MILP-Based Dynamic Efficiency Scheduling Model of Battery Energy Storage Systems

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Abstract – This paper presents a methodology to determine an optimal operation schedule of a battery energy storage system (BESS) considering dynamic charging/discharging efficiencies considering the output power levels. A novel optimization problem is formulated based on the mixed integer linear programming (MILP) addressing a non-linear charging/discharging efficiency function by a stair-wise linear model. Technical constraints of BESS are also included in the MILP formulation. Test simulation results of BESS operation for the two Korean power systems show the effectiveness of the dynamic efficiency scheduling model compared to the conventional average efficiency ones.

Keywords: Battery energy storage system (BESS), Dynamic efficiency scheduling model (DESM), Mixed integer linear programming (MILP), Optimal operation schedule.

1. Introduction

Energy storage systems (ESSs) are applicable in various power system fields such as peak shaving, energy arbitrage as a demand response resource, renewable integration, transmission congestion relief, frequency regulation, etc. Recently, in particular, grid-connected battery ESS (BESS) has the opportunity to enter into the practical power systems by showing the tendencies of technological advance and price decrease. Therefore, it can replace the conventional pumped storages which are considered as the costly efficient storages but restricted by the geographical and environmental conditions [1].

ESS can be used by a system operator for peak shaving or load leveling [2, 3], and by owner of ESS for the arbitrage trading in response to energy market prices [4]. The applications of energy time shift of BESS with intermittent renewables such as photovoltaics and wind turbines are presented in [5-8]. In particular, [6] and [7] suggested the methodologies to determine the optimal size of ESS considering the scale of the installed renewable capacity. M. S. Kandil et al. simulated optimal power flow containing ESSs in the test grids and analyzed the impact on transmission congestion relief by ESSs [9]. In the customer side, operational strategies of BESS are scheduled to minimize the electric bill in [10] and [11]. Optimal allocation of distributed resources in a microgrid has been resolved in [12] and [13].

Optimization models for determining optimal BESS

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strategies described in previous studies are based on the average constant charging and discharging efficiency values. In practice the efficiency of BESS during charging or discharging is dependent on the internal resistances in the battery cell, and the efficiency of BESS is a function as output power levels under charging or discharging [14]. When a BESS is under charging or discharging at a low C-rate status, it has a high efficiency. The efficiency monotonously decreases when the output power continuously increases. Thus, when the BESS is utilized as an application for peak shaving, its efficiency affects the contribution of the peak load reduction. The efficiency may also influence to the profit when the BESS is used for energy arbitrage application. To reduce the errors between the prior schedules and real operation results of BESS, it is necessary to develop more accurate methodology considering the dynamic BESS efficiency based on the power output levels under charging and discharging.

This paper presents an advanced optimal operation model of BESS considering multi efficiencies under charging and discharging. It is defined as the dynamic efficiency scheduling model (DESM) compared to the conventional average efficiency scheduling model (AESM). Stair-wise functions are introduced in order to approximate nonlinear charging and discharging efficiency curves as the linear models.

This paper is composed as follows: Section 2 describes an efficiency function of BESS of charging and discharging power. Also, we suggest a methodology to address the linearized dynamic efficiency curves. Section 3 introduces the formulation of optimization problems for operating BESS based on the mixed integer linear programming. The results obtained by DESM and AESM are compared in Section 4. Conclusion is given in Section 5.

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2. Efficiency Curves of BESS

Fig. 1 shows the simplified diagram of a BESS connected to power system. BESS losses are from power conditioning system (PCS) and battery. PCS loss is associated with the inverter efficiency. The battery loss is varied by the internal resistance which is related with the state of charge, internal and external temperature due to operating duration time [15]. If inverter AC-DC efficiency is fixed and the temperature in battery cell is constantly well managed, voltage and internal resistance of BESS are the functions of the state of charge. In the ideal case, voltage and resistance are not dependent on state of charge. Then charged and discharged energies are described as followings where the leakage resistance is zero [14].

$$e_c = 1.5 - 0.5\sqrt{1+p} \tag{1}$$

$$e_d = 0.5 + 0.5\sqrt{1-p}$$
 (2)

Eq. (1) and (2) are dimensionless charged and discharged energy functions of the levelized power respectively, where $e_c = E_C / Q_0 V_0$, $e_d = E_d / Q_0 V_0$, $p = 4R_0 P / V_0^2$, while Q_0 , V_0 and R_0 are respectively idealized charge, voltage, and internal resistance of a battery which are independent on the state of charge. P is charging or discharging power of the battery. Assuming the PCS efficiency is a constant,

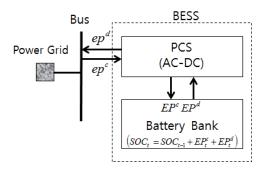


Fig. 1. Simplified diagram of a grid with BESS

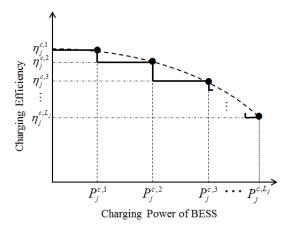


Fig. 2. An approximated stair-wise efficiency function of a BESS.

one-way efficiency of BESS under charging or discharging is determined by the efficiency of the battery bank. Additionally, in practice, taking into account other factors that affect the internal resistance of battery, the absolute value of the slope of the efficiency curve become larger, then the efficiency more steeply falls as the power increases.

As described above, the efficiency of BESS is expressed as a non-linear function of charging and discharging power which is dependent on internal resistance of battery. To consider dynamic efficiency model and maintain the linear based optimization formulation, we have introduced a discrete function as shown in Fig. 2. The dashed line is an example of Li-ion battery system charging efficiency function, which is a monotonously decreasing curve corresponding to power levels as Eq. (1). And discharging efficiency curve is similar to charging model as Eq. (2). Each can be approximated by a stair-wise function. It is more accurate curve in close to the origin function if the number of power levels is increased.

3. Optimal Scheduling Problem of BESS

3.1 Objective functions

BESS can be used for short-term energy time-shift applications by an hour (or 30, 15-minute etc.) basis. The major objectives of optimal BESS schedules will be peak shaving or energy arbitrage. To express based on linear forms, the object functions are formulated as follows:

3.1.1 Peak shaving

The aim of peak shaving is to minimize the peak load during the schedule period as (3). Inequality constraint (4) ensures the maximum load among the adjusted loads as Eq. (5) by BESS.

$$Min \sum_{t=1}^{T} D^{\max}$$

$$D^{\max} \ge D_{t}', \forall t$$
(3)

$$D^{\max} \ge D_t, \quad \forall t \tag{4}$$

$$D_{t}' = D_{t} + \sum_{j=1}^{N} \left[ep_{j,t}^{c} - ep_{j}^{d} \right], \quad \forall t$$
 (5)

3.1.2 Energy arbitrage

The objective of energy arbitrage will be the profit maximization or cost minimization in a perspective of owner or investor of a BESS. In this paper, the objective function is formulated as cost minimization to respond to energy prices as Eq. (6) with the constraint of (5).

$$Min \sum_{t=1}^{T} R_t \cdot L_t$$
 (6)

3.2 Linearization of BESS Constraints

3.2.1 External and internal charging/Discharging power

$$ep_{j,t}^{c} = \sum_{\ell=1}^{L_{j}} \left(1/\eta_{j}^{c,\ell} \right) \cdot q_{j,t}^{c,\ell}, \quad \forall t, \forall j$$
 (7)

$$ep_{j,t}^{d} = \sum_{m=1}^{M_{j}} \eta_{j}^{d,m} \cdot q_{j,t}^{d,m}, \quad \forall t, \forall j$$
 (8)

$$EP_{j,t}^{c} = \sum_{i=1}^{L_{j}} q_{j,t}^{c,\ell}, \quad \forall t, \forall j$$
 (9)

$$EP_{j,t}^{d} = \sum_{m=1}^{M_{j}} q_{j,t}^{d,m} , \ \forall \ t, \forall \ j$$
 (10)

Constraints (7) and (8) determine external side charging and discharging power levels of BESS i corresponding to their efficiencies while (9) and (10) are the internal powers under charging and discharging respectively.

3.2.2 Charging/Discharging phase constraints

$$v_{j,t}^{c} = \sum_{\ell=1}^{L_{j}} v_{j,t}^{c,\ell} , \quad \forall t, \forall j$$
 (11)

$$v_{j,t}^{d} = \sum_{m=1}^{M_{j}} v_{j,t}^{d,m} , \quad \forall t, \forall j$$
 (12)

$$v_{j,t}^c + v_{j,t}^d \le 1, \quad \forall \ t, \forall \ j$$
 (13)

Constraints (11) and (12) respectively ensure to select the only one of binary variables of efficiencies corresponding to charging and discharging power level at each time interval. Constraint (13) determines one status of BESS among charging, discharging, and idle at each time interval.

3.2.3 Charging/Discharging limits

$$v_{j,t}^{c,\ell} \cdot q_j^{c,\ell} \le q_{j,t}^{c,\ell} \le v_{j,t}^{c,\ell} \cdot \overline{q_j^{c,\ell}}, \quad \forall t, \forall j, \forall m$$
 (14)

$$v_{j,t}^{d,m} \cdot q_j^{d,m} \le q_{j,t}^{d,m} \le v_{j,t}^{d,m} \cdot \overline{q_j^{d,m}}, \quad \forall t, \forall j, \forall m$$
 (15)

Constraints (14) and (15) bound charging discharging range of each power level of BESS-j at time interval-t.

3.2.4 SOC(State of Charge) limits

$$SOC_{j}^{min} \leq SOC_{j,t} \leq SOC_{j}^{max}, \forall j, t = 1,...,T-1$$
 (16)

$$SOC_{i,T}^{low} \le SOC_{i,T} \le SOC_{i,T}^{upper}, \forall j, t = T$$
 (17)

$$SOC_{j,t} = SOC_{j,t-1} + \sum_{\ell=1}^{L_j} q_{j,t}^{c,\ell} - \sum_{m=1}^{M_j} q_{j,t}^{d,m}$$

$$=SOC_{j}^{o} + \sum_{k=1}^{t} \sum_{\ell=1}^{L_{j}} q_{j,k}^{c,\ell} + \sum_{k=1}^{t} \sum_{m=1}^{M_{j}} q_{j,k}^{d,m}, \forall t, \forall j$$
 (18)

Table 1. Input data for case studies

System data	Applied Grids	Main Land and Jeju Island of South Korea		
	System Demands and Energy Prices	Historical MCPs in 2013 by KPX		
BESS data	Battery Type	Li-ion battery		
	Rated Capacity	40MWh		
	Rated Power	20MW		
	Depth of Discharge	0.95		
	Average Inverter Efficiency in PCS	0.970		
	One-way Average Efficiency in Battery Bank	0.952		
	One-way Dynamic Efficiency Range in Battery Bank	0.980 to 0.923		
	Number of Operation Cycle	1 cycle/day		

Constraint (16) ensures the minimum and maximum state of charge boundaries of BESS-*i* at time interval-*t*. It is possible to control the final state of charge range by constraint (17). Constraint (18) determines the state of charge of BESS-*j* at interval-*t* using the initial value.

3.2.5 Total charging/Discharging energy limits

$$\sum_{t=1}^{T} \sum_{\ell=1}^{L_j} q_{j,t}^{c,\ell} \le Cycle_j \cdot \left(SOC_j^{\max} - SOC_j^{\min}\right), \quad \forall \quad j \quad (19)$$

$$\sum_{i=1}^{T} \sum_{j=1}^{M_{j}} q_{j,t}^{d,m} \le Cycle_{j} \cdot \left(SOC_{j}^{\max} - SOC_{j}^{\min}\right), \quad \forall j \qquad (20)$$

One of the expressions for lifetime of a BESS is cycle life which is the number of cycles for charging and discharging according to depth of discharge [16]. Therefore, we will limit the number of the round-trip operating cycles for a BESS. In this paper, we define one cycle as the one that the cumulated energy of each charge and discharge is equal to the capability of a BESS. Then it can be expressed as the constraints of (19) and (20).

4. Case Studies

In this section, comparing with AESM, the proposed DESM is tested in two real-world power systems, Main Land and Jeju Island of South Korea. The two power grids are interconnected via HVDC lines each other and operated by the same independent system operator and market operator, Korea Power Exchange (KPX). The energy spot market clearing prices (MCPs) are determined based on the zonal supply and demand. Considered system demands and MCPs in 2013 are provided by KPX. [17].

The tested Li-ion BESS includes 40 MWh rated capability, 20 MW rated power, and 95% depth of discharge. Assumptions both charging and discharging efficiencies are considered as the same ones. One-way efficiency of the BESS is divided into 20 pieces from 0.95 to 0.89



Fig. 3. Contribution of BESS in the peak shaving

corresponding to power levels as the stair-wise function in Fig. 2. The average efficiency of the BESS is assumed as 0.92. Also, it is assumed that the average efficiencies of AC-DC or DC-AC inverter in PCS are to be 0.97 [18].

The algorithms of LP-based AESM and developed MILP-based DESM are implemented in CPLEX 12.6 which is a robust solver for optimization problems.

4.1 Case-I: Peak shaving application

In the system operator perspective, the peak shaving application of a BESS can be applied during peak hours of a year. It can be also used to manage peak loads of the electric customers for the purpose of the demand charge savings. In this case, the results of operation schedules of BESS at the grid operator point of view by AESM and DESM models for the peak day in 2013 are compared. They are applied to Main land as well as Jeju Island power systems.

Fig. 3 shows the results of peak shaving by the two scheduling models on the peak days in the two systems. Contribution capacities for peak reduction by DESM and AESM are 17.91 and 18.40 MW in Main Land and 7.07 and 6.99 MW in Jeju Island respectively. In practice, these variations can be understood as the errors of AESM which is a simplified algorithm compared to DESM.

4.2 Case-II: Energy arbitrage application

4.2.1 Scheduled patterns of BESS by DESM and AESM

Fig. 4 shows the results of BESS operation schedule by DESM and AESM based on daily average MCPs in summer season of 2013. Charging and discharging schedules by DESM are widely distributed on the time horizon to maintain high efficiency with low C-rate while those of AESM are concentrated in two hours. In Jeju Island case, DESM only schedules on the high efficiency sections of BESS, however, AESM is unable to schedule because the difference between maximum and minimum MCPs is small to overcome the loss of BESS with round-trip average efficiency.

Fig. 5 presents the operation results of BESS by DESM

Table 2. Summary of operation results by AESM and DESM of Main Land and Jeju Island

Power Gri	Main Land		Jeju Island		
Operation Mo	AESM	DESM	AESM	DESM	
Total Scheduled	213	284	109	211	
Average Power [MW]	Charge	14.86	5.87	13.19	3.94
Average rower [MW]	Discharge	5.40	3.11	13.52	3.95
Ave. Frequency	Charge	2.85	6.38	2.67	5.46
per an operating day	Discharge	6.54	10.58	2.17	4.73

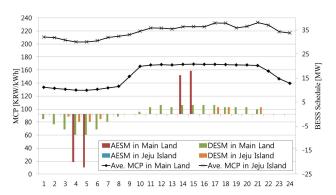
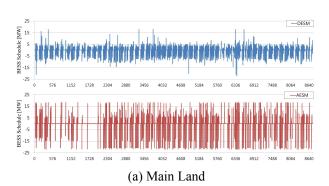


Fig. 4. BESS schedule for the energy arbitrage based on daily average summer MCPs



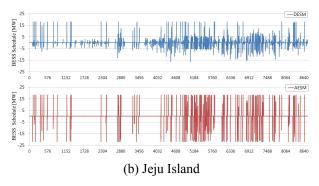
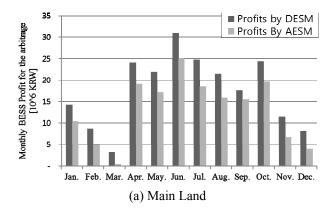


Fig. 5. Hourly BESS schedules for energy arbitrage by DESM and AESM based on MCPs for a year of 2013

and AESM for the energy arbitrage in 2013 for Main Land and Jeju Island. It is more frequently scheduled in Main Land rather than Jeju Island because the MCP differences in Main Land are generally larger than those in Jeju Island.



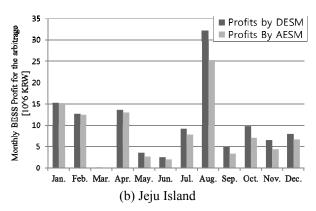


Fig. 6. Monthly BESS profits from the energy arbitrage by DESM and AESM

And the number of operation days by DESM is more than the days by AESM in both systems. Comparing with AESM, the operation schedule by DESM is generally maintaining low charging and discharging output power levels with the high efficiency range, except when particularly sudden high or low prices are occurred. On the contrary, the scheduled charging and discharging powers by AESM are almost rated values at most scheduled time intervals.

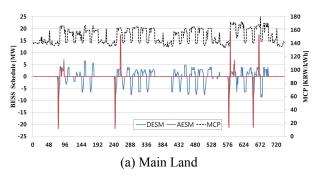
Table 2 is a summary of the operation results for the arbitrage used by AESM and DESM with Li-ion BESS in Main Land and Jeju Island. With 40MWh and 20MW of BESS, AESM make BESS use high powers for schedule with 14.86MW and 5.40MW of average charging and discharging power. However, the average scheduled powers by DESM are only 5.87MW and 3.11MW under charging and discharging respectively in Main Land.

4.2.2 Benefits for DESM and AESM

Fig. 6 shows the monthly profits from the results of operation schedule by DESM and AESM for the energy arbitrage application. In both Main Land and Jeju Island, profits by DESM are greater than those by AESM in every month. Annual energy arbitrage profits by DESM are increased 34.4% and 18.4% than AESM in Main Land and Jeju Island, respectively. In particular, even if the small

Table 3. Summary of BESS operation for arbitrage by AESM and DESM

Power Grid	Main	Land	Jeju Island		
Operation Model	AESM	DESM	AESM	DESM	
Scheduled Days	213	284	109	211	
Total Profit [10^3·KRW]	157,128	211,104	99,681	118,035	



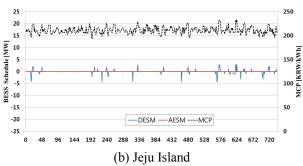


Fig. 7. BESS operation schedule for energy arbitrage by DESM and AESM in March 2013

MCP differences occur in March, DESM generates the profits of 3.24 and 0.18 million KRW while 0.43 million KRW and zero by AESM in Main Land and Jeju Island, respectively.

Fig. 7 shows the schedules of both systems in March. There can be little opportunity to arbitrage with BESS because this month generally has small daily MCP differences. By DESM, 14 days of charging/discharging operation are occurred while only 4 operating days are occurred for AESM in Main Land. In Jeju Island 14 days are scheduled by DESM with low C-rates of BESS and none is occurred by AESM.

Table 3 summarizes the results of BESS operation by AESM and DESM in Main Land and Jeju Island. By DESM, total operation days in 2013 are increased by 33.3% and 93.6%, and also total profits are expected more of 34.4% and 18.4% comparing with AESM in Main Land and Jeju Island, respectively.

5. Conclusions

A dynamic efficiency operation scheduling model of

BESS corresponding to charging and discharging power output has been developed in this paper. The algorithm has been formulated as mixed integer linear programming and compared with the conventional average efficiency operation algorithm. Two algorithms are tested for the real power systems of South Korea using historical demand and MCP. The results for peak shaving and energy arbitrage by the two models have shown that the developed dynamic efficiency scheduling model is more precise than the model using average value. Although this paper the developed advanced algorithm with variable efficiency of BESS, it is necessary in future to develop more an accurate algorithm considering the efficiency influenced by BESS characteristics of state of charge.

Nomenclature

Constants

L_{j}	The	number	of	steps	of	charging	efficiency
	function of BESS- <i>j</i>						

- M_{i} The number of steps of discharging efficiency function of BESS-*j*
- Charging efficiency corresponding to ℓ power section of BESS-j
- Discharging efficiency corresponding to mpower section in BESS-j
- Cycle, The cumulated number of cycles to limit charging and discharging energy of BESS-j during a schedule period
- D_{t} Estimated demand at time interval-t
- R_{t}^{i} SOC_{i}^{o} Energy price at time interval-t
- Initial state of charge of BESS-j
- SOC_{i}^{J} Maximum state of charge limit of BESS-j
- Minimum state of charge limit of BESS-j
- Low bound of the final state of charge of BESS-j in an operating period
- SOC_{iT}^{upper} Upper bound of the final state of charge of BESS-j in an operating period

Variables

- D_{t} ' Adjusted demand considering operation schedules of BESSs at time interval-t
- D^{max} Adjusted peak demand considering operation schedules of BESSs for an operating period
- Charging power of BESS-j from the power grid $ep_{i,t}^c$ at time interval-t
- $ep_{i,t}^d$ Discharging power of BESS-j into the power grid at time interval-t
- $EP_{i,t}^c$ Internal side charging power of BESS-j at time interval-t
- EP_{i}^{d} Internal side discharging power of BESS-j at time interval-t
- $q_{i,t}^{c,\ell}$ Charging power of BESS-j corresponding to

- $\eta_i^{c,\ell}$ at time interval-t
- Discharging power of BESS-j corresponding to $\eta_i^{d,m}$ at time interval-t
- $SOC_{i,t}$ State of charge of BESS-j at time interval-t
- Binary variable which is equal to 1 if BESS-j is under charging at time interval-t
- Binary variable which is equal to 1 if BESS-j's charging power is $q_{j,t}^{c,\ell}$ at time interval-t
- Binary variable which is equal to 1 if BESS-j is under discharging at time interval-t
- Binary variable which is equal to 1 if BESS-j's discharging power is $q_{j,t}^{d,m}$ at time interval-t

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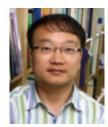
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