



## Synthesis of $\alpha$ -oximinoketones, Precursor of CO<sub>2</sub> Reduction Macrocyclic Coenzyme F430 Model Complexes

Gilhoon Kim and Hoshik Won\*

Department of Chemical and Molecular Engineering, Hanyang University, Ansan, 15588, Republic of Korea

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**Abstract** Ni(II) containing coenzyme F430 catalyzes the reduction of CO<sub>2</sub> in methanogen. Macrocyclic Ni(II) complexes with N,O Schiff bases have been received a great attention since metal ions play an important role in the catalysis of reduction. The reducing power of metal complexes are supposed to be dependent on oxidoreduction state of metal ion and structural properties of macrocyclic ring moiety that can enhance electrochemical properties in catalytic process. Six different  $\alpha$ -oximinoketone compounds, precursor of macrocyclic ligands used in CO<sub>2</sub> reduction coenzyme F430 model complexes, were synthesized with yields over 90% and characterized by NMR. The molecular geometries of  $\alpha$ -oximinoketone analogues were fully optimized at Beck's-three-parameter hybrid (B3LYP) method in density functional theory (DFT) method with 6-31+G\* basis set using the ab initio program. In order to understand molecular planarity and substitutional effects that may enhance reducing power of metal ion are studied by computing the structure-dependent <sup>13</sup>C-NMR chemical shift and comparing with experimental results.

**Keywords** Coenzyme F430, Ab initio, NMR, CO<sub>2</sub> reduction

### Introduction

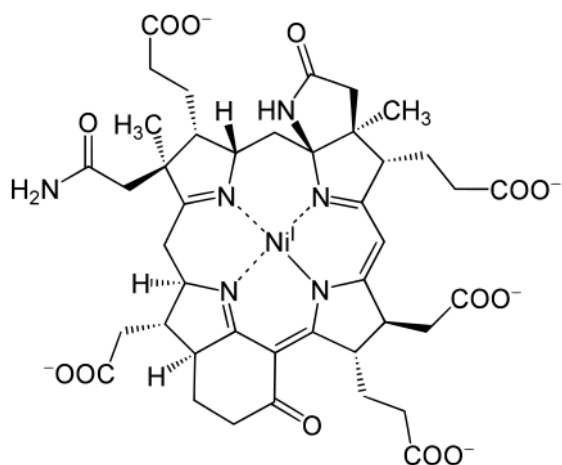
F430 is a Ni(II)-containing coenzyme that functions in the two electron reduction of methyl coenzyme M (2-(methylthio)ethane sulfonate) to methane and coenzyme M (2-mercaptoethane) in methanogenic bacteria.<sup>1-4</sup> The coenzyme consists of a tetrapyrrole corphin macrocycle with a centrally bound, square planar Ni atom as shown in Figure 1.<sup>5,6</sup> Similar to the corrin macrocycle in coenzyme B<sub>12</sub>, the corphin is not fully conjugated and is thus able to bend or ruffle.<sup>7,8</sup> Although knowledge of the mechanistic details of F430-dependent catalysis is primitive,<sup>9,10</sup> XAFS<sup>11</sup> and resonance Raman<sup>12</sup> studies on the F430-methyl reductase holoenzyme point to the formation of additional bonds to Ni to yield either 5- or 6-coordinate species. In addition, Jaun and Pfaltz<sup>13</sup> recently presented data suggesting that organonickel intermediates may be formed during F430-dependent catalysis.

A central question in F430 catalysis (and for that matter, B<sub>12</sub> catalysis) is the role of a flexible equatorial macrocycle. In the widely studied B<sub>12</sub> system, proposed mechanisms dealing with axial bond formation and cleavage invoke steric interactions between the equatorial and axial ligands as the dominant function of a flexible macrocycle.<sup>14</sup> In NMR and X-ray crystallography studies, F430 has the thermally unstable derivatives 13-monoepimeric F430 and 12,13-diepimeric F430. These two derivatives form a tetraaza ring, which called corphin due to its structural similarity to porphyrin and corrin.<sup>15</sup> It is reported corphin was not fully conjugated and it thus able to bend or ruffle, similar to the corrin macrocycle in coenzyme B<sub>12</sub>.<sup>16</sup>

\* Correspondence to: **Hoshik Won**, Department of Chemical and Molecular Engineering, Hanyang University, Ansan, 15588, Republic of Korea, Tel: 82-31-400-4224; E-mail: hswon@hanyang.ac.kr

The structural modification of macrocycle by epimerization can play an important role in the oxidation of central metal Ni(II), and this characterization is important information to understand the catalytic process of F430 in the cell.

In this study, various  $\alpha$ -oximinoketone compounds, precursor of macrocyclic ligands used in  $\text{CO}_2$  reduction coenzyme F430 model complexes, were synthesized in order to address molecular planarity and substitutional effects by comparing the structure dependent  $^{13}\text{C}$ -NMR chemical shift obtained from molecular geometries optimized with *ab initio* quantum computations.

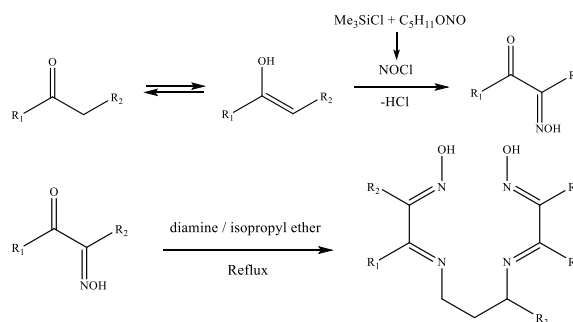


**Figure 1.** Structure of native coenzyme F430

## Experimental Methods

**Material and Synthesis of  $\alpha$ -oximinoketones** – All chemicals were purchased from Sigma Aldrich and used without further purifications. The NOCl was synthesized by reacting chlorotrimethylsilane with isoamyl nitrite at low temperature, and product subsequently added to propiophenones carrying various substituents. As shown in Figure 2, the NOCl can attack the labile hydrogen atom near ketone when ketone and enol are under chemical equilibrium, and finally enable to produce  $\alpha$ -oximinoketone. By using propiophenone moiety with various substituents, 6 different  $\alpha$ -oximino propiophenone derivatives were

synthesized.<sup>15</sup> The oximinoketone macrocyclic ligands can be synthesized by adding 0.5 equivalents of diamine. These tetradentate macrocyclic ligands can bind with metal ions such as Ni(II), and these metal complexes can be used as F430 model complexes.



**Figure 2.** Synthesis of  $\alpha$ -oximinoketone and macrocyclic tetradentate ligand with different substituents ( $R_1$ : phenyl group,  $R_2$  and  $R_3$ : methyl group)

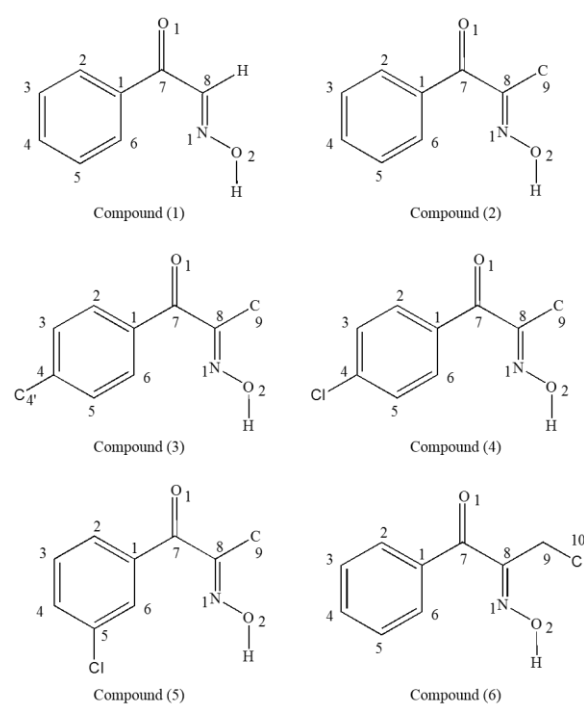
The detailed synthetic procedure of  $\alpha$ -oximinoketone compounds is as follows; 30 mL of methylene chloride and acetophenones with various substituents were added to the jacketed round-bottom flask equipped with low temperature circulator and reflux condenser. The addition of 1.2 g of  $\text{Me}_3\text{SiCl}$  (0.011 mol) and 1.4 g of isoamyl nitrite (0.0112 mol) gave rise to  $\alpha$ -oximinoketones at  $-20^\circ\text{C}$ . In order to synthesize  $\alpha$ -oximinoketones, six different acetophenone derivatives with various substituents were independently used as follows; 1.17 mL of acetophenone(1), 1.33 mL of propiophenone(2), 1.49 g of 4-methyl propiophenone(3), 1.69 g of 4-chloropropiophenone(4), 1.69 g of 3-chloropropiophenone(5), 1.48 g of 1-phenylbutane-1-one(6).

Yields of  $\alpha$ -oximinopropiophenone, 4'-methyl- $\alpha$ -oximinopropiophenone, 4'-chloro- $\alpha$ -oximinopropiophenone were over 93%. The crystalline precipitates were then filtered with rotary evaporator and recrystallized from hexane and toluene, respectively. The structure and element labeling of the synthesized derivatives were shown in Figure 3.<sup>17,18</sup>

**NMR experiments** - All  $^{13}\text{C}$  NMR measurements for  $\alpha$ -oximinopropiophenones were obtained with Varian 500 MHz FT-NMR spectrometer. The NMR data were processed and analyzed by using VNMRJ and

NMRpipe software.  $^{13}\text{C}$ -NMR chemical shifts were referenced to DMSO- $d_6$  (39.51 ppm), and used in the comparison with those of computational results.

*Ab initio calculations* - The molecular geometries of  $\alpha$ -oximinoacetophenone and its analogues were fully optimized at Beck's-three-parameter hybrid (B3LYP) method in density functional theory (DFT) method with 6-31+G\* basis set using the ab initio program Gaussian 98 without any geometrical restrictions.<sup>19,20</sup>



**Figure 3.** Molecular structures of six different  $\alpha$ -oximino ketones

## Results and Discussion

*Ab initio calculations* - The optimized geometrical parameters for compounds **1** ~ **6** at B3LYP/6-31+G\* are summarized in Table 1. Compared with geometrical parameters of compounds **1**, **2**, and **6**, most parameters are well consistent one another. But the parameters of  $\text{C}_7\text{-C}_8\text{-N}_1$  angle,  $\text{C}_2\text{-C}_1\text{-C}_7\text{-O}_1$ ,  $\text{C}_1\text{-C}_7\text{-C}_8\text{-N}_1$  dihedral angle of compound **1** are different from those of compounds **2** and **6**. Through

the effect of alkyl substituents in compounds **2** and **6**, the  $\text{C}_7\text{-C}_8\text{-N}_1$  angle decrease about  $6.5^\circ \sim 6.8^\circ$ , both the  $\text{C}_2\text{-C}_1\text{-C}_7\text{-O}_1$  and  $\text{C}_1\text{-C}_7\text{-C}_8\text{-N}_1$  dihedral angles increase about  $5.2^\circ \sim 6.3^\circ$ . Also, the geometries of compound **3** and compound **4** with different substituents at  $\text{C}_4$  differ in benzene ring geometry. Among C-C bond lengths in benzene ring,  $\text{C}_3\text{-C}_4$  and  $\text{C}_4\text{-C}_5$  bond lengths of compound **3** are somewhat longer than those compound **4**. Both the  $\text{C}_2\text{-C}_3\text{-C}_4$  and  $\text{C}_4\text{-C}_5\text{-C}_6$  angles of compound **3** are about  $2^\circ$  larger than those of compound **4**, but  $\text{C}_3\text{-C}_4\text{-C}_5$  angles is about  $3.2^\circ$  smaller than that of compound **4**. Additionally,  $\text{C}_2\text{-C}_1\text{-C}_7\text{-O}_1$  dihedral angle of compound **3** was computed to be  $20.1^\circ$ , which is about  $1.2^\circ$  less than that of compound **4**. Conversely,  $\text{C}_1\text{-C}_7\text{-C}_8\text{-N}_1$  dihedral angle of compound **3** is calculated to be  $27.1^\circ$ , which is about  $2.1^\circ$  more than that of compound **4**. The geometrical parameters of compound **5** is similar to those of compound **4**, but  $\text{C}_2\text{-C}_1\text{-C}_7\text{-O}_1$  and  $\text{C}_1\text{-C}_7\text{-C}_8\text{-N}_1$  dihedral angles are somewhat different from those of compound **4**.

$^{13}\text{C}$ -NMR chemical shifts were calculated by using the gauge-independent atomic orbital (GIAO) method<sup>21,22</sup> at both the HartreeFock (HF) and B3LYP levels. Though Gauss<sup>23,24</sup> has recently developed the GIAO-MP2 and GIAO-CCSD methods which provide shielding constant that are consistently in close agreement with experiment, an enormous computational cost beyond HF approximations has still prevented us from computing molecular properties of large molecules at high level of theory. The B3LYP method in DFT has been shown to be successful in predicting various molecular properties,<sup>25-27</sup> often giving results of a quality comparable or even better than MP2. It therefore seems reasonable to investigate in detail how well B3LYP predict NMR chemical shifts in particular for large molecules. Table 2 and Table 3 show the calculated values for these compounds and TMS are obtained using GIAO method at the HF/6-311+G\*\* and B3LYP/6-311+G\*\* level with the B3LYP/6-31+G\* optimized geometry. Though chemical shifts are generally a little difference, B3LYP/6-311+G\*\* level predicts that  $^{13}\text{C}$  chemical shifts are much closer to experimental

values than those obtained using the HF/6-311+G\*\* level. In Table 3, the calculated <sup>13</sup>C-NMR chemical shift of C<sub>8</sub> in compound **1** is about 8~9 ppm more shielding than that in compounds **2** ~ **5** and chemical shift of C<sub>8</sub> in compound **6** is 13 ppm more deshielding than that in compound **1**. Additionally, chemical shift of C<sub>4</sub> with methyl substituent in compound **3** is about 12 ppm, chemical shift of C<sub>4</sub> with chlorine substituent is about 15 ppm more deshielding than that in compounds **1**, **2**, **5**, and **6**. These chemical shift differences can be explained with deshielding effect originated from the ring current of benzene ring moiety, and with substitution effects from electron withdrawing group and electron donating groups. The dihedral angles of C<sub>2</sub>-C<sub>1</sub>-C<sub>7</sub>-O<sub>1</sub> and O<sub>1</sub>-C<sub>7</sub>-C<sub>8</sub>-C<sub>9</sub> are given to the range of 17.4 ~ 23.8 and 19.5 ~ 22.7, respectively. Molecular planarity and ruffling of macrocyclic ring are considered to be important in metal ion mediated CO<sub>2</sub> reduction. These variation of dihedral angles in spite of sp<sup>2</sup> hybridization on C<sub>7</sub>, C<sub>8</sub> carbon atoms exhibit that

side chains and the benzene ring moiety with various substituents may be flipped around even in macro-cyclic metal complex formation. By using ab initio computation, structural features and dynamic properties of  $\alpha$ -oximinoketone derivatives were determined. In addition, <sup>13</sup>C-NMR chemical shifts computed by using GIAO method at the HF/6-311+G\*\* and B3LYP/6-311+G\*\* level for the molecular geometries optimized with the B3LYP /6-31+G\* basis set appear to be sufficient enough to compare with experimental chemical shifts for these compounds. Although calculated <sup>13</sup>C-NMR chemical shifts are not exactly matched with experimental values, there are consistency that can address chemical shift changes originated from substituents and local molecular structures.

Based on these structural informations, further electrochemical CO<sub>2</sub> reduction studies on the synthesis Ni(II)-containing macrocyclic model complexes<sup>28,29</sup> including various alkyl, aryl groups and inorganic axial ligands will be made in detail.

**Table 1.** Geometrical Parameters for six  $\alpha$ -oximinoketone analogues (Distances (Å) ; Angles (deg))

Parameter	Compound 1	Compound 2	Compound 3	Compound 4	Compound 5	Compound 6
C <sub>1</sub> -C <sub>2</sub>	1.408	1.407	1.407	1.406	1.405	1.406
C <sub>2</sub> -C <sub>3</sub>	1.393	1.392	1.390	1.391	1.392	1.393
C <sub>3</sub> -C <sub>4</sub>	1.399	1.400	1.406	1.398	1.399	1.400
C <sub>4</sub> -C <sub>5</sub>	1.398	1.397	1.401	1.395	1.394	1.397
C <sub>5</sub> -C <sub>6</sub>	1.396	1.396	1.396	1.395	1.393	1.396
C <sub>6</sub> -C <sub>1</sub>	1.405	1.405	1.404	1.405	1.404	1.405
C <sub>6</sub> -C <sub>7</sub>	1.494	1.495	1.493	1.495	1.499	1.496
C <sub>7</sub> -C <sub>8</sub>	1.496	1.510	1.511	1.510	1.508	1.509
C <sub>8</sub> -C <sub>9</sub>	-	1.502	1.503	1.502	1.501	1.508
C <sub>7</sub> -O <sub>1</sub>	1.229	1.228	1.228	1.227	1.226	1.228
C <sub>8</sub> -N <sub>1</sub>	1.280	1.287	1.286	1.287	1.288	1.288
N <sub>1</sub> -O <sub>2</sub>	1.393	1.398	1.400	1.397	1.396	1.400
∠C <sub>1</sub> -C <sub>2</sub> -C <sub>3</sub>	120.5	120.6	120.7	121.1	120.3	120.5
∠C <sub>2</sub> -C <sub>3</sub> -C <sub>4</sub>	120.0	120.0	121.0	119.0	120.4	120.0
∠C <sub>3</sub> -C <sub>4</sub> -C <sub>5</sub>	119.9	119.9	118.0	121.2	118.9	119.9
∠C <sub>4</sub> -C <sub>5</sub> -C <sub>6</sub>	120.3	120.3	121.3	119.3	121.6	120.3
∠C <sub>5</sub> -C <sub>6</sub> -C <sub>1</sub>	120.2	120.2	120.4	120.7	119.3	120.2
∠C <sub>6</sub> -C <sub>1</sub> -C <sub>2</sub>	119.2	119.1	118.5	118.8	119.5	119.1
∠C <sub>1</sub> -C <sub>7</sub> -C <sub>8</sub>	123.1	122.0	122.0	122.0	122.0	122.3
∠C <sub>7</sub> -C <sub>8</sub> -C <sub>9</sub>	-	119.2	119.0	119.1	119.2	118.4
∠C <sub>1</sub> -C <sub>7</sub> -O <sub>1</sub>	121.2	120.6	120.8	120.4	120.2	120.6
∠C <sub>7</sub> -C <sub>8</sub> -N <sub>1</sub>	122.6	116.1	116.3	116.0	116.0	115.8
∠C <sub>8</sub> -N <sub>1</sub> -O <sub>2</sub>	111.2	111.6	111.6	111.6	111.6	112.2
∠O <sub>1</sub> -C <sub>7</sub> -C <sub>8</sub>	115.8	117.4	117.2	117.5	117.8	117.1
∠N <sub>1</sub> -O <sub>2</sub> -H	103.5	102.9	102.9	103.1	103.1	102.8
∠C <sub>2</sub> -C <sub>1</sub> -C <sub>7</sub> -O <sub>1</sub>	17.4	22.6	20.1	21.3	23.8	22.4
∠C <sub>1</sub> -C <sub>7</sub> -C <sub>8</sub> -N <sub>1</sub>	19.3	25.6	27.1	25.0	23.1	25.2
∠C <sub>7</sub> -C <sub>8</sub> -N <sub>1</sub> -O <sub>2</sub>	175.8	175.2	175.1	175.4	175.5	175.2
∠C <sub>9</sub> -C <sub>8</sub> -N <sub>1</sub> -O <sub>2</sub>	-	0.6	0.7	0.6	0.5	0.9
∠C <sub>1</sub> -C <sub>7</sub> -C <sub>8</sub> -C <sub>9</sub>	-	159.4	158.1	159.9	161.7	160.0
∠O <sub>1</sub> -C <sub>7</sub> -C <sub>8</sub> -C <sub>9</sub>	-	21.4	22.7	21.0	19.5	20.6

**Table 2.**  $^{13}\text{C}$ -NMR chemical shifts calculated with HF/6-311+G\*\* basis set for geometry optimized structures of  $\alpha$ -oximino-ketone analogues with B3LYP/6-31+G\* Level<sup>a</sup>

	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	Carbon		C <sub>7</sub>	C <sub>8</sub>	C <sub>9</sub>	C <sub>9'</sub>
					C <sub>5</sub>	C <sub>6</sub>				
Compound 1	135.77 <sup>b</sup> (148.39) <sup>c</sup>	138.90 (129.50)	130.01 (133.47)	140.20 (128.40)			195.09 (188.06)	161.19 (150.36)		
Compound 2	137.20 (136.42)	138.99 (129.88)	129.94 (132.12)	139.37 (127.22)			197.69 (190.17)	169.68 (155.03)	7.86 (9.98)	
Compound 3	133.55 (142.40)	139.72 (131.09)	129.66 (128.06)	151.85 (137.38)			197.19 (191.21)	169.79 (155.40)	7.98 (10.12)	
Compound 4	135.20 (139.15)	140.11 (128.32)	130.60 (132.79)	151.39 (134.61)			196.44 (190.16)	169.58 (156.82)	7.72 (10.38)	
Compound 5	138.51	136.81 (132.32)	130.88 (128.21)	139.18 (129.59)	139.71 (138.71)		196.84 (189.04)	169.24 (154.91)	7.56 (9.39)	
Compound 6	137.50 (137.75)	138.94 (129.78)	129.02 (132.13)	139.30 (127.79)			198.47 (199.72)	173.07 (159.02)	17.26 (17.23)	9.47 (10.23)

<sup>a</sup> Relative to TMS. <sup>b</sup> Calculated values. <sup>c</sup> Experimental values. Shifts of symmetric carbons are omitted.

**Table 3.**  $^{13}\text{C}$ -NMR chemical shifts calculated with B3LYP/6-311+G\*\* basis set for geometry optimized structures of  $\alpha$ -oximinoketone analogues with B3LYP/6-31+G\* Level<sup>a</sup>

	C <sub>1</sub>	C <sub>2</sub>	C <sub>3</sub>	C <sub>4</sub>	Carbon		C <sub>7</sub>	C <sub>8</sub>	C <sub>9</sub>	C <sub>9'</sub>
					C <sub>5</sub>	C <sub>6</sub>				
Compound 1	137.52 <sup>b</sup> (148.39) <sup>c</sup>	132.79 (129.50)	129.57 (133.47)	134.96 (128.40)			190.25 (188.06)	153.13 (150.36)		
Compound 2	138.59 (136.42)	133.13 (129.88)	129.18 (132.12)	134.30 (127.22)			192.98 (190.17)	162.07 (155.03)	6.81 (9.98)	
Compound 3	135.97 (142.40)	133.16 (131.09)	129.88 (128.06)	146.87 (137.38)			192.23 (191.21)	162.03 (155.40)	6.96 (10.12)	
Compound 4	136.39 (139.15)	134.35 (128.32)	129.93 (132.79)	151.04 (134.61)			191.53 (190.16)	161.93 (156.82)		(10.38)
Compound 5	140.00	130.79 (132.32)	130.10 (128.21)	134.22 (129.59)	144.08 (138.71)		192.05 (189.04)	161.75 (154.91)	6.42 (9.39)	
Compound 6	138.73 (137.75)	132.93 (129.78)	129.44 (132.13)	134.05 (127.79)			193.67 (199.72)	166.32 (159.02)	19.41 (17.23)	8.74 (10.23)

<sup>a</sup> Relative to TMS. <sup>b</sup> Calculated values. <sup>c</sup> Experimental values. Shifts of symmetric carbons are omitted.

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