

## ZERO-DENSITY ESTIMATES FOR EPSTEIN ZETA FUNCTIONS OF CLASS NUMBERS 2 OR 3

YOONBOK LEE

**ABSTRACT.** We investigate the zeros of Epstein zeta functions associated with positive definite quadratic forms with rational coefficients in the vertical strip  $\sigma_1 < \Re s < \sigma_2$ , where  $1/2 < \sigma_1 < \sigma_2 < 1$ . When the class number  $h$  of the quadratic form is bigger than 1, Voronin gave a lower bound and Lee gave an asymptotic formula for the number of zeros. Recently Gonek and Lee improved their results by providing a new upper bound for the error term when  $h > 3$ . In this paper, we consider the cases  $h = 2, 3$  and provide an upper bound for the error term, smaller than the one for the case  $h > 3$ .

### 1. Introduction

Define  $Q(m, n)$  to be a positive definite quadratic form  $am^2 + bmn + cn^2$  with  $a, b, c \in \mathbb{Z}$  and let its discriminant be  $D = b^2 - 4ac < 0$ . Let  $s = \sigma + it$  be a complex variable. The Epstein zeta function associated with  $Q$  is defined by

$$E(s, Q) = \sum_{\substack{m, n \in \mathbb{Z} \\ (m, n) \neq (0, 0)}} \frac{1}{Q(m, n)^s}$$

for  $\sigma > 1$ . It has a meromorphic continuation to  $\mathbb{C}$  with a simple pole at  $s = 1$ . Recently Gonek and Lee in [1] estimated  $N(\sigma_1, \sigma_2; T)$ , the number of zeros of  $E(s, Q)$  in the rectangular region  $\sigma_1 < \sigma \leq \sigma_2$ ,  $T < t \leq 2T$  for  $1/2 < \sigma_1 < \sigma_2 < 1$ , when the class number  $h(D)$  of  $\mathbb{Q}(\sqrt{D})$  is bigger than 3, and obtained

$$(1.1) \quad N(\sigma_1, \sigma_2; T) = cT + O(T \exp(-b\sqrt{\log \log T}))$$

for some  $b > 0$ , where  $c = c(\sigma_1, \sigma_2, Q) > 0$ . The purpose of this paper is to find a stronger estimation of  $N(\sigma_1, \sigma_2; T)$  for  $1/2 < \sigma_1 < \sigma_2 < 1$  when  $h(D) = 2$  or 3 as follows.

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**Theorem 1.** *Suppose that  $h(D) = 2$  or  $3$ . Then*

$$N(\sigma_1, \sigma_2; T) = cT + O\left(T \frac{\log \log T}{(\log T)^{\sigma_1/2}}\right)$$

*holds for  $1/2 < \sigma_1 < \sigma_2 < 1$ , where  $c = c(\sigma_1, \sigma_2, Q) > 0$ .*

We begin our estimation from the well-known identity

$$(1.2) \quad E(s, Q) = \frac{w_D}{h(D)} \sum_{\chi} \overline{\chi(\mathfrak{a}_Q)} L(s, \chi),$$

where  $w_D$  is the number of roots of unity in  $\mathbb{Q}(\sqrt{D})$ , the  $\chi$ -sum is over all ideal class characters of  $\mathbb{Q}(\sqrt{D})$ ,  $\mathfrak{a}_Q$  is an integral ideal corresponding to  $Q$  and  $L(s, \chi)$  is the Hecke  $L$ -function associated with  $\chi$ . When  $h(D) = 2$ , let  $1$  and  $\chi_1$  be the ideal class characters of  $\mathbb{Q}(\sqrt{D})$ . By (1.2) we see that

$$E(s, Q) = \frac{w_D}{h(D)} (L(s, 1) + \overline{\chi_1(\mathfrak{a}_Q)} L(s, \chi_1)).$$

When  $h(D) = 3$ , let  $1, \chi_2$  and  $\overline{\chi_2}$  be the ideal class characters of  $\mathbb{Q}(\sqrt{D})$ . Since  $L(s, \chi_2) = L(s, \overline{\chi_2})$ , by (1.2) we find that

$$E(s, Q) = \frac{w_D}{h(D)} (L(s, 1) + 2\Re[\chi_2(\mathfrak{a}_Q)] L(s, \chi_2)).$$

When  $h(D) > 3$ ,  $E(s, Q)$  is a linear combination of more than two inequivalent Hecke  $L$ -functions. Therefore,  $E(s, Q)$  is a linear combination of two inequivalent Hecke  $L$ -functions if and only if  $h(D)$  is two or three.

Suppose that  $h(D) = 2$  or  $3$  and write

$$E(s, Q) = c_1 L_1(s) + c_2 L_2(s),$$

where  $c_1, c_2 \neq 0$  and  $L_1(s)$  and  $L_2(s)$  are two inequivalent Hecke  $L$ -functions. By Littlewood's lemma, we need to estimate the integral

$$\int_T^{2T} \log |E(s, Q)| dt$$

to prove Theorem 1 for  $1/2 < \sigma < 1$ . We split the integral into two pieces:

$$(1.3) \quad \int_T^{2T} \log |E(s, Q)| dt = \int_T^{2T} \log |c_1 L_2(s)| dt + \int_T^{2T} \log \left| \frac{L_1(s)}{L_2(s)} + \frac{c_2}{c_1} \right| dt.$$

The first integral on the right of (1.3) is computed using a short Dirichlet polynomial approximation of  $\log |L_2(s)|$  and the second integral is estimated using a method in [2] and lemmas in [1]. These lead to the following theorem.

**Theorem 2.** *Suppose that  $h(D) = 2$  or  $3$ . Then*

$$\frac{1}{T} \int_T^{2T} \log |E(s, Q)| dt = \mathcal{M}(\sigma) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right)$$

*holds for  $1/2 < \sigma < 1$ , and  $\mathcal{M}(\sigma)$  has a continuous second derivative.*

Note that  $\mathcal{M}(\sigma)$  is the Jensen function of  $E(s, Q)$ . The assertion in Theorem 2 that  $\mathcal{M}(\sigma)$  has a continuous second derivative, is proved in [3]. The proof of Theorem 2 provides a representation for  $\mathcal{M}(\sigma)$ , namely

$$\mathcal{M}(\sigma) = \mathbb{E}[\log |c_1 L_1(\sigma, X) + c_2 L_2(\sigma, X)|].$$

Here

$$L_j(\sigma, X) := \prod_{\mathfrak{p}} \left( 1 - \frac{\chi_j(\mathfrak{p})X(p)}{\mathfrak{N}(\mathfrak{p})^\sigma} \right)^{-1}$$

is a random model of the Hecke  $L$ -functions  $L_j(s) = L(s, \chi_j)$  for  $j = 1, 2$ , where  $p$  is the unique rational prime dividing  $\mathfrak{N}(\mathfrak{p})$ , and the  $X(p)$  are uniformly and independently distributed on the unit circle  $\mathbb{T}$ .

We shall briefly explain why the estimation in Theorem 1 is better than (1.1). When  $h(D) = 2$  or  $3$ , we will prove that the second integral on the right of (1.3) is essentially

$$\int_{B_2(T)} \log \left| \frac{L_1(s)}{L_2(s)} + \frac{c_2}{c_1} \right| dt,$$

where  $B_2(T)$  is the inverse image of the union of two rectangular regions in  $\mathbb{C}$  under  $\log L_1(s)/L_2(s)$  and it contains no singular points of the integrand. (See (3.5) and (3.3) for the definition of  $B_2(T)$ .) Then the above integral can be estimated using the discrepancy lemma (Lemma 6) and the distribution of the random model  $\log L_1(\sigma, X)/L_2(\sigma, X)$ . However, it is not possible with two rectangular regions in the cases for bigger  $h(D)$ . Indeed, Gonek and Lee in [1] required  $(\log T)^A$  many rectangular regions to prove (1.1) for  $h(D) > 3$  and the argument was elaborate.

We introduce the lemmas we will require in Section 2. Since Theorem 1 is a consequence of Theorem 2, we first prove Theorem 2 in Section 3 and then Theorem 1 in Section 4.

### 2. Lemmas

We state lemmas from [1] without proofs.

**Lemma 1** (Lemma 2.2 of [1]). *Let  $L(s) = L(s, \chi)$  be a Hecke  $L$ -function attached to an ideal class character of the quadratic field  $\mathbb{Q}(\sqrt{D})$ . For  $\sigma > 1$  write*

$$\log L(s) = \sum_{p,n} \frac{a(p^n)}{p^{ns}},$$

and for  $Y \geq 2$  and any  $s$  let

$$R_Y(s) = \sum_{p^n \leq Y} \frac{a(p^n)}{p^{ns}}.$$

Suppose that  $1/2 < \sigma < 1$  and  $B_1 > 0$  are fixed, and that  $Y = (\log T)^{B_2}$  with  $B_2 > 2(B_1 + 1)/(\sigma - 1/2)$ . Then

$$\log L(s) = R_Y(s) + O((\log T)^{-B_1})$$

for all  $t \in [T, 2T]$  except on a set of measure  $\ll T^{1-d(\sigma)}$ , where  $d(\sigma) > 0$ .

**Lemma 2** (Lemma 3.1 of [1]). *Let  $1/2 < \sigma \leq 2$  be fixed. There exists a constant  $C > 0$  depending at most on  $J$  such that for every positive integer  $k$  we have*

$$\frac{1}{T} \int_T^{2T} \left| \log \left| \sum_{j \leq J} c_j L_j(s) \right| \right|^{2k} dt \ll (Ck)^{4k}.$$

**Lemma 3** (Lemma 3.2 of [1]). *Let  $1/2 < \sigma \leq 2$  be fixed. There exist an absolute constant  $C_1 > 0$  and a constant  $C_2 > 0$  depending on  $\sigma$  such that for every positive integer  $k \leq \log T / (C_2 \log \log T)$ , we have*

$$\frac{1}{T} \int_T^{2T} |\log L_j(s)|^{2k} dt \ll (C_1 k)^k$$

and

$$\frac{1}{T} \int_T^{2T} |\log L_i(s) - \log L_j(s)|^{2k} dt \ll (C_1 k)^k.$$

**Lemma 4** (Lemma 3.3 of [1]). *Let  $1/2 < \sigma \leq 2$  be fixed. For every integer  $k > 0$  we have*

$$\mathbb{E} \left( \left| \log \left| \sum_{j \leq J} c_j L_j(\sigma, X) \right| \right|^{2k} \right) \ll (Ck)^{2k}$$

and

$$\mathbb{E} \left( \left| \log c_j L_j(\sigma, X) \right|^{2k} \right) \ll (Ck)^k,$$

where  $C > 0$  is a constant depending at most on  $J$ .

**Lemma 5.** *Let  $a$  be a fixed nonzero complex number and  $\sigma, A$  be positive constants. Then we have*

$$\int_{\log |a| + (\log T)^{-\sigma}}^{A \log \log T} \int_{-A \log \log T}^{A \log \log T} \frac{e^w}{|e^{w+iy} - a|^2} dy dw = O_a((\log \log T)^2)$$

as  $T \rightarrow \infty$ .

*Proof.*

$$\begin{aligned} & \int_{\log |a| + (\log T)^{-\sigma}}^{A \log \log T} \int_{-A \log \log T}^{A \log \log T} \frac{e^w}{|e^{w+iy} - a|^2} dy dw \\ &= \int_{\log |a| + (\log T)^{-\sigma}}^{A \log \log T} \int_{-A \log \log T}^{A \log \log T} \frac{e^{-w}}{|1 - ae^{-w-iy}|^2} dy dw \\ &= \int_{\log |a| + (\log T)^{-\sigma}}^{A \log \log T} \int_{-A \log \log T}^{A \log \log T} e^{-w} \sum_{m,n=0}^{\infty} (ae^{-w})^m (\bar{a}e^{-w})^n e^{-i(m-n)y} dy dw \\ &= 2A|a|^{-1} \log \log T \sum_{m=0}^{\infty} \frac{1}{2m+1} e^{-(2m+1)(\log T)^{-\sigma}} + O\left(\frac{\log \log T}{(\log T)^A}\right) \end{aligned}$$

$$+ O\left(\sum_{m \neq n} \frac{1}{|m-n|(m+n+1)} e^{-(m+n)(\log T)^{-\sigma}}\right).$$

It is now an easy exercise to prove that it is  $O((\log \log T)^2)$ . □

### 3. Proof of Theorem 2

We observe from (1.3) that we need to estimate the two integrals

$$(3.1) \quad \begin{aligned} I_1 &= \int_T^{2T} \log |L_2(s)| dt, \\ I_2 &= \int_T^{2T} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt \end{aligned}$$

for  $1/2 < \sigma < 1$  and  $a = -c_2/c_1 \neq 0$ .

We first estimate  $I_1$ . Let

$$\log L_2(s) = \sum_p \sum_{n=1}^{\infty} \frac{a_2(p^n)}{p^{ns}}$$

for  $\sigma > 1$ . Then by Lemma 1 there exists a set  $S_1(T) \subset [T, 2T]$  such that

$$\text{meas}([T, 2T] \setminus S_1(T)) \ll T^{1-d(\sigma)}$$

for some  $d(\sigma) > 0$ , and

$$\log |L_2(s)| = \Re \left[ \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{ns}} \right] + O((\log T)^{-B_1})$$

for all  $t \in S_1(T)$  and for  $B_2 > 2(B_1 + 1)/(\sigma - 1/2)$ . Thus, we have

$$\begin{aligned} I_1 &= \int_{S_1(T)} \Re \left[ \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{ns}} \right] dt + \int_{[T, 2T] \setminus S_1(T)} \log |L_2(s)| dt \\ &\quad + O(T(\log T)^{-B_1}) \\ &= \int_T^{2T} \Re \left[ \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{ns}} \right] dt - \int_{[T, 2T] \setminus S_1(T)} \Re \left[ \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{ns}} \right] dt \\ &\quad + \int_{[T, 2T] \setminus S_1(T)} \log |L_2(s)| dt + O(T(\log T)^{-B_1}) \\ &= I_{1,1} - I_{1,2} + I_{1,3} + O(T(\log T)^{-B_1}). \end{aligned}$$

The first integral  $I_{1,1}$  is

$$I_{1,1} = \Re \left[ \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{n\sigma}} \frac{p^{-2nTi} - p^{-nTi}}{-in \log p} \right] \ll (\log T)^{B_2(1-\sigma)}.$$

By the Cauchy-Schwarz inequality

$$\begin{aligned}
 & |I_{1,2}| \\
 & \leq (\text{meas}([T, 2T] \setminus S_1(T)))^{1/2} \left( \int_{[T, 2T] \setminus S_1(T)} \left| \Re \left[ \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{ns}} \right] \right|^2 dt \right)^{1/2} \\
 & \leq (\text{meas}([T, 2T] \setminus S_1(T)))^{1/2} \left( \int_T^{2T} \left| \sum_{p^n \leq (\log T)^{B_2}} \frac{a_2(p^n)}{p^{ns}} \right|^2 dt \right)^{1/2} \\
 & \ll T^{1-d(\sigma)/2}.
 \end{aligned}$$

Similarly by the Cauchy-Schwarz inequality and Lemma 3

$$|I_{1,3}| \leq (\text{meas}([T, 2T] \setminus S_1(T)))^{1/2} \left( \int_T^{2T} |\log |L_2(s)||^2 dt \right)^{1/2} \ll T^{1-d(\sigma)/2}.$$

Thus,

$$I_1 = O(T(\log T)^{-B_1})$$

for any fixed  $B_1 > 0$ . Since

$$\mathbb{E}(\log |L_2(\sigma, X)|) = \mathbb{E} \left( \Re \sum_p \sum_{n=1}^{\infty} \frac{a_2(p^n) X(p)^n}{p^{n\sigma}} \right) = 0,$$

we can also write

$$(3.2) \quad I_1 = \mathbb{E}(\log |L_2(\sigma, X)|) + O(T(\log T)^{-B_1}).$$

We next estimate  $I_2$ . Define

$$(3.3) \quad B_1(T) = \{t \in [T, 2T] : |\log |L_1(s)/L_2(s)|| \leq A \log \log T, \\
 |\arg L_1(s)/L_2(s)| \leq A \log \log T\}$$

for a large constant  $A > 0$ . By Lemma 3

$$\begin{aligned}
 & \text{meas}([T, 2T] \setminus B_1(T)) \\
 & \leq \int_{[T, 2T] \setminus B_1(T)} \frac{|\log |L_1(s)/L_2(s)||^{2k} + |\arg L_1(s)/L_2(s)|^{2k}}{(A \log \log T)^{2k}} dt \\
 & \leq \int_T^{2T} \frac{|\log |L_1(s)/L_2(s)||^{2k} + |\arg L_1(s)/L_2(s)|^{2k}}{(A \log \log T)^{2k}} dt \\
 & \ll T \left( \frac{Ck}{A \log \log T} \right)^{2k}
 \end{aligned}$$

for some  $C > 0$ . Choosing  $k = A \log \log T / (Ce)$ , we obtain

$$(3.4) \quad \text{meas}([T, 2T] \setminus B_1(T)) \ll T(\log T)^{-2A/(Ce)}.$$

By the Cauchy-Schwarz inequality, (3.4) and Lemma 2

$$\int_{[T, 2T] \setminus B_1(T)} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt$$

$$\begin{aligned} &\leq (\text{meas}([T, 2T] \setminus B_1(T)))^{1/2} \left( \int_T^{2T} \left| \log \left| \frac{L_1(s)}{L_2(s)} - a \right| \right|^2 dt \right)^{1/2} \\ &\ll T(\log T)^{-A/(Ce)}. \end{aligned}$$

Thus,

$$I_2 = \int_{B_1(T)} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt + O(T(\log T)^{-A/(Ce)}).$$

Next we want to remove a set of  $t$  near logarithmic singularities of the integrand out of the integral. Define

$$(3.5) \quad B_2(T) = \{t \in B_1(T) : |\log |L_1(s)/L_2(s)| - \log |a|| \geq (\log T)^{-\sigma}\}.$$

To show that the set  $B_1(T) \setminus B_2(T)$  has small measure, we define two functions

$$\begin{aligned} \mathbb{L}(s) &= (\Re \log L_1(s)/L_2(s), \Im \log L_1(s)/L_2(s)), \\ \mathbb{L}(\sigma, X) &= (\Re \log L_1(\sigma, X)/L_2(\sigma, X), \Im \log L_1(\sigma, X)/L_2(\sigma, X)), \end{aligned}$$

and two measures

$$\begin{aligned} \Psi_T(\mathcal{B}) &= \frac{1}{T} \text{meas}\{t \in [T, 2T] : \mathbb{L}(s) \in \mathcal{B}\}, \\ \Psi(\mathcal{B}) &= \mathbb{P}(\mathbb{L}(\sigma, X) \in \mathcal{B}) \end{aligned}$$

for Borel sets  $\mathcal{B} \in \mathbb{R}^2$  and for a fixed  $1/2 < \sigma < 1$ . Then we have the following lemma.

**Lemma 6** (Theorem 1.2 of [1]). *Let  $1/2 < \sigma < 1$  be fixed. Then*

$$\sup_{\mathcal{R}} |\Psi_T(\mathcal{R}) - \Psi(\mathcal{R})| \ll (\log T)^{-\sigma},$$

where  $\mathcal{R}$  runs over all rectangular regions in  $\mathbb{R}^2$  (possibly unbounded) with sides parallel to the coordinate axes.

By Lemma 6 and the absolute continuity of  $\Psi$ , we have that

$$(3.6) \quad \begin{aligned} \frac{1}{T} \text{meas}(B_1(T) \setminus B_2(T)) &= \Psi_T(\mathcal{R}_1) = \Psi(\mathcal{R}_1) + O((\log T)^{-\sigma}) \\ &= O((\log T)^{-\sigma}), \end{aligned}$$

where

$$\mathcal{R}_1 = (\log |a| - (\log T)^{-\sigma}, \log |a| + (\log T)^{-\sigma}) \times [-A \log \log T, A \log \log T].$$

By Hölder's inequality with  $\mathcal{L} = \log \log T$ , (3.6) and Lemma 2, we find that

$$\begin{aligned} &\int_{B_1(T) \setminus B_2(T)} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt \\ &\leq (\text{meas}(B_1(T) \setminus B_2(T)))^{1-1/(2\mathcal{L})} \left( \int_T^{2T} \left| \log \left| \frac{L_1(s)}{L_2(s)} - a \right| \right|^{2\mathcal{L}} dt \right)^{1/(2\mathcal{L})} \\ &\ll T \frac{(\log \log T)^2}{(\log T)^\sigma}. \end{aligned}$$

Hence

$$I_2 = \int_{B_2(T)} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt + O\left(T \frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

We split  $B_2(T)$  into two sets

$$B_{2,1}(T) = \{t \in B_1(T) : \log |a| + (\log T)^{-\sigma} \leq \log |L_1(s)/L_2(s)| \leq A \log \log T\},$$

$$B_{2,2}(T) = \{t \in B_1(T) : -A \log \log T \leq \log |L_1(s)/L_2(s)| \leq \log |a| - (\log T)^{-\sigma}\},$$

and define

$$I_{2,j} = \int_{B_{2,j}(T)} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt$$

for  $j = 1, 2$ . Then

$$I_2 = I_{2,1} + I_{2,2} + O\left(T \frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

We now estimate  $I_{2,1}$ . Define

$$\mathcal{R}_2 = [\log |a| + (\log T)^{-\sigma}, A \log \log T] \times [-A \log \log T, A \log \log T].$$

Then we see that

$$B_{2,1}(T) = \{t \in [T, 2T] : \mathbb{L}(s) \in \mathcal{R}_2\}$$

and

$$\frac{1}{T} I_{2,1} = \frac{1}{T} \int_{B_{2,1}(T)} \log \left| \frac{L_1(s)}{L_2(s)} - a \right| dt = \int_{\mathcal{R}_2} \log |e^{u+iv} - a| d\Psi_T(u, v).$$

We split the integral into two

$$\frac{1}{T} I_{2,1} = \int_{\mathcal{R}_2} \log |e^{u+iv} - a| d\Psi(u, v) + \int_{\mathcal{R}_2} \log |e^{u+iv} - a| dD_T(u, v),$$

where  $D_T = \Psi_T - \Psi$ . We want to show that the last integral is small. We divide it into three pieces as

$$\begin{aligned} & \int_{\mathcal{R}_2} \log |e^{u+iv} - a| dD_T(u, v) \\ &= \int_{\mathcal{R}_2} \log |e^{u+iv} - a| - \log |e^{A \log \log T + iv} - a| dD_T(u, v) \\ & \quad + \int_{\mathcal{R}_2} \log |e^{A \log \log T + iv} - a| - \log |e^{A \log \log T + iA \log \log T} - a| dD_T(u, v) \\ & \quad + \int_{\mathcal{R}_2} \log |e^{A \log \log T + iA \log \log T} - a| dD_T(u, v) \\ &= J_1 + J_2 + J_3. \end{aligned}$$

We first note that

$$J_3 = \log |e^{A \log \log T + iA \log \log T} - a| D_T(\mathcal{R}_2) \ll \frac{\log \log T}{(\log T)^\sigma}.$$



Next we may write  $J_2$  as

$$J_2 = \int_{\mathcal{R}_2} \int_v^{A \log \log T} \Re \left[ \frac{-ie^{A \log \log T + iw}}{e^{A \log \log T + iw} - a} \right] dw dD_T(u, v).$$

By changing the order of the integrals, we find that

$$\begin{aligned} J_2 &= \int_{-A \log \log T}^{A \log \log T} \int_{\mathcal{R}_2(w)} \Re \left[ \frac{-ie^{A \log \log T + iw}}{e^{A \log \log T + iw} - a} \right] dD_T(u, v) dw \\ &= \int_{-A \log \log T}^{A \log \log T} D_T(\mathcal{R}_2(w)) \Re \left[ \frac{-ie^{A \log \log T + iw}}{e^{A \log \log T + iw} - a} \right] dw, \end{aligned}$$

where

$$\mathcal{R}_2(w) = [\log |a| + (\log T)^{-\sigma}, A \log \log T] \times [-A \log \log T, w].$$

By Lemma 6

$$J_2 \ll \frac{\log \log T}{(\log T)^\sigma}.$$

Similarly, we may write  $J_1$  as

$$J_1 = - \int_{\mathcal{R}_2} \int_u^{A \log \log T} \Re \left[ \frac{e^{w+iv}}{e^{w+iv} - a} \right] dw dD_T(u, v).$$

In this case we divide it into two pieces as

$$\begin{aligned} J_1 &= - \int_{\mathcal{R}_2} \int_u^{A \log \log T} \Re \left[ \frac{e^{w+iv}}{e^{w+iv} - a} \right] - \Re \left[ \frac{e^{w+iA \log \log T}}{e^{w+iA \log \log T} - a} \right] dw dD_T(u, v) \\ &\quad - \int_{\mathcal{R}_2} \int_u^{A \log \log T} \Re \left[ \frac{e^{w+iA \log \log T}}{e^{w+iA \log \log T} - a} \right] dw dD_T(u, v) \\ &= J_4 + J_5. \end{aligned}$$

We can bound  $J_5$  by the same method of bounding  $J_2$  and obtain

$$J_5 \ll \frac{\log \log T}{(\log T)^\sigma}.$$

By changing the integrand of  $J_4$  into an integral, we have

$$J_4 = \int_{\mathcal{R}_2} \int_u^{A \log \log T} \int_v^{A \log \log T} \Re \left[ \frac{-aie^{w+iy}}{(e^{w+iy} - a)^2} \right] dy dw dD_T(u, v).$$

We change the order of integrals and then apply Lemma 6 to prove

$$\begin{aligned} J_4 &= \int_{\log |a| + (\log T)^{-\sigma}}^{A \log \log T} \int_{-A \log \log T}^{A \log \log T} D_T(\mathcal{R}_2(w, y)) \Re \left[ \frac{-aie^{w+iy}}{(e^{w+iy} - a)^2} \right] dy dw \\ &\ll \frac{1}{(\log T)^\sigma} \int_{\log |a| + (\log T)^{-\sigma}}^{A \log \log T} \int_{-A \log \log T}^{A \log \log T} \frac{e^w}{|e^{w+iy} - a|^2} dy dw, \end{aligned}$$

where

$$\mathcal{R}_2(w, y) = [\log |a| + (\log T)^{-\sigma}, w] \times [-A \log \log T, y].$$

By Lemma 5

$$J_4 \ll \frac{(\log \log T)^2}{(\log T)^\sigma}.$$

By combining the above inequalities, we deduce that

$$\frac{1}{T} I_{2,1} = \int_{\mathcal{R}_2} \log |e^{u+iv} - a| d\Psi(u, v) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

Similarly, we can also prove that

$$\frac{1}{T} I_{2,2} = \int_{\mathcal{R}_3} \log |e^{u+iv} - a| d\Psi(u, v) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right),$$

where

$$\mathcal{R}_3 = [-A \log \log T, \log |a| - (\log T)^{-\sigma}] \times [-A \log \log T, A \log \log T].$$

Thus,

$$\frac{1}{T} I_2 = \int_{\mathcal{R}_2 \cup \mathcal{R}_3} \log |e^{u+iv} - a| d\Psi(u, v) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

To show that

$$\begin{aligned} \frac{1}{T} I_2 &= \int_{\mathbb{R}^2} \log |e^{u+iv} - a| d\Psi(u, v) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right) \\ (3.7) \quad &= \mathbb{E}\left(\log \left| \frac{L_1(\sigma, X)}{L_2(\sigma, X)} - a \right| \right) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right), \end{aligned}$$

we need to prove that the integral

$$J_4 = \int_{\mathcal{R}_1 \cup (\mathbb{R}^2 \setminus \mathcal{R}_4)} \log |e^{u+iv} - a| d\Psi(u, v)$$

is smaller than the  $O$ -term, where

$$\mathcal{R}_4 = \mathcal{R}_1 \cup \mathcal{R}_2 \cup \mathcal{R}_3 = [-A \log \log T, A \log \log T]^2.$$

Observe that

$$\Psi(\mathcal{R}_1) = O((\log T)^{-\sigma})$$

by the absolute continuity of  $\Psi$  and

$$\begin{aligned} \Psi(\mathbb{R}^2 \setminus \mathcal{R}_4) &= \mathbb{P}(\mathbb{L}(\sigma, X) \in \mathbb{R}^2 \setminus \mathcal{R}_4) \\ &\leq \mathbb{P}(|\Re \log L_1(\sigma, X)/L_2(\sigma, X)| > A \log \log T) \\ &\quad + \mathbb{P}(|\Im \log L_1(\sigma, X)/L_2(\sigma, X)| > A \log \log T). \end{aligned}$$

By Lemma 4 we deduce that

$$\begin{aligned} &\mathbb{P}(|\Re \log L_1(\sigma, X)/L_2(\sigma, X)| > A \log \log T) \\ &\leq (A \log \log T)^{-2\mathcal{L}} \mathbb{E}(|\Re \log L_1(\sigma, X)/L_2(\sigma, X)|^{2\mathcal{L}}) \\ &\ll (A \log \log T)^{-2\mathcal{L}} (C\mathcal{L})^{-\mathcal{L}} \end{aligned}$$

$$\begin{aligned} &\ll \left( \frac{C \log \log T}{A^2 (\log \log T)^2} \right)^{\log \log T} \\ &\ll (\log T)^{-\sigma} \end{aligned}$$

for some  $C > 0$  and  $\mathcal{L} = \log \log T$ . Similarly, the same holds for

$$\mathbb{P}(|\Im \log L_1(\sigma, X)/L_2(\sigma, X)| > A \log \log T).$$

Hence,

$$(3.8) \quad \Psi(\mathbb{R}^2 \setminus \mathcal{R}_4) \ll (\log T)^{-\sigma}.$$

By Hölder’s inequality, (3.8) and Lemma 4 we deduce that

$$\begin{aligned} J_4 &= \int_{\mathcal{R}_1 \cup (\mathbb{R}^2 \setminus \mathcal{R}_4)} \log |e^{u+iv} - a| d\Psi(u, v) \\ &\leq (\Psi(\mathcal{R}_1 \cup (\mathbb{R}^2 \setminus \mathcal{R}_4)))^{1-1/(2\mathcal{L})} \left( \int_{\mathcal{R}_1 \cup (\mathbb{R}^2 \setminus \mathcal{R}_4)} |\log |e^{u+iv} - a||^{2\mathcal{L}} d\Psi(u, v) \right)^{1/(2\mathcal{L})} \\ &\leq (\Psi(\mathcal{R}_1 \cup (\mathbb{R}^2 \setminus \mathcal{R}_4)))^{1-1/(2\mathcal{L})} \left( \int_{\mathbb{R}^2} |\log |e^{u+iv} - a||^{2\mathcal{L}} d\Psi(u, v) \right)^{1/(2\mathcal{L})} \\ &= (\Psi(\mathcal{R}_1 \cup (\mathbb{R}^2 \setminus \mathcal{R}_4)))^{1-1/(2\mathcal{L})} \left( \mathbb{E} \left[ \left| \log \left| \frac{L_1(\sigma, X)}{L_2(\sigma, X)} - a \right| \right|^{2\mathcal{L}} \right] \right)^{1/(2\mathcal{L})} \\ &\ll \frac{\log \log T}{(\log T)^\sigma}. \end{aligned}$$

Thus, this proves (3.7).

Finally, by (1.3), (3.1), (3.2) and (3.7)

$$\begin{aligned} &\frac{1}{T} \int_T^{2T} \log |c_1 L_1(s) + c_2 L_2(s)| dt \\ &= \mathbb{E}(\log |c_1 L_1(\sigma, X) + c_2 L_2(\sigma, X)|) + O\left(\frac{(\log \log T)^2}{(\log T)^\sigma}\right). \end{aligned}$$

#### 4. Proof of Theorem 1

By Littlewood’s lemma we have

$$\begin{aligned} \int_\sigma^{\sigma_0} \left( \sum_{\substack{\beta > u \\ T \leq \gamma \leq 2T}} 1 \right) du &= \frac{1}{2\pi} \int_T^{2T} \log |E(\sigma + it, Q)| dt \\ &\quad - \frac{1}{2\pi} \int_T^{2T} \log |E(\sigma_0 + it, Q)| dt + O(\log T), \end{aligned}$$

where  $\sigma_0$  is a real number such that  $E(s, Q)$  has no zero in  $\Re s \geq \sigma_0$ . By Theorem 2

$$\int_{\sigma}^{\sigma_0} \left( \sum_{\substack{\beta > u \\ T \leq \gamma \leq 2T}} 1 \right) du = \frac{T}{2\pi} \mathcal{M}(\sigma) - \frac{1}{2\pi} \int_T^{2T} \log |E(\sigma_0 + it, Q)| dt + O\left(T \frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

Let  $h > 0$ . Differencing this at  $\sigma$  and  $\sigma + h$  and then dividing by  $h$ , we deduce that

$$\frac{1}{h} \int_{\sigma}^{\sigma+h} \left( \sum_{\substack{\beta > u \\ T \leq \gamma \leq 2T}} 1 \right) du = \frac{T}{2\pi} \frac{\mathcal{M}(\sigma) - \mathcal{M}(\sigma + h)}{h} + O\left(\frac{T}{h} \frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

Since  $\mathcal{M}(\sigma)$  is twice differentiable, for a sufficiently small  $h > 0$ ,

$$\frac{1}{h} \int_{\sigma}^{\sigma+h} \left( \sum_{\substack{\beta > u \\ T \leq \gamma \leq 2T}} 1 \right) du = -\frac{T}{2\pi} \mathcal{M}'(\sigma) + O\left(hT + \frac{T}{h} \frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

The integrand is a decreasing function of  $u$ , so

$$\sum_{\substack{\beta > \sigma+h \\ T \leq \gamma \leq 2T}} 1 \leq -\frac{T}{2\pi} \mathcal{M}'(\sigma) + O\left(hT + \frac{T}{h} \frac{(\log \log T)^2}{(\log T)^\sigma}\right) \leq \sum_{\substack{\beta > \sigma \\ T \leq \gamma \leq 2T}} 1.$$

In the left inequality we replace  $\sigma$  by  $\sigma - h$  and use  $\mathcal{M}'(\sigma - h) = \mathcal{M}'(\sigma) + O(h)$ . Then we find that

$$\sum_{\substack{\beta > \sigma \\ T \leq \gamma \leq 2T}} 1 = -\frac{T}{2\pi} \mathcal{M}'(\sigma) + O\left(hT + \frac{T}{h} \frac{(\log \log T)^2}{(\log T)^\sigma}\right).$$

Taking  $h = (\log T)^{-\sigma/2} \log \log T$ , we obtain

$$\sum_{\substack{\beta > \sigma \\ T \leq \gamma \leq 2T}} 1 = -\frac{T}{2\pi} \mathcal{M}'(\sigma) + O\left(T \frac{\log \log T}{(\log T)^{\sigma/2}}\right).$$

Therefore,

$$N(\sigma_1, \sigma_2; T) = \frac{T}{2\pi} (\mathcal{M}'(\sigma_2) - \mathcal{M}'(\sigma_1)) + O\left(T \frac{\log \log T}{(\log T)^{\sigma_1/2}}\right)$$

holds for  $1/2 < \sigma_1 < \sigma_2 < 1$ .

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YOONBOK LEE  
RESEARCH INSTITUTE OF NATURAL SCIENCES  
DEPARTMENT OF MATHEMATICS  
INCHEON NATIONAL UNIVERSITY  
INCHEON 22012, KOREA  
*E-mail address:* leeyb@inu.ac.kr, leeyb131@gmail.com