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# GRADED PRIMITIVE AND INC-EXTENSIONS

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ABSTRACT. It is well-known that quasi-Prüfer domains are characterized as those domains D, such that every extension of D inside its quotient field is a primitive extension and that primitive extensions are characterized in terms of INC-extensions.

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain graded by an arbitrary torsionless grading monoid  $\Gamma$  and  $\star$  be a semistar operation on R. The main purpose of this paper is to give new characterizations of gr- $\star$ -quasi-Prüfer domains in terms of graded primitive and INC-extensions. Applications include new characterizations of UMt-domains.

# 1. Introduction

Let D be a (commutative) integral domain with quotient field qf(D). Recall that D is called a *quasi-Prüfer domain* if D has Prüfer integral closure [8], and as a *t*-operation analogue, D is called a *UMt-domain* if every upper to zero in the polynomial ring D[X] is a maximal *t*-ideal [12]. Gilmer and Hoffmann characterized quasi-Prüfer domains as those domains D, such that the embedding  $D \subseteq qf(D)$  is a primitive-extension [10, Theorem 2], and Dobbs [6] characterized primitive-extensions in terms of INC-domains.

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded (commutative) integral domain graded by an arbitrary grading torsionless monoid  $\Gamma$ . In [11] the authors studied quasi-Prüfer and UMt-domain properties of graded integral domains. For this reason they introduced the graded analogue of \*-quasi-Prüfer domains [4] called gr-\*-quasi-Prüfer domains. The graded integral domain R is called a gr-\*-quasi-Prüfer domain in case, if Q is a prime ideal in R[X] and  $Q \subseteq P[X]$ , for some homogeneous quasi-\*-prime ideal P of R, then  $Q = (Q \cap R)[X]$ . When  $\star = d$ the identity operation on R, then we call the gr-d-quasi-Prüfer domain a grquasi-Prüfer domain. It is shown that R is a gr-\*-quasi-Prüfer domain if and only if  $R_P$  is a quasi-Prüfer domain, for each homogeneous quasi- $\star$ -prime ideal P of R [11, Proposition 2.2]. Also it is known that R is a quasi-Prüfer and only if  $R_P$  is a quasi-Prüfer domain if and only if  $R_P$  is a quasi-Prüfer domain if

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domain for each homogeneous prime t-ideal P of R [11, Theorem 3.2]. If  $\star$  is a (semi)star operation on R, then R is a gr- $\star_f$ -quasi-Prüfer domain if and only if R is a UMt-domain and  $\tilde{\star}$  and w coincide on nonzero homogeneous ideals of R [11, Theorem 3.9]. In particular R is a gr-quasi-Prüfer domain if and only if R is a UMt-domain and d and w coincide on nonzero homogeneous ideals of R. (Relevant definitions are reviewed in the sequel.)

The main purpose of this paper is to give new characterizations of gr-\*-quasi-Prüfer domains in terms of graded primitive extension and graded incomparable or INC-extension (see [3], [6] and [10]).

To facilitate the reading of the paper, we review some basic facts on semistar operations on (graded) integral domains. Let  $\Gamma$  be a nonzero torsionless grading monoid, that is,  $\Gamma$  is a torsionless commutative cancellative monoid (written additively), and  $\langle \Gamma \rangle = \{a - b \mid a, b \in \Gamma\}$  be the quotient group of  $\Gamma$ ; so  $\langle \Gamma \rangle$  is a torsionfree abelian group. It is known that a cancellative monoid is torsionless if and only if it can be given a total order compatible with the monoid operation [14, page 123]. Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a  $\Gamma$ -graded integral domain. That is,  $\deg(x) = \alpha$  for each  $0 \neq x \in R_{\alpha}$  and  $\deg(0) = 0$ , and thus each nonzero  $f \in R$  can be written uniquely as  $f = x_{\alpha_1} + \cdots + x_{\alpha_n}$  with  $\deg(x_{\alpha_i}) = \alpha_i$  and  $\alpha_1 < \cdots < \alpha_n$ . A nonzero  $x \in R_\alpha$  for all  $\alpha \in \Gamma$  is said to be homogeneous, and so if  $H = \bigcup_{\alpha \in \Gamma} (R_{\alpha} \setminus \{0\})$ , then H is the saturated multiplicative set of nonzero homogeneous elements of R. Then  $R_H = \bigoplus_{\alpha \in \langle \Gamma \rangle} (R_H)_{\alpha}$ , called the homogeneous quotient field of R, is a  $\langle \Gamma \rangle$ -graded integral domain whose nonzero homogeneous elements are units. An integral ideal I of R is said to be homogeneous if  $I = \bigoplus_{\alpha \in \Gamma} (I \cap R_{\alpha})$ . A fractional ideal I of R is homogeneous if sI is an integral homogeneous ideal of R for some  $s \in H$  (thus  $I \subseteq R_H$ ). An overring T of R, with  $R \subseteq T \subseteq R_H$  will be called a homogeneous overring if  $T = \bigoplus_{\alpha \in \langle \Gamma \rangle} (T \cap (R_H)_{\alpha})$ . Thus T is a  $(\langle \Gamma \rangle)$ -graded integral domain with  $T_{\alpha} = T \cap (R_H)_{\alpha}$  for all  $\alpha \in \langle \Gamma \rangle$ . For more on graded integral domains and their divisibility properties (see [1], [14]).

Let D be an integral domain with quotient field K. Let  $\overline{\mathcal{F}}(D)$  denote the set of all nonzero D-submodules of K,  $\mathcal{F}(D)$  be the set of all nonzero fractional ideals of D, and f(D) be the set of all nonzero finitely generated fractional ideals of D. Obviously,  $f(D) \subseteq \mathcal{F}(D) \subseteq \overline{\mathcal{F}}(D)$ . As in [15], a semistar operation on Dis a map  $\star : \overline{\mathcal{F}}(D) \to \overline{\mathcal{F}}(D)$ ,  $E \mapsto E^{\star}$ , such that, for all  $0 \neq x \in K$ , and for all  $E, F \in \overline{\mathcal{F}}(D)$ , the following properties hold:  $(\star_1) (xE)^{\star} = xE^{\star}$ ;  $(\star_2)$ :  $E \subseteq F$ implies that  $E^{\star} \subseteq F^{\star}$ ;  $(\star_3) E \subseteq E^{\star}$ ; and  $(\star_4) E^{\star \star} := (E^{\star})^{\star} = E^{\star}$ .

A semistar operation  $\star$  is called a (*semi*)star operation on D, if  $D^{\star} = D$ . Let  $\star$  be a semistar operation on D. For every  $E \in \overline{\mathcal{F}}(D)$ , put  $E^{\star f} := \bigcup F^{\star}$ , where the union is taken over all  $F \in f(D)$  with  $F \subseteq E$ . It is easy to see that  $\star_f$  is a semistar operation on D. We say that a nonzero ideal I of D is a quasi- $\star$ -ideal of D, if  $I^{\star} \cap D = I$ ; a quasi- $\star$ -prime (ideal of D), if I is a prime quasi- $\star$ -ideal of D; and a quasi- $\star$ -maximal (ideal of D), if I is maximal in the set of all proper quasi- $\star$ -ideals of D. Each quasi- $\star$ -maximal ideal is a prime ideal. It is shown

in [7, Lemma 4.20] that if  $D^* \neq K$ , then each proper quasi- $\star_f$ -ideal of D is contained in a quasi- $\star_f$ -maximal ideal of D. We denote by QMax<sup>\*</sup>(D) (resp., QSpec<sup>\*</sup>(D)) the set of all quasi- $\star$ -maximal ideals (resp., quasi- $\star$ -prime ideals) of D.

Given a semistar operation  $\star$  on D, it is possible to construct a semistar operation  $\tilde{\star}$ , which is defined as follows, for each  $E \in \overline{\mathcal{F}}(D), E^{\tilde{\star}} := \bigcap_{P \in QMax^{\star_f}(D)} ED_P$ .

The most widely studied (semi)star operations on D have been the identity  $d_D$ ,  $v_D$ ,  $t_D := (v_D)_f$ , and  $w_D := \widetilde{v_D}$  operations, where  $A^{v_D} := (A^{-1})^{-1}$ , with  $A^{-1} := (D : A) := \{x \in K \mid xA \subseteq D\}$ . We usually use these operations without subscripts. If  $\star$  is a (semi)star operation on D, then  $d \leq \star \leq v$ .

Let  $\star$  be a semistar operation on a graded integral domain  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ . We say that  $\star$  is homogeneous preserving if  $\star$  sends homogeneous fractional ideals to homogeneous ones. It is known that d, t, and v are homogeneous preserving [1, Proposition 2.5],  $\tilde{\star}$  is homogeneous preserving [16, Proposition 2.3], and that if  $\star$  is homogeneous preserving, then so is  $\star_f$  [16, Lemma 2.4]. Denote by h-QSpec<sup> $\star$ </sup>(R) the homogeneous ideals of QSpec<sup> $\star$ </sup>(R) and let h-QMax<sup> $\star$ </sup>(R) denote the set of ideals of R which are maximal in the set of all proper homogeneous quasi- $\star$ -ideals of R (if  $\star$  is a (semi)star operation we denote these sets by h-Spec<sup> $\star$ </sup>(R) and h-Max<sup> $\star$ </sup>(R) respectively). It is shown that if  $R^{\star} \subseteq R_H$ and  $\star = \star_f$  homogeneous preserving, then h-QMax<sup> $\star f$ </sup>(R)( $\subseteq h$ -QSpec<sup> $\star$ </sup>(R)) is nonempty, each proper homogeneous quasi- $\star_f$ -ideal is contained in a homogeneous maximal quasi- $\star_f$ -ideal [16, Lemma 2.1], and h-QMax<sup> $\star f$ </sup>(R) = h-QMax<sup> $\star f$ </sup>(R) [16, Proposition 2.5].

### 2. Graded primitive extension

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain with quotient field qf(R), H be the set of nonzero homogeneous elements of R, and  $\star$  be a semistar operation on R such that  $R^{\star} \subsetneq R_{H}$ . In this section we give a characterization of gr- $\star$ -quasi-Prüfer domains in terms of graded semistar primitive extensions.

For  $a \in R$ , denote by C(a) the ideal of R generated by homogeneous components of a. The homogeneous content ideal for a polynomial  $f = a_0 + a_1X + \cdots + a_nX^n \in R[X]$ , is defined by  $\mathcal{A}_f := \mathcal{A}_f^R := \sum_{i=0}^n C(a_i)$  [17]. It can be seen that if R has trivial grading, i.e.,  $\Gamma = \{0\}$ , then  $\mathcal{A}_f$  coincides with the usual content ideal of f (that is the ideal generated by coefficients of f). Assume that L is a fractional ideal of R[X] such that  $L \subseteq R_H[X]$ , and set  $\mathcal{A}_L := \sum_{f \in L} \mathcal{A}_f$ . Now assume that  $R \subseteq T$  is an extension of graded integral domains such that each homogeneous element of R is a homogeneous element of T. We say that an element  $u \in T$  is  $gr \rightarrow primitive over R$  if u is a root of a nonzero polynomial  $g \in R[X]$  with  $(\mathcal{A}_g^R)^* = R^*$ . The extension  $R \subseteq T$  of graded integral domains is called a  $gr \rightarrow primitive extension$  if each homogeneous element of Tis gr-\*-primitive over R. We call the extension  $R \subseteq T$  a gr-primitive extension if  $R \subseteq T$  is a  $gr - d_R$ -primitive extension. It is clear that if R and T have trivial grading, then gr-primitive extension coincides with the usual primitive extension of Gilmer and Hoffmann [10].

Recall that R is said to be a graded-Prüfer domain if each nonzero finitely generated homogeneous ideal of R is invertible [2]. We say that R is a graded valuation domain (gr-valuation domain) if either  $u \in R$  or  $u^{-1} \in R$  for every nonzero homogeneous  $u \in R_H$ .

**Proposition 2.1** ([16, Theorem 4.4]). Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then the following statements are equivalent.

- (1) R is a graded-Prüfer domain.
- (2)  $R_P$  is a valuation domain for all  $P \in h$ -Spec(R) (resp.,  $P \in h$ -Max(R)).
- (3)  $R_{H\setminus P}$  is a gr-valuation domain for all  $P \in h$ -Spec(R) (resp.,  $P \in h$ -Max(R)).

**Proposition 2.2.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be an integrally closed graded domain. Then R is a graded-Prüfer domain if and only if  $R_H$  is a gr-primitive extension of R.

Proof. Assume that R is a graded-Prüfer domain and let  $u = a/b \in R_H$  be a nonzero homogeneous element, where  $a, b \in H$ . Then there exists an integer n > 1 such that  $a^{n-1}b \in (a^n, b^n)$  by [16, Theorem 4.1]. It follows that  $a^{n-1}b =$  $r_1a^n + r_2b^n$  for some  $r_1, r_2 \in R$ ; dividing both sides of this equation by  $b^n$ yields  $f(X) = r_1X^n - X^{n-1} + r_2 \in R[X]$  with f(u) = 0 and  $\mathcal{A}_f = R$ , so u is gr-primitive over R.

Conversely, suppose that  $R_H$  is a gr-primitive extension of R. Let M be a homogeneous maximal ideal of R and u be a nonzero homogeneous element of  $R_H$ . Then there exists a polynomial f in R[X] such that f(u) = 0 and  $\mathcal{A}_f = R$ . Since M is homogeneous, one has  $f \notin M[X]$ . It follows from [19, Lemma in Page 19], that u or  $u^{-1}$  is in  $R_M$ . Thus u or  $u^{-1}$  is in  $R_{H\setminus M}$ . Consequently  $R_{H\setminus M}$  is a gr-valuation domain and hence R is a graded-Prüfer domain by Proposition 2.1.

Let  $N := \{f \in R[X] \mid f \neq 0 \text{ and } \mathcal{A}_f = R\}$ ; then N is a multiplicatively closed subset of R[X], and set  $\operatorname{NA}(R) := R[X]_N$ . It is known that  $N = R[X] \setminus \bigcup \{P[X] \mid P \in h\operatorname{-Max}(R)\}$  and  $\operatorname{Max}(\operatorname{NA}(R)) = \{P\operatorname{NA}(R) \mid P \in h\operatorname{-Max}(R)\}$  [17, Proposition 2.3].

The integral closure of R is denoted by R. Then  $\overline{R}$  is a homogeneous overring of R (cf. [13, Theorem 2.10]).

Remark 2.3. It is shown in the proof of part  $(2) \Rightarrow (7)$  of [11, Theorem 2.9] that  $\overline{R}[X]_N = \operatorname{NA}(\overline{R})$ , where  $N = R[X] \setminus \bigcup \{M[X] \mid M \in h\operatorname{-Max}(R)\}$ .

**Lemma 2.4.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. If  $R_H$  is a gr-primitive extension of  $\overline{R}$ , then  $R_H$  is a gr-primitive extension of R.

*Proof.* Let  $u \in R_H$ ,  $Q' = \{f \in \overline{R}[X] \mid f(u) = 0\}$ , and set  $Q = Q' \cap R[X]$ . If  $N = R[X] \setminus \bigcup \{M[X] \mid M \in h\text{-Max}(R)\}$  and  $N' = \overline{R}[X] \setminus \bigcup \{M'[X] \mid M' \in h\text{-Max}(\overline{R})\}$ , then  $\operatorname{NA}(R) = R[X]_N$  and  $\operatorname{NA}(\overline{R}) = \overline{R}[X]_{N'}$ . The hypothesis that

 $R_H$  is a gr-primitive extension of  $\overline{R}$  implies that  $Q' \cap N' \neq \emptyset$ . It suffices to show that  $Q \cap N \neq \emptyset$ . We first observe that  $Q \operatorname{NA}(R) = Q'\overline{R}[X]_N \cap \operatorname{NA}(R)$ . That the right side contains the left side is clear, and if  $f/n = d/m \in Q'\overline{R}[X]_N \cap \operatorname{NA}(R)$ , where  $f \in Q'$ ,  $d \in R[X]$ , and  $n, m \in N$ , then  $fm = dn \in Q' \cap R[X] = Q$ , so that  $f/n = fm/nm \in Q \operatorname{NA}(R)$ . Thus  $Q'\overline{R}[X]_N \cap \operatorname{NA}(R) \subseteq Q \operatorname{NA}(R)$ . It follows from Remark 2.3, that  $\operatorname{NA}(\overline{R}) = \overline{R}[X]_N$ ; hence

$$Q \operatorname{NA}(R) = Q' \overline{R}[X]_N \cap \operatorname{NA}(R) = Q' \operatorname{NA}(\overline{R}) \cap \operatorname{NA}(R)$$
$$= \operatorname{NA}(\overline{R}) \cap \operatorname{NA}(R) = \operatorname{NA}(R),$$

which means that  $Q \cap N \neq \emptyset$ .

Gilmer and Hoffmann characterized Prüfer domains as those integrally closed domains D, such that every extension of D inside its quotient field is a primitive extension [10, Theorem 2].

**Theorem 2.5.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then R is a gr-quasi-Prüfer domain if and only if  $R_H$  is a gr-primitive extension of R.

*Proof.* Suppose that  $R_H$  is a gr-primitive extension of R. Then  $R_H$  is a gr-primitive extension of  $\overline{R}$ . Therefore by Proposition 2.2,  $\overline{R}$  is a graded-Prüfer domain and hence R is a gr-quasi-Prüfer domain by [11, Corollary 2.10]. Conversely, if R is a gr-quasi-Prüfer domain, then  $\overline{R}$  is a graded-Prüfer domain ([11, Corollary 2.10]). Then by Proposition 2.2,  $R_H$  is a gr-primitive extension of  $\overline{R}$  and hence, by Lemma 2.4,  $R_H$  is a gr-primitive extension of R.

The following is the main result of this section.

**Theorem 2.6.** Let  $\star$  be a homogeneous preserving semistar operation on a graded integral domain  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  such that  $R^{\star} \subsetneq R_{H}$ . Then the following statements are equivalent:

- (1)  $R \subseteq R_H$  is a gr- $\star_f$ -primitive extension.
- (2)  $R_{H\setminus P} \subseteq R_H$  is a gr-primitive extension for each  $P \in h$ -QSpec<sup>\*</sup> $_f(R)$ .
- (3)  $R_P$  is a quasi-Prüfer domain, for each  $P \in h$ -QSpec<sup>\*<sub>f</sub></sup>(R).
- (4)  $R_P \subseteq qf(R)$  is a primitive extension, for each  $P \in h$ -QSpec<sup>\*</sup> $_f(R)$ .
- (5) R is a gr- $\star_f$ -quasi-Prüfer domain.

*Proof.* (1)  $\Rightarrow$  (2) Let  $P \in h$ -QSpec<sup>\*f</sup>(R) and let u be a nonzero homogeneous element of  $R_H$ . Then by assumption there is a polynomial  $0 \neq g \in R[X]$  such that  $\mathcal{A}_g^{*f} = R^*$  and g(u) = 0. Clearly,  $g \in R_{H \setminus P}[X]$  and  $\mathcal{A}_g^R \notin P$ . So

$$\mathcal{A}_g^{R_H \setminus P} = \mathcal{A}_g^R R_{H \setminus P} = R_{H \setminus P},$$

and u is primitive over  $R_{H\setminus P}$ .

(2)  $\Rightarrow$  (1) Let u be a nonzero homogeneous element of  $R_H$  and let I be the nonzero ideal of R[X] generated by polynomials  $f \in R[X]$  such that f(u) = 0. We show that  $\mathcal{A}_I^{\star f} = R^{\star}$ . Since  $R_{H \setminus P} \subseteq R_H$  is a gr-primitive extension for each  $P \in h$ -QMax<sup>\*</sup>(R), there is a nonzero polynomial  $g \in R_{H \setminus P}[X]$ , such

that g(u) = 0 and  $\mathcal{A}_g^{R_H \setminus P} = R_{H \setminus P}$ . Let  $0 \neq s \in H \setminus P$  with  $sg \in R[X]$ . Then  $\mathcal{A}_{sg}^R \not\subseteq P$  (otherwise,  $R_{H \setminus P} = sR_{H \setminus P} = s\mathcal{A}_g^{R_H \setminus P} = \mathcal{A}_{sg}^{R_H \setminus P} = \mathcal{A}_{sg}^{R_H \setminus P} = \mathcal{A}_{sg}^{R_H \setminus P}$ , a contradiction). Clearly,  $sg \in I$  and so  $\mathcal{A}_I \not\subseteq P$  for each  $P \in h$ -QMax<sup>\*</sup>f(R), therefore  $\mathcal{A}_I^{*f} = R^*$ . Hence we can find  $f \in I$  such that  $\mathcal{A}_f^{*f} = R^*$  and f(u) = 0. So u is gr-\* $_f$ -primitive over R.

(2)  $\Leftrightarrow$  (3) For each  $P \in h$ -QSpec<sup>\*</sup> $_{f}(R)$ ,  $R_{H \setminus P} \subseteq R_{H}$  is a gr-primitive extension if and only if  $R_{H \setminus P}$  is a gr-quasi-Prüfer domain by Theorem 2.5, if and only if  $R_{P}$  is a quasi-Prüfer domain by [11, Theorem 2.9].

- (5)  $\Leftrightarrow$  (3) Follows from [11, Theorem 2.9].
- $(3) \Leftrightarrow (4)$  Follows from [4, Theorem 1.1].

**Corollary 2.7.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then the following statements are equivalent:

- (1)  $R \subseteq R_H$  is a gr-primitive extension.
- (2)  $R_{H\setminus P} \subseteq R_H$  is a gr-primitive extension for each  $P \in h$ -Spec(R).
- (3)  $R_P \subseteq qf(R)$  is a primitive extension, for each  $P \in h$ -Spec(R).
- (4) R is a gr-quasi-Prüfer domain.

*Proof.* Set  $\star = d$  in Theorem 2.6.

**Corollary 2.8.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then the following statements are equivalent:

- (1)  $R \subseteq R_H$  is a gr-t-primitive extension.
- (2)  $R_{H\setminus P} \subseteq R_H$  is a gr-primitive extension for each  $P \in h$ -Spec<sup>t</sup>(R).
- (3)  $R_P \subseteq qf(R)$  is a primitive extension, for each  $P \in h$ -Spec<sup>t</sup>(R).
- (4) R is a UMt-domain.

*Proof.* Follows from Theorem 2.6 by setting  $\star = v$  and [11, Theorem 3.2].  $\Box$ 

## 3. Graded INC-extension

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain with quotient field qf(R), H be the set of nonzero homogeneous elements of R, and  $\star$  be a semistar operation on R such that  $R^{\star} \subsetneq R_{H}$ . In this section we give a characterization of gr- $\star$ -quasi-Prüfer domains in terms of graded semistar INC-extensions.

Assume that  $R \subseteq T$  is an extension of graded integral domains. We say that T is a  $gr \star -INC$ -extension of R if whenever  $Q_1$  and  $Q_2$  are nonzero homogeneous prime ideals of T such that  $Q_1 \cap R = Q_2 \cap R$  and  $(Q_1 \cap R)^* \subsetneq R^*$  then  $Q_1$  and  $Q_2$  are incomparable. We also say that R is a  $gr \star -INC$ -domain if each homogeneous overring of R is a  $gr \star -INC$ -extension of R. We call R a gr-INC-domain if it is a gr - A-INC-domain. It is clear that if R has trivial grading, then gr-INC extension coincides with the usual INC extension of Dobbs [6].

For an ideal I of  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  let  $I_h$  denote the ideal of R generated by the set of homogeneous elements of R in I.

**Lemma 3.1.** Let  $R \subseteq T \subseteq S \subseteq R_H$  be such that T and S are homogeneous overrings of a graded integral domain  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ .

- (1) If  $R \subseteq T$  and  $T \subseteq S$  are gr-INC-extensions, then  $R \subseteq S$  is a gr-INC-extension.
- (2) If  $R \subseteq S$  is a gr-INC-extension and  $T \subseteq S$  is an integral extension, then  $R \subseteq T$  is a gr-INC-extension.
- (3) If  $R \subseteq S$  is a gr-INC-extension, then  $T \subseteq S$  is a gr-INC-extension.

*Proof.* (1) Assume that  $Q_1$  and  $Q_2$  are homogeneous prime ideals of S such that  $Q_1 \subsetneq Q_2$ . Since  $T \subseteq S$  is a gr-INC-extension, then  $Q_1 \cap T \subsetneq Q_2 \cap T$ . Note that  $Q_1 \cap T$  and  $Q_2 \cap T$  are homogeneous prime ideals of T. Since  $R \subseteq T$  is a gr-INC-extension, then  $Q_1 \cap T \cap R \subsetneq Q_2 \cap T \cap R$ , i.e.,  $Q_1 \cap R \subsetneq Q_2 \cap R$ .

(2) Assume that  $P_1$  and  $P_2$  are homogeneous prime ideals of T such that  $P_1 \subsetneq P_2$ . Since  $T \subseteq S$  is an integral extension, there are prime ideals  $Q_1 \subsetneq Q_2$  of S such that  $P_i = Q_i \cap T$  for i = 1, 2 ([9, Corollary 11.6]). Since  $P_i$  is homogeneous,

$$P_i = (P_i)_h = (Q_i \cap T)_h = (Q_i)_h \cap T$$

for i = 1, 2 ([11, Lemma 2.7]). Hence we may assume that  $Q_i$  is homogeneous for i = 1, 2. Since  $R \subseteq S$  is a gr-INC-extension, then  $Q_1 \cap R \subsetneq Q_2 \cap R$  and so

$$P_1 \cap R = Q_1 \cap T \cap R = Q_1 \cap R \subsetneq Q_2 \cap R = Q_2 \cap T \cap R = P_2 \cap R.$$

(3) Assume that  $Q_1$  and  $Q_2$  are homogeneous prime ideals of S such that  $Q_1 \subsetneq Q_2$ . Since  $R \subseteq S$  is a gr-INC-extension, we have  $Q_1 \cap R \subsetneq Q_2 \cap R$ . Now if  $Q_1 \cap T = Q_2 \cap T$ , then  $Q_1 \cap R = Q_2 \cap R$  a contradiction.

Recall that Ayache and Jaballah introduced the residually algebraic extension of integral domains and characterized quasi-Prüfer domains as those domains D, such that every extension of D inside its quotient field is a residually algebraic extension [3, Corollary 2.8].

The extension  $R \subseteq T$  of graded integral domains is called a *gr-residually* algebraic extension, if for each homogeneous prime ideal Q of T, T/Q is algebraic over  $R/(Q \cap R)$ , equivalently  $qf(R/(Q \cap R)) \hookrightarrow qf(T/Q)$  is an algebraic extension. We say that R is a *gr-residually algebraic domain* if each homogeneous overring of R is a gr-residually algebraic extension of R. Recall that if  $I = \bigoplus_{\alpha \in \Gamma} I_{\alpha}$  is a homogeneous ideal of a graded ring  $T = \bigoplus_{\alpha \in \Gamma} T_{\alpha}$ , then T/I is a  $\Gamma$ -graded ring such that for each  $\alpha \in \Gamma$ ,  $(T/I)_{\alpha} = (T_{\alpha} + I)/I \cong$  $T_{\alpha}/(T_{\alpha} \cap I) = T_{\alpha}/I_{\alpha}$ .

The following lemma is the graded version of [3, Theorem 2.3].

**Lemma 3.2.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then R is a gr-residually algebraic domain if and only if it is a gr-INC-domain.

*Proof.* Assume that R is a gr-residually algebraic domain which is not a gr-INC-domain. So there exists a homogeneous overring T of R such that  $R \subseteq T$ is not a gr-INC-extension. Let  $Q_1$  and  $Q_2$  be homogeneous prime ideals of Tsuch that  $P := Q_1 \cap R = Q_2 \cap R$  and  $Q_1 \subsetneq Q_2$ . Moreover,  $R/P \subseteq T/Q_1$  is an algebraic extension of domains, although  $Q_2/Q_1$  is a nonzero ideal of  $T/Q_1$  that intersects R/P in 0, the desired contradiction.

Conversely, assume that R is a gr-INC-domain which is not a gr-residually algebraic domain. So there exists a homogeneous overring T of R such that  $R \subseteq T$  is not a residually algebraic extension. Let Q be a homogeneous prime ideal of T such that  $R/(Q \cap R) \hookrightarrow T/Q$  is not an algebraic extension. Therefore there exists  $u \in T$  such that u + Q is a transcendental element of T/Q over R/P, where  $P := Q \cap R$ . Let  $u + Q = \sum_{i=1}^{n} (u_i + Q)$  be the decomposition of u + Q to homogeneous components. Hence there is a  $u_j + Q$  which is a homogeneous transcendental element of T/Q (in fact if for each i,  $u_i + Q$  is algebraic over R/P). Note that  $u_j$  is a homogeneous element of T and hence  $R[u_j](=R_0[H \cup \{u_j\}])$  is a homogeneous overring of R. Set  $x = u_j + Q$  and  $T_1 = R[u_j] + Q$ . Then  $T_1$  is a homogeneous overring of R and  $T_1/Q \cong (R/P)[x]$ . Let  $\wp$  be the prime ideal of (R/P)[x] generated by x. Then  $\wp$  contracts to the zero ideal in R/P, and if  $Q_1$  is a homogeneous prime of  $T_1$  minimal over  $u_jT_1 + Q$ , then  $Q \subsetneq Q_1$  and  $\wp = Q_1/Q$ . It is easy to check that  $Q_1 \cap R = Q \cap R = P$ . This contradicts the graded incomparability of the extension  $R \subseteq T_1$ .

**Lemma 3.3** (cf. [5, Lemma 10]). Let  $\{P_{\lambda}\}$  be a chain of homogeneous prime ideals of a graded domain  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$ . Then there is a gr-valuation overring of R with a chain of homogeneous prime ideals that contract to  $\{P_{\lambda}\}$ .

Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain and T be a homogeneous overring of R. We say that T is an *h*-flat overring of R if for each homogeneous prime ideal Q of T, one has  $R_{Q \cap R} = T_Q$ .

**Lemma 3.4.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then R is a graded-Prüfer domain if and only if each homogeneous overring of R is h-flat.

*Proof.* Suppose that R is a graded-Prüfer domain and T is a homogeneous overring of R and let  $Q \in h$ -Spec(T). Therefore  $R_{Q\cap R} \subseteq T_Q$  is an inclusion of valuation domains. By [9, Theorem 17.6],  $QT_Q \subseteq (Q \cap R)R_{Q\cap R} \subseteq QT_Q$ , so  $R_{Q\cap R} = T_Q$ .

Conversely, assume that each homogeneous overring of R is h-flat. Let P be a homogeneous prime ideal of R. By Lemma 3.3, there exists a gr-valuation overring (V, M) of R such that  $P = M \cap R$ . Hence  $V_M = R_{M \cap R} = R_P$  is a valuation domain. Thus R is a graded-Prüfer domain by Proposition 2.1.  $\Box$ 

Corollary 3.5. If R is a graded-Prüfer domain, then it is a gr-INC-domain.

*Proof.* Let T be a homogeneous overring of R and  $Q_1$  and  $Q_2$  be homogeneous prime ideals of T such that  $Q_1 \subsetneq Q_2$ . By Lemma 3.4, the map  $\phi : h$ -Spec $(T) \rightarrow h$ -Spec(R) which sends Q to  $Q \cap R$  is injective. Therefore  $Q_1 \cap R \subsetneq Q_2 \cap R$ .  $\Box$ 

**Lemma 3.6.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then R is a gr-residually algebraic domain if and only if  $\overline{R}$  is a gr-residually algebraic domain.

*Proof.* Since one implication is trivial, we will concentrate on the other. Let T be a homogeneous overring of R. Since  $\overline{R} \subseteq \overline{T} \subseteq R_H$ , then  $\overline{R} \subseteq \overline{T}$  is a gr-residually algebraic extension by assumption. Moreover,  $R \subseteq \overline{R}$  is a gr-residually algebraic extension, and thus  $R \subseteq \overline{T}$  is also a gr-residually algebraic extension by Lemma 3.1(1), and Lemma 3.2. Hence  $R \subseteq T$  is a gr-residually algebraic extension by Lemma 3.1(2), and Lemma 3.2.

**Proposition 3.7.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be an integrally closed graded domain. If R is a gr-INC-domain, then  $R \subseteq R_H$  is a gr-primitive extension.

Proof. Assume that  $R \subseteq R_H$  is not a gr-primitive extension. So R is not a graded-Prüfer domain by Proposition 2.2. Hence there exists  $P \in h\text{-Max}(R)$  such that  $R_P$  is not a valuation domain by Proposition 2.1. Thus there is a homogeneous element  $u \in R_H$  such that  $u \notin R_P$  and  $u^{-1} \notin R_P$  by [16, Lemma 4.3]. Consequently by [18, Theorem 7], PR[u] is a (non-maximal) prime ideal of  $R[u], P = PR[u] \cap R$  and  $R[u]/PR[u] \cong (R/P)[X]$ . Since u is a homogeneous element of  $R_H, R[u]$  is a homogeneous overring of R. Thus  $R \subseteq R[u]$  is a gr-residually algebraic extension by Lemma 3.2. Hence

$$R/P \hookrightarrow R[u]/PR[u] \cong (R/P)[X]$$

is an algebraic extension, a contradiction.

The following theorem is the graded version of [6, Theorem].

**Theorem 3.8.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then R is a gr-INC-domain if and only if  $R \subseteq R_H$  is a gr-primitive extension.

*Proof.* Assume that R is a gr-INC-domain. Let T be a homogeneous overring of  $\overline{R}$ . Thus  $R \subseteq T$  is a gr-INC-extension which implies that  $\overline{R} \subseteq T$  is a gr-INC-extension by Lemma 3.1(3). Therefore  $\overline{R}$  is an integrally closed gr-INC-domain, hence  $\overline{R} \subseteq R_H$  is a gr-primitive extension by Proposition 3.7. Now  $R \subseteq R_H$  is a gr-primitive extension by Lemma 2.4.

Conversely, assume that  $R \subseteq R_H$  is a gr-primitive extension. Then by the proof of Theorem 2.5,  $\overline{R}$  is a graded-Prüfer domain. So  $\overline{R}$  is a gr-INC-domain by Corollary 3.5. Thus  $\overline{R}$  is a gr-residually algebraic domain by Lemma 3.2. Therefore R is a gr-residually algebraic domain by Lemma 3.6, and hence R is a gr-INC-domain by Lemma 3.2.

**Corollary 3.9.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then the following statements are equivalent:

- (1) R is a gr-quasi-Prüfer domain.
- (2) R is a gr-residually algebraic domain.

*Proof.* It follows by combining Corollary 2.7, Theorem 3.8 and Lemma 3.2.  $\Box$ 

The following is the main result of this section.

**Theorem 3.10.** Let  $\star$  be a homogeneous preserving semistar operation on a graded integral domain  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  such that  $R^{\star} \subsetneq R_{H}$ . Then the following statements are equivalent:

- (1) R is a  $gr \star_f$ -INC-domain.
- (2)  $R_{H\setminus P}$  is a gr-INC-domain, for each  $P \in h$ -QSpec<sup>\*</sup> $_{f}(R)$ .
- (3)  $R_P$  is a quasi-Prüfer domain, for each  $P \in h$ -QSpec<sup>\*f</sup>(R).
- (4)  $R_P$  is an INC-domain, for each  $P \in h$ -QSpec<sup>\*f</sup>(R).
- (5) R is a gr- $\star_f$ -quasi-Prüfer domain.

*Proof.* (1)  $\Rightarrow$  (2) Let  $P \in h$ -QSpec<sup>\*f</sup>(R) and let T be a homogeneous overring of  $R_{H\setminus P}$ . Assume that  $Q_1$  and  $Q_2$  are homogeneous prime ideals of T such that  $Q_1 \cap R_{H\setminus P} = Q_2 \cap R_{H\setminus P}$ . We must show that  $Q_1$  and  $Q_2$  are incomparable. By assumption, T is a gr-\*<sub>f</sub>-INC-extension of R and  $Q_1 \cap R = Q_2 \cap R \subseteq P$ with  $P^{\star_f} \subseteq R^{\star}$ , hence  $Q_1$  and  $Q_2$  are incomparable.

 $(2) \Rightarrow (1)$  Let T be a homogeneous overring of R and  $Q_1 \subsetneq Q_2$  be homogeneous prime ideals of T such that  $P := Q_1 \cap R = Q_2 \cap R \subseteq M$  for some  $M \in h$ -QSpec<sup>\*f</sup>(R). Note that  $Q_1T_{H\setminus M} \subsetneq Q_2T_{H\setminus M}$  and they are prime ideals of  $T_{H\setminus M}$  each of which intersects  $R_{H\setminus M}$  in  $PR_{H\setminus M}$ , and so  $R_{H\setminus M} \subseteq T_{H\setminus M}$  is not a gr-INC-extension, contradicting (2).

(2)  $\Leftrightarrow$  (3)  $R_{H\setminus P}$  is a gr-INC-domain for each  $P \in h$ -QSpec<sup>\*f</sup>(R) if and only if  $R_{H\setminus P} \subseteq R_H$  is a gr-primitive extension by Theorem 3.8, if and only if  $R_P$  is a quasi-Prüfer domain, for each  $P \in h$ -QSpec<sup>\*f</sup>(R) by Theorem 2.6.

(3)  $\Leftrightarrow$  (4) and (3)  $\Leftrightarrow$  (5) Follow from [4, Theorem 1.1] and [11, Theorem 2.9], respectively.

**Corollary 3.11.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then the following statements are equivalent:

- (1) R is a gr-INC-domain.
- (2)  $R_{H\setminus P}$  is a gr-INC-domain, for each  $P \in h$ -Spec(R).
- (3)  $R_P$  is an INC-domain, for each  $P \in h$ -Spec(R).
- (4) R is a gr-quasi-Prüfer domain.

*Proof.* Set  $\star = d$  in Theorem 3.10.

**Corollary 3.12.** Let  $R = \bigoplus_{\alpha \in \Gamma} R_{\alpha}$  be a graded integral domain. Then the following statements are equivalent:

- (1) R is a gr-t-INC-domain.
- (2)  $R_{H \setminus P}$  is a gr-INC-domain, for each  $P \in h$ -Spec<sup>t</sup>(R).
- (3)  $R_P$  is an INC-domain, for each  $P \in h$ -Spec<sup>t</sup>(R).
- (4) R is a UMt-domain.

*Proof.* Follows from Theorem 3.10 by setting  $\star = v$  and [11, Theorem 3.2].  $\Box$ 

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