

## AN ELABORATION OF ANNIHILATORS OF POLYNOMIALS

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ABSTRACT. In this note we elaborate first on well-known theorems for annihilators of polynomials over IFP rings by investigating the concrete shapes of nonzero constant annihilators. We consider next a generalization of IFP which preserves Abelian property, in relation with annihilators of polynomials, observing the basic structure of rings satisfying such condition.

### 1. Annihilators of polynomials on IFP rings

IFP and Abelian ring property have important roles in noncommutative ring theory and module theory. We continue in this section the studies of Nielsen [22] and Shin [25], being concerned with the constant annihilators of polynomials, and introduce a generalization of IFP which preserves Abelian property.

Throughout this note every ring is an associative ring with identity unless otherwise stated. Given a ring  $R$ , let  $N(R)$ ,  $N_*(R)$ , and  $J(R)$  denote the set of all nilpotent elements, the prime radical, and the Jacobson radical in  $R$ , respectively. The polynomial (resp., power series) ring with an indeterminate  $x$  over  $R$  is denoted by  $R[x]$  (resp.,  $R[[x]]$ ). The right annihilator of  $S$  in  $R$  is denoted by  $r_R(S)$ , and by  $r_R(a)$  when  $S = \{a\}$ . The degree of a polynomial  $f(x)$  is denoted by  $\deg f(x)$ . The  $n$  by  $n$  full (resp. upper triangular) matrix ring over  $R$  is denoted by  $\text{Mat}_n(R)$  (resp.  $U_n(R)$ ), and denote by  $e_{ij}$  the matrix with  $(i, j)$ -entry 1 and elsewhere zero.  $\mathbb{Z}$  denotes the ring of integers, and  $\mathbb{Z}_n$  denotes the ring of integers modulo  $n$ .

A ring  $R$  (possibly without identity) is called *reduced* if  $N(R) = 0$ . A well-known property that unifies the commutativity and the reduced condition is the *insertion-of-factors-property*. Due to Bell [4], a ring  $R$  (possibly without identity) is called to satisfy the *insertion-of-factors-property* (simply, an *IFP* ring) if  $ab = 0$  implies  $aRb = 0$  for  $a, b \in R$ . Narbonne [21] and Shin [25] used the terms *semicommutative* and *SI* for the IFP, respectively. Commutative rings are clearly IFP, and any reduced ring is IFP by a simple computation.

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There exist many non-reduced commutative rings (e.g.,  $\mathbb{Z}_{n^l}$  for  $n, l \geq 2$ ), and many noncommutative reduced rings (e.g., direct products of noncommutative domains). A ring is usually called *Abelian* if each idempotent is central. A simple computation yields that IFP rings are Abelian. It is also easily checked that  $N(R) = N_*(R)$  for an IFP ring  $R$ .

In the following arguments, we study annihilators of polynomials by elaborating upon Camillo and Nielsen's interesting theorems for zero-dividing polynomials on IFP rings. Recall the following two results:

[7, Theorem 5.5] (Camillo and Nielsen) Let  $R$  be an IFP ring, and let  $f(x), g(x) \in R[x]$  be non-zero polynomials satisfying  $f(x)g(x) = 0$ . If  $r_{R[x]}(f(x)) \cap R = (0)$ , then  $\deg(f(x)) > 2$ .

[22, Theorem 4] (Nielsen) Let  $R$  be an IFP ring. Given  $f(x)g(x) = 0$  with  $f(x), g(x) \neq 0$  then (at least) one of  $r_{R[x]}(f(x)) \cap R$  or  $r_{R[x]}(g(x)) \cap R$  is nonzero.

This work is able to give alternate ways to construct elements in the annihilators as we see in Theorems 1.1, 1.2 and 1.4 to follow. We demonstrate the differences between these methods via examples.

Now let  $R$  be an IFP ring, and  $f(x), g(x) \in R[x]$  be nonzero polynomials satisfying  $f(x)g(x) = 0$ . In this situation, Camillo and Nielsen showed that if  $r_{R[x]}(f(x)) \cap R = 0$  then  $\deg f(x) > 2$  in [7, Theorem 5.5]. We here elaborate upon this theorem by finding nonzero elements in  $R$  contained in the right annihilator of  $f(x)$  when the degree of  $f(x)$  is  $\leq 2$ . The following computation is done for the case of  $\deg f(x) = 1$ .

**Theorem 1.1.** *Let  $R$  be a ring, and  $0 \neq f(x), 0 \neq g(x) \in R[x]$  be such that  $f(x)g(x) = 0$  and  $\deg f(x) = 1$ . If  $R$  is IFP, then there exists  $0 \neq r \in R$  with  $f(x)r = 0$ .*

*Proof.* Let  $f(x) = a_0 + a_1x$  and  $g(x) = b_0 + b_1x + \cdots + b_{n-1}x^{n-1} + b_nx^n$  with  $a_1 \neq 0, b_n \neq 0$ .

If  $n = 1$ , then it was proved by [7, Proposition 5.3]. So assume  $n \geq 2$ . From  $f(x)g(x) = 0$ , we get  $a_0b_0 = a_0b_1 + a_1b_0 = \cdots = a_0b_{n-1} + a_1b_{n-2} = a_1b_{n-1} + a_0b_n = a_1b_n = 0$ .

If  $a_0b_n = 0$ , then  $f(x)b_n = 0$ .

If  $a_0b_n \neq 0$ , then  $a_1b_{n-1} \neq 0$ . But  $a_1b_n = 0$ , and hence by IFP property we get  $a_1(a_1b_{n-1} + a_0b_n) = a_1^2b_{n-1} = 0$ . Here if  $a_0(a_0b_n) = 0$ , then  $f(x)(a_0b_n) = 0$ . So suppose  $a_0(a_0b_n) \neq 0$ . But we have  $a_0^{n+1}b_n = 0$  by [7, Lemma 5.4], and so there exists  $l > 1$  such that  $a_0^l b_n \neq 0$  and  $a_0^{l+1} b_n = 0$ . Then  $a_0(a_0^l b_n) = 0$ . We also get  $a_1(a_0^l b_n) = 0$  by IFP property. These yield  $f(x)(a_0^l b_n) = 0$ .  $\square$

The following computation is done for the case of  $\deg f(x) = 2$ .

**Theorem 1.2.** *Let  $R$  be a ring, and  $f(x) = a_0 + a_1x + a_2x^2, g(x) = \sum_{j=0}^n b_jx^j \in R[x]$  be such that  $f(x)g(x) = 0$  and  $a_2 \neq 0, b_n \neq 0$ . If  $R$  is IFP, then there exists  $0 \neq r \in R$  with  $f(x)r = 0$ .*

*Proof.* We will proceed by induction on  $n$ . We first compute the case of  $n = 1$ . Let  $R$  be IFP and  $g(x) = b_0 + b_1x$  with  $f(x)g(x) = 0$ . From  $f(x)g(x) = 0$ , we get  $a_0b_0 = 0, a_0b_1 + a_1b_0 = 0, a_1b_1 + a_2b_0 = 0, a_2b_1 = 0$ . If  $b_0 = 0$ , then  $f(x)b_1 = 0$ . So assume  $b_0 \neq 0$ . We apply the proof of [7, Proposition 5.3 and Theorem 5.5].

If  $a_1b_0 = a_2b_0 = 0$ , then  $f(x)b_0 = 0$ .

If  $a_1b_0 = 0, a_2b_0 \neq 0$ , then  $a_0b_1 = 0$  and  $a_1b_1 \neq 0$ . By IFP property,  $a_0(a_1b_1) = 0, a_1(a_1b_1) = a_1(-a_2b_0) = 0$  and  $a_2(a_1b_1) = 0$ , entailing  $f(x)a_1b_1 = 0$ .

If  $a_1b_0 \neq 0, a_2b_0 = 0$ , then  $a_0b_1 \neq 0$  and  $a_1b_1 = 0$ . By IFP property,  $a_0(a_0b_1) = a_0(-a_1b_0) = 0, a_1(a_0b_1) = 0$  and  $a_2(a_0b_1) = 0$ .

If  $a_1b_0 \neq 0, a_2b_0 \neq 0$ , then  $a_0b_1 \neq 0$  and  $a_1b_1 \neq 0$ . From  $f(x)g(x) = 0$  we have  $(a_0 + a_1 + a_2)(b_0 + b_1) = 0$ . Here if  $b_0 + b_1 = 0$ , then  $f(x)b_0(1 - x) = 0$  and so  $f(x)b_0 = 0$ . So we assume  $b_0 + b_1 \neq 0$ . Then, by IFP property,  $0 = (a_0 + a_1 + a_2)a_0(b_0 + b_1) = (a_0 + a_1 + a_2)a_0b_1 = a_0(-a_1b_0) + a_1a_0b_1 + a_2a_0b_1 = a_1a_0b_1$ , so  $f(x)(a_0b_1) = 0$ .

Suppose  $n \geq 2$ . Note that  $g(x) = (x - 1)g'(x) + b$  for some  $0 \neq g'(x) \in R[x]$  and  $b \in R$ . If  $b = g(1) = 0$ , then  $0 = f(x)g(x) = f(x)g'(x)(x - 1)$  implies  $f(x)g'(x) = 0$ . Since  $\deg g'(x) < \deg g(x)$ , there exists  $0 \neq r \in R$  such that  $f(x)r = 0$  by the induction hypothesis. So assume  $b = b_0 + \dots + b_n = g(1) \neq 0$ . We have  $0 = f(1)g(1) = (a_0 + a_1 + a_2)b$ , from  $f(x)g(x) = 0$ .

Let  $a_1b = 0$ . We already have  $a_0^{n+1}g(x) = 0 = a_2^{n+1}g(x)$  (hence  $a_0^{n+1}b = 0 = a_2^{n+1}b$ ) by [7, Lemma 5.4]. So there exists  $h \geq 1$  such that  $a_0^hb = 0$  and  $a_0^{h-1}b \neq 0$ . If  $a_2(a_0^{h-1}b) = 0$ , then  $f(x)(a_0^{h-1}b) = 0$  by IFP property. If  $a_2(a_0^{h-1}b) \neq 0$ , then there exists  $k \geq 1$  such that  $a_2^k(a_0^{h-1}b) = 0$  and  $a_2^{k-1}(a_0^{h-1}b) \neq 0$  since  $a_2^{n+1}(a_0^{h-1}b) = 0$  by IFP property. So  $f(x)(a_2^{k-1}a_0^{h-1}b) = 0$  by IFP property.

Let  $a_1b \neq 0$ . We have  $(a_0 + a_1 + a_2)a_1b = 0$  by IFP property. Through a similar process to the preceding computation, we can find  $s, t \geq 1$  such that  $a_0^s(a_1b) = 0, a_0^{s-1}(a_1b) \neq 0$ , and  $a_2^t(a_0^{s-1}a_1b) = 0, a_2^{t-1}(a_0^{s-1}a_1b) \neq 0$ . This yields

$$0 = (a_0 + a_1 + a_2)(a_2^{t-1}a_0^{s-1}a_1b) = a_1(a_2^{t-1}a_0^{s-1}a_1b)$$

with the help of IFP property. So we now have  $f(x)(a_2^{t-1}a_0^{s-1}a_1b) = 0$ .

Therefore there exists nonzero  $r \in R$  such that  $f(x)r = 0$  in any case.  $\square$

In [22, Section 3], Nielsen constructed an IFP ring  $R$  and found polynomials  $f(x), g(x) \in R[x]$ , with  $\deg f(x) = 3, \deg g(x) = 1$ , such as  $f(x)g(x) = 0$  and there does not exist nonzero  $r \in R$  with  $f(x)r = 0$ . It is obvious that  $r_{R[x]}(f(x)) = r_{R[x]}((1 + x^k)f(x))$  for every  $k \geq 1$ . So we can conclude that given any  $h \geq 3$  and  $l \geq 1$ , there exist  $f(x), g(x) \in R[x]$ , with  $\deg f(x) = h, \deg g(x) = l$ , such as  $f(x)g(x) = 0$  and there does not exist nonzero  $r \in R$  with  $f(x)r = 0$ , where  $R$  is the IFP ring in [22, Section 3].

We now construct a ring which we will use in later examples to demonstrate the differences between our constructions and those in [7].

**Example 1.3.** Let  $A = \mathbb{Z}_2\langle \alpha_0, \alpha_1, \alpha_2, \beta_0, \beta_1, \beta_2 \rangle$  be the free algebra generated by noncommuting indeterminates  $\alpha_0, \alpha_1, \alpha_2, \beta_0, \beta_1, \beta_2$  over  $\mathbb{Z}_2$ . We apply the ring construction and arguments in [15, Example 2.1]. Let  $B$  be the subalgebra of  $A$  which consists of all polynomials with zero constant terms in  $A$ . Note  $A = \mathbb{Z}_2 + B$ . Next consider an ideal  $I$  of  $A$  generated by

$$\alpha_0\beta_0, \alpha_2\beta_2, \beta_0^2, \beta_1^2, \beta_2^2, \alpha_0\beta_1 + \alpha_1\beta_0, \alpha_1\beta_2 + \alpha_2\beta_1, \alpha_0\beta_2 + \alpha_1\beta_1 + \alpha_2\beta_0, \\ \alpha_0r\beta_0, \alpha_2r\beta_2, \beta_0r\beta_0, \beta_1r\beta_1, \beta_2r\beta_2,$$

and

$$(\alpha_0 + \alpha_1 + \alpha_2)(\beta_0 + \beta_1 + \beta_2), (\alpha_0 + \alpha_1 + \alpha_2)r(\beta_0 + \beta_1 + \beta_2), r_1r_2r_3r_4$$

with  $r, r_1, r_2, r_3, r_4 \in B$ .

Note  $B^4 \subseteq I$ . Let  $R = A/I$ . First we prove that  $R$  is IFP. Each product of indeterminates  $\alpha_0, \alpha_1, \alpha_2, \beta_0, \beta_1, \beta_2$  is called a *monomial* and we say that an element of  $A$  is a *monomial of degree  $n$*  if it is a product of exactly  $n$  generators. Let  $H_n$  be the set of all linear combinations of monomials of degree  $n$  over  $\mathbb{Z}_2$ . Observe that  $H_n$  is finite for any  $n$  and that the ideal  $I$  of  $R$  is homogeneous (i.e., if  $\sum_{i=1}^s r_i \in I$  with  $r_i \in H_i$ , then every  $r_i$  is in  $I$ ).

**Claim 1.** If  $u_1v_1 \in I$  with  $u_1, v_1 \in H_1$ , then  $u_1rv_1 \in I$  for any  $r \in B$ .

*Proof.* By the definition of  $I$  we obtain the following cases:

$$(u_1 = c_1\alpha_0 + c_2\beta_0, v_1 = \beta_0), (u_1 = d_1\alpha_2 + d_2\beta_2, v_1 = \beta_2), (u_1 = \beta_1, v_1 = \beta_1), \\ \text{or } (u_1 = \alpha_0 + \alpha_1 + \alpha_2, v_1 = \beta_0 + \beta_1 + \beta_2),$$

where  $c_1, c_2, d_1, d_2 \in \mathbb{Z}_2$ . So we complete the proof, using the definition of  $I$  again.  $\square$

**Claim 2.** If  $uv \in I$  with  $u, v \in B$ , then  $urv \in I$  for any  $r \in B$ .

*Proof.* Observe that  $u = u_1 + u_2 + u_3 + u_4$ ,  $v = v_1 + v_2 + v_3 + v_4$  and  $r = r_1 + r_2 + r_3 + r_4$  for some  $u_1, v_1, r_1 \in H_1$ ,  $u_2, v_2, r_2 \in H_2$ ,  $u_3, v_3, r_3 \in H_3$ , and some  $u_4, v_4, r_4 \in I$ . Note that  $H_i \subseteq I$  for  $i \geq 4$ . So  $urv = u_1r_1v_1 + h$  for some  $h \in I$ .  $uv \in I$  implies  $u_1v_1 \in I$  since  $I$  is homogeneous; hence  $u_1r_1v_1 \in I$  by Claim 1. Consequently  $urv \in I$ .  $\square$

Let  $y, z \in A$  with  $yz \in I$  and  $r \in A$ . Note that  $y = c + y'$ ,  $z = d + z'$  for some  $c, d \in \mathbb{Z}_2$  and some  $y', z' \in B$ . So  $yz = cd + cz' + y'd + y'z' \in I$ ; hence  $c = 0$  or  $d = 0$ . Assume  $c = 0$ . Then  $y'd + y'z' \in I$ . If  $d \neq 0$ , then  $y' \in I$  because  $I$  is homogeneous and  $d \in \mathbb{Z}_2$ , entailing  $y'z' \in I$ . Moreover  $y'rz' \in I$  by Claim 2. Thus  $yrz = y'rd + y'rz' \in I$ . If  $d = 0$ , then  $y'z' \in I$ , entailing that  $y'rz' \in I$  by Claim 2. Thus,  $yrz = y'rz' \in I$ . The computation for the case of  $d = 0$  and  $c \neq 0$  is similar. Therefore  $R$  is IFP.

Next identify  $\alpha_0, \alpha_1, \alpha_2, \beta_0, \beta_1, \beta_2$  with their images in  $R$  for simplicity, and consider nonzero polynomials  $f(x) = \alpha_0 + \alpha_1x + \alpha_2x^2, g(x) = \beta_0 + \beta_1x + \beta_2x^2$ . Then

$$f(x)g(x) = \alpha_0\beta_0 + (\alpha_0\beta_1 + \alpha_1\beta_0)x + (\alpha_0\beta_2 + \alpha_1\beta_1 + \alpha_2\beta_0)x^2 + (\alpha_1\beta_2 + \alpha_2\beta_1)x^3 + \alpha_2\beta_2x^4 = 0.$$

We can find a nonzero constant right annihilator of  $f(x)$  by help of the proof of Theorem 1.2. Say  $g(x) = (x - 1)g_1(x) + \beta$ , where  $0 \neq g_1(x) \in R[x]$  and  $\beta \in R$ . Then  $\beta (= g(1)) = \beta_0 + \beta_1 + \beta_2 \neq 0, \alpha_1\beta \neq 0$ , and  $(\alpha_0 + \alpha_1 + \alpha_2)\alpha_1\beta = 0$  (from  $f(1)g(1) = 0$ ) by IFP property. Thus, we consider two sequences  $\{\alpha_0^s\alpha_1\beta \mid s \geq 1\}$  and  $\{\alpha_2^t\alpha_0^s\alpha_1\beta \mid t \geq 1, s \geq 1\}$ . Then

$$\alpha_0^2\alpha_1\beta = 0 \neq \alpha_0^1\alpha_1\beta \text{ and } \alpha_2^1\alpha_0^1\alpha_1\beta = 0 \neq \alpha_2^0\alpha_0^1\alpha_1\beta.$$

Therefore we can find a nonzero element  $r = \alpha_2^0\alpha_0^1\alpha_1\beta$  such that  $f(x)r = 0$  by help of the proof of Theorem 1.2.

McCoy proved in [20] that if two polynomials annihilate each other over a commutative ring, then each polynomial has a nonzero annihilator in the base ring. However Nielsen showed in [22, Section 3] that McCoy’s result need not hold over IFP rings (of course noncommutative), and next proved the following through [22, Lemmas 1, 3 and Theorem 4]. We here find another direct proof of this result independently.

**Theorem 1.4.** (1) *Let  $R$  be an IFP ring. Given  $f(x)g(x) = 0$  with  $f(x), g(x) \in R[x]$ , we have that  $r_{R[x]}(f(x)) \cap R \neq 0$  or  $r_{R[x]}(g(x)) \cap R \neq 0$ . (Similarly, for the left annihilators.)*

(2) *Let  $R$  be an IFP ring. Given  $f(x)g(x) = 0$  with  $f(x), g(x) \in R[x]$ , we have that (at least) one of  $r_{R[x]}(f(x))$  or  $r_{R[x]}(g(x))$  contains a nonzero ideal of  $R$ . (Similarly, for the left annihilators.)*

*Proof.* (1) Let  $R$  be an IFP ring and  $0 \neq f(x) = \sum_{i=0}^m a_i x^i, 0 \neq g(x) = \sum_{j=0}^n b_j x^j \in R[x]$  such that  $f(x)g(x) = 0$ . For our purpose of the proof, we can suppose  $a_0, a_m, b_0, b_n \in R \setminus \{0\}$  without loss of generality.

Now assume on the contrary that  $r_{R[x]}(f(x)) \cap R = 0$  and  $r_{R[x]}(g(x)) \cap R = 0$ . Since  $r_{R[x]}(f(x)) \cap R = 0$  and  $b_n \neq 0, f(x)b_n \neq 0$  and so we can find  $a_{k_1}$  such that  $a_{k_1}b_n \neq 0$ . Next since  $r_{R[x]}(g(x)) \cap R = 0$  and  $a_{k_1}b_n \neq 0, g(x)a_{k_1}b_n \neq 0$  and so we can find  $b_{k_2}$  such that  $b_{k_2}a_{k_1}b_n \neq 0$ . Note  $g(x)b_{k_2}a_{k_1}b_n \neq 0$ . We proceed alternatively in this manner. Then for any  $s \geq 0$  we can find

$$c_{s+1} = a_{k_{s+1}}b_{k_s} \cdots a_{k_3}b_{k_2}a_{k_1}b_{k_0} \neq 0$$

such that  $g(x)c_{s+1} \neq 0$ , where  $b_{k_0} = b_n$ . From

$$f(x)g(x) = \sum_{k=0}^{m+n} \sum_{i+j=k} a_i b_j x^{i+j} = 0,$$

we have the following equalities:

- (1)  $a_0b_0 = 0,$
- (2)  $a_0b_1 + a_1b_0 = 0,$
- (3)  $a_sb_{k-s} + a_{s+1}b_{k-s-1} + \cdots + a_{k-t-1}b_{t+1} + a_{k-t}b_t = 0,$
- (4)  $a_{m-1}b_n + a_mb_{n-1} = 0,$
- (5)  $a_mb_n = 0,$

where  $0 \leq s, \dots, k-t \leq m$  and  $0 \leq k-s, \dots, t \leq n$ . Since  $R$  is IFP, we have  $a_0Rb_0 = 0$  from the equality (1). Multiplying the equality (2) by  $b_0$  on the right side, we get  $a_1b_0b_0 = 0$  since  $a_0Rb_0 = 0$ , entailing  $a_1b_0a_1b_0 = 0$  since  $R$  is IFP. This yields  $a_1b_0, a_0b_1 \in N(R)$ . Summarizing, we have that

$a_ib_j \in N(R)$  (equivalently,  $Ra_iRb_jR \subseteq N(R)$  since  $R$  is IFP) for  $i+j = 0, 1$ .

Inductively we assume that  $a_ib_j \in N(R)$  for  $i+j = 0, 1, \dots, k-1$  with  $k \leq m+n$ . Since  $R$  is IFP, we also get  $Ra_iRb_jR \subseteq N(R)$  for  $i+j = 0, 1, \dots, k-1$ . We will use freely the elementary fact that  $N(R) = N_*(R)$ . Multiplying the equality (3) on the right side by  $b_t$ , we get

$$a_{k-t}b_t b_t = -(a_sb_{k-s}b_t + a_{s+1}b_{k-s-1}b_t + \cdots + a_{k-t-1}b_{t+1}b_t) \in N(R)$$

since  $Ra_iRb_jR \subseteq N(R)$  for  $i+j = 0, 1, \dots, k-1$ . Say  $(a_{k-t}b_t b_t)^l = 0$ . Since  $R$  is IFP, we also get  $(a_{k-t}b_t a_{k-t}b_t)^l = 0$  and this yields  $a_{k-t}b_t \in N(R)$ . Next multiplying the equality (3) on the right side by  $b_{t+1}, \dots$ , and  $b_{k-s-1}$  in turn, we can similarly obtain

$$(6) \quad a_{k-t-1}b_{t+1}b_{t+1}, \dots, a_{s+1}b_{k-s-1}b_{k-s-1} \in N(R)$$

since  $Ra_iRb_jR \subseteq N(R)$  for  $i+j = 0, 1, \dots, k-1$ . Since  $R$  is IFP, it can be obtained from (6) that

$$Ra_{k-t}Rb_tR, Ra_{k-t-1}Rb_{t+1}R, \dots, Ra_{s+1}Rb_{k-s-1}R$$

are all contained in  $N(R)$ , entailing  $Ra_sRb_{k-s}R \subseteq N(R)$ . This implies that  $Ra_iRb_jR \subseteq N(R)$  for all  $i$  and  $j$  with  $i+j = k$ , and so the induction process gives us the following:

$$Ra_iRb_jR \subseteq N(R) \text{ for all } i \text{ and } j \text{ with } 0 \leq i+j \leq m+n.$$

Then there exists  $v \geq 1$  such that  $(a_ib_j)^v = 0$  for all  $i, j$ . Further, we get  $(Ra_iRb_jR)^v = 0$  for all  $i, j$  since  $R$  is IFP.

Now let  $t = (2mn+2)(v+1)$ . Then we can find  $c_{t+1}$  such that  $g(x)c_{t+1} \neq 0$  as above. Here some  $a_{i_0}b_{j_0}$  occurs at least  $v$ -times in the nonzero product  $c_{t+1}$  since there are  $mn$  numbers of  $a_ib_j$ 's. But since  $(Ra_iRb_jR)^v = 0$  for all  $i, j$ , we also have  $c_{t+1} = 0$  and  $g(x)c_{t+1} = 0$ , a contradiction. This completes the proof.

(1) is equivalent to (2) when  $R$  is an IFP ring. □

Note that we can construct  $c_{s+1}$  starting by  $a_m \neq 0$  in the proof of Theorem 1.4. Analyzing the proof of Theorem 1.4, we can also obtain a kind of direct method to find constant annihilators of zero-dividing polynomials over IFP rings, in relation with coefficients of the polynomials. Let  $R$  be an IFP ring and  $f(x)g(x) = 0$  for  $0 \neq f(x) = \sum_{i=0}^m a_i x^i, 0 \neq g(x) = \sum_{j=0}^n b_j x^j \in R[x]$ .

**(Method 1.** (start by  $f(x)b_n$ )) We first start from  $b_{k_0} = b_n$ , and take largest  $k_1$  such that  $a_{k_1} b_{k_0} \neq 0$  (if any from  $f(x)b_n$ ). Note  $k_1 < m$  since  $a_m b_n = 0$ . Next take largest  $k_2$  such that  $b_{k_2} a_{k_1} b_{k_0} \neq 0$  (if any from  $g(x)a_{k_1} b_{k_0}$ ). Proceed in this manner. Then we can find  $0 \neq c \in R$  such that  $f(x)c = 0$  or  $0 \neq d \in R$  such that  $g(x)d = 0$ , where

$$c = b_{k_t} a_{k_{t-1}} \cdots a_{k_3} b_{k_2} a_{k_1} b_{k_0} \text{ and } g(x)(a_{k_{t-1}} \cdots a_{k_3} b_{k_2} a_{k_1} b_{k_0}) \neq 0$$

and

$$d = a_{k_{t+1}} b_{k_t} \cdots a_{k_3} b_{k_2} a_{k_1} b_{k_0} \text{ and } f(x)(b_{k_t} \cdots a_{k_3} b_{k_2} a_{k_1} b_{k_0}) \neq 0$$

with  $t \geq 0$ , respectively.

**(Method 2.** (start by  $g(x)a_m$ )) We first start from  $a_{k_0} = a_m$ , and take largest  $k_1$  such that  $b_{k_1} a_{k_0} \neq 0$  (if any from  $g(x)a_m$ ). Next take largest  $k_2$  such that  $a_{k_2} b_{k_1} a_{k_0} \neq 0$  (if any from  $f(x)b_{k_1} a_{k_0}$ ). Proceed in this manner. Then we can find  $0 \neq c \in R$  such that  $f(x)c = 0$  or  $0 \neq d \in R$  such that  $g(x)d = 0$ , where

$$c = b_{k_{t+1}} a_{k_t} \cdots b_{k_3} a_{k_2} b_{k_1} a_{k_0} \text{ and } g(x)(a_{k_t} \cdots b_{k_3} a_{k_2} b_{k_1} a_{k_0}) \neq 0$$

and

$$d = a_{k_t} b_{k_{t-1}} \cdots b_{k_3} a_{k_2} b_{k_1} a_{k_0} \text{ and } f(x)(b_{k_{t-1}} \cdots b_{k_3} a_{k_2} b_{k_1} a_{k_0}) \neq 0$$

with  $t \geq 0$ , respectively.

**(Nielsen's method)** By [22, Lemma 3] and the proof of [22, Theorem 4], there exist nonnegative integers  $l_0, \dots, l_n$  such that  $b_s b_n^{l_n} \cdots b_0^{l_0} \neq 0$  and  $f(x)b_s b_n^{l_n} \cdots b_0^{l_0} = 0$  for some  $s \in \{0, \dots, n\}$ , or  $b_n^{l_n} \cdots b_0^{l_0} \neq 0$  and  $g(x)b_n^{l_n} \cdots b_0^{l_0} = 0$ .

In the following we actually apply the preceding three methods.

**Example 1.5.** Let  $R$  and  $f(x) = \alpha_0 + \alpha_1 x + \alpha_2 x^2, g(x) = \beta_0 + \beta_1 x + \beta_2 x^2$  be as in Example 1.3. Then  $R$  is IFP and

$$f(x)g(x) = \alpha_0 \beta_0 + (\alpha_0 \beta_1 + \alpha_1 \beta_0) x + (\alpha_0 \beta_2 + \alpha_1 \beta_1 + \alpha_2 \beta_0) x^2 + (\alpha_1 \beta_2 + \alpha_2 \beta_1) x^3 + \alpha_2 \beta_2 x^4 = 0.$$

Now we construct a nonzero annihilator of  $f(x)$  or one of  $g(x)$  over  $R$  using three given methods.

**Method 1:** Take  $b_{k_0} = \beta_2$ . Then  $f(x)b_{k_0} = \alpha_0 \beta_2 + \alpha_1 \beta_2 x \neq 0$ . Now we turn to next stage.

$$g(x)\alpha_1 \beta_2 = \beta_0 \alpha_1 \beta_2 + \beta_1 \alpha_1 \beta_2 x$$

is a nonzero polynomial. So we multiply  $f(x)$  by new nonzero element  $\beta_1 \alpha_1 \beta_2$  on the right side. Then it is zero since  $A^4 \subseteq I$ . Thus  $\beta_1 \alpha_1 \beta_2 \in r_{R[x]}(f(x)) \cap R \neq 0$ .

**Method 2:** Take  $a_{k_0} = \alpha_2$ . Then  $g(x)a_{k_0} \neq 0$ . In particular,  $\beta_2\alpha_2 \neq 0$ . Now we turn to next stage.  $f(x)\beta_2\alpha_2 = \alpha_0\beta_2\alpha_2 + \alpha_1\beta_2\alpha_2x \neq 0$ . So we multiply  $g(x)$  by new nonzero element  $\alpha_1\beta_2\alpha_2$  on the right side. Then it is zero since  $A^4 \subseteq I$ . Thus  $\alpha_1\beta_2\alpha_2 \in r_{R[x]}(g(x)) \cap R \neq 0$ .

**Nielsen's method:** We first find nonnegative integers  $l_0, l_1$ , and  $l_2$ , according to [22, Lemma 3]. Since  $\beta_0^2 = 0$ , we have  $f(x)\beta_0^2 = 0 \neq f(x)\beta_0$ . Thus,  $l_0 = 1$ . To find nonnegative integer  $l_1$  we multiply  $f(x)$  on the right side by  $\beta_1\beta_0, \beta_1^2\beta_0, \dots$  in turn. Then  $f(x)\beta_1\beta_0 \neq 0 = f(x)\beta_1^2\beta_0$ , since  $\beta_1^2 = 0$ . This means that  $l_1 = 1$ . We also obtain  $l_2 = 0$  from  $f(x)\beta_2\beta_1\beta_0 \neq 0 = f(x)\beta_2\beta_1^2\beta_0$ . Next we consider second stage. To determine the annihilator element, we multiply  $g(x)$  by  $\beta_2^{l_2}\beta_1^{l_1}\beta_0^{l_0}$  then

$$g(x)\beta_2^{l_2}\beta_1^{l_1}\beta_0^{l_0} = g(x)\beta_2^0\beta_1^1\beta_0^1 = \beta_2\beta_1\beta_0x^2 \neq 0.$$

Thus, there exists nonzero element  $\beta_2\beta_1\beta_0$  in  $R$  such that  $f(x)\beta_2\beta_1\beta_0 = 0$ , that is  $\beta_2\beta_1\beta_0 \in r_{R[x]}(f(x)) \cap R \neq 0$ .

Computing other kinds of examples, one may notice that each of the preceding methods has cases for which it is convenient to find nonzero constant annihilators of zero-dividing polynomials over an IFP ring.

We consider next a generalization of IFP which preserves Abelian property, based on the following.

**Proposition 1.6.** *For a ring  $R$  the following conditions are equivalent:*

- (1)  $R$  is IFP;
- (2) If  $x^{m_1}y^{m_2} = 0$  for  $x, y \in R$  and some positive integers  $m_1, m_2$ , then  $x^{n_1}Ry^{n_2} = 0$  for some positive integers  $n_1, n_2$  with  $n_1 \leq m_1, n_2 \leq m_2$ ;
- (3) If  $x^{m_1}y^{m_2} = 0$  for  $x, y \in R$  and some positive integers  $m_1, m_2$ , then  $x^{n_1}Ry^{n_2} = 0$  for some integers  $n_1, n_2$  with  $0 \leq n_1 \leq m_1, 0 \leq n_2 \leq m_2$ .

*Proof.* (1) $\Rightarrow$ (2) and (2) $\Rightarrow$ (3) are obvious.

(3) $\Rightarrow$ (1): Suppose that the condition (3) holds. Let  $xy = 0$  for  $x, y \in R$ . Then  $x^{n_1}Ry^{n_2} = 0$  for some integers  $n_1, n_2$  with  $0 \leq n_1 \leq 1, 0 \leq n_2 \leq 1$ . If  $n_1 = 0$ , then  $n_2 = 1$  and this yields  $y = 0$ . If  $n_2 = 0$ , then  $n_1 = 1$  and this yields  $x = 0$ . If  $n_1 = n_2 = 1$ , then  $xRy = 0$ . Thus we get  $xRy = 0$  in any case.  $\square$

We here introduce a generalization of the IFP condition, deleting the condition " $n_1 \leq m_1, n_2 \leq m_2$ " in Proposition 1.6.

**Definition 1.7.** *A ring  $R$  (possibly without identity) is called  $\pi$ -IFP if  $x^mRy^n = 0$  for some positive integers  $m, n$  whenever  $xy = 0$  for  $x, y \in R$ .*

IFP rings are  $\pi$ -IFP evidently, but the converse need not hold by the following. Let  $A$  be a ring and  $n \geq 2$ . Following the literature, we consider a subring



of  $\text{Mat}_n(A)$  by

$$D_n(A) = \left\{ \begin{pmatrix} a & a_{12} & a_{13} & \cdots & a_{1n} \\ 0 & a & a_{23} & \cdots & a_{2n} \\ 0 & 0 & a & \cdots & a_{3n} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \cdots & a \end{pmatrix} \in \text{Mat}_n(A) \mid a, a_{ij} \in A \right\}.$$

Let  $A$  be a division ring and  $R = D_n(A)$  for  $n \geq 4$ . Suppose  $xy = 0$  for  $x, y \in R$ . Then the diagonal entries of  $x, y$  are both zero and so  $x^n = y^n = 0$ , entailing  $x^n R y^n = 0$ . Thus  $R$  is  $\pi$ -IFP. However  $R$  is not IFP by [15, Example 1.7].

**Lemma 1.8.** (1) *Any  $\pi$ -IFP ring is Abelian.*

(2) *Every nil ring is  $\pi$ -IFP as a ring without identity.*

(3)  *$R$  is  $\pi$ -IFP if and only if  $x^n R y^n = 0$  for some positive integer  $n$  whenever  $xy = 0$  for  $x, y \in R$ .*

(4) *If  $R$  is a reduced ring and  $x_1 x_2 \cdots x_n = 0$  for  $x_1, \dots, x_n \in R$ , then  $x_{\sigma(1)} R x_{\sigma(2)} R \cdots R x_{\sigma(n)} = 0$  for any permutation  $\sigma$  of  $\{1, 2, \dots, n\}$ .*

(5) *Subrings of  $\pi$ -IFP rings (possibly without identity) are  $\pi$ -IFP.*

(6) *Let  $R$  be a  $\pi$ -IFP ring. If  $a^2 = 0$  for  $a \in R$ , then  $aR$  and  $Ra$  are both nil.*

*Proof.* (1) Let  $R$  be a  $\pi$ -IFP ring and  $e^2 = e \in R$ . Then from  $e(1 - e) = 0$  we obtain  $e^m R (1 - e)^n = 0$  for some positive integers  $m, n$ , entailing  $eR(1 - e) = 0$ . Similarly we get  $(1 - e)Re = 0$  from  $(1 - e)e = 0$ .

(2) Let  $R$  be a nil ring and suppose that  $xy = 0$  for  $x, y \in R$ . Then there exist  $m = m(x), n = n(y)$  such that  $x^m = 0 = y^n$ . This yields  $x^m R y^n = 0$ .

(3) It suffices to show the sufficiency. Let  $xy = 0$  for  $x, y \in R$ . Then since  $R$  is  $\pi$ -IFP,  $x^m R y^n = 0$  for some positive integers  $m, n$ . Say  $m \leq n$ . Then  $x^n R y^n = x^{n-m} x^m R y^n = 0$ .

(4) is obtained with the help of [17, 19] and (5) is obvious.

(6) Let  $a \in R$  with  $a^2 = 0$ . Then  $raar = 0$  for all  $r \in R$ . Since  $R$  is  $\pi$ -IFP,  $(ra)^m R (ar)^n = 0$  for some  $m, n \geq 1$ . This yields  $(ra)^{m+n+1} = (ra)^m r (ar)^n a = 0$ , entailing that  $Ra$  is nil. This also yields that  $aR$  is nil.  $\square$

However there exist  $\pi$ -IFP rings which are not Abelian when they do not have the identity. Let  $A$  be any domain and consider the subring  $B = \begin{pmatrix} A & A \\ 0 & 0 \end{pmatrix}$  of  $U_2(A)$ . Then  $B$  is  $\pi$ -IFP but non-Abelian as can be seen by the computation that  $\begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix} \begin{pmatrix} a_1 & b_1 \\ 0 & 0 \end{pmatrix} = 0$  if and only if  $a = 0$ , where  $0 \neq \begin{pmatrix} a & b \\ 0 & 0 \end{pmatrix}, \begin{pmatrix} a_1 & b_1 \\ 0 & 0 \end{pmatrix} \in B$ .

We will use Lemma 1.8(3, 5) freely. For any ring  $A$ ,  $\text{Mat}_n(A)$  and  $U_n(A)$  are both non-Abelian when  $n \geq 2$ , and so they cannot be  $\pi$ -IFP by Lemma 1.8(1).

Homomorphic images of a  $\pi$ -IFP ring need not be  $\pi$ -IFP, in contrast to Lemma 1.8(5). In fact, considering the domain  $R = \mathbb{Z} + \mathbb{Z}i + \mathbb{Z}j + \mathbb{Z}k$ , the ring of quaternions with integer coefficients,  $R/pR$  is isomorphic to the  $\text{Mat}_2(\mathbb{Z}_p)$

by the argument in [8, Exercise 2A], where  $p$  is any odd prime integer. But  $\text{Mat}_2(\mathbb{Z}_p)$  is non-Abelian and so is not  $\pi$ -IFP by Lemma 1.8(1).

In the following we see that the converse of Lemma 1.8(1) is not true in general. Armendariz [3, Lemma 1] proved that a reduced ring  $R$  satisfies the property that  $a_i b_j = 0$  for all  $i, j$  whenever  $f(x)g(x) = 0$  for  $f(x) = \sum_{i=0}^m a_i x^i$ ,  $g(x) = \sum_{j=0}^n b_j x^j \in R[x]$ . Rege et al. [23] called a ring (not necessarily reduced) *Armendariz* if it satisfies this property. So reduced rings are clearly Armendariz. Armendariz rings are Abelian by the proof of [1, Theorem 6] or [12, Corollary 8]. Following [14], a ring  $R$  is called *power-serieswise Armendariz* if it satisfies the property that  $a_i b_j = 0$  for all  $i, j$  whenever  $f(x)g(x) = 0$  for  $f(x) = \sum_{i=0}^m a_i x^i$ ,  $g(x) = \sum_{j=0}^n b_j x^j \in R[[x]]$ . Power-serieswise Armendariz rings are clearly Armendariz but the converse need not hold by [14, Example 2.1]. Power-serieswise Armendariz rings are IFP by [14, Lemma 2.3(2)]. Armendariz and IFP are independent of each other by [12, Examples 2, 14] and [23, Proposition 4.6]. So one may conjecture that Armendariz are  $\pi$ -IFP. However the following example provides counterexamples.

Let  $K$  be a field and  $R_1, R_2$  be  $K$ -algebras. Use  $R_1 *_K R_2$  to denote the ring coproduct of  $R_1$  and  $R_2$  (see Antoine [2] and Bergman [5, 6] for details). Given a ring  $R$ ,  $U(R)$  means the group of units in  $R$ .

**Example 1.9.** There exist Abelian rings which are not  $\pi$ -IFP. Let  $K$  be a field and  $A = K\langle a, b, c \rangle$  be the free algebra with noncommuting indeterminates  $a, b, c$  over  $K$ . We give subalgebras of  $A$  the following relations.

(1) Let  $R_1 = K[b] = K\langle b \rangle$  and  $R_2 = K\langle a \mid a^2 = 0 \rangle$ . Then  $R_1 *_K R_2 \cong K\langle a, b \mid a^2 = 0 \rangle$  is Armendariz (hence Abelian) by [2, Theorem 4.7] since  $U(K[b]) = K \setminus \{0\}$ . But  $K\langle a, b \mid a^2 = 0 \rangle$  is not  $\pi$ -IFP as can be seen by the computation that

$$baab = 0 \text{ but } 0 \neq (ba)^m b (ab)^n \in (ba)^m R (ab)^n \text{ for all } m, n \geq 1.$$

(2) Consider  $A_1 = K\langle a, b, c \mid a^2 = 0 \rangle$  and  $A_2 = K\langle a, b, c \mid ab = 0 \rangle$ . Note that  $K\langle a, b \mid ab = 0 \rangle$  can be viewed as a subring of  $K\langle a, b \mid a^2 = 0 \rangle$  through the monomorphism with  $a \mapsto ba$  and  $b \mapsto ab$ , in the proof of [2, Example 4.10]. Then  $A_1$  is isomorphic to  $K\langle b, c \rangle *_K K\langle a \mid a^2 = 0 \rangle$  which is Armendariz by [2, Theorem 4.7] since  $U(K\langle b, c \rangle) = K \setminus \{0\}$ ; and  $A_2$  is isomorphic to a subring of  $A_1$ . Note that  $A_1$  is not  $\pi$ -IFP by the same computations as in (1).  $A_2$  is also not  $\pi$ -IFP since

$$ab = 0 \text{ but } 0 \neq a^m c b^n \in a^m R b^n \text{ for all } m, n \geq 1.$$

In the following we see a concrete computation that shows  $A_2$  being Armendariz. This is applicable to find idempotents in  $A_1$ .

Let  $R = A_2$ , and  $0 \neq f(x) = \sum_{i=0}^m \alpha_i x^i$ ,  $0 \neq g(x) = \sum_{j=0}^n \beta_j x^j \in R[x]$  satisfying  $f(x)g(x) = 0$ . Then  $f(x)$  and  $g(x)$  can be rewritten by

$$\begin{aligned} f(x) &= f_0 + f_1 a + f_2 b + f_3 c + a f_4 a + a f_5 b + a f_6 c + b f_7 a + b f_8 b + b f_9 c \\ &\quad + c f_{10} a + c f_{11} b + c f_{12} c \end{aligned}$$

and

$$g(x) = g_0 + g_1a + g_2b + g_3c + ag_4a + ag_5b + ag_6c + bg_7a + bg_8b + bg_9c \\ + cg_{10}a + cg_{11}b + cg_{12}c,$$

where  $f_0, g_0 \in K[x]$ ,  $f_1, g_1 \in K[a][x]$ ,  $f_2, g_2 \in K[b][x]$ ,  $f_3, g_3 \in K[c][x]$ ,  $f_h, g_h \in K\langle a, b, c \rangle[x]$  for  $h = 4, 5, \dots, 12$ , and every nonzero sum-factor (if any) of coefficients of  $f_k, g_k$  (resp.,  $f_\ell, g_\ell$ ) must contain  $c$  (resp.,  $a$  or  $b$ ) for  $k = 4, 5, 8$  (resp.,  $\ell = 12$ ).

We first get  $f_0g_0 = f_1ag_1a = f_2bg_2b = f_3cg_3c = 0$  since each of them is unique in the expansion of  $f(x)g(x)$ . So we have

$$f_0 = 0 \text{ or } g_0 = 0; f_1a = 0 \text{ or } g_1a = 0; f_2b = 0 \text{ or } g_2b = 0; \text{ and } f_3c = 0 \text{ or } g_3c = 0.$$

Note that  $f_0g_1a + f_1ag_0 = 0$ ,  $f_0g_2b + f_2bg_0 = 0$ , and  $f_0g_3c + f_3cg_0 = 0$ ; hence

$$(7) \quad f_0g_1a = f_1ag_0 = f_0g_2b = f_2bg_0 = f_0g_3c = f_3cg_0 = 0$$

since  $f_0 = 0$  or  $g_0 = 0$ .

Assume  $f_0 \neq 0$ . Then  $g_0 = g_1a = g_2b = g_3c = 0$  by the relation (1), and so  $g(x) = ag_4a + ag_5b + ag_6c + bg_7a + bg_8b + bg_9c + cg_{10}a + cg_{11}b + cg_{12}c$ .

Then, from  $f(x)g(x) = 0$ , we have

$$0 = f_0ag_4a + f_1a(ag_4a + bg_7a + cg_{10}a) + af_4a(ag_4a + bg_7a + cg_{10}a) \\ + af_5b(ag_4a + bg_7a + cg_{10}a) + af_6c(ag_4a + bg_7a + cg_{10}a).$$

But  $af_4a(ag_4a + bg_7a + cg_{10}a)$  is unique in the right hand side of this equality, entailing  $0 = af_4a(ag_4a + bg_7a + cg_{10}a) = af_4aag_4a + af_4acg_{10}a$ . Here we similarly get  $af_4aag_4a = af_4acg_{10}a = 0$ . If  $ag_4a \neq 0$ , then  $af_4a = 0$  and

$$(8) \quad 0 = f_0ag_4a + f_1a(ag_4a + bg_7a + cg_{10}a) + af_5b(ag_4a + bg_7a + cg_{10}a) \\ + af_6c(ag_4a + bg_7a + cg_{10}a)$$

$$(9) \quad = f_0ag_4a + (f_1a + af_5b + af_6c)ag_4a + (f_1a + af_5b + af_6c)bg_7a \\ + (f_1a + af_5b + af_6c)cg_{10}a.$$

But  $(f_1a + af_5b + af_6c)ag_4a$  is unique in the right hand side of the equality (3), entailing  $0 = (f_1a + af_5b + af_6c)ag_4a = f_1aag_4a + af_5bag_4a + af_6cag_4a$ . This also yields  $f_1aag_4a = af_5bag_4a = af_6cag_4a = 0$ . But since  $ag_4a \neq 0$  we obtain  $f_1a = af_5b = af_6c = 0$  and this entails  $f_0ag_4a = 0$ . But since  $ag_4a \neq 0$  we have  $f_0 = 0$ , a contradiction. Thus we must have  $ag_4a = 0$  and  $g(x) = ag_5b + ag_6c + bg_7a + bg_8b + bg_9c + cg_{10}a + cg_{11}b + cg_{12}c$ . Proceeding in this method, we finally obtain  $g(x) = 0$ . So  $f_0 = 0$ .

Next we consider the assumption of  $f_2b \neq 0$ . Then we also obtain  $g(x) = 0$  similarly. Proceeding with this process, we finally obtain

$$f_0 = f_2b = f_3c = af_5b = af_6c = bf_8b = bf_9c = cf_{11}b = cf_{12}c = 0.$$

This entails

$$f(x) = f_1a + af_4a + bf_7a + cf_{10}a.$$

Suppose  $f_1a \neq 0$ . Then, from  $f(x)g(x) = 0$ , we obtain

$$g_0 = g_1a = g_3c = ag_4a = ag_5b = ag_6c = cg_{10}a = cg_{11}b = cg_{12}c = 0$$

by using the method above, entailing

$$g(x) = g_2b + bg_7a + bg_8b + bg_9c.$$

Next suppose that  $f_1a = 0$  and  $af_4a \neq 0$ . Then we also obtain  $g(x) = g_2b + bg_7a + bg_8b + bg_9c$ . Proceeding in this method, we can obtain  $g(x) = g_2b + bg_7a + bg_8b + bg_9c$  in any case. Therefore every  $\alpha_i$  (resp.,  $\beta_j$ ) is of the form  $sa$  with  $s \in R$  (resp.,  $bt$  with  $t \in R$ ), and so  $\alpha_i\beta_j = 0$  for all  $i$  and  $j$ .

By Example 1.9, we can say that Armendariz and  $\pi$ -IFP are independent of each other.

**Note.** We find the structure of idempotents in the rings in Example 1.9. Let  $R$  be any ring which is constructed in Example 1.9 and  $f \in R$ . We can write  $f = \alpha + f_0$  such that  $\alpha \in K$  and  $f_0 \in R$  with zero constant term. Put  $f^2 = f$ . Then  $\alpha + f_0 = \alpha^2 + 2\alpha f_0 + f_0^2$ , so  $\alpha = \alpha^2$ . This yields that  $\alpha = 0$  or  $\alpha = 1$ .

**Case 1.**  $\alpha = 0$ .

From  $\alpha = 0$ , we have  $f_0 = f_0^2$ . We can express  $f_0$  by

$$f_0 = g_1 + \cdots + g_k \text{ with } g_\ell \in R \text{ for } \ell = 1, \dots, k$$

such that the degree of  $g_i$  is less than one of  $g_{i+1}$  for  $i = 1, \dots, k - 1$ . Then

$$g_1 + \cdots + g_k = f_0 = f_0^2 = g_1^2 + g_1g_2 + g_2g_1 + \cdots + g_k^2$$

and so we must get  $g_1 = 0$ , entailing  $f_0 = g_2 + \cdots + g_k$ . Thus we can also obtain  $g_2 = \cdots = g_k = 0$  inductively, entailing  $f = f_0 = 0$ .

**Case 2.**  $\alpha = 1$ .

From  $\alpha = 1$ , we have  $f_0 + f_0^2 = 0$  and  $(-f_0)^2 = -f_0$ . We also obtain  $f_0 = 0$  by a similar method to Case 1. Thus  $f = 1 + f_0 = 1$ .

Thus 0, 1 are all idempotents in  $R$  by Cases 1 and 2.

In the following we can see a method by which one can always construct  $\pi$ -IFP rings but not IFP, over given any IFP ring.

**Theorem 1.10.** (1) *A ring  $R$  is  $\pi$ -IFP if and only if  $D_n(R)$  is  $\pi$ -IFP for all  $n \geq 1$ .*

(2) *Let  $N$  be a nil algebra over a field  $F$  and  $R = F + N$ . Then  $D_n(R)$  is  $\pi$ -IFP for all  $n \geq 1$ .*

*Proof.* (1) Suppose that  $R$  is a  $\pi$ -IFP ring. Let  $D = D_n(R)$  and  $x = (a_{ij}), y = (b_{st}) \in D$  such that  $xy = 0$  and  $a_{ii} = a, b_{ss} = b$ . From  $xy = 0$ , we have  $ab = 0$ . Since  $R$  is  $\pi$ -IFP, we get  $a^h R b^h = 0$  for some  $h \geq 1$ . Now we can write  $v = x^h$  and  $w = y^h$ . For given  $z \in D$ ,  $vzw$  and  $v^2zw^2$  are elements of  $D$  such that their diagonal entries are all zero. Moreover, the  $(i, i + 1)$ -entries of  $v^2zw^2$  are contained in  $a^h R b^h = 0$ .

We claim that every  $(i, j)$ -entries of  $v^kzw^k$  are all zero for  $j - i < k$  and  $k = 1, 2, \dots, n$ . Assume that this holds for  $2 \leq k < l$ , that is, every  $(i, j)$ -entries of  $v^{l-1}zw^{l-1}$  are all zero for  $j - i < l - 1$ . Consider the case of  $k = l$ . Set  $u = (c_{ij}) = v^{l-1}zw^{l-1}$ . Then every  $(i, j)$ -entries of  $vuw$  must be zero for  $j - i < l - 1$  by the hypothesis. For any  $i = 1, 2, \dots, (i, i + l - 1)$ -entry of  $vuw$  is equal to  $a^h c_{i(i+l-1)} b^h = 0$ . Thus, every  $(i, j)$ -entries of  $v^lzw^l$  are all zero for  $j - i < l$ . By induction, we have the claim. This implies  $v^n Dw^n = x^{hn} Dy^{hn} = 0$  and so  $D_n(R)$  is  $\pi$ -IFP. The converse is obvious.

(2) Let  $D = D_n(R)$  and  $x = (a_{ij}), y = (b_{st}) \in D$  such that  $xy = 0$  and  $a_{ii} = a, b_{ss} = b$ . We can write  $x = x_1 + x_2, y = y_1 + y_2$  for  $x_1, x_2, y_1, y_2 \in D$  such that the diagonals of  $x_1, y_1$  are  $a, b$  respectively, and elsewhere zero. Then  $x_1 y_1 = 0$  and note that  $z^n = 0$  for all  $z \in N_n(R)$ . We can write  $a = a_1 + a_2, b = b_1 + b_2$  for  $a_1, b_1 \in F$  and  $a_2, b_2 \in N$ . Say  $a_2^m = 0, b_2^k = 0$ .  $x_1 y_1 = 0$  (hence  $ab = 0$ ) gives the relations  $a_1 b_1 = 0, a_1 b_2 + a_2 b_1 + a_2 b_2 = 0$ . So  $a_1 = 0$  or  $b_1 = 0$ . Let  $a_1 = 0$ . Then  $a^m = a_2^m = 0$  yields  $x_1^m = 0$ ; hence we have  $x^{mn} = (x^m)^n = 0$  since  $x^m = (x_1 + x_2)^m = x_1^m + x_3 = x_3$  for some  $x_3 \in N_n(R)$ . Thus  $x^{mn} R y^h = 0$  for all  $h \geq 1$ . The computation of the case  $b_1 = 0$  is similar.  $\square$

Let  $R$  be any ring and  $D = D_n(R)$  with  $n \geq 4$ . Since  $e_{12}e_{34} = 0$  but  $e_{12}e_{23}e_{34} = e_{14}, e_{12}De_{34} \neq 0$  implies that  $D$  is not IFP. However  $D$  is  $\pi$ -IFP by Theorem 1.10(1) when  $R$  is IFP.

To see another example, let  $A$  be any algebra over a field  $F$  and  $N = N_n(A)$  for  $n \geq 4$ . Then  $N$  is a nil algebra over  $F$ . Note that  $1 \leq i < j$  for any  $e_{ij} \in N$ . Take  $e_{12}, e_{34}$  in  $N$ . Then  $e_{12}e_{34} = 0$  but  $e_{12}e_{23}e_{34} = e_{14}$ , entailing  $e_{12}Ne_{34} \neq 0$ . Next letting  $R = F + N$ , then  $R$  is  $\pi$ -IFP by Theorem 1.10(2). However  $R$  is not IFP by the computation above.

**Note.** If a ring  $R$  is IFP, then  $x^n D_n(R) y^n = 0$  by applying the proof of Theorem 1.5 (indeed,  $h = 1$  if  $R$  is IFP), whenever  $xy = 0$  for  $x, y \in D_n(R)$ . But if  $R$  is a reduced ring, then we obtain  $x^{n-2} D_n(R) y^{n-2} = 0$  for  $n \geq 3$  by the following computation. Let  $D = D_n(R)$ . Then  $D$  is IFP by [15, Proposition 1.2] when  $n = 1, 2, 3$ . We first compute the case of  $n = 4$ . Let

$$x = \begin{pmatrix} a & a_{12} & a_{13} & a_{14} \\ 0 & a & a_{23} & a_{24} \\ 0 & 0 & a & a_{34} \\ 0 & 0 & 0 & a \end{pmatrix}, \quad y = \begin{pmatrix} b & b_{12} & b_{13} & b_{14} \\ 0 & b & b_{23} & b_{24} \\ 0 & 0 & b & b_{34} \\ 0 & 0 & 0 & b \end{pmatrix} \in R$$

such that  $xy = 0$ . Then we have  $ab = 0, ab_{12} + a_{12}b = 0, ab_{13} + a_{12}b_{23} + a_{13}b = 0, ab_{23} + a_{23}b = 0, ab_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b = 0, ab_{24} + a_{23}b_{34} + a_{24}b = 0,$  and  $ab_{34} + a_{34}b = 0$ . We use the reduced condition of  $R$  and Lemma 1.3(4) freely. By the computation in the proof of [15, Proposition 1.2], we get  $aRb = 0, aRb_{12} = 0, a_{12}Rb = 0, aRb_{13} = 0, a_{12}Rb_{23} = 0, a_{13}Rb = 0, aRb_{23} = 0,$  and  $a_{23}Rb = 0$ . Multiplying  $ab_{34} + a_{34}b = 0$  by  $b$ , we get  $a_{34}Rb = 0$  and  $aRb_{34} = 0$ . Multiplying  $ab_{24} + a_{23}b_{34} + a_{24}b = 0$  by  $a$ , we get  $aRb_{24} = 0$  and  $a_{23}b_{34} + a_{24}b = 0$ . Multiplying  $a_{23}b_{34} + a_{24}b = 0$  by  $a_{23}$ , we get  $a_{23}Rb_{34} = 0$

and  $a_{24}Rb = 0$ . Next multiplying  $ab_{14} + a_{12}b_{24} + a_{13}b_{34} + a_{14}b = 0$  by  $b$ , we get  $a_{14}Rb = 0$ ; and multiplying  $ab_{14} + a_{12}b_{24} + a_{13}b_{34} = 0$  by  $b$ , we get  $aRb_{14} = 0$ . Thus, using these results, we have

$$xry = \begin{pmatrix} 0 & 0 & 0 & a_{12}\alpha b_{24} + a_{12}\epsilon b_{34} + a_{13}\alpha b_{34} \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

for  $r = \begin{pmatrix} \alpha & \beta & \gamma & \delta \\ 0 & \alpha & \epsilon & \sigma \\ 0 & 0 & \alpha & \pi \\ 0 & 0 & 0 & \alpha \end{pmatrix} \in R$ . So every element of  $x^2Ry^2$  is of the form

$$\begin{pmatrix} 0 & 0 & 0 & a(a_{12}\alpha b_{24} + a_{12}\epsilon b_{34} + a_{13}\alpha b_{34})b \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} = 0.$$

We next compute the case of  $n = 5$  to find a formula. Let

$$x = \begin{pmatrix} a & a_{12} & a_{13} & a_{14} & a_{15} \\ 0 & a & a_{23} & a_{24} & a_{25} \\ 0 & 0 & a & a_{34} & a_{35} \\ 0 & 0 & 0 & a & a_{45} \\ 0 & 0 & 0 & 0 & a \end{pmatrix}, \quad y = \begin{pmatrix} b & b_{12} & b_{13} & b_{14} & b_{15} \\ 0 & b & b_{23} & b_{24} & b_{25} \\ 0 & 0 & b & b_{34} & b_{35} \\ 0 & 0 & 0 & b & b_{45} \\ 0 & 0 & 0 & 0 & b \end{pmatrix} \in R$$

such that  $xy = 0$ . Through a similar computation to the case of  $n = 4$ , we obtain  $aRb = 0, aRb_{12} = 0, aRb_{13} = 0, aRb_{23} = 0, a_{12}Rb_{23} = 0, a_{12}Rb = 0, a_{13}Rb = 0, aRb_{14} = 0, aRb_{15} = 0, aRb_{23} = 0, aRb_{24} = 0, aRb_{25} = 0, aRb_{34} = 0, aRb_{35} = 0, aRb_{45} = 0$ , and  $a_{12}Rb = 0, a_{13}Rb = 0, a_{14}Rb = 0, a_{15}Rb = 0, a_{23}Rb = 0, a_{24}Rb = 0, a_{25}Rb = 0, a_{34}Rb = 0, a_{35}Rb = 0, a_{45}Rb = 0, a_{34}Rb_{45} = 0$ . Let  $r \in D$ . Then, using these results, we have

$$xry = \begin{pmatrix} 0 & 0 & 0 & u & v \\ 0 & 0 & 0 & 0 & w \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

for some  $u, v, w \in R$ . So

$$x^2ry^2 = \begin{pmatrix} 0 & 0 & 0 & aub & g \\ 0 & 0 & 0 & 0 & awb \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 & 0 & g \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}$$

for some  $g \in R$ . This yields  $x^3Dy^3 = 0$ .

Let  $x = (a_{ij}), y = (b_{st}) \in D_n(R)$  with  $a_{ii} = a, b_{ss} = b$  such that  $xy = 0$ . Then we inductively have

$$aRb = 0, aRb_{st} = 0, a_{ij}Rb = 0, \text{ and } a_{(n-2)(n-1)}Rb_{(n-1)(n)} = 0$$

for  $1 \leq i, s \leq n - 1$  and  $2 \leq j, t \leq n$ . These results imply  $xry = (c_{hk})$  for  $r \in D$  such that  $c_{hk} = 0$  for  $h = n - \ell$  and  $k \leq 3 + (n - 1 - \ell)$  ( $\ell = 0, 1, \dots, n - 1$ ). Then  $x(xry)y = x(c_{hk})y = (d_{hk})$  such that

$$d_{14}, d_{25}, \dots, d_{(n-3)n} \in aRb = 0.$$

Continuing this computation, we finally obtain  $x^{n-2}Dy^{n-2} = 0$ .

It is well-known that semiprime IFP rings are reduced. But this is not valid for  $\pi$ -IFP rings by the following.

**Example 1.11.** We refer the ring in [13, Theorem 2.2(2)]. Let  $S$  be a reduced ring,  $n$  be a positive integer and  $R_n = D_{2^n}(S)$ . Each  $R_n$  is a  $\pi$ -IFP ring by Theorem 1.10(1). Define a map  $\sigma : R_n \rightarrow R_{n+1}$  by  $A \mapsto \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix}$ , then  $R_n$  can be considered as a subring of  $R_{n+1}$  via  $\sigma$  (i.e.,  $A = \sigma(A)$  for  $A \in R_n$ ). Notice that  $D = \{R_n, \sigma_{nm}\}$ , with  $\sigma_{nm} = \sigma^{m-n}$  whenever  $n \leq m$ , is a direct system over  $I = \{1, 2, \dots\}$ . Set  $R = \varinjlim R_n$  be the direct limit of  $D$ . Note  $R = \cup_{n=1}^\infty R_n$ . We claim that  $R$  is  $\pi$ -IFP. Suppose  $AB = 0$  for  $A, B \in R$ . Then  $A, B \in R_m$  for some  $m \geq 1$ . Since  $R_m$  is  $\pi$ -IFP,  $A^h R_m B^k = 0$  for some  $h, k \geq 1$ . Considering  $A \mapsto \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix}$  and  $B \mapsto \begin{pmatrix} B & 0 \\ 0 & B \end{pmatrix}$ , we can get  $A^h R_\ell B^k = 0$  for all  $\ell \geq m$ , entailing  $A^h R B^k = 0$  since  $R = \cup_{n=1}^\infty R_n$ . So  $R$  is a non-reduced  $\pi$ -IFP ring. But  $R$  is semiprime by [13, Theorem 2.2(2)].

Let  $R$  be a ring and  $I$  be an ideal of  $R$ . Suppose that  $I$  is  $\pi$ -IFP as a ring without identity and  $R/I$  is  $\pi$ -IFP. Then it is natural to conjecture that  $R$  is also  $\pi$ -IFP. However the answer is negative. Consider  $R = U_n(A)$  ( $n \geq 2$ ) for an IFP ring  $A$  and let

$$I = \{m \in U_n(A) \mid \text{every diagonal entry of } m \text{ is zero}\}.$$

Then  $R$  is not  $\pi$ -IFP by Lemma 1.8(1). But  $R/I$  is IFP by Proposition 2.3(4) to follow, and  $I$  is  $\pi$ -IFP by Lemma 1.8(2). Note that  $I$  is IFP when  $A$  is a reduced ring and  $n \leq 3$ . In the following we consider a stronger condition for  $I$  than “ $(\pi)$ -IFP” for  $I$ .

**Proposition 1.12.** *Let  $R$  be a ring and  $I$  be a proper ideal of  $R$ . If  $R/I$  is  $\pi$ -IFP and  $I$  is a reduced ring without identity, then  $R$  is  $\pi$ -IFP.*

*Proof.* Let  $ab = 0$  for  $a, b \in R$ . Then we have  $a^m R b^m \subseteq I$  since  $R/I$  is  $\pi$ -IFP. We apply the proof of [12, Theorem 6]. Note that  $ab = 0$  yields  $(bIa)^2 = 0$ . Since  $I$  is reduced,  $bIa = 0$ . This gives  $aRbI = 0$  since  $((aRb)I)^2 = aRbIaRbI = aR(bIa)RbI = 0$ . Recall  $a^m R b^m \subseteq I$ . Then  $(a^m R b^m)^2 \subseteq aRbI$  implies  $(a^m R b^m)^2 = 0$ . Since  $I$  is reduced, we get  $a^m R b^m = 0$ . Thus  $R$  is  $\pi$ -IFP.  $\square$

Considering the condition “ $I$  is reduced” in Proposition 1.12, it is natural to conjecture that  $R$  is  $\pi$ -IFP when  $I$  is IFP. However  $U_2(F)$  (with  $F$  a field) provides a counterexample as can be seen by the computation in [12, Example 5].

We see an application of Proposition 1.12 in the following. Let  $D$  be a domain and

$$R = \left\{ \begin{pmatrix} (a, 0) & (a, 0) & (0, 0) \\ (0, 0) & (0, b) & (0, c) \\ (0, 0) & (0, 0) & (d, b) \end{pmatrix} \mid a, b, c, d \in D \right\},$$

a subring of  $U_3(D \oplus D)$ . Consider  $I = \left\{ \begin{pmatrix} (a, 0) & (a, 0) & (0, 0) \\ (0, 0) & (0, 0) & (0, 0) \\ (0, 0) & (0, 0) & (d, 0) \end{pmatrix} \in R \right\}$ . Then  $I$  is a proper ideal of  $R$  that is reduced as a ring. Moreover  $R/I \cong D_2(D)$  that is  $\pi$ -IFP by Theorem 1.10(1), and hence  $R$  is  $\pi$ -IFP by Proposition 1.12. Note that the identity of  $R$  is  $\begin{pmatrix} (1, 0) & (1, 0) & (0, 0) \\ (0, 0) & (0, 1) & (0, 0) \\ (0, 0) & (0, 0) & (1, 1) \end{pmatrix}$ .

### 2. Examples of $\pi$ -IFP rings

In this section we concern several kinds of rings, either concluding that they are  $\pi$ -IFP or finding necessary conditions under which they can be  $\pi$ -IFP. Following to Huh et al. [11], a ring is called *locally finite* if every finite subset generates a finite subring. It is obvious that every locally finite ring is of finite characteristic. Finite rings are clearly locally finite. Note that an algebraic closure of a finite field is locally finite but not finite. A ring  $R$  is usually called *semilocal* if  $R/J(R)$  is semisimple Artinian, and  $R$  is usually called *semiperfect* if  $R$  is semilocal and idempotents can be lifted modulo  $J(R)$ .

Let  $R$  be a ring. Due to Marks [18],  $R$  is called *NI* if  $N(R)$  forms an ideal in  $R$ , i.e.,  $N(R) = N^*(R)$ , where  $N^*(R)$  means the upper nilradical of  $R$ . IFP rings are shown to be NI through a simple computation. NI rings need not be  $\pi$ -IFP as can be seen by  $U_2(A)$  over any reduced ring  $A$ . A prime ideal  $P$  of  $R$  is usually called *completely prime* if  $R/P$  is a domain. Due to Rowen [24, Definition 2.6.5], an ideal  $P$  of  $R$  is called *strongly prime* if  $P$  is prime and  $R/P$  has no nonzero nil ideals. Maximal ideals and completely prime ideals are clearly strongly prime.  $N^*(R)$  is the unique maximal nil ideal of  $R$  by [24, Proposition 2.6.2], and  $N^*(R) = \{a \in R \mid RaR \text{ is a nil ideal of } R\} = \bigcap \{P \mid P \text{ is a strongly prime ideal of } R\} = \bigcap \{P \mid P \text{ is a minimal strongly prime ideal of } R\}$  by help of [24, Proposition 2.6.7]. Hong and Kwak showed that  $R$  is NI if and only if every minimal strongly prime ideal of  $R$  is completely prime in [9, Corollary 13]. While, Shin proved that  $N_*(R) = N(R)$  if and only if every minimal prime ideal of  $R$  is completely prime in [25, Proposition 1.11].

**Proposition 2.1.** (1) *Let  $R$  be a locally finite ring. Then  $R$  is Abelian if and only if  $R$  is  $\pi$ -IFP. Especially finite Abelian rings are  $\pi$ -IFP.*

(2) *Suppose that every finitely generated subring of a ring  $R$  is semiperfect. If  $R$  is  $\pi$ -IFP, then  $R$  is NI.*

(3) *Locally finite  $\pi$ -IFP rings are NI.*



*Proof.* (1) It suffices to prove the necessity by Lemma 1.8(1). Let  $ab = 0$  for  $a, b \in R$ . Since  $R$  is locally finite,  $a^m$  is an idempotent for some  $m \geq 1$  by the proof of [12, Proposition 16]. If  $R$  is Abelian, then we can get  $a^m R b = 0$  from  $ab = 0$ . It is an immediate consequence that finite Abelian rings are  $\pi$ -IFP.

(2) Let  $R$  be a  $\pi$ -IFP ring such that every finitely generated subring of  $R$  is semiperfect. Let  $a, b \in N(R)$  and  $r \in R$ . Set  $S$  be the subring of  $R$  generated by  $1, a, b, r$ . Then  $S$  is semiperfect by hypothesis. So  $S$  is semilocal, and moreover  $S/J(S)$  is Abelian by Lemma 1.8(1) since idempotents can be lifted modulo  $J(R)$ . This yields that  $S/J(S)$  is a finite direct sum of division rings, entailing that  $S/J(S)$  is reduced and  $J(S) = N(S)$ . Thus we have  $a - b, ra, ar \in J(S) \subseteq N(R)$  since  $a, b \in N(S)$ . This result concludes that  $R$  is NI.

(3) is shown by (2) and [16, Proposition 3.6.1].  $\square$

Let  $A$  be any locally finite Abelian ring. Then  $D_n(A)$  is  $\pi$ -IFP for any  $n \geq 1$  by Proposition 2.1 and [10, Lemma 2]. There exist many locally finite NI rings but not  $\pi$ -IFP as can be seen by  $U_2(B)$  over an algebraic closure  $B$  of a finite field.

**Proposition 2.2.** *Let  $N$  be a nil ring.*

- (1) *Adjoining an identity, the ring  $R = \mathbb{Z} + N$  is  $\pi$ -IFP.*
- (2) *If  $N$  is a  $K$ -algebra over a commutative domain  $K$ , then  $K + N$  is  $\pi$ -IFP.*

*Proof.* (1) Let  $0 \neq a = a_1 + a_2, b = b_1 + b_2 \in R$  with  $a_1, b_1 \in \mathbb{Z}$  and  $a_2, b_2 \in N$ . If  $ab = 0$ , then  $a_1 = 0$  or  $b_1 = 0$ , so we get that  $a^m = 0$  or  $b^m = 0$  for some  $m \geq 1$ . This yields  $a^m R b^m = 0$ , so  $R$  is  $\pi$ -IFP. The proof of (2) is similar.  $\square$

**Proposition 2.3.** *Let  $I$  be an indexing set and  $R_i$  be rings for  $i \in I$ .*

- (1) *Let  $I$  be finite. Then the direct product of  $R_i$ 's is  $\pi$ -IFP if and only if so is every  $R_i$ .*
- (2) *Let  $I$  be infinite. Then the direct sum of  $R_i$ 's is  $\pi$ -IFP (as a ring without identity) if and only if so is every  $R_i$ .*
- (3) *Let  $I$  be infinite. Then if the direct product of  $R_i$ 's is  $\pi$ -IFP, then so is every  $R_i$ .*
- (4) *The direct product of  $R_i$ 's is IFP if and only if so is every  $R_i$ .*

*Proof.* (1) Let  $I = \{1, \dots, n\}$  and  $R$  be the direct product of  $R_i$ 's. Suppose that every  $R_i$  is  $\pi$ -IFP. Let  $x = (x_i), y = (y_i) \in R$  such that  $xy = 0$ . Then  $x_i y_i = 0$  for all  $i$ , and since  $R_i$  is  $\pi$ -IFP we get  $x_i^{m_i} R_i y_i^{m_i} = 0$ . Put  $m = \max\{m_1, \dots, m_n\}$ . Then  $x_i^m R_i y_i^m = 0$  for all  $i$  and this yields  $x^m R y^m = 0$ . Conversely let  $ab = 0$  in  $R_j$  for  $j \in I$ . Let  $x = (x_i), y = (y_i) \in R$  such that  $x_i = a, y_i = b$  for  $i = j$  and  $x_i = 0, y_i = 0$  for  $i \neq j$ . Then  $xy = 0$ . Since  $R$  is  $\pi$ -IFP,  $x^m R y^m = 0$  for some  $m \geq 1$ . This gives  $a^m R_j b^m = 0$ . The proofs of (2), (3) and (4) are similar to (1).  $\square$

The converse of Proposition 2.3(3) need not hold by the following.

**Example 2.4.** Let  $A$  be an IFP ring and  $R_n = D_n(A)$  for  $n \geq 6$ . Then every  $R_n$  is  $\pi$ -IFP by Theorem 1.5(1). Set  $R$  be the direct product of  $R_i$ 's for  $i = 6, 8, \dots, 2k, \dots$  ( $k = 3, 4, \dots$ ). Take  $x = (x_i), y = (y_i) \in R$  such that

$$x_i = e_{12} + \dots + e_{(\frac{i}{2}-1)\frac{i}{2}} \text{ and } y_i = e_{(\frac{i}{2}+1)\frac{i}{2}+2} + \dots + e_{(i-1)i}.$$

Then  $xy = 0$ , but  $x_i^{\frac{i}{2}} = y_i^{\frac{i}{2}} = 0$  and  $x_i^t \neq 0, y_i^t \neq 0$  for any  $t < \frac{i}{2}$ . Thus  $x, y$  are both non-nilpotent such that  $x^m = (a_i), y^m = (b_i)$  with

$$a_{2(m+1)} = e_{1(1+m)}, a_{2(m+2)} = e_{1(1+m)} + e_{2(2+m)}, \dots$$

and

$$b_{2(m+1)} = e_{(m+2)(2(m+1))}, b_{2(m+2)} = e_{(m+3)(2(m+2)-1)} + e_{(m+4)(2(m+2))}, \dots$$

Thus the computation

$a_{2(m+1)}e_{(1+m)(m+2)}b_{2(m+1)} = e_{1(1+m)}e_{(1+m)(m+2)}e_{(m+2)(2(m+1))} = e_{1(2(m+1))}$  yields  $x^mzy^m = (c_i)$  for  $z \in R$  with  $z_{2(m+1)} = e_{(1+m)(m+2)}$  and  $z_i = 0$  for  $i \neq 2(m+1)$  such that  $c_{2(m+1)} = e_{1(2(m+1))}$  and  $c_i = 0$  for  $i \neq 2(m+1)$ . This yields  $x^mRy^m \neq 0$  for all  $m \geq 1$ , concluding that  $R$  is not  $\pi$ -IFP.

In the following we show that the  $\pi$ -IFP condition does not go up to polynomial rings.

**Example 2.5.** The construction follows Smoktunowicz [26]. Let  $\bar{A}$  be the algebra of polynomials with zero constant terms in noncommuting indeterminates  $a, b, c$  over a countable field  $K$ . Then  $\bar{A}$  can be enumerated, say  $\bar{A} = \{f_1, f_2, \dots\}$ . By the argument in the proof of [26, Theorem 12], there are natural numbers  $m_1, m_2, \dots$  such that (i)  $m_1 > 10^8, m_{i+1} > m_i 2^{i+101}$  for  $i \geq 1$ , (ii) each  $m_i$  divides  $m_{i+1}$  and (iii)  $m_i > 3^{2 \deg(f_i)} (\deg(f_i))^2 40^2$  for  $i \geq 1$ . Let  $I$  be the ideal of  $\bar{A}$  generated by  $\{f_i^{10m_{i+1}} \mid i = 1, 2, \dots\}$  and  $N = \bar{A}/I$ . Then clearly  $N$  is a nil ring, so  $R = K + N$  is also NI. Moreover,  $R$  is  $\pi$ -IFP by Proposition 2.2(2). Somktunowicz showed that  $\bar{a} + \bar{b}x + \bar{c}y$  is not nilpotent in [26, Theorem 12], where  $x, y$  are commuting indeterminates over  $R$ . This implies that  $R[x, y]$  is not NI since  $\bar{a}, \bar{b}, \bar{c}$  are all nilpotent in  $R$ . This result also yields the following two situations:

- (1) If  $R[x]$  is  $\pi$ -IFP, then we have a  $\pi$ -IFP ring but not NI;
- (2) If  $R[x]$  is not  $\pi$ -IFP, then we have a  $\pi$ -IFP ring over which the polynomial ring is not  $\pi$ -IFP.

Here the statement (2) is shown to be true, essentially by help of Smoktunowicz. We can say that  $\bar{a}^{2t} = 0$  and  $\bar{a}^{2t-1} \neq 0$  for some  $t \geq 2$ , based on the construction of  $m_i$ 's. Consider the polynomial  $f(x, y) = \bar{a}^t(\bar{a} + \bar{b}x + \bar{c}y) = \bar{a}^{t+1} + \bar{a}^t\bar{b}x + \bar{a}^t\bar{c}y \in \bar{a}^t R[x, y]$ . Note that each of  $\bar{a}^{t+1}, \bar{a}^t\bar{b}, \bar{a}^t\bar{c}$  is nonzero. Then  $f(x, y)$  is not nilpotent by applying the proof of [26, Theorem 12]. Thus  $R[x, y]$  cannot be  $\pi$ -IFP by Lemma 1.8(6), entailing that  $R[x]$  is not  $\pi$ -IFP.

**Proposition 2.6.** Let  $R$  be an IFP ring and  $f(x) = a_0 + a_1x, g(x) = \sum_{j=0}^n b_jx^j \in R[x]$ . If  $f(x)g(x) = 0$ , then  $f(x)^{2(n+1)}R[x]g(x) = 0$ .

*Proof.* Let  $f(x)g(x) = 0$  for  $f(x) = a_0 + a_1x$ ,  $g(x) = \sum_{j=0}^n b_jx^j$ . Then  $a_i^{n+1}b_j = 0$  for all  $i, j$  by [7, Lemma 5.4]. Since  $R$  is IFP, we obtain  $f(x)^{2(n+1)}R[x]g(x) = 0$  because some  $a_i$  occurs at least  $n+1$  times in every monomial of the expansion of  $f(x)^{2(n+1)}$ .  $\square$

The  $\pi$ -IFP condition also does not go up to formal power series rings by Example 2.5 and Lemma 1.8(5). But we can see such an actual example in the following.

**Example 2.7.** The  $\pi$ -IFP condition does not go up to formal power series rings. Let  $F$  be a field and

$$N_n = \{a \in U_{2n}(F) \mid \text{the diagonal entries of } a \text{ are all zero}\}$$

for  $n \geq 1$ . Next set  $N = \bigoplus_{n=1}^\infty N_{n+1}$ . Then  $N$  is a nil algebra over  $F$  and so  $R = F + N$  is  $\pi$ -IFP by Theorem 1.10(2).

Take  $a_n = (\alpha_i), b_n = (\beta_i) \in N$  ( $n \geq 1$ ) such that

$$\alpha_n = e_{12} + e_{23} + \dots + e_{(n-1)n}, \beta_n = e_{(n+1)(n+2)} + e_{(n+2)(n+3)} + \dots + e_{(2n-1)2n},$$

and  $\alpha_i = 0, \beta_i = 0$  for  $i \neq n$ . Then  $\alpha_i\beta_i = 0$  for all  $i$  and  $a_nb_n = 0$ ; moreover  $a_sb_t = 0$  for  $s \neq t$ . Now let

$$f(x) = \sum_{j=1}^\infty a_jx^j \text{ and } g(x) = \sum_{j=1}^\infty b_jx^j \in R[[x]].$$

Then  $f(x)g(x) = 0$ . Note that

$$f(x)^m = (\gamma_i)x^{m^2} + \dots \neq 0 \text{ and } g(x)^m = (\delta_i)x^{m^2} + \dots \neq 0$$

with  $\gamma_{m^2} = e_{1(1+m)}, \delta_{m^2} = e_{(2+m)(2+2m)}$

for all  $m \geq 1$ . So, letting  $(\sigma_i) \in R$  such that  $\sigma_{m^2} = e_{(1+m)(2+m)}$  and  $\sigma_i = 0$  for  $i \neq m^2$ , we have

$$(\zeta_i)x^{2m^2} + \dots \in f(x)^m(\sigma_i)g(x)^m$$

with  $\zeta_{m^2} = e_{1(2+2m)}$ . Thus  $f(x)^mR[[x]]g(x)^m \supseteq f(x)^mRg(x)^m \neq 0$  for all  $m \geq 1$ , concluding that  $R[[x]]$  is not  $\pi$ -IFP.

We end this note by raising the following.

- Questions.** (1) Is a  $\pi$ -IFP ring NI when it is not locally finite?  
 (2) If  $R$  is an IFP ring, then is  $R[x]$   $\pi$ -IFP?

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