

Prognostic factors and efficacy of human intravenous immunoglobulin G in dogs with idiopathic immune-mediated hemolytic anemia: a retrospective study

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Abstract: This study was conducted to determine the effect of treatment with intravenous human immunoglobulin G (hIVIgG) on outcome in dogs with idiopathic immune-mediated hemolytic anemia (IMHA), and to identify prognostic variables that determine outcome in affected dogs. Thirty-seven dogs that met the inclusion criteria were enrolled in a retrospective study. The dogs were categorized into two groups based on their having received hIVIgG. There was no significant difference in survival between the hIVIgG group and the non-hIVIgG group. Mortality during hospitalization and at 1 month, 1 year, or 2 years after discharge was not significantly different between the hIVIgG and the non-hIVIgG groups. Hemoglobinuria was significantly less prevalent in dogs that lived more than 1 year than in those who lived less than 1 year, and was less prevalent in dogs that lived more than 2 years than in those who lived less than 2 years. However, there was no difference in the presence of hemoglobinuria between dogs that lived less than 1 month and those that lived more than 1 month. Overall, there was no evidence of a beneficial effect of hIVIgG in dogs with idiopathic IMHA.

Keywords: autoimmune hemolytic anemia, canine, hemoglobinuria, mortality, seasonality

Introduction

Immune-mediated hemolytic anemia (IMHA) is a leading cause of severe anemia and mortality in dogs [3, 19, 26, 27]. It has been well known that IMHA occurs as a result of complement- or antibody-mediated destruction of red blood cells in dogs [3, 24, 26] and humans [4, 5, 25]. Immunoglobulin (Ig)-mediated hemolysis is caused mainly by intravascular complement activation and subsequent intravascular hemolysis. By contrast, extravascular hemolysis is mainly caused by macrophages in the liver, spleen, or both [3, 5]. Secondary IMHA may result from drug treatment, infectious agents, underlying neoplastic or chronic inflammatory disease, vaccination, and other immune-mediated diseases [9, 10, 12, 23, 35]. Idiopathic or primary IMHA is considered in cases where these inciting factors are not identified [22, 26]. Idiopathic IMHA is reported to be about 60 to 75% of all IMHA cases in dogs [24].

The mainstay of treatment for idiopathic IMHA is immunosuppressive therapy. Commonly advocated immunosuppressive agents are prednisolone plus either cyclosporine or azathioprine. Other drugs, including human intravenous immunoglobulin (hIVIgG) and mycophenolate mofetil have been

used in cases with IMHA considered more refractory [16, 31, 36, 39]. Several studies evaluated immunosuppressive regimens for IMHA, but there is a lack of evidence that treatment with additional agents improved outcome compared with treatment with corticosteroids alone [33, 34, 38, 39].

hIVIgG, highly purified IgG, is obtained from a large pool of donated healthy human plasma [25]. It has been used in humans since the 1940s as a mainstay treatment for disorders including primary immunodeficiency, serious infections, and autoimmune and inflammatory disorders [11], and the mechanism of its immunomodulatory activity are complex [25]. hIVIgG has also been used in dogs, but its use is limited to patients with IMHA [26], immune-mediated thrombocytopenia [6], Evan's syndrome [7], sudden acquired retinal degeneration syndrome [15], pemphigus foliaceus [28], and myasthenia gravis [1].

Several retrospective studies and one prospective study have evaluated the effectiveness of hIVIgG as an initial therapy in dogs with idiopathic IMHA, but no studies have shown any improvement with hIVIgG [16, 18, 38]. Furthermore, hIVIgG has been used infrequently for treatment of IMHA in dogs due to several adverse effects, including acute hypersensitivity, thromboembolism, renal failure, hypotension, aseptic

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meningitis, and fluid overload [13, 21, 33]. Nevertheless, treatment with hIVIgG in dogs with IMHA is considered to be useful for initial stabilization of patients because the immediate response to hIVIgG is thought to be related to nonspecific Fc receptor blockade of phagocytes in the reticuloendothelial system [25]. Therefore, the aims of this retrospective study were to determine whether treatment with hIVIgG affected outcome in dogs with IMHA and to identify prognostic variables for the disease.

Materials and Methods

Inclusion criteria

Clinical data were collected from dogs with idiopathic IMHA between January 2006 and July 2014. Cases were initially included if they had hemolytic anemia (packed cell volume [PCV] < 30%) on admission. Of these cases, as described elsewhere [22, 26, 27], inclusion criteria for IMHA included evidence of hemolysis (hyperbilirubinemia or hemoglobinuria), positive in-saline agglutination test, and presence of significant numbers of spherocytes on a blood film (> 5 per high-powered field). Dogs were excluded if the medical record was incomplete; if there were other concurrent severe diseases; if there was concurrent immune-mediated thrombocytopenia (platelet count < $50,000 \times 10^9/L$); if there were underlying causes of IMHA, including neoplasia or infection with parasites; if they had received any glucocorticoids medication for > 48 h before admission; or if any other immunosuppressive agents had been administered.

Data collection

Data for selected animals were collected, including signalment, chief complaints, vaccination status, season of presentation, duration of hospitalization, and results of hematological and biochemical analyses on admission (PCV; neutrophil, platelet, and reticulocyte counts; concentrations of blood urea nitrogen [BUN], creatinine, and total bilirubin; urinalysis; and in-saline agglutination), number of whole blood transfusions received, treatment regimens instituted during hospitalization, and survival time after discharge, if possible. In addition, PCV on admission and PCV nadir, measured either during hospitalization or on follow-up, were obtained. Analysis of blood samples was conducted by the same laboratory for all cases, and the PCV at presentation was measured manually. For the purpose of this analysis, animals were categorized according to the treatment that they received: the hIVIgG group, which received hIVIgG (Liv-Gamma; SK Chemical Life Science, Korea) at a dose of 0.5 g/kg as a continuous IV infusion over 6 h, and the non-hIVIgG group, which did not receive hIVIgG, exclusively because the owners had declined.

Therapy

Whole blood or packed red blood cells were transfused on the basis of clinical examination findings and an assessment of the rate at which the PCV had decreased by the attending

clinician. Cross-matching was always carried out regardless of history of transfusion, and the number of blood transfusions was noted. If dogs had a PCV of less than 19% on follow-up, they received cross-matched fresh whole blood transfusion. Immunosuppressive treatment with prednisolone (Solon; Handong, Korea) was instituted in all 37 dogs, with 7 dogs (18.9%; 4 dogs in the hIVIgG group and 3 dogs in the non-hIVIgG group) receiving additional azathioprine (Immuthera; Celltrion, Korea) at the discretion of the treating clinician. Initially, prednisolone was administered subcutaneously at a dose of 2 mg/kg twice a day. If dogs had or regained an appetite and ate voluntarily, prednisolone was given orally at a dose of 2 mg/kg twice a day and tapered based on PCV and the result of in-saline autoagglutination on regular follow-up. If the dogs had a poor response to prednisolone, oral administration of azathioprine was started at a dose of 2 mg/kg once a day and tapered like prednisolone. In addition, dalteparin (Fragmin; Pfizer, Belgium) was administered subcutaneously at dose of 100 to 150 IU/kg to prevent thromboembolism during hospitalization in all dogs.

Statistical analyses

All statistical analyses were performed using GraphPad Prism 6.0 (GraphPad Software, USA). A $p < 0.05$ was considered significant. Population parameters were summarized using frequencies, medians with ranges (for categorical data), and means and SD (for continuous variables). Binary logistic regression was used to determine whether dogs with IMHA were more likely to be of a particular age, breed, sex, or body weight. Fisher's exact test was performed to compare between groups for categorical variables, and the Wilcoxon rank-sum test was used for continuous variables.

Survival analysis was performed using the Kaplan-Meier method, censored for dogs that were alive at the end of the study or dead by a cause other than IMHA. Comparison of survival curves between the hIVIgG group and the non-hIVIgG group was done with a log-rank test (Mantel-Cox test). The percent mortality for the two groups during hospitalization and at 1 month, 1 year, and 2 years after discharge was compared using a Chi-squared test.

To identify possible prognostic indicators, all variables recorded on admission were evaluated using a univariate Cox proportional hazards model. Individual variables with p values < 0.15 were retained and entered together into a multivariate analysis using a forward conditional method with the input criterion $p < 0.05$ in a likelihood ratio test.

Results

Initially, 121 dogs that had anemia and clinical evidence of hemolysis were selected from the clinicopathological database. Thirteen dogs were excluded, as they did not fulfill the inclusion criteria, and 71 dogs were excluded because they were positive for at least one of the exclusion criteria. As a result, 37 dogs were ultimately included in the study. Of

Table 1. Comparison of characteristics between 18 dogs that received human intravenous immunoglobulin G (hIVIgG) group and 19 dogs that did not (non-hIVIgG) group

	hIVIgG group (n = 18)	Non-hIVIgG group (n = 19)	<i>p</i> value*
Age (yr)	5.0 (0.7–12)	6.0 (3.0–12.7)	0.13
Sex	Eleven females; Seven males	Nine females; Ten males	0.42
Body weight (kg)	5.3 (2.1–11.0)	5.1 (1.8–13.0)	0.77
Season of onset	Spring, six; Summer, four; Autumn, three; Winter, five	Spring, four; Summer, five; Autumn, seven; Winter, three	0.51
Duration of clinical signs prior to diagnosis (d)	7.0 (1.0–18.0)	10.0 (1.0–21.0)	0.27
Number of blood transfusion events	1.0 (1.0–3.0)	2.0 (1.0–3.0)	0.09
Duration of hospitalisation (d)	5.5 (3.0–15.0)	7.0 (2.0–13.0)	0.38
Autoagglutination	18	19	1.00
Spherocytosis	18	19	1.00
Hemoglobinuria	12	15	0.48
Initial white blood count ($\times 10^3/\mu\text{L}$)	18.6 (7.3–48.9)	16.1 (3.7–56.7)	0.91
Initial segmented neutrophil count ($\times 10^3/\mu\text{L}$)	12.9 (5.8–35.2)	10.4 (1.7–35.7)	0.60
Initial packed cell volume (%)	14.6 (8.0–25.0)	12.0 (6.5–26.8)	0.19
Packed cell volume nadir (%)	19.0 (9.4–30.2)	16.7 (4.5–28.9)	0.21
Initial platelet count ($\times 10^3/\mu\text{L}$)	131.0 (59.0–458.0)	105.0 (60.0–454.0)	0.75
Initial blood urea nitrogen (mg/dL)	22.5 (15.0–126.0)	28.4 (11.0–125.6)	0.14
Initial creatinine (mg/dL)	0.6 (0.4–1.5)	0.7 (0.2–2.4)	0.21
Total bilirubin (mg/dL)	2.4 (0.2–11.8)	2.8 (0.1–12.7)	0.78

All values are shown as the median (range) or the number of patients. *Wilcoxon's signed rank test or Fisher's exact test.

these, 18 dogs that received hIVIgG were assigned to the hIVIgG group, and 19 dogs that did not receive hIVIgG were assigned to the non-hIVIgG group (Table 1).

Animals in this study included Maltese (n = 12), Shih Tzu (n = 10), English Cocker Spaniel (n = 4), cross-breed (n = 4), toy Poodle (n = 3), Miniature Schnauzer (n = 2), Spitz (n = 1), and Yorkshire Terrier (n = 1). Twenty of the 37 dogs were female (13 intact and seven neutered), and 17 dogs were male (eight intact and nine castrated). The median age at the time of first diagnosis of idiopathic IMHA was 5 years (range, 7 months–12 years). The median duration of clinical signs preceding the diagnosis of idiopathic IMHA was 9 days (range, 1–21). In this study, dogs with IMHA had clinical signs including anorexia (n = 30), vomiting (n = 12), diarrhea (n = 10), dark-colored urine (n = 5), and dyspnea (n = 4). One dog had a history of syncope. On physical examination, all 37 dogs had pale mucous membranes, ten dogs showed icterus, seven dogs had an increased rectal body temperature, and three dogs had generalized lymphadenopathy. No significant differences were found between the hIVIgG and non-hIVIgG groups in age, sex, body weight, seasonality, the duration of clinical signs prior to diagnosis, the number of blood transfusion events, or the duration of hospitalization (Table 1).

On admission, the median PCV was 13% (range, 6.5–26.8; n = 37). All dogs had regenerative anemia, agglutination, and spherocytosis. Ten dogs (27.0%) presented with evidence of hemoglobinuria. There were no statistical differences in the presence of hemoglobinuria, initial white blood cell (WBC)

or neutrophil counts, initial PCV, PCV nadir, initial platelet counts, or concentrations of BUN, creatinine, or bilirubin between the two groups (Table 1).

Of the 18 dogs in the hIVIgG group, all dogs were discharged from the hospital. Three dogs were re-hospitalized on the following day because of worsened clinical signs after discharge. Two dogs died on the day after discharge, and of the four dogs that relapsed, one was euthanized. The mean and median survival times for the hIVIgG group were 381 and 225 days, respectively. Of the 19 dogs in the non-hIVIgG group, 18 were discharged. One dog died during hospitalization, and five died after discharge. Of these five dogs, two dogs were euthanized due to suspected development of pulmonary thromboembolism, and three dogs died due to relapse of IMHA while re-hospitalized. The mean and median survival times for the non-hIVIgG group were 453 and 373 days, respectively.

The Kaplan-Meier survival curve stratified by treatment group is shown in Figure 1. There was no significant difference (Chi-squared, 0.2083; *p* = 0.6481) in survival between the hIVIgG group and the non-hIVIgG group.

One dog died during hospitalization. The remaining 36 dogs (97.3%) were discharged after a median hospitalization period of 7 days (range, 2–12). Of these dogs, 29 (78.4%) were found to be alive for at least 1 month after discharge. Seventeen dogs (45.9%) were still alive at 1 year after discharge, and nine of the animals (24.3%) were still alive at 2 years after discharge.

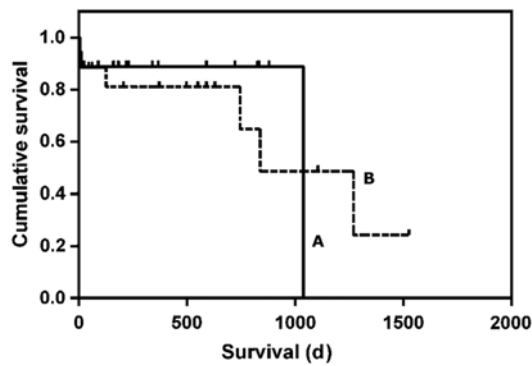


Fig. 1. Kaplan-Meier curve showing the difference in survival between the hIVIgG group (A; $n = 18$) and the non-hIVIgG group (B; $n = 19$). Vertical lines indicate censored data.

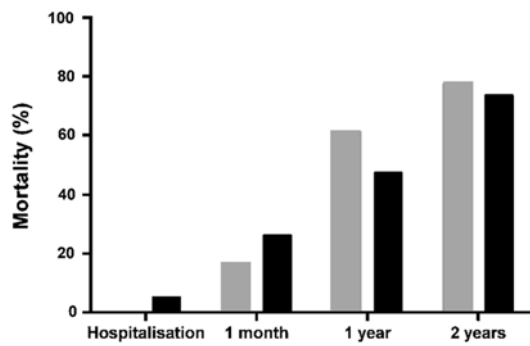


Fig. 2. Bar graph showing mortality in the hIVIgG group ($n = 18$; gray bars) and the non-hIVIgG group ($n = 19$; black bars) during hospitalization and at 1 month, 1 year, and 2 years after discharge.

The mortality figures for the hIVIgG and non-hIVIgG groups during hospitalization and at 1 month, 1 year, and 2 years after discharge are shown in Figure 2. Mortality during the period of hospitalization and at 1 month, 1 year, or 2 years after discharge was not significantly different between the hIVIgG and the non-hIVIgG groups (Chi-squared, 2.961; $p = 0.0853$).

Prognostic factors

Fifteen variables, including age; body weight; seasonality; the duration of clinical signs; the number of blood transfusions; the duration of hospitalization; the presence of hemoglobinuria; initial WBC, neutrophil, and platelet counts; initial PCV and PCV nadir; and initial concentrations of BUN, creatinine, and total bilirubin were analyzed by univariate Cox proportional hazards analysis (Table 2). All the variables analyzed were able to be collected from all cases, and five variables (age, seasonality, the duration of clinical signs, the duration of hospitalization, and hemoglobinuria) were $p \leq 0.15$. Of these variables, the duration of hospitalization was not entered into the multivariate model because it was not a prognostic criterion at the time of diagnosis. Multivariate Cox proportional hazards analysis with forward conditional

Table 2. Univariate Cox proportional hazard analysis in 37 dogs with idiopathic immune-mediated hemolytic anemia (IMHA) with significance at $p \leq 0.15$

	HR	95% CI	p value
Age (yr)	0.803	0.660–0.975	0.027
Season	4.536	1.022–20.122	0.047
Duration of clinical signs	0.842	0.720–0.945	0.004
Duration of hospitalization	0.002	0.001–0.034	0.010
Hemoglobinuria	0.009	0.001–0.070	0.001

HR, hazard ratio; CI, confidence interval.

Table 3. Multivariate Cox proportional hazards analysis in 37 dogs with IMHA using forward conditional entry with significance at $p \leq 0.05$

Parameter	HR	95% CI	p value
Season	3.973	1.202–13.134	0.024
Hemoglobinuria	0.063	0.014–0.288	0.001

entry showed that season of onset (hazard ratio [HR], 3.973; 95% confidence interval [CI], 1.202–13.134) was a positive predictor of death (Table 3). Of the dogs that survived less than 1 month, five had IMHA in the spring, one in autumn, and two in the winter. Ten dogs who survived more than 1 month had IMHA in the spring, four in the summer, nine in autumn, and six in the winter. In 20 dogs who survived less than 1 year, IMHA occurred in spring ($n = 5$), summer ($n = 6$), autumn ($n = 5$), and winter ($n = 4$). In 17 dogs who survived more than 1 year, IMHA occurred in spring ($n = 5$), summer ($n = 3$), autumn ($n = 5$), and winter ($n = 4$). In addition, among 28 dogs that survived less than 2 years, seven dogs were diagnosed with IMHA in each of the four seasons, and among nine dogs that survived more than 2 years, three were diagnosed in the spring, two in the summer, three in autumn, and one in winter.

The presence of hemoglobinuria (HR, 0.063; 95% CI, 0.014–0.288) was also found to be a positive predictor of death by multivariate Cox proportional hazards analysis (Table 3). All 11 dogs that survived less than 1 year (100%) had hemoglobinuria, and of 26 dogs that survived more than 1 year, 16 (61.5%) had hemoglobinuria. There was a significant difference in the proportion of hemoglobinuria between dogs that lived less than 1 year and those that lived more than 1 year (Chi-squared, 16.12; $p < 0.0001$), and between dogs that lived less than 2 years and those that lived more than 2 years (Chi-squared, 23.08; $p < 0.0001$), but not between dogs that lived less than 1 month or more than 1 month (Chi-squared, 3.780; $p = 0.052$).

Discussion

A previous study that evaluated the use of hIVIgG in 5 dogs demonstrated the effectiveness of hIVIgG in dogs with

IMHA that fail to respond to conventional immunosuppressive therapy [30]. It was suggested that treatment with hIVIgG might be of value in IMHA dogs that do not respond well to corticosteroid therapy within 7 days [18]. However, there have been no studies to clearly demonstrate the effect of hIVIgG on survival rate in dogs with IMHA. A prospective clinical trial showed that hIVIgG did not appear to improve long-term survival, although it may be useful for short-term stabilization [31]. In another retrospective study of 88 dogs with primary IMHA, there was no difference in mortality associated with hIVIgG administration [16]. One study suggested a potential positive effect of treatment with hIVIgG in IMHA dogs; however, this data should be interpreted cautiously because clinical symptoms were more severe in the dogs that received hIVIgG [14]. One prospective, blinded, randomized, placebo-controlled investigation observed that the addition of hIVIgG to glucocorticoids did not affect response, survival, hospitalization, or transfusion requirement when compared with glucocorticoids alone [38]. In the present study, there was also no apparent difference in mortality between the hIVIgG group and the non-hIVIgG group. Furthermore, because there were no differences in the lowest PCV during the course of disease or in the number of transfusions required between the hIVIgG group and the non-hIVIgG group, a similar mortality between the two groups could not be interpreted as a beneficial effect of hIVIgG in dogs with IMHA.

In humans, current U.S. Food and Drug Administration-approved uses for hIVIgG are in the context of Kawasaki disease, bone marrow transplantation, idiopathic thrombocytopenic purpura, chronic B-cell lymphocytic leukemia, pediatric human immunodeficiency virus, and primary Ig deficiency [13]. However, treatment with hIVIgG is also employed in sepsis, autoimmune hemolytic anemia, myasthenia gravis, necrotizing fasciitis, toxic epidermal necrolysis, and Guillain-Barre syndrome [13, 32]. hIVIgG alone or in combination with prednisone is frequently used in AIHA; however, its use remains controversial, primarily because only small case series have been reported [4]. In a recent guideline from human medicine, high-dose immunoglobulin was not recommended for use in AIHA, except under certain life-threatening circumstances [2].

In the treatment of dogs with IMHA, prednisolone is the first choice of a variety of immunosuppressive agents. Although several reports showed an improved outcome with azathioprine [8, 29] or cyclosporine in dogs with IMHA [40], these dogs had already received prednisone for several days or longer. One study revealed that treatment with a combination of glucocorticoids, azathioprine, and ultra-low-dose aspirin improved short- and long-term survival in dogs with IMHA [37]. Treatment of IMHA with mycophenolate mofetil along with glucocorticoids showed no difference in survival to discharge, short-term survival rate, duration of hospitalization, or the number of transfusions compared with treatment with glucocorticoids plus azathioprine, cyclosporine, or hIVIgG [36]. A retrospective study, which described the difference in

mortality among treatments with prednisolone alone, prednisolone with cyclosporine, and prednisolone with azathioprine, suggested that any difference in survival is due less to the choice of drug and more to the severity of disease with which the animal presented [34]. hIVIgG acts by several mechanisms, including neutralization by naturally occurring anti-idiotypic antibodies present in hIVIgG, blockage of Fc receptors, and prevention of auto-antibody binding to Fc receptors on phagocytes [25, 33]. Therefore, unlike other immunosuppressive drugs, hIVIgG is thought to be valuable for initial stabilization in patients with IMHA, as blockade of Fc receptors by Ig immediately [30], which makes hIVIgG useful as an initial treatment in combination with glucocorticoids. Therefore, it is often difficult to determine exactly what drug or combination caused an observed clinical response.

Furthermore, the effectiveness of hIVIgG may be different between dogs with predominant intravascular hemolysis and those with extravascular hemolysis. hIVIgG was considered more effective in patients with predominant extravascular hemolysis because hIVIgG regulated the immune response through attachment to affected red blood cells (RBCs) or blockade of Fc receptors on macrophages, inhibiting phagocytosis and subsequent tissue damage [33]. Moreover, the action of hIVIgG may differ depending on whether it was given before or after whole blood transfusion because sufficient attachment of hIVIgG may be diminished if the dogs received transfusion before hIVIgG treatment.

The mortality associated with idiopathic IMHA ranges from 29 to 72.7% [16, 20, 22]. The mortality rates at 1 month and 1 year in this study were similar to those in several recent retrospective analyses [22, 27, 29]. High serum bilirubin and urea concentration, high serum alkaline phosphate activity, low platelet count, low PCV, autoagglutination, increased body temperature, prolonged prothrombin time, and lack of regenerative erythroid response were reported to increase the risk of death in IMHA based on HRs [27, 37, 38]. Hyperbilirubinemia and increased plasma urea concentration have been frequently studied as negative prognostic indicators; however, they were not found to be significantly associated with response in the univariate or multivariate analysis in our study. Notably, the multivariate model in the present study indicated the presence of hemoglobinuria as an individual negative prognostic indicator. Hemoglobinuria is a consequence of intravascular hemolysis, which results in the release of free hemoglobin into the bloodstream [3]. All the dogs that required more than one transfusion in the present study showed hemoglobinuria. The increased incidence of hemoglobinuria was likely to reflect the additional transfusion or the degree of intravascular hemolysis in dogs with IMHA.

Seasonal incidence was identified in this study as a negative prognostic factor. There is limited previous data on the seasonality of IMHA [10, 17, 20]. A recent study failed to demonstrate a seasonal incidence of IMHA [22]; however, one retrospective study demonstrated that most diagnoses of IMHA were made during the months of May and June (40%)

[20]. Tick-borne diseases such as ehrlichiosis or babesiosis were postulated to be possible causes of Coombs-positive IMHA based on seasonality [12, 35]. In our study, animals with a diagnosis of IMHA in the winter had a greater risk of death than those diagnosed in other seasons. This cannot be simply explained by tick-borne diseases and should be interpreted cautiously with each patient's drug and vaccination history. Moreover, because the seasonality might be only involved in the local climate in Korea, further evaluation should be warranted.

The major limitations of the current study are the small number of dogs included and inconsistencies between treatment regimens, as in other studies. Although the retrospective nature of the study has significant weakness, this report contributes valuable information about IMHA in dogs in Korea, the long time period. In summary, the addition of hIVIgG to glucocorticoids as an initial treatment for dogs with IMHA did not improve response, prolong survival time, or shorten the duration of hospitalization. Additional clinical trials with larger sample sizes are necessary to elucidate the lack of benefit of hIVIgG in dogs with IMHA.

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