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# THIRD HANKEL DETERMINANTS FOR STARLIKE AND CONVEX FUNCTIONS OF ORDER ALPHA

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ABSTRACT. In this paper we obtain the bounds of the third Hankel determinants for the classes  $S^*(\alpha)$  of starlike functions of order  $\alpha$  and  $\mathcal{K}(\alpha)$ of convex functions of order  $\alpha$ . Moreover, we derive the sharp bounds for functions in these classes which are additionally 2-fold or 3-fold symmetric.

### 1. Introduction

Let  $\Delta$  be the unit disk  $\{z \in \mathbb{C} : |z| < 1\}$  and  $\mathcal{A}$  be the family of all functions f analytic in  $\Delta$  normalized by the condition f(0) = f'(0) - 1 = 0. It means that f has the expansion  $f(z) = z + \sum_{n=2}^{\infty} a_n z^n$ . Pommerenke (see, [12, 13]) defined the q-th Hankel determinant for a function f as

(1) 
$$H_q(n) = \begin{vmatrix} a_n & a_{n+1} & \dots & a_{n+q-1} \\ a_{n+1} & a_{n+2} & \dots & a_{n+q} \\ \dots & \dots & \dots & \dots \\ a_{n+q-1} & a_{n+q} & \dots & a_{n+2q-2} \end{vmatrix},$$

where  $n, q \in \mathbb{N}$ .

Following Pommerenke, many authors focused on the investigating of the second Hankel determinant  $H_2(2) = a_2a_4 - a_3^2$  (see, e.g. [6–8,10,11]). Only a few papers have been devoted to the third Hankel determinant

$$H_3(1) = \begin{vmatrix} a_1 & a_2 & a_3 \\ a_2 & a_3 & a_4 \\ a_3 & a_4 & a_5 \end{vmatrix},$$

(see, [2,3,16,19,20]). The results from these papers are far from accurate. In [21] it was proved that

**Theorem 1.** 1. If  $f \in S^*$ , then  $|H_3(1)| \le 1$ , 2. If  $f \in \mathcal{K}$ , then  $|H_3(1)| \le \frac{49}{540} = 0.090 \dots$ 

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Moreover, in [21] the sharp bounds for 2-fold and 3-fold symmetric starlike functions or convex functions were obtained. Recall that for a given class  $A \subset \mathcal{A}$ , a function  $f \in A$  is said to be *n*-fold symmetric if  $f(\varepsilon z) = \varepsilon f(z)$ holds for all  $z \in \Delta$ , where  $\varepsilon = \exp(2\pi i/n)$  means the principal *n*-th root of 1. The set of all *n*-fold symmetric functions belonging to *A* is denoted by  $A^{(n)}$ . If  $f \in A^{(n)}$ , then *f* has the Taylor series expansion  $f(z) = z + a_{n+1}z^{n+1} + a_{2n+1}z^{2n+1} + \cdots$ . Certainly, the set  $A^{(2)}$  consists of all functions in *A* which are odd. The definition of an *n*-fold symmetric function can be extended on functions *f* normalized by f(0) = 1.

The main aim of this paper is to discuss the third Hankel determinants for the classes  $\mathcal{S}^*(\alpha)$  of starlike functions of order  $\alpha$  and  $\mathcal{K}(\alpha)$  of convex functions of order  $\alpha$ .

Let us start with recalling the definitions. Let f, g be univalent and  $\alpha < 1$ . Then

$$f \in \mathcal{S}^*(\alpha) \Leftrightarrow \operatorname{Re}\left(\frac{zf'(z)}{f(z)}\right) > \alpha,$$
$$g \in \mathcal{K}(\alpha) \Leftrightarrow \operatorname{Re}\left(1 + \frac{zg''(z)}{g'(z)}\right) > \alpha.$$

Obviously,  $S^*(0) = S^*$  and  $\mathcal{K}(0) = \mathcal{K}$  are the classes of starlike functions and convex functions, respectively. Two particular choices of  $\alpha$  are also interesting. For  $\alpha = 1/2$  we know that  $S^*(1/2)$  contains  $\mathcal{K}$  (see, [9,17]). The class  $S^*(1/2)$ plays important role in solving some differential equations (see, [5]). On the other hand, taking  $\alpha = -1/2$  we obtain the class  $\mathcal{K}(-1/2)$  consisting of functions which are close-to-convex, but not necessarily starlike. Umezawa proved ([18]) that functions in this class are convex in one direction. In [4], Bshouty and Lyzzaik showed the importance of  $\mathcal{K}(-1/2)$  in the theory of harmonic functions. For other results for this class, see for example [1, 15].

From (1) it follows that  $f \in \mathcal{S}^*(\alpha)$  can be written in the form

(2) 
$$\frac{zf'(z)}{f(z)} = \alpha + (1-\alpha)p(z),$$

where p belongs to the class  $\mathcal{P}$  consisting of functions analytic in  $\Delta$  for which  $\operatorname{Re} p(z) > 0$ .

Let  $f(z) = z + a_2 z^2 + a_3 z^3 + \cdots$  and  $p(z) = 1 + p_1 z + p_2 z^2 + \cdots$  be in  $\mathcal{S}^*$ and  $\mathcal{P}$ , respectively. Applying the correspondence (2), we can write

(3) 
$$(n-1)a_n = (1-\alpha)\sum_{j=1}^{n-1} a_j p_{n-j}.$$

From (3) it follows that

$$a_{2} = (1 - \alpha)p_{1},$$
  

$$a_{3} = \frac{1}{2}(1 - \alpha) \left[p_{2} + (1 - \alpha)p_{1}^{2}\right],$$
  

$$a_{4} = \frac{1}{3}(1 - \alpha) \left[p_{3} + \frac{3}{2}(1 - \alpha)p_{1}p_{2} + \frac{1}{2}(1 - \alpha)^{2}p_{1}^{3}\right]$$

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$$a_5 = \frac{1}{4}(1-\alpha) \left[ p_4 + \frac{4}{3}(1-\alpha)p_1p_3 + \frac{1}{2}(1-\alpha)p_2^2 + (1-\alpha)^2 p_1^2 p_2 + \frac{1}{6}(1-\alpha)^3 p_1^4 \right].$$

## 2. Preliminaries

To obtain our results we need the following sharp inequalities for functions  $p \in \mathcal{P}$ .

**Lemma 1** ([14]). If  $p \in \mathcal{P}$ , then the sharp estimate  $|p_n| \leq 2$  holds for  $n = 1, 2, \ldots$ 

**Lemma 2** ([6]). If  $p \in \mathcal{P}$ , then the following estimate holds for n, k = 1, 2, ..., n > k

$$|p_n - \mu p_k p_{n-k}| \le \begin{cases} 2 & \mu \in [0,1] \\ 2|2\mu - 1| & \mu \ge 1. \end{cases}$$

3. Bounds of  $|H_3(1)|$  for  $\mathcal{S}^*(\alpha)$  and  $\mathcal{K}(\alpha)$ 

At the beginning, observe that  $H_3(1)$  can be written in the form

(4) 
$$H_3(1) = (a_3a_5 - a_4^2) + a_2(a_3a_4 - a_2a_5) + a_3(a_2a_4 - a_3^2)$$

Now, with help of (3), we can express  $H_3(1)$  for  $f \in S^*$  as a polynomial of four variables:  $p_1, p_2, p_3, p_4$  in the form

(5) 
$$F(p_1, p_2, p_3, p_4) = \frac{(1-\alpha)^2}{144} \left[ -(1-\alpha)^4 p_1^6 + 3(1-\alpha)^3 p_1^4 p_2 + 8(1-\alpha)^2 p_1^3 p_3 - 9(1-\alpha)^2 p_1^2 p_2^2 - 18(1-\alpha) p_1^2 p_4 + 24(1-\alpha) p_1 p_2 p_3 - 9(1-\alpha) p_2^3 + 18 p_2 p_4 - 16 p_3^2 \right].$$

According to the Alexander theorem,  $f \in \mathcal{K}$  if and only if  $zf'(z) \in \mathcal{S}^*$ . Therefore, if  $f(z) = z + a_2 z^2 + a_3 z^3 + \cdots \in \mathcal{S}^*$  and  $g(z) = zf'(z) = z + b_2 z^2 + b_3 z^3 + \cdots \in \mathcal{K}$ , then  $nb_n = a_n$ . Putting it into the definition of  $H_3(1)$  for a convex function and applying the formulae obtained from (3) lead to  $H_3(1) = G(p_1, p_2, p_3, p_4)$ , where

$$G(p_1, p_2, p_3, p_4) = \frac{(1-\alpha)^2}{8640} \left[ -(1-\alpha)^4 p_1^{\ 6} + 6(1-\alpha)^3 p_1^{\ 4} p_2 + 12(1-\alpha)^2 p_1^{\ 3} p_3 - 21(1-\alpha)^2 p_1^{\ 2} p_2^{\ 2} - 36(1-\alpha) p_1^{\ 2} p_4 + 36(1-\alpha) p_1 p_2 p_3 - 4(1-\alpha) p_2^{\ 3} + 72 p_2 p_4 - 60 p_3^{\ 2} \right].$$

Now we can prove:

**Theorem 2.** 1. If  $f \in S^*(\alpha)$ , then

$$|H_3(1)| \le \begin{cases} \frac{(1-\alpha)^2(18-\alpha)}{18} & \alpha \in [0,1)\\ \frac{(1-\alpha)^2(1-2\alpha)^2}{18}(18-3\alpha+2\alpha^2) & \alpha \le 0, \end{cases}$$

2. If 
$$f \in \mathcal{K}(\alpha)$$
, then  

$$|H_3(1)| \leq \begin{cases} \frac{(1-\alpha)^2(49-16\alpha)}{540} & \alpha \in [0,1) \\ \frac{(1-\alpha)^2}{540}(49-102\alpha+40\alpha^2-8\alpha^3) & \alpha \in [-3,0] \\ \frac{(1-\alpha)^2}{540}(46-88\alpha+21\alpha^2-4\alpha^3+4\alpha^4) & \alpha \leq -3. \end{cases}$$

Proof. From (5),

$$F(p_1, p_2, p_3, p_4) = \frac{(1-\alpha)^2}{144} \left[ 10(p_2 - (1-\alpha)p_1^2)(p_4 - (1-\alpha)p_2^2) + 8(p_2 - (1-\alpha)p_1^2)(p_4 - (1-\alpha)p_1p_3) + (1-\alpha)(p_2 - (1-\alpha)p_1^2)^3 - 16(p_3 - (1-\alpha)p_1p_2)^2 \right].$$

The triangle inequality and Lemma 2 lead to the declared bound for  $f \in S^*$ . If  $f \in \mathcal{K}$ , then, from (6),

$$G(p_1, p_2, p_3, p_4) = \frac{(1-\alpha)^2}{2160} \left[ 4(1-\alpha)p_2^3 + 6p_4(p_2 - (1-\alpha)p_1^2) + 9p_2(p_4 - (1-\alpha)p_2^2) + 3(p_2 - (1-\alpha)p_1^2)(p_4 - (1-\alpha)p_1p_3) - 12p_3(p_3 - (1-\alpha)p_1p_2) + 3(1-\alpha)p_2^2(p_2 - (1-\alpha)p_1^2) - 3p_3^2 + (1-\alpha)(p_2 - (1-\alpha)p_1^2)^2(p_2 - \frac{1}{4}(1-\alpha)p_1^2) \right].$$

As above, it is enough to apply the triangle inequality and Lemma 1 and Lemma 2.  $\hfill \square$ 

Consequently,

Corollary 1. 1. 
$$|H_3(1)| \leq 35/144$$
 for all  $f \in S^*(1/2)$ ,  
2.  $|H_3(1)| \leq 1$  for all  $f \in S^*$ ,  
3.  $|H_3(1)| \leq 10$  for all  $f \in S^*(-1/2)$ .

Corollary 2. 1.  $|H_3(1)| \le 41/2160$  for all  $f \in \mathcal{K}(1/2)$ , 2.  $|H_3(1)| \le 49/540$  for all  $f \in \mathcal{K}$ , 3.  $|H_3(1)| \le 37/80$  for all  $f \in \mathcal{K}(-1/2)$ .

The authors of [3] proved that  $|H_3(1)| \leq 3.608...$  for  $f \in \mathcal{K}(-1/2)$ . The estimate in Corollary 2, point 3, substantially improves this result.

## 4. Bounds of $|H_3(1)|$ for 2-fold and 3-fold symmetric functions

The results in Theorem 2 are not sharp. It is possible to derive sharp bounds considering functions satisfying an additional condition of *n*-fold symmetry. Observe that if  $f \in A^{(3)}$ , then  $f(z) = z + a_4 z^4 + a_7 z^7 + \cdots$ , and consequently  $H_3(1) = -a_4^2$ . Similarly, if  $f \in A^{(2)}$ , then  $f(z) = z + a_3 z^3 + a_5 z^5 + \cdots$ , so  $H_3(1) = a_3(a_5 - a_3^2)$ .

**Theorem 3.** 1. If  $f \in S^*(\alpha)^{(3)}$ , then  $|H_3(1)| \le \frac{4}{9}(1-\alpha)^2$ ,

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2. If 
$$f \in \mathcal{K}(\alpha)^{(3)}$$
, then  $|H_3(1)| \leq \frac{1}{36}(1-\alpha)^2$ .  
The bounds are sharp.

*Proof.* 1. Let  $\tilde{f}(z) = \sqrt[3]{f(z^3)}$ . Since

$$\frac{z\tilde{f}'(z)}{\tilde{f}(z)}=\frac{z^3f'(z^3)}{f(z^3)},$$

it follows that

$$f\in \mathcal{S}^*(\alpha)\Leftrightarrow \tilde{f}\in \mathcal{S}^*(\alpha)^{(3)}.$$

Assuming that  $f(z) = z + a_2 z^2 + \cdots$  and  $\tilde{f}(z) = z + b_4 z^4 + \cdots$  we have  $b_4 = a_2/3$ . Hence, for  $\tilde{f} \in \mathcal{S}^*(\alpha)^{(3)}$ ,

$$|H_3(1)| = |b_4|^2 = \frac{1}{9}|a_2|^2 = \frac{1}{9}(1-\alpha)^2|p_1|^2 \le \frac{4}{9}(1-\alpha)^2.$$

Equality holds for rotations of

$$\tilde{f}_0(z) = \frac{z}{(1-z^3)^{2(1-\alpha)/3}} = z + \frac{2}{3}(1-\alpha)z^4 + \cdots$$

For this function,

$$\frac{z\tilde{f}_0'(z)}{\tilde{f}_0(z)} = \tilde{p}_0(z), \quad \tilde{p}_0(z) = \frac{1 + (1 - 2\alpha)z^3}{1 - z^3}.$$

2. Taking into account the relation  $z\tilde{g}'(z) = \tilde{f}(z)$  valid for  $\tilde{f} \in \mathcal{S}^*(\alpha)^{(3)}$ and  $\tilde{g} \in \mathcal{K}(\alpha)^{(3)}$ , we obtain the expansion  $\tilde{g}(z) = z + \frac{b_4}{4}z^4 + \cdots$ . Then for  $\tilde{g} \in \mathcal{K}(\alpha)^{(3)}$ ,

$$|H_3(1)| = \frac{1}{16}|b_4|^2 = \frac{1}{144}|a_2|^2 \le \frac{1}{36}(1-\alpha)^2,$$

with equality for

$$\tilde{g}_0(z) = \int_0^z (1-\zeta^3)^{-2(1-\alpha)/3} \, d\zeta = z + \frac{1}{6}(1-\alpha)z^4 + \cdots$$

Obviously,

$$1 + \frac{z\tilde{g}_{0}'(z)}{\tilde{g}_{0}(z)} = \tilde{p}_{0}(z).$$

In particular,

Corollary 3. 1.  $|H_3(1)| \le 1/9$  for all  $f \in S^*(1/2)^{(3)}$ , 2.  $|H_3(1)| \le 4/9$  for all  $f \in S^{*(3)}$ , 3.  $|H_3(1)| \le 1$  for all  $f \in S^*(-1/2)^{(3)}$ .

Corollary 4. 1.  $|H_3(1)| \le 1/144$  for all  $f \in \mathcal{K}(1/2)^{(3)}$ , 2.  $|H_3(1)| \le 1/36$  for all  $f \in \mathcal{K}^{(3)}$ , 3.  $|H_3(1)| \le 1/16$  for all  $f \in \mathcal{K}(-1/2)^{(3)}$ . Now, we turn to the case n = 2. For  $f(z) = z + \alpha_3 z^3 + \alpha_5 z^5 + \cdots \in \mathcal{A}^{(2)}$ and real  $\mu$ , let us define

$$\Phi_{f}(\mu) \equiv \left| \alpha_{3} \left( \alpha_{5} - \mu \alpha_{3}^{2} \right) \right|.$$

It is clear that

$$|H_3(1)| = \Phi_f(1).$$

For a given f and real  $\delta$  let us define  $f_{\delta}(z) = e^{-i\delta}f(e^{i\delta}z)$ . Then  $f_{\delta}(z) = z + \alpha_3 e^{2i\delta}z^3 + \alpha_5 e^{4i\delta}z^5 + \cdots$  and

$$\Phi_{f_{\delta}}(\mu) = \left| e^{2i\delta} \alpha_3 \left( e^{4i\delta} \alpha_5 - \mu e^{4i\delta} \alpha_3^2 \right) \right| = \Phi_f(\mu).$$

It means that  $\Phi_f$  is invariant under rotation.

In [21] the bounds of  $\Phi_f(\mu)$  for  $\mathcal{S}^{*(2)}$  were found.

**Theorem 4.** If  $f \in \mathcal{S}^{*(2)}$ , then

(10) 
$$\Phi_f(\mu) \leq \begin{cases} 1-\mu & \mu \leq 2/3 \\ \frac{1}{3\sqrt{3(2\mu-1)}} & \mu \in [2/3,1] \\ \frac{1}{3\sqrt{3(3-2\mu)}} & \mu \in [1,4/3] \\ \mu-1 & \mu \geq 4/3. \end{cases}$$

The estimate is sharp.

In order to find the analog of Theorem 4 for  $S^*(\alpha)^{(2)}$  we need to establish the correspondence between the coefficients of a function  $f \in S^{*(2)}$  and a function  $\tilde{f} \in S^*(\alpha)^{(2)}$ . Let

(11) 
$$\tilde{f}(z) = z \left(\frac{f(z)}{z}\right)^{1-\alpha}, \ \alpha < 1.$$

From

(12) 
$$\frac{zf'(z)}{\tilde{f}(z)} = \alpha + (1-\alpha)\frac{zf'(z)}{f(z)},$$

we conclude that

$$f \in \mathcal{S}^* \Leftrightarrow \tilde{f} \in \mathcal{S}^*(\alpha).$$

This equivalence is valid also for the corresponding subclasses consisting of odd functions.

If  $f(z) = z + a_3 z^3 + \cdots$  and  $\tilde{f}(z) = z + b_3 z^3 + \cdots$ , then, comparing the coefficients of both sides of

(13) 
$$(z+3b_3z^3+5b_5z^5+\cdots)(z+a_3z^3+a_5z^5+\cdots)$$
$$= (z+b_3z^3+b_5z^5+\cdots)(z+(3-2\alpha)a_3z^3+(5-4\alpha)a_5z^5+\cdots),$$

leads to

$$b_3 = (1 - \alpha)a_3, b_5 = (1 - \alpha)a_5 - \frac{1}{2}\alpha(1 - \alpha)a_3^2.$$

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(9)

Hence, for  $\tilde{f} \in \mathcal{S}^*(\alpha)^{(2)}$ ,

 $|H_3(1)| = |b_3(b_5 - b_3^2)| = (1 - \alpha)^2 |a_3(a_5 - \frac{1}{2}(2 - \alpha)a_3^2)|.$ 

Now, it is enough to apply Theorem 4 with  $\mu = (2 - \alpha)/2$ . In this way we get the following theorem.

**Theorem 5.** If  $f \in S^*(\alpha)^{(2)}$ , then

$$|H_3(1)| \le \begin{cases} \frac{1}{2}\alpha(1-\alpha)^2 & \alpha \in [2/3,1) \\ \frac{1}{3\sqrt{3(1-\alpha)}}(1-\alpha)^2 & \alpha \in [0,2/3] \\ \frac{1}{3\sqrt{3(1+\alpha)}}(1-\alpha)^2 & \alpha \in [-2/3,0] \\ -\frac{1}{2}\alpha(1-\alpha)^2 & \alpha \le -2/3. \end{cases}$$

The estimate is sharp.

According to Theorem 4 and the correspondence (11), the extremal functions in Theorem 5 are:  $f(z) = \frac{z}{(1-z^2)^{1-\alpha}}$  for  $\alpha \in [2/3,1)$  and  $\alpha \leq -2/3$ , f(z) = $\frac{z}{[(1-z^2)^t(1+z^2)^{1-t}]^{1-\alpha}}, t = (1+1/\sqrt{3(1-\alpha)})/2$  for  $\alpha \in [0,2/3]$  and f(z) = $\frac{z}{(1-2tz^2+z^4)^{(1-\alpha)/2}}, t = 1/\sqrt{3(1+\alpha)} \text{ for } \alpha \in [-2/3, 0].$ 

The similar theorem, but for  $\mathcal{K}(\alpha)^{(2)}$ , holds.

**Theorem 6.** If  $f \in \mathcal{K}(\alpha)^{(2)}$ , then

$$H_{3}(1)| \leq \begin{cases} \frac{8+\alpha}{270}(1-\alpha)^{2} & \alpha \in [-2,1) \\ \frac{1}{15\sqrt{3(1-\alpha)}}(1-\alpha)^{2} & \alpha \in [-8,-2] \\ \frac{1}{15\sqrt{3(17+\alpha)}}(1-\alpha)^{2} & \alpha \in [-14,-8] \\ -\frac{8+\alpha}{270}(1-\alpha)^{2} & \alpha \leq -14. \end{cases}$$

The estimate is sharp.

*Proof.* From equivalence

(14) 
$$\tilde{g} \in \mathcal{K}(\alpha)^{(2)} \Leftrightarrow \tilde{f}(z) = z\tilde{g}'(z) \in \mathcal{S}^*(\alpha)^{(2)}$$

(14)  $\tilde{g} \in \mathcal{K}(\alpha)^{(2)} \Leftrightarrow f(z) = z\tilde{g}'(z) \in \mathcal{S}^*(\alpha)^{(2)},$ where  $\tilde{f}(z) = z + b_3 z^3 + \cdots, \tilde{g}(z) = z + c_3 z^3 + \cdots$  it follows that

$$c_3 = \frac{1}{3}b_3, \quad c_5 = \frac{1}{5}b_5,$$

so, for  $\tilde{g} \in \mathcal{K}(\alpha)^{(2)}$ , there is

$$H_{3}(1) = \left| c_{3} \left( c_{5} - c_{3}^{2} \right) \right| = \frac{1}{15} \left| b_{3} \left( b_{5} - \frac{5}{9} b_{3}^{2} \right) \right|$$
$$= \frac{1}{15} (1 - \alpha)^{2} \left| a_{3} \left( a_{5} - \frac{1}{18} (10 - \alpha) a_{3}^{2} \right) \right|,$$

where  $a_k$  are coefficients of  $f \in \mathcal{S}^{*(2)}$  described above.

Applying Theorem 4 the claimed bound follows. The extremal functions can be derived from Theorem 5 and (14).  From Theorem 5 and Theorem 6 we obtain what follows.

Corollary 5. 1.  $|H_3(1)| \le \sqrt{6}/36$  for all  $f \in S^*(1/2)^{(2)}$ , 2.  $|H_3(1)| \le \sqrt{3}/9$  for all  $f \in S^{*(2)}$ , 3.  $|H_3(1)| \le \sqrt{6}/4$  for all  $f \in S^*(-1/2)^{(2)}$ . Corollary 6. 1.  $|H_3(1)| \le 17/2160$  for all  $f \in \mathcal{K}(1/2)^{(2)}$ , 2.  $|H_3(1)| \le 4/135$  for all  $f \in \mathcal{K}^{(2)}$ ,

3.  $|H_3(1)| \le 1/16$  for all  $f \in \mathcal{K}(-1/2)^{(2)}$ .

The estimates given in Corollaries 1-6 for  $\mathcal{S}^{*(n)}$  and  $\mathcal{K}^{(n)}$ , n = 1, 2, 3 coincide with those results proved in [21] (Theorem 3.1 and Theorem 3.3).

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