

## THE EXTREMAL PROBLEM ON HUA DOMAIN

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ABSTRACT. In this paper, we study the Carathéodory extremal problems on the Hua domain of the first three types. We give the explicit formula for the Carathéodory extremal problems between the first three types of Hua domain and the unit ball, which improves the works done on Hua domain and Cartan-egg domain and super-Cartan domain.

### 1. Introduction

Let  $\mathcal{M}$  and  $\mathcal{N}$  be two domains in  $\mathbb{C}^N$  with  $p \in \mathcal{M}$  and  $q \in \mathcal{N}$ . Let  $\text{Hol}(\mathcal{M}_p, \mathcal{N}_q)$  denote the set of holomorphic maps  $f$  from  $\mathcal{M}$  to  $\mathcal{N}$  such that  $f(p) = q$ , and let  $|J_f(p)|$  be the Jacobian  $|\det df(p)|$  of  $f$  at the point  $p$ . We define the Carathéodory extremal value, simply C-extremal value as follows:

$$(1.1) \quad c_{(\mathcal{M}, p; \mathcal{N}, q)} = \sup\{|J_g(p)| : g \in \text{Hol}(\mathcal{M}_p; \mathcal{N}_q)\}.$$

A map  $f \in \text{Hol}(\mathcal{M}_p, \mathcal{N}_q)$  is said to be Carathéodory extremal map (C-extremal map), if

$$(1.2) \quad |J_f(p)| = c_{(\mathcal{M}, p; \mathcal{N}, q)}.$$

The classical extremal problem is to find Carathéodory extremal maps from a domain to the unit ball in  $\mathbb{C}^N$ , and the problem is an analogue of the classical Schwarz Lemma [14]. It was first studied by Carathéodory in [1], where he obtained the explicit formula for the C-extremal mapping from the unit polydisc into the unit ball. Kubota and Travaglini [2–5] got the explicit formula for the C-extremal mapping from symmetric domains to the ball. Ma [8, 9] obtained the C-extremal mapping from the complex ellipsoids to the ball. Recently, with the construction of the super-Cartan domain, Cartan-egg domain and Hua domain given by Yin in [16–18], many researches have been done on the classical extremal problem from these classes of domains to the ball (see [6, 10, 11, 15, 19]).

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Let  $D$  be a domain in  $\mathbb{C}^N$ . We say that  $D$  is a balanced domain if  $\lambda z \in D$  for  $z \in D$  and  $\lambda \in \mathbb{C}$  with  $|\lambda| \leq 1$ . It is clear that  $0 \in D$ . The classical extremal problem between two balanced domains  $D_1$  and  $D_2$  in  $\mathbb{C}^N$  which maps  $0$  to  $0$  was studied by Carathéodory [1], who proved the following theorem.

**Theorem 1.1.** *Assume  $D_1, D_2$  are two balanced domains in  $\mathbb{C}^N$  with  $D_2$  a domain of holomorphy. If  $f \in \text{Hol}((D_1; 0); (D_2; 0))$ , then  $df(0)(D_1) \subset D_2$ . Moreover,*

$$(1.3) \quad c_{(D_1, 0; D_2, 0)} = \sup\{|\det(l)| : l \text{ complex linear map; } l(D_1) \subset D_2\}.$$

If  $D_1 = D$  is a holomorphically convex balanced domain in  $\mathbb{C}^N$ ,  $D_2 = B_N$ , for simplicity, we let

$$(1.4) \quad \begin{aligned} c_D &:= c_{(D, 0; B_N, 0)} \\ &= \sup\{|\det A| : A \in M^{(N, N)}(\mathbb{C}), \|Az\| < 1 \text{ for all } z \in D\}, \end{aligned}$$

where  $M^{(N, N)}(\mathbb{C})$  is the set of all  $N \times N$  matrices with entries in  $\mathbb{C}$ .

One of the balanced holomorphically convex domain is Hua domain. Let  $n \geq 2$  be a positive integer, and for  $j = 1, \dots, n-1$ , let  $N_j$  be a positive integer and  $p_j > 0$  be a real number. We use the following notation

$$(1.5) \quad \mathbf{N} = (N_1, \dots, N_{n-1}), \quad \mathbb{C}^{\mathbf{N}} = \mathbb{C}^{N_1} \times \dots \times \mathbb{C}^{N_{n-1}} \quad \text{and} \quad \mathbf{p} = (p_1, \dots, p_{n-1}).$$

It is well known that the first three types of Hua domain are:

$$(1.6) \quad \begin{aligned} HE_{\mathcal{A}}(n, \mathbf{N}, \mathbf{p}; q, \ell) &:= \{(w_1, \dots, w_{n-1}, Z) \in \mathbb{C}^{\mathbf{N}} \times R_{\mathcal{A}}(q, \ell) : \\ &\|w_1\|^{2p_1} + \dots + \|w_{n-1}\|^{2p_{n-1}} < \det(I - ZZ^*)\}, \end{aligned}$$

where  $R_{\mathcal{A}}(q, \ell)$  is the classical bounded symmetric domain of type  $\mathcal{A}$  ( $\mathcal{A} = I, II, III$ ) (see [7]), consisting of elements in  $M^{(q, \ell)}(\mathbb{C})$ , the set of all  $q \times \ell$  matrices with  $q \leq \ell$ .

Su and Yin [13] solved the C-extremal problem for  $HE_I(n, \mathbf{N}, \mathbf{p}; q, \ell)$  and obtained the C-extremal map from  $HE_I(n, \mathbf{N}, \mathbf{p}; q, \ell)$  into the unit ball in  $\mathbb{C}^{\mathbf{N}} \times \mathbb{C}^{q\ell}$  when  $p_j > q$ . For the special case  $n = 3$ , Su and Li [12] considered the first type of Cartan-egg domain.

$$\begin{aligned} CE_I(k, q, \ell) &= \{(w_1, w_2, Z) \in \mathbb{C}^{N_1} \times \mathbb{C}^{N_2} \times R_I(q, \ell) : \\ &\|w_1\|^2 + \|w_2\|^{2k} < \det(I - ZZ^*)\}. \end{aligned}$$

They obtained the explicit formula for the extremal map from  $CE_I(k, q, \ell)$  to the unit ball in  $\mathbb{C}^{N_1} \times \mathbb{C}^{N_2} \times \mathbb{C}^{q\ell}$  with  $k > 0$ .

Main purpose of the paper is to solve the extremal problem for general  $\mathbf{p} = (p_1, \dots, p_{n-1})$  with  $p_j > 0$  and  $n \geq 2$ , which improves the works done by Park [10], Su and Yin [13], Su and Li [12], Yin and Su [19] and Wang et al. [15]. Our main results will be stated in Section 3.

## 2. Preliminaries

Let  $A = [a_{ij}]$  be an  $N \times N$  self-adjoint positive definite matrix over  $\mathbb{C}$ . The Hermitian ellipsoid is defined as follows:

$$(2.1) \quad E_A := \{z \in \mathbb{C}^N : \sum_{j,k=1}^n a_{jk} z_j \bar{z}_k < 1\}.$$

The following basic properties of Hermitian ellipsoid were given in [9, 15].

**Proposition 2.1.** *Let  $A$  and  $B$  be two self-adjoint positive definite matrices over  $\mathbb{C}$ . Then*

$$(2.2) \quad \text{Vol}(E_A) = \frac{1}{\det A} \text{Vol}(B_N).$$

Moreover, if  $E_A = E_B$ , then  $A = B$ .

**Proposition 2.2** ([9]). *Let  $D$  be a domain of dimension  $N$ , containing 0. If  $l$  is a complex linear map such that  $l(D) \subset B_N$ , then  $l^{-1}(B_N)$  is an Hermitian ellipsoid containing  $D$ . If  $l$  is a solution of the extremal problem*

$$(2.3) \quad |\det l| = \sup\{|\det l_1| : l_1 \text{ complex linear map}; l_1(D) \subset B_N\},$$

then  $l^{-1}(B_N)$  is a circumscribed Hermitian ellipsoid of  $D$  of least volume, or a minimal circumscribed Hermitian ellipsoid.

**Proposition 2.3** ([9]). *Let  $D$  be a bounded balanced domain. Then  $D$  has minimal circumscribed Hermitian ellipsoids, and the minimal circumscribed Hermitian ellipsoid of  $D$  is unique.*

**Proposition 2.4** ([9]). *For two bounded domains  $D_1$  and  $D_2$ , let  $P(D_j)$  ( $j = 1, 2$ ) be the minimal circumscribed Hermitian ellipsoid of  $D_j$ . If  $l \in GL(N; \mathbb{C})$  and  $D_2 = l(D_1)$ , then  $l(P(D_1)) = P(D_2)$ , where  $GL(N; \mathbb{C})$  denotes the set of  $N \times N$  invertible matrices in  $\mathbb{C}$  and is called  $N$ -th-order general linear group.*

Let  $I_i^m$  denote  $m \times m$  diagonal matrix whose  $i$ th diagonal element is  $-1$ , others being 1. Let  $I_{ij}^m$  be the  $m \times m$  matrix obtained by exchanging  $i$ th row and  $j$ th row from the identity matrix  $I^m$ .

**Proposition 2.5.** *Let  $D \subset \mathbb{C}^{N_1 \times N_2 \times \dots \times N_n}$  be a balanced holomorphically convex domain such that*

$$(2.4) \quad I_i^N D \subset D, \quad I_{k,j}^N D \subset D$$

for  $1 \leq i \leq N$ ,  $\sum_{i=0}^{\ell-1} N_i < j, k \leq \sum_{i=0}^{\ell} N_i$  for  $1 \leq \ell \leq n$ , where  $N = \sum_{j=1}^n N_j$ . Then the minimal circumscribed Hermitian ellipsoid of  $D$  is the ellipsoid of the following form:

$$(2.5) \quad \{(Z_1, \dots, Z_n) \in \mathbb{C}^N \times \mathbb{C}^{N_n} : a_1 \|Z_1\|^2 + \dots + a_n \|Z_n\|^2 < 1\},$$

where  $a_1, \dots, a_n > 0$ .

*Proof.* Let  $E_A$  be the minimal circumscribed Hermitian ellipsoid of  $D$  with a self-adjoint positive definite matrix  $A$ . By Proposition 2.4 and (2.4), one has that

$$E_{I_i^N A I_i^N} = E_A.$$

By Proposition 2.1, one has  $A = I_i^N A I_i^N$  for all  $1 \leq i \leq N$ , which implies that  $A$  is a diagonal matrix. By (2.4), one has that

$$E_{(I_{kj}^N)^* A I_{kj}^N} = E_A \text{ for all } \sum_{i=0}^{\ell-1} N_i < k, j \leq \sum_{i=0}^{\ell} N_i, \ell = 1, \dots, n.$$

By Proposition 2.1 again, one has

$$(I_{kj}^N)^* A I_{kj}^N = A \text{ for all } \sum_{i=0}^{\ell-1} N_i < k, j \leq \sum_{i=0}^{\ell} N_i, \ell = 1, \dots, n.$$

Since  $A$  is diagonal, it is easy to verify that

$$a_{\ell} := a_{jj} = a_{kk} \text{ if } \sum_{i=0}^{\ell-1} N_i \leq k, j \leq \sum_{i=0}^{\ell} N_i, \ell = 1, \dots, n.$$

This proves that

$$E_A = \{(Z_1, \dots, Z_n) : \sum_{j=1}^n a_j \|Z_j\|^2 < 1\},$$

where  $a_1, \dots, a_n > 0$ . The proof of the lemma is complete. □

**Corollary 2.6.** *If  $D$  is a holomorphically convex balanced domain in  $\mathbb{C}^N$  with  $N = \sum_{j=1}^n N_j$  and satisfies (2.4), then the minimal circumscribed Hermitian ellipsoid of  $D$  is given*

$$(2.6) \quad E_{(a_1, \dots, a_n)} = \{(Z_1, \dots, Z_n) \in \mathbb{C}^N \times \mathbb{C}^{N_n} : a_1 \|Z_1\|^2 + \dots + a_n \|Z_n\|^2 < 1\},$$

where  $a_1, \dots, a_n > 0$  are uniquely determined by

$$(2.7) \quad D \subset E_{(a_1, \dots, a_n)}$$

and  $\prod_{j=1}^n a_j^{N_j}$  attains its maximum (this is equivalent to  $c_D^2 = \prod_{j=1}^n a_j^{N_j}$ ).

*Proof.* The proof of this corollary follows directly from Proposition 2.5 and the fact that

$$(2.8) \quad c_D^2 = \max \{ \det A : A \text{ is positive definite and } E_A \text{ is Hermitian ellipsoid, } D \subset E_A \}.$$

When  $E_{(a_1, \dots, a_n)}$  is the minimal circumscribed Hermitian ellipsoid of  $D$  given by (2.6), one has

$$(2.9) \quad c_D^2 = a_1^{N_1} \dots a_n^{N_n}. \quad \square$$

For  $A, B \in M^{N,N}(\mathbb{C})$ , let  $A \sim B := A$  is similar with  $B$ . We define

$$(2.10) \quad D_h := \left\{ (Z_1, \dots, Z_n) \in \mathbb{C}^{\mathbf{N}} \times \mathbb{C}^{N_n}; \begin{array}{l} f(Z_1, \dots, Z_{n-1}, t_1, \dots, t_m) < 0, \\ Z_n Z_n^* \sim cD[t_1, t_2, \dots, t_m] \end{array} \right\},$$

where  $c$  is positive constant,  $D[t_1, t_2, \dots, t_m]$  denote  $m \times m$  diagonal matrix whose  $i$ th diagonal element is  $t_i$ ,  $t_i \in [0, 1)$  ( $j = 1, \dots, m$ ),

$$(2.11) \quad f(Z_1, \dots, Z_{n-1}, t_1, \dots, t_m) = \sum_{j=1}^{n-1} \|Z_j\|^{2p_j} - \prod_{k=1}^m (1 - t_k).$$

*Remark 1.* We may check that  $D_h$  defined by (2.10) and (2.11) is a holomorphically convex balanced domain, and the Hua domain defined by (1.6) can be identified with  $D_h$  if we view  $Z_n$  as a matrix in  $R_{\mathcal{A}}$  ( $\mathcal{A} = I, II, III$ ), and the eigenvalues of  $Z_n Z_n^*$  as  $t_1, \dots, t_m$ .

Next we will find the minimal circumscribed Hermitian ellipsoid of  $D_h$ . By applying Proposition 2.5 and Corollary 2.6 to  $D_h$ , the minimal circumscribed Hermitian ellipsoid of  $D_h$  has the form given by (2.6). In order to find the minimal  $E_{(a_1, \dots, a_n)}$ , we only need to find the maximum value of  $c_D^2 = a_1^{N_1} \cdots a_n^{N_n}$ . Let  $\|Z_j\|^2 = r_j$ , then

$$f(r_1, \dots, r_{n-1}, t_1, \dots, t_m) = \sum_{j=1}^{n-1} r_j^{p_j} - \prod_{k=1}^m (1 - t_k)$$

is the defining function of  $D_h$ .

Now we are considering the extremal problem with  $f(r, t) \geq 0$ :

$$(2.12) \quad \begin{cases} \text{Minimize: } f(r_1, \dots, r_{n-1}, t_1, \dots, t_m) = \sum_{j=1}^{n-1} r_j^{p_j} - \prod_{j=1}^m (1 - t_j), \\ \text{Subject to: } \sum_{j=1}^{n-1} a_j r_j + ca_n(t_1 + \cdots + t_m) = 1, \quad 0 \leq r_j \leq 1, \quad 0 \leq t_j \leq 1. \end{cases}$$

Notice that when  $t_1 + \cdots + t_m$  is fixed, we know  $\prod_{j=1}^m (1 - t_j)$  attains its maximum at where  $t_1 = t_2 = \cdots = t_m =: t$ . Therefore, to solve Problem (2.12), it is equivalent to solve

$$(2.13) \quad \begin{cases} \text{Minimize: } f(r, t) := f(r_1, \dots, r_{n-1}, t) = \sum_{j=1}^{n-1} r_j^{p_j} - (1 - t)^m, \\ \text{Subject to: } g(r, t) := \sum_{j=1}^{n-1} a_j r_j + ca_n m t = 1, \quad 0 \leq r_j \leq 1, \quad 0 \leq t \leq 1. \end{cases}$$

**Assume that Problem (2.13) takes its minimum value 0 at point  $(r^0, t^0) := (r_1^0, \dots, r_{n-1}^0, t^0)$ .**

Let  $\partial D$  denote the boundary of domain  $D$ . Then  $(r^0, t^0)$  must be located at where  $\partial D_h$  and  $\partial E_{(a_1, \dots, a_n)}$  meets tangentially. Notice that  $f(r, t)$  is the

defining function of  $D_h$ , so that

$$(2.14) \quad f(r_1^0, \dots, r_{n-1}^0, t^0) = \left( \sum_{j=1}^{n-1} r_j^{p_j} - (1-t)^m \right) \Big|_{(r^0, t^0)} = 0.$$

And  $a_j$  ( $1 \leq j \leq n$ ) as well as  $t^0$  can be expressed as functions of  $r_1^0, \dots, r_{n-1}^0$ , which are uniquely determined so that  $\prod_{j=1}^n a_j^{N_j}$  is maximum. Finally, we obtain the minimal  $E_{(a_1, \dots, a_n)}$  of  $D_h$ .

**Proposition 2.7.** *If  $E_{(a_1, \dots, a_n)}$  is a circumscribed Hermitian ellipsoid for  $D_h$  defined in (2.10) and (2.11), then*

- (i)  $a_0 := cma_n \leq 1$ ;
- (ii)  $a_j \leq 1$  for  $1 \leq j \leq n-1$ ;
- (iii) If  $a_j = 1$ , then  $a_0 \leq \frac{m}{p_j}$ ;
- (iv) If  $p_j \leq 1$  for  $1 \leq j \leq n-1$ , then  $E_{(1, \dots, 1, \frac{1}{cm})}$  is the minimal circumscribed Hermitian ellipsoid for  $D_h$ .

*Proof.* If  $a_0 > 1$ , we choose  $t_0 = \frac{1}{a_0} < 1$  such that  $cma_n t_0 = 1$ . Then  $(0, t_0) = (0, \dots, 0, t_0) \in \partial E_{(a_1, \dots, a_n)}$ , but

$$f(0, t_0) = -(1-t_0)^m < 0,$$

which contradicts the assumption  $E_{(a_1, \dots, a_n)}$  is the circumscribed Hermitian ellipsoid for  $D_h$ . So Part (i) is proved.

Similarly, one can prove Part (ii).

For Part (iii), we consider  $(0, \dots, 0, r_j, 0, \dots, 0, t)$  satisfying

$$r_j + a_0 t = 1.$$

Then

$$\begin{aligned} h(r_j, t) &:= f(0, \dots, 0, r_j, 0, \dots, 0, t) \\ &= r_j^{p_j} - (1-t)^m = (1-a_0 t)^{p_j} - (1-t)^m \\ &= 1 - p_j a_0 t + O(t^2) - 1 + mt \\ &= (m - p_j a_0)t + O(t^2) \\ &\geq 0. \end{aligned}$$

This implies that

$$m - p_j a_0 \geq 0 \quad \text{or} \quad a_0 \leq m/p_j.$$

This proves (iii).

To prove (iv), we take  $a_j = 1$  for  $1 \leq j \leq n-1$  and  $a_n = \frac{1}{cm}$ . Then

$$f(r_1, \dots, r_{n-1}, t) = \sum_{j=1}^{n-1} r_j^{p_j} - (1-t)^m \geq \sum_{j=1}^m r_j - (1-t)^m = (1-t) - (1-t)^m \geq 0,$$

where  $\sum_{j=1}^{n-1} r_j + t = 1$ . Therefore, this ellipsoid  $E_{(1, \dots, 1, \frac{1}{cm})}$  is the minimal circumscribed Hermitian ellipsoid for  $D_h$ . □

**Proposition 2.8.** *If  $n = 2$ , then the following statements hold.*

(i) *If  $0 < p_1 \leq m$ , then the minimal circumscribed Hermitian ellipsoid of  $D_h$  is  $E_{(1, \frac{1}{cm})}$ .*

(ii) *If  $p_1 > m$ , then  $E_{(a_1, \frac{1}{cm})}$  with  $a_1 \leq 1$  is not the minimal circumscribed Hermitian ellipsoid of  $D_h$ .*

*Proof.* By Proposition 2.7, we have  $a_1 \leq 1$  and  $a_2 \leq \frac{1}{cm}$ . Then  $a_1^{N_1} a_2^{N_2} \leq (cm)^{-N_2}$ . Let  $a_1 = 1$  and  $a_2 = \frac{1}{cm}$ . Then on the boundary of  $E_{(1, \frac{1}{cm})}$ , we have

$$r_1 = 1 - t.$$

Since  $p_1 \leq m$ , we have

$$f(r_1, t) = (1 - t)^{p_1} - (1 - t)^m \geq 0, \quad r_1, t \in [0, 1].$$

Therefore,  $E_{(1, 1/cm)}$  is the minimal circumscribed Hermitian ellipsoid of  $D_h$ .

If  $a_1 = 1$ , Part (ii) was proved by Yin and Su [19]. When  $a_1 < 1$ , for the points  $(r_1, t)$  satisfying  $a_1 r_1 + t = 1$ , it is easy to check that there exist  $r_1 \in (0, a_1^{\frac{m}{p_1 - m}})$  and  $t \in (0, 1)$  such that  $a_1 r_1 + t = 1$ , but  $r_1^{p_1} - (1 - t)^m < 0$ . Thus  $E_{(a_1, \frac{1}{cm})}$  can not be the minimal circumscribed Hermitian ellipsoid of  $D_h$ .  $\square$

**Proposition 2.9.** *If  $n \geq 2$ ,  $E_{(1, a_2, \dots, a_n)}$  with  $a_n < \frac{1}{cm}$  is the circumscribed Hermitian ellipsoid of  $D_h$ , then there exists another  $D_h$ 's circumscribed Hermitian ellipsoid  $E_{(b, a_2, \dots, a_{n-1}, a)}$  with  $b < 1$ ,  $a_n < a < \frac{1}{cm}$  and  $b^{N_1} a^{N_n} > a_n^{N_n}$ .*

*Proof.* Considering  $(r_1, \dots, r_{n-1}, t) \in \partial E_{(b, a_2, \dots, a_{n-1}, a)}$  and

$$(2.15) \quad br_1 + a_2 r_2 + \dots + a_{n-1} r_{n-1} + cmat = 1, \quad 1/cm > a > a_n, b < 1.$$

We need to find  $a$  and  $b$  such that  $b^{N_1} a^{N_n} > a_n^{N_n}$  and

$$\sum_{j=1}^{n-1} r_j^{p_j} - (1 - t)^m \geq 0 \text{ for } (r_1, \dots, r_{n-1}, t) \in (0, \dots, 0, 1) \text{ satisfying (2.15).}$$

Let  $a_0 = cma_n$ ,  $a' = cma$ . We want to find  $x \in (0, 1)$  such that

$$(2.16) \quad 1 = br_1 + \sum_{j=2}^{n-1} a_j r_j + a' t \\ = xr_1 + \sum_{j=2}^{n-1} a_j r_j + a_0 \left[ 1 - \left( (1 - |x|^{p_1}) \sum_{j=2}^{n-1} r_j^{p_j} + x^{p_1} (1 - t)^m \right)^{1/m} \right].$$

Notice that (2.16) holds if and only if

$$(2.17) \quad a_0 \left[ 1 - \left( (1 - |x|^{p_1}) \sum_{j=2}^{n-1} r_j^{p_j} + x^{p_1} (1 - t)^m \right)^{1/m} \right] + \sum_{j=2}^{n-1} a_j r_j + xr_1 - 1 = 0.$$

Next we show that the equality of (2.17) has a positive solution  $x$ . Let

$$h(x) := a_0 \left[ 1 - \left( (1 - |x|^{p_1}) \sum_{j=2}^{n-1} r_j^{p_j} + x^{p_1} (1 - t)^m \right)^{1/m} \right] + \sum_{j=2}^{n-1} a_j r_j + x r_1 - 1.$$

Since  $h(0) = -1 + a_0 < 0$  and  $br_1 + \sum_{j=2}^{n-1} a_j r_j + a't = 1$  implies that

$$r_1 \geq \frac{1 - a_2 - \dots - a_{n-1} - a'}{b}.$$

If  $b < \frac{1 - a' - \sum_{j=2}^{n-1} a_j}{1 - a_0 - \sum_{j=2}^{n-1} a_j}$ , we have

$$\begin{aligned} h(1) &= r_1 + \sum_{j=2}^{n-1} a_j r_j + a_0 t - 1 \\ &= r_1 + br_1 + \sum_{j=2}^{n-1} a_j r_j + a't - br_1 - a't + a_0 t - 1 \\ &= (1 - b)r_1 - (a' - a_0)t \\ &\geq (1 - b) \frac{1 - a_2 - \dots - a_{n-1} - a'}{b} - (a' - a_0) > 0. \end{aligned}$$

Let

$$(2.18) \quad \begin{aligned} r'_1 &= x r_1 \in (0, 1), \quad r'_j = r_j \text{ for } j = 2, \dots, n - 1, \\ t' &= 1 - \left( (1 - |x|^{p_1}) \sum_{j=2}^{n-1} r_j^{p_j} + x^{p_1} (1 - t)^m \right)^{1/m}. \end{aligned}$$

Then there exists  $x \in (0, 1/r)$  such that  $r'_1 + \sum_{j=2}^{n-1} a_j r'_j + a_0 t' = 1$ . Thus

$$(2.19) \quad 0 \leq \sum_{j=1}^{n-1} r_j^{p_j} - (1 - t')^m = x^{p_1} \left( \sum_{j=1}^{n-1} r_j^{p_j} - (1 - t)^m \right),$$

which implies that  $\sum_{j=1}^{n-1} r_j^{p_j} - (1 - t)^m \geq 0$  for  $(r, t) \in \partial E_{(b, a_2, \dots, a_{n-1}, a)}$ .

Moreover, for any  $(r'_1, \dots, r'_{n-1}, t') \in (0, 1]^n$  such that  $r'_1 + \sum_{j=2}^{n-1} a_j r'_j + a_0 t' = 1$  and  $\sum_{j=1}^{n-1} r_j^{p_j} - (1 - t')^m = 0$ . We can reverse the above process by choosing  $x > 0$  such that

$$\begin{aligned} \tilde{r}_1 &= r'_1 x^{-1}, \quad \tilde{r}_j = r'_j \text{ for } j = 2, \dots, n - 1, \\ \tilde{t} &= 1 - \left( x^{-p_1} (1 - t')^m - (x^{-p_1} - 1) \sum_{j=2}^{n-1} r_j^{p_j} \right)^{1/m} \in [0, 1]. \end{aligned}$$

Moreover, there exist  $a', b$  such that

$$1 = b\tilde{r}_1 + \sum_{j=2}^{n-1} a_j \tilde{r}_j + a'\tilde{t}$$

$$= x\tilde{r}_1 + a_0 \left[ 1 - \left( (1 - |x|^{p_1}) \sum_{j=2}^{n-1} \tilde{r}_j^{p_j} + x^{p_1} (1 - \tilde{t})^m \right)^{1/m} \right].$$

By (2.18) and (2.19), we have

$$\left( \sum_{j=1}^{n-1} r_j^{p_j} - (1 - t)^m \right) \Big|_{(\tilde{r}_1, \dots, \tilde{r}_{n-1}, \tilde{t})} = 0.$$

For any  $a_0 < a' < 1$ , we choose

$$1 > \frac{1 - a' - \sum_{j=2}^{n-1} a_j}{1 - a_0 - \sum_{j=2}^{n-1} a_j} > b > \left( \frac{a_0}{a'} \right)^{N_2/N_1}.$$

Then

$$a^{N_2} b^{N_1} > a_2^{N_2}.$$

The proof of Part (iii) is complete. □

To solve Problem (2.13), we will divide our argument into the following cases:

**Case 1:**  $(r^0, t^0) \in [0, 1]^n$ .

By the Lagrange multiplier method, the minimizers of extremal problem (2.13) must be located in the solutions of

$$(2.20) \quad \begin{cases} p_j r_j^{p_j-1} = a_j \lambda, j = 1, \dots, n-1; (1-t)^{m-1} = ca_n \lambda, \\ \sum_{j=1}^{n-1} a_j r_j + ca_n m t = 1. \end{cases}$$

From (2.20), we have

$$(2.21) \quad p_j r_j^{p_j} = a_j r_j \lambda, \quad 1 \leq j \leq n-1; \quad mt(1-t)^{m-1} = cma_n t \lambda,$$

and

$$(2.22) \quad \sum_{j=1}^{n-1} p_j r_j^{p_j} + mt(1-t)^{m-1} = \lambda.$$

Therefore,

$$(2.23) \quad a_j = p_j r_j^{p_j-1} / \lambda, \quad j = 1, \dots, n-1; \quad a_n = c^{-1} (1-t)^{m-1} / \lambda.$$

Let

$$(2.24) \quad \begin{aligned} F(r_1, \dots, r_{n-1}, t) &:= \det A = a_1^{N_1} \dots a_n^{N_n} \\ &= \prod_{j=1}^{n-1} \left( \frac{p_j r_j^{p_j-1}}{\lambda} \right)^{N_j} \left( \frac{(1-t)^{m-1}}{c\lambda} \right)^{N_n} \\ &= C_D \prod_{j=1}^{n-1} r_j^{N_j(p_j-1)} (1-t)^{N_n(m-1)} \lambda^{-N}, \end{aligned}$$

where

$$(2.25) \quad C_D = \prod_{j=1}^{n-1} p_j^{N_j} c^{-N_n}, \quad N = \sum_{j=1}^n N_j.$$

By (2.14) and (2.22), we have

$$(2.26) \quad \begin{aligned} \lambda &= \sum_{j=1}^{n-1} p_j r_j^{p_j} + m \left( 1 - \left( \sum_{j=1}^{n-1} r_j^{p_j} \right)^{1/m} \right) \left( \sum_{j=1}^{n-1} r_j^{p_j} \right)^{\frac{m-1}{m}} \\ &= \sum_{j=1}^{n-1} (p_j - m) r_j^{p_j} + m \left( \sum_{j=1}^{n-1} r_j^{p_j} \right)^{\frac{m-1}{m}}. \end{aligned}$$

Let

$$(2.27) \quad y_j = r_j^{p_j}, \quad j = 1, 2, \dots, n-1.$$

Then

$$(2.28) \quad \lambda = \sum_{j=1}^{n-1} p_j y_j - m \sum_{j=1}^{n-1} y_j + m \left( \sum_{j=1}^{n-1} y_j \right)^{(m-1)/m}.$$

Thus

$$(2.29) \quad \frac{\partial \lambda}{\partial y_j} = p_j - m + (m-1) \left( \sum_{j=1}^{n-1} y_j \right)^{-1/m}.$$

Then

$$(2.30) \quad \sum_{j=1}^{n-1} y_j \frac{\partial \lambda}{\partial y_j} = \lambda - \left( \sum_{j=1}^{n-1} y_j \right)^{(m-1)/m}.$$

Thus

$$(2.31) \quad G := \log F = -N \log \lambda + \log C_D + \sum_{j=1}^{n-1} N_j (1 - 1/p_j) \log y_j + \frac{m-1}{m} N_n \log \left( \sum_{j=1}^{n-1} y_j \right)$$

and

$$(2.32) \quad \frac{\partial G}{\partial y_j} = -\frac{N}{\lambda} \frac{\partial \lambda}{\partial y_j} + \frac{1}{y_j} N_j (1 - 1/p_j) + \frac{m-1}{m} N_n \frac{1}{\sum_{j=1}^{n-1} y_j} = 0.$$

Thus

$$(2.33) \quad -N y_j \frac{\partial \lambda}{\partial y_j} + N_j (1 - 1/p_j) \lambda + \frac{m-1}{m} \frac{y_j}{\sum_{j=1}^{n-1} y_j} N_n \lambda = 0.$$

Summing up (2.33) for  $j = 1, \dots, n-1$ , one has

$$(2.34) \quad -N \lambda + N \left( \sum_{j=1}^{n-1} y_j \right)^{(m-1)/m} + \sum_{j=1}^{n-1} N_j (1 - 1/p_j) \lambda + \frac{m-1}{m} N_n \lambda = 0.$$

Therefore,

$$(2.35) \quad - \left[ \frac{N_n}{m} + \sum_{j=1}^{n-1} \frac{N_j}{p_j} \right] \lambda + N \left( \sum_{j=1}^{n-1} y_j \right)^{(m-1)/m} = 0$$

and

$$(2.36) \quad \lambda = \frac{N \left( \sum_{j=1}^{n-1} y_j \right)^{(m-1)/m}}{\frac{N_n}{m} + \sum_{j=1}^{n-1} \frac{N_j}{p_j}} = \frac{N b^{(m-1)/m}}{N(p)},$$

where

$$(2.37) \quad b = \sum_{j=1}^{n-1} y_j \quad \text{and} \quad N(p) := \frac{N_n}{m} + \sum_{j=1}^{n-1} \frac{N_j}{p_j}.$$

By (2.29), (2.33) and (2.37), one has

$$(2.38) \quad -N y_j [(p_j - m) + (m - 1)b^{-1/m}] + N_j (1 - 1/p_j) \lambda + \frac{m - 1}{m} \frac{N_n \lambda}{b} y_j = 0.$$

By (2.36) and (2.38), one has

$$(2.39) \quad y_j [N(p_j - m) + N(m - 1)b^{-1/m} - \frac{m - 1}{m} \frac{N_n N b^{-1/m}}{N(p)}] = N_j (1 - 1/p_j) \lambda.$$

Therefore,

$$(2.40) \quad y_j = \frac{N_j (1 - 1/p_j) b}{N(p)(p_j - m)b^{1/m} + (m - 1) - \frac{m-1}{m} \frac{N_n}{N(p)}} \\ = \frac{N_j (1 - 1/p_j) b}{N(p)(p_j - m)b^{1/m} + (m - 1) \sum_{k=1}^{n-1} N_k/p_k}.$$

Summing up (2.40) for  $j = 1, \dots, n - 1$ , we have

$$b = \sum_{j=1}^{n-1} \frac{N_j (1 - 1/p_j) b}{N(p)(p_j - m)b^{1/m} + (m - 1) \sum_{k=1}^{n-1} N_k/p_k}.$$

Then

$$(2.41) \quad \sum_{j=1}^{n-1} \frac{N_j/p_j(p_j - 1)}{N(p)(p_j - m)b^{1/m} + (m - 1) \sum_{k=1}^{n-1} N_k/p_k} = 1.$$

It is clear that

$$(2.42) \quad b^{1/m} = \frac{\sum_{k=1}^{n-1} N_k/p_k}{N(p)}$$

is a solution of (2.41).

Let

$$(2.43) \quad I = \{1, \dots, n - 1\}, \quad J = \{j : p_j = 1, j \in I\}.$$

By (2.40) and (2.42), one has

$$(2.44) \quad y_j = \frac{N_j}{p_j N(p)} \left( \frac{\sum_{k=1}^{n-1} N_k/p_k}{N(p)} \right)^{m-1}, \quad j \in I \setminus J.$$

By (2.23), (2.27), (2.36) and (2.44), we have

$$(2.45) \quad a_j = p_j \left[ \frac{N_j}{p_j N(p)} \left( \frac{\sum_{k=1}^{n-1} N_k/p_k}{N(p)} \right)^{m-1} \right]^{1-1/p_j} \frac{N(p)}{N} \left( \frac{N(p)}{\sum_{k=1}^{n-1} N_k/p_k} \right)^{m-1} \\ = \frac{p_j^{1/p_j} N_j^{1-1/p_j} N(p)^{m/p_j}}{N} \left( \sum_{k=1}^{n-1} N_k/p_k \right)^{-(m-1)/p_j}, \quad j \in I \setminus J,$$

and

$$(2.46) \quad a_n = \frac{(1-t)^{m-1}}{c\lambda} = \frac{b^{\frac{(m-1)}{m}}}{c^{\frac{N}{N(p)}} b^{(m-1)/m}} = \frac{N(p)}{cN}.$$

For  $j \in J$ , by (2.23), we have  $a_j = 1/\lambda$ . Thus (2.36) and (2.42) yield

$$(2.47) \quad a_j = \frac{N(p)^m}{N} \left( \sum_{k=1}^{n-1} N_k/p_k \right)^{(1-m)} \quad j \in J.$$

**Case 2. Either  $t^0 = 1$  or there exist some  $r_j^0 = 1$**

1. If  $t^0 = 1$ , by (2.13), one has that  $r_j^0 = 0$  for all  $1 \leq j \leq n - 1$ , then

$$(2.48) \quad cma_n = 1.$$

When  $mN(p) < N$ , one can easily see that there exists at least one  $p_j > m$ , we may assume that  $p_1 > m$ . For any point  $(r_1, 0, \dots, 0, t)$  satisfying  $a_1 r_1 + t = 1$ , by (2.13), we have  $r_1^{p_1} - (1-t)^m \geq 0$ , which is impossible by the proof of Part (ii) of Proposition 2.8. Therefore, this case can not happen when  $mN(p) < N$ .

2. If there exist some  $r_j^0 = 1$ , then by (2.13), there is only one  $r_j^0 = 1$ , we may also assume that  $r_1^0 = 1$ , then  $r_k^0 = 0$  for  $k \neq 1$  and  $t^0 = 0$  such that

$$(2.49) \quad a_1 = 1.$$

When  $mN(p) < N$ , by the discussion above, we have  $cma_n < 1$ . For  $n \geq 2$ , Then  $E_{(1, a_2, \dots, a_n)}(a_n < \frac{1}{cm})$  is the minimal circumscribed Hermitian ellipsoid of  $D_h$ , which contradicts statement of Proposition 2.9. Therefore, this case also can not happen.

As a summary, we have proved the following theorem.

**Theorem 2.10.** *Let  $m, n, N_1, \dots, N_n$  be positive integers and  $p_1, \dots, p_{n-1}$  are positive real numbers such that  $mN(p) < N$  and (2.41) has unique solution  $b$  given by (2.42). Let  $D_h$  be defined by (2.10) and (2.11). Then the minimal circumscribed Hermitian ellipsoid of  $D_h$  is given by*

$$(2.50) \quad E_{(a_1, \dots, a_n)} := \{(Z_1, \dots, Z_n) \in \mathbb{C}^{N_1} \times \dots \times \mathbb{C}^{N_n} : a_1 \|Z_1\|^2 + \dots + a_n \|Z_n\|^2 < 1\},$$

where  $a_j$  are given by (2.45)-(2.47).

Remark 2. (i) If we substitute  $p_j = 1$  into (2.45), then we obtain (2.47) eventually.

(ii) Let

$$(2.51) \quad \begin{aligned} J_1 &:= \{j \mid p_j < 1, j \in I\}, \\ J_2 &:= \{j \mid 1 < p_j < m, j \in I\}, \\ J_3 &:= \{j \mid p_j > m, j \in I\}. \end{aligned}$$

By (2.41), we let

$$(2.52) \quad H(t) = \sum_{j=1}^{n-1} \frac{N_j/p_j(p_j - 1)}{N(p)(p_j - m)t + (m - 1) \sum_{k=1}^{n-1} N_k/p_k}.$$

Notice that

$$(2.53) \quad H'(t) = N(p) \sum_{j=1}^{n-1} \frac{N_j/p_j(p_j - 1)(p_j - m)}{[N(p)(p_j - m)t + (m - 1) \sum_{k=1}^{n-1} N_k/p_k]^2}.$$

If  $I \setminus J = J_i$  for either  $i = 1, 2, 3$ , then  $H(t)$  is monotonic function and (2.41) has unique solution  $b$  given by (2.42).

### 3. Explicit formula for C-extremal maps

Let

$$(3.1) \quad N_n = \begin{cases} q\ell, & \text{if } \mathcal{A} = I; \\ \frac{q(q+1)}{2}, & \text{if } \mathcal{A} = II; \\ \frac{q(q-1)}{2}, & \text{if } \mathcal{A} = III. \end{cases}$$

$$(3.2) \quad m = \begin{cases} q, & \text{if } \mathcal{A} = I \text{ or } II; \\ 2[\frac{q}{2}], & \text{if } \mathcal{A} = III. \end{cases} \quad c = \begin{cases} 1, & \text{if } \mathcal{A} = I \text{ or } II; \\ \frac{1}{2}, & \text{if } \mathcal{A} = III. \end{cases}$$

By Remark 1 and Theorem 2.10, we can obtain the minimal circumscribed Hermitian ellipsoid of Hua domain, which promotes us to give the C-extremal map for the Hua domain in this section. When  $m = 1$ , Hua domain is ellipsoid, we may consult [8] for discussion of the C-extremal problem. Therefore, in this section, we may assume that  $m > 1$ .

We are going to view  $HE_{\mathcal{A}}(n, \mathbf{N}, \mathbf{p}, q, \ell)$  as a domain in  $\mathbb{C}^{\mathbf{N}} \times \mathbb{C}^{N_n}$  and  $R_{\mathcal{A}}(q, \ell)$  ( $\mathcal{A} = I, II, III$ ) as a domain in  $\mathbb{C}^{N_n}$  as follows:

(i) For  $Z \in R_I(q, \ell)$ , we let

$$(3.3) \quad z = (z_{11}, \dots, z_{1q}, z_{21}, \dots, z_{2q}, \dots, z_{q\ell}) \in \mathbb{C}^{q\ell}$$

and  $\|z\|^2 = \|Z\|^2 = \text{tr}(ZZ^*)$ .

(ii) For  $X = [x_{jk}] \in R_{II}(q)$ , we consider

$$(3.4) \quad \hat{R}_{II}(q) := \{Z : x_{jk} = \frac{z_{jk}}{\sqrt{2p_{jk}}}, X \in R_{II}(q)\},$$

where

$$(3.5) \quad p_{jk} = \begin{cases} 1, & \text{if } j \neq k; \\ \frac{1}{\sqrt{2}}, & \text{if } j = k. \end{cases}$$

For  $Z \in \hat{R}_{II}(q)$ , let

$$(3.6) \quad z = (z_{11}, \dots, z_{1q}, z_{22}, \dots, z_{2q}, \dots, z_{qq}) \in \mathbb{C}^{\frac{q(q+1)}{2}}.$$

Then

$$\|z\|^2 = \|Z\|^2 = \text{tr}(XX^*).$$

Instead of  $HE_{II}(n, \mathbf{N}, \mathbf{p}, q)$ , we define

$$(3.7) \quad \hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}; q) := \left\{ (w_1, \dots, w_{n-1}, Z) \in \mathbb{C}^{\mathbf{N}} \times \hat{R}_{II}(q) : \|w_1\|^{2p_1} + \dots + \|w_{n-1}\|^{2p_{n-1}} < \det(I - ZZ^*) \right\},$$

where  $Z = [z_{jk}] \in \hat{R}_{II}(q)$ .

(iii) For  $Z \in R_{III}(q)$ , we let

$$(3.8) \quad z = (z_{12}, \dots, z_{1q}, z_{23}, \dots, z_{2q}, \dots, z_{(q-1)q}) \in \mathbb{C}^{\frac{q(q-1)}{2}}$$

and  $2\|z\|^2 = 2\|Z\|^2 = \text{tr}(ZZ^*)$ .

Let  $I, J$  be defined by (2.43). Now we can state the main results of the paper. By Proposition 2.2, Theorem 2.10 and Remark 2(i), It is easy to prove the following theorem.

**Theorem 3.1.** *Let  $HE_{\mathcal{A}}(n, \mathbf{N}, \mathbf{p}, q, \ell)$  ( $\mathcal{A} = I, III$ ) (or  $\hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}; q)$ ) be Hua domain defined by (1.6) (or (3.7)) with  $N = \sum_{j=1}^n N_j$  and  $p_1, \dots, p_{n-1}$  are positive real numbers. If  $mN(p) < N$  and (2.41) has unique solution  $b$  given by (2.42). Then the  $C$ -extremal map  $F : HE_{\mathcal{A}}(n, \mathbf{N}, \mathbf{p}, q, \ell)$  (or  $\hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}; q)$ )  $\rightarrow B_N$  is given by*

$$(3.9) \quad F(w_1, \dots, w_{n-1}, Z) = (\sqrt{a_1}w_1, \dots, \sqrt{a_{n-1}}w_{n-1}, \sqrt{a_n}Z),$$

where

$$(3.10) \quad a_j = \frac{p_j^{1/p_j} N_j^{1-1/p_j} N(p)^{1/p_j}}{N} \left( \sum_{k=1}^{n-1} N_k/p_k \right)^{-(m-1)/p_j} \quad j \in I; \quad a_n = \frac{N(p)}{cN}.$$

**Theorem 3.2.** *Let  $HE_{II}(n, \mathbf{N}, \mathbf{p}, q)$  be Hua domain defined by (1.6) and  $p_1, \dots, p_{n-1}$  are positive real numbers,  $mN(p) < N$  and (2.41) has a unique solution  $b$  given by (2.42). Let  $F(w_1, \dots, w_{n-1}, Z)$  be the map defined by (3.9)-(3.10) and*

$$(3.11) \quad h : HE_{II}(n, \mathbf{N}, \mathbf{p}, q) \rightarrow \hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}, q),$$

$$(3.12) \quad (w_1, \dots, w_{n-1}, [x_{jk}]) \mapsto (w_1, \dots, w_{n-1}, [\sqrt{2}p_{jk}x_{jk}]).$$

Then the  $C$ -extremal map  $T(w_1, \dots, w_{n-1}, X) : HE_{II}(n, \mathbf{N}, \mathbf{p}, q) \rightarrow B_N$  is given by

$$(3.13) \quad T = F \circ h.$$

*Proof.* By Theorem 3.1, the  $C$ -extremal map  $F(w_1, \dots, w_{n-1}, Z)$  from  $\hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}; q)$  to  $B_N$  is given by (3.9)-(3.10). Let  $X = [x_{jk}] \in R_{II}(q)$ ,  $[Z] = [\sqrt{2}p_{jk}x_{jk}] \in \hat{R}_{II}(q)$ , we consider

$$h : HE_{II}(n, \mathbf{N}, \mathbf{p}, q) \rightarrow \hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}, q)$$

$$(w_1, \dots, w_{n-1}, [x_{jk}]) \mapsto (w_1, \dots, w_{n-1}, [\sqrt{2}p_{jk}x_{jk}]).$$

Obviously,  $h$  is a biholomorphic mapping from  $HE_{II}(n, \mathbf{N}, \mathbf{p}, q)$  to  $\hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}, q)$ . Let  $T = F \circ h$ , then  $T(w_1, \dots, w_{n-1}, X)$  is the  $C$ -extremal holomorphic map from  $HE_{II}(n, \mathbf{N}, \mathbf{p}; q)$  to  $B_N$ .  $\square$

By Theorem 3.1 and Remark 2, it is easy to prove the following corollary.

**Corollary 3.3.** Let  $HE_{\mathcal{A}}(n, \mathbf{N}, \mathbf{p}, q, \ell)$  ( $\mathcal{A} = I, III$ ) (or  $\hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}; q)$ ) be Hua domain defined by (1.6) (or (3.7)) with  $N = \sum_{j=1}^n N_j$ . Then the  $C$ -extremal holomorphic map  $F : HE_{\mathcal{A}}(n, \mathbf{N}, \mathbf{p}, q, \ell)$  (or  $\hat{H}E_{II}(n, \mathbf{N}, \mathbf{p}; q)$ )  $\rightarrow B_N$  is given by

$$F(w_1, \dots, w_{n-1}, Z) = (\sqrt{a_1}w_1, \dots, \sqrt{a_{n-1}}w_{n-1}, \sqrt{a_n}Z).$$

Let  $K = \{k \mid p_k = m, k \in I\}$ . If  $I \setminus K = J_3$  is non-empty or  $I \setminus J = J_3$  such that  $mN(p) < N$ , then (3.9)-(3.10) hold.

*Remark 3.* For Hua domain of the first type  $HE_I(n, \mathbf{N}, \mathbf{p}, q, \ell)$  with  $N = \sum_{j=1}^n N_j$ .

(i) When all  $p_j > m$ , the results of [10] and [13] are included in statement of Corollary 3.3.

(ii) When  $n = 2$ ,  $p_1 = k$ , the results of [19] and [15] are included in statements of Proposition 2.8 and Corollary 3.3.

(iii) When  $HE_I(n, \mathbf{N}, \mathbf{p}, q, \ell) = CE_I(k, q, \ell)$  with  $n = 3$ ,  $N_2 = q\ell$ ,  $p_1 = 1$ ,  $p_2 = k$ , the result of [12] is included in Proposition 2.7 and Corollary 3.3.

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