt{5}}{2} + \frac{1+\sqrt{5}}{2}t + t^2$
ρ_2	$\frac{3-\sqrt{5}}{2} + \frac{1-\sqrt{5}}{2}t + t^2$
ρ_3	$\frac{3+\sqrt{5}}{2} - \frac{1+\sqrt{5}}{2}t + t^2$
ρ_4	$\frac{3-\sqrt{5}}{2} - \frac{1-\sqrt{5}}{2}t + t^2$

Remark 4.6. The twisted Alexander polynomial of $R(-2, 1, 1, -2)$ associated to the representation ρ given in Remark 3.9 is $u_0(1 + t^2)$.

Example 4.7. For $n = 2$, we give explicit forms of the twisted Alexander polynomials $\Delta_{G_2,\rho}(t)$ in Table 5.

Proof of Theorem 1.1. Part (i) follows from Proposition 2.2. Since the mirror image of K_n is isotopic to $R(1, -n - 1, n, 1)$, which is K_{-n-1} ; this implies Part (ii). By Lemma 3.7 (or also Proposition 4.1), the knot groups G_m and G_n are isomorphic if and only if either $m = n$ or $m + n = -1$. This implies Part (iii) since K_0 and K_{-1} are trivial.

In order to prove Part (iv) we prove K_n and K_{-n-1} are not isotopic. Suppose $n > 0$. By Proposition 4.1 the coefficients of the highest degree term and lowest degree term of the twisted Alexander polynomials of K_n , $\Delta_{G_n,\rho}(t)$, are 1 and $u/(\epsilon p + 2)$, respectively. Since K_{-n-1} is the mirror image of K_n , the set of the twisted Alexander polynomials of K_{-n-1} consists of $\Delta_{G_n,\rho}(t^{-1})$, and so the coefficients of their highest degree terms are $u/(\epsilon p + 2)$, where $p = 2 \cos(k\pi/(2n + 1))$ and u is a root of Eq. (4). For $p = p_0$ there are double roots $u = u_1, u_2$ for Eq. (4) by Lemma 3.7, and so at least one of $u_1/(\epsilon p_0 + 2)$ and $u_2/(\epsilon p_0 + 2)$ does not equal to 1. Thus, K_n and K_{-n-1} have different twisted Alexander polynomials. \square

Remark 4.8. Part (iii) of Theorem 1.1, the non-triviality of K_n ($n \neq 0, -1$), also follows from [7].

TABLE 5. Twisted Alexander polynomials of G_2 .

Representation	$\Delta_{G_2, \rho}(t)$
ρ_1	$\frac{3+\sqrt{5}}{2} + \frac{1+\sqrt{5}}{2}t^3 + t^4$
ρ_2	$1 + \frac{-1+\sqrt{5}}{2}t + t^2 + \frac{1+\sqrt{5}}{2}t^3 + t^4$
ρ_3	$\frac{3-\sqrt{5}}{2} + \frac{-1+\sqrt{5}}{2}t^3 + t^4$
ρ_4	$1 + \frac{1+\sqrt{5}}{2}t + t^2 + \frac{-1+\sqrt{5}}{2}t^3 + t^4$
ρ_5	$\frac{3-\sqrt{5}}{2} + \frac{1-\sqrt{5}}{2}t^3 + t^4$
ρ_6	$1 + \frac{-1-\sqrt{5}}{2}t + t^2 + \frac{1-\sqrt{5}}{2}t^3 + t^4$
ρ_7	$\frac{3+\sqrt{5}}{2} + \frac{-1-\sqrt{5}}{2}t^3 + t^4$
ρ_8	$1 + \frac{1-\sqrt{5}}{2}t + t^2 + \frac{-1-\sqrt{5}}{2}t^3 + t^4$

Acknowledgements. The first author was partially supported by JSPS KAKENHI, Grant Number JS17K05259. The second author was partially supported by JSPS KAKENHI, Grant Number JS16K05151.

References

- [1] K. Habiro, T. Kanenobu, and A. Shima, *Finite type invariants of ribbon 2-knots*, in Low-dimensional topology (Funchal, 1998), 187–196, Contemp. Math., 233, Amer. Math. Soc., Providence, RI, 1999.
- [2] T. Kanenobu and S. Komatsu, *Enumeration of ribbon 2-knots presented by virtual arcs with up to four crossings*, J. Knot Theory Ramifications **26** (2017), no. 8, 1750042, 41 pp.
- [3] T. Kanenobu and T. Sumi, *Classification of ribbon 2-knots presented by virtual arcs with up to four crossings*, preprint, 2017.
- [4] S. Kinoshita, *On the Alexander polynomials of 2-spheres in a 4-sphere*, Ann. of Math. (2) **74** (1961), 518–531.
- [5] T. Kitano and T. Morifuji, *Divisibility of twisted Alexander polynomials and fibered knots*, Ann. Sc. Norm. Super. Pisa Cl. Sci. (5) **4** (2005), no. 1, 179–186.
- [6] X. S. Lin, *Representations of knot groups and twisted Alexander polynomials*, Acta Math. Sin. (Engl. Ser.) **17** (2001), no. 3, 361–380.
- [7] Y. Marumoto, *On ribbon 2-knots of 1-fusion*, Math. Sem. Notes Kobe Univ. **5** (1977), no. 1, 59–68.
- [8] R. Riley, *Nonabelian representations of 2-bridge knot groups*, Quart. J. Math. Oxford Ser. (2) **35** (1984), no. 138, 191–208.
- [9] R. Riley, *Holomorphically parameterized families of subgroups of $SL(2, \mathbf{C})$* , Mathematika **32** (1985), no. 2, 248–264.
- [10] S. Satoh, *Virtual knot presentation of ribbon torus-knots*, J. Knot Theory Ramifications **9** (2000), no. 4, 531–542.
- [11] S. Suzuki, *Knotting problems of 2-spheres in 4-sphere*, Math. Sem. Notes Kobe Univ. **4** (1976), no. 3, 241–371.

- [12] K. Takahashi, *Classification of ribbon 2-knot groups by using twisted Alexander polynomial*, Master's thesis, Osaka City University, 2014, (in Japanese).
- [13] M. Wada, *Twisted Alexander polynomial for finitely presentable groups*, *Topology* **33** (1994), no. 2, 241–256.
- [14] T. Yajima, *On simply knotted spheres in R^4* , *Osaka J. Math.* **1** (1964), 133–152.
- [15] T. Yanagawa, *On ribbon 2-knots. The 3-manifold bounded by the 2-knots*, *Osaka J. Math.* **6** (1969), 447–464.
- [16] T. Yasuda, *Crossing and base numbers of ribbon 2-knots*, *J. Knot Theory Ramifications* **10** (2001), no. 7, 999–1003.
- [17] ———, *Ribbon 2-knots with ribbon crossing number four*, *Research reports of Nara Technical College* (2008), no. 44, 69–72, (in Japanese).
- [18] ———, *Ribbon 2-knots with ribbon crossing number four. II*, *Research reports of Nara Technical College* (2009), no. 45, 59–62, (in Japanese).
- [19] ———, *Ribbon 2-knots with ribbon crossing number four. III*, *Research reports of Nara Technical College* (2010), no. 46, 45–48, (in Japanese).
- [20] ———, *Ribbon 2-knots with ribbon crossing number four. IV*, *Research reports of Nara Technical College* (2011), no. 47, 37–40, (in Japanese).

TAIZO KANENOBU
DEPARTMENT OF MATHEMATICS
OSAKA CITY UNIVERSITY
SUGIMOTO, SUMIYOSHI-KU, OSAKA, 558-8585, JAPAN
Email address: `kanenobu@sci.osaka-cu.ac.jp`

TOSHIO SUMI
FACULTY OF ARTS AND SCIENCE
KYUSHU UNIVERSITY
MOTOOKA 744, NISHI-KU, FUKUOKA, 819-0395, JAPAN
Email address: `sumi@artsci.kyushu-u.ac.jp`