

Enhanced VLAD

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Abstract

Recently, Vector of Locally Aggregated Descriptors (VLAD) has been proposed to index image by compact representations, which encodes powerful local descriptors and makes significant improvement on search performance with less memory compared against the state of art. However, its performance relies heavily on the size of the codebook which is used to generate VLAD representation. It indicates better accuracy needs higher dimensional representation. Thus, more memory overhead is needed. In this paper, we enhance VLAD image representation by using two level hierarchical-codebooks. It can provide more accurate search performance while keeping the VLAD size unchanged. In addition, hierarchical-codebooks are used to construct multiple inverted files for more accurate non-exhaustive search. Experimental results show that our method can make significant improvement on both VLAD image representation and non-exhaustive search.

Keywords: hierarchical-codebook; enhanced VLAD; projected residual vector quantization; multiple inverted files

1. Introduction

Content-based image retrieval (CBIR) is a historical line of research in Multimedia and it has received extensive attentions [1-12]. The main task of it is image similarity search, i.e., finding the images in a database that are similar to a query image. There are two problems that all practical system must be solved, which are search time and storage size. To achieve desirable performance, common solutions use a set of local descriptors which is extracted from images called “bag of descriptors”. However, the prohibitive storage cost restricts the use of bag of descriptors because of the reason that the size of it is usually larger than the image itself. Many methods resort to compute a lightweight signature using the bag of descriptors to represent images. The most famous representative one is the bag-of-words representation (BOF) [13] which is borrowed from text retrieval. BOF aggregates a bag of descriptors into a single vector which is obtained as the histogram of the assignment of all image descriptors to code words. Generally, to achieve comparable search performance, the number of code words should be large enough, e.g., up to millions. Therefore, BOF can restrict some really application because of the prohibitive memory overhead.

In recent years, another image index scheme was provided [14] [15], i.e., Vector of Locally Aggregated Descriptors (VLAD) which is a simplified version of Fisher Vector (FV) [16] [17]. It models the local descriptors space by a small codebook obtained by clustering a large set of local descriptors. The model is simply the sum of residual vectors, i.e., all centered descriptors from their nearest code words. Each code word is responsible for one subvector. The final signature is obtained by a concatenation of all subvectors. Comparing with BOF, VLAD can obtain a comparable search quality with less memory. Recently, several variations have been proposed to improve the quality of VLAD representation [18].

In this paper, we propose to leverage two level hierarchical-codebooks to enhance the VLAD representation. The key idea of this paper is to generate smaller residual while keeping the size of VLAD unchanged. The hierarchical-codebook is also applied to generate multiple inverted files to improve non-exhaustive search.

This paper is organized as follows. Section 2 briefly reviewed VLAD formulation and some improvements, while section 3 describes our enhanced VLAD, encode strategy and the non-exhaustive search strategies based on multiple inverted files. Experimental results validate our algorithm in section 4. The conclusion is obtained in section 5.

2. Related Work

VLAD [14] [15] is an image indexing technique that produces a vector representation \mathcal{V} from a set $X = \{x_1, \dots, x_N\}$ of N local d -dimensional descriptors extracted from a given image. Similar to BOF, a visual codebook $C = \{c_1, \dots, c_K\}$ is learnt off-line. The codebook is formally used as a quantization function assigning any input local descriptor to its closest centroid (code word) as

$$Q: R^d \rightarrow C \subset R^d \quad (1)$$

$$x \mapsto Q(x) = \arg \min_{c_i \in C} \|x - c_i\| \quad (2)$$

Where $\|\cdot\|$ refers to L2 norm.

Then aggregation operation is performed as follows. For each quantization index $i \in [1 \cdots K]$, a d -dimensional vector v^i is obtained by accumulating the residual vectors which are generated by subtracting descriptors from their least distance code word as equation 3.

$$v^i = \sum_{x:Q(x)=c_i} x - c_i \quad (3)$$

The VLAD representation can be obtained by concatenating all K d -dimensional vector into a $D=K \times d$ dimensional vector as $v = [(v^1)^T \cdots (v^K)^T]^T$. An example of VLAD generation process is illustrated as **Fig. 1**.

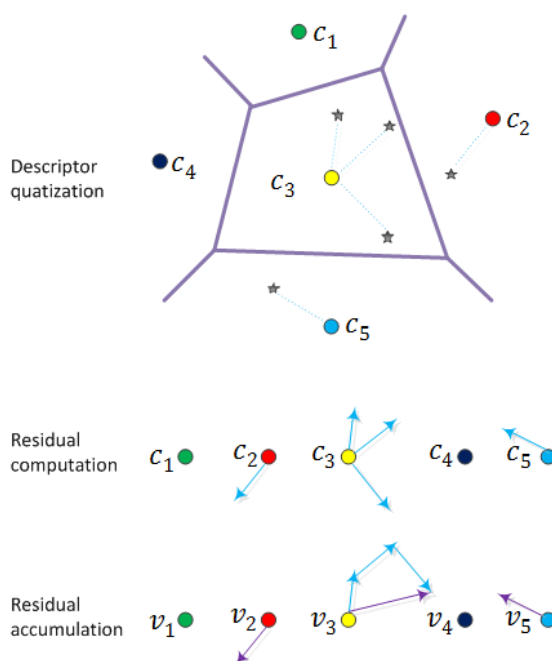


Fig. 1. An illustration of VLAD generation process

Two normalizations are then implemented to suppress local burst appearance of an image. Firstly, a component-wise nonlinear operation is applied: each component $v_j (1 \leq j \leq D)$ is modified as $v_j = \text{sign}(v_j) \times \|v_j\|^\alpha$, where the quantity α is a parameter such that $\alpha \leq 1$. This is the so-called “power-law normalization” [9], which is motivated in [7] by the presence of burst in natural image. Finally, VLAD vector is L2-normalized as $v = v/\|v\|$.

To satisfy memory constraint, VLAD memory footprint can be reduced significantly by performing a jointed optimized succession of dimension reduction and compression [14][15] with Product Quantization (PQ) [19]. Firstly the dimensionality of VLAD is reduced to $D' < D$ components by PCA. Subsequently, after a random rotation that balances the subvectors of reduced vector, PQ [19] splits it into M subvectors, which are separately quantized with a k -means quantizer. This compression scheme allows the computation of distance between a query and a set of vectors in compressed domain. It does not require the explicit decompression of the database vectors and is therefore very fast. The choice of D' and M is tuned thanks to an optimization procedure that solely relies on a reconstruction criterion.

The original normalizations are prone to putting much weight on burst visual features, resulting in a sub optimal measure of image similarity. To alleviate the problem, R.

Arandjelovic et.al [18] proposed a new normalization, termed intra-normalization (IN). The key idea of it is for each code word to L2 normalize the residuals summation within each VLAD block as

$$v^i = v^i / \|v^i\|, 1 \leq i \leq K \quad (4)$$

Comparing against the power-law normalization which discounts the burst effect, the inter-normalization fully suppresses the burst effect [18] [20].

3. Enhanced VLAD

3.1 EVLAD Framework

In this section, we introduce a technique, called Enhanced VLAD (EVLAD), to improve VLAD representation by utilizing two level hierarchical-codebooks.

For VLAD representation, our observation is that VLAD representation becomes more powerful by increasing the size of codebook. The main reason can be that the smaller quantization errors are generated by using the bigger codebook. However, with the original VLAD framework, the higher dimensional VLAD representation will be generated with the bigger codebook and increase the memory overhead inevitably. This inspires us to partition the local descriptors spaces with finer-granularity and to generate the smaller quantization errors while keeping the VLAD vector dimensionality unchanged. It is proposed to solve question by using two level hierarchical-codebooks and the resulting VLAD is termed the Enhanced VLAD (EVLAD).

Our two level hierarchical-codebooks learnt off-line are something like as hierarchical k-means. However, ours admits that the branches of each level can be different. For the sake of clarity, some notions are described as follows. The first level codebook with K centroids (code words), which is denoted by $C^1 = \{c_1, \dots, c_K\}$, partitions the N training local descriptors into K different subset actually. The local descriptors in the same subset are further used to train L centroids which are served as the second level codebooks and denoted by $C^2 = \{\{c_1^1, \dots, c_L^1\}, \dots, \{c_1^K, \dots, c_L^K\}\} = \{C_1^2, \dots, C_K^2\}$. Here, the parameters K and L are maybe different. The two level codebooks are formally used as 1+K quantization functions assigning any input local descriptors into its two level closest centroid separately as

$$Q_1 = R^d \rightarrow C^1 \subset R^d \quad (5)$$

$$x \mapsto Q_1(x) = \arg \min_{c_k \in C_1} \|x - c_k\| \quad (6)$$

$$Q_2^i = R^d \rightarrow C_i^2 \subset R^d, 1 \leq i \leq K \quad (7)$$

$$x \mapsto Q_2^i(x) = \arg \min_{c_k^i \in C_i^2} \|x - c_k^i\|, 1 \leq i \leq K \quad (8)$$

Where $\|\cdot\|$ refers to the L_2 norm.

As far as aggregating pipeline is concerned, EVLAD is different from the original VLAD. Let the first level codebook size K, EVLAD vector as VLAD vector also has K subvectors and code word is responsible for a subvector which accumulates the corresponding residual vectors. However, the generating residual vector bases are different. For a local descriptor x, EVLAD handle it as follows. As equation 9, the first level codebook is used as a quantizer and the quantization index i of the descriptor x determine the related subvector to which x's residual vector should add.

$$i = \operatorname{argmin}_{k: c_k \in C_1} \|x - c_k\| \quad (9)$$

But the exact residual vector about descriptor x is generated based on the second level code word c_j^i , where c_j^i in C_i^2 has the least distance to x . And the residual vector will be added to the i^{th} sub-vector of EVLAD vector as equation 10.

$$v^i = \sum_{x:Q_1(x)=c_i \& Q_2(x)=c_j^i} x - c_j^i \quad (10)$$

An example of EVLAD descriptor generation process is illustrated as **Fig. 2**.

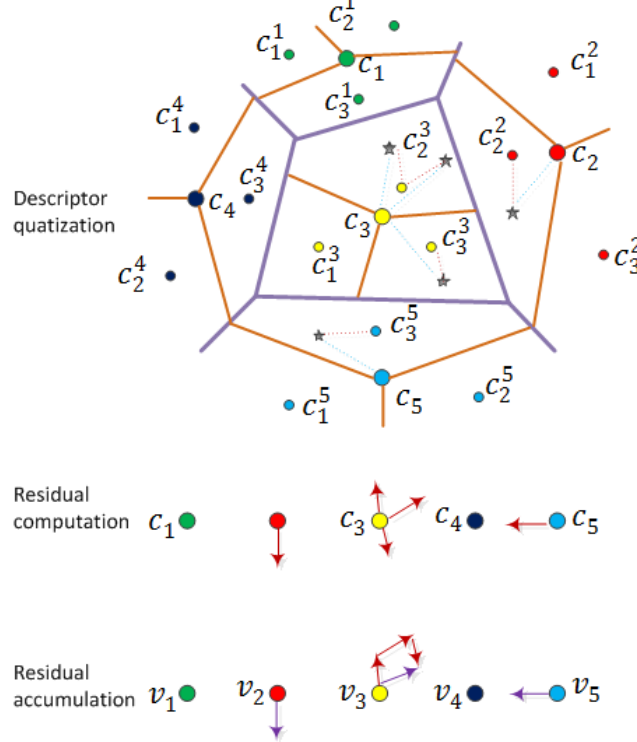


Fig. 2. An illustration of EVLAD descriptor generation process

In this example, the descriptor space is first partitioned into 5 quantization cells, and the cell centers, i.e. the first level code words, are represented in different color circles. Further, each cell is split into 3 new parts, and each center, i.e. the second code word, is represented as same color smaller circle. Residual computation is obtained by subtracting descriptor from the nearest second level code word. Thus, the accumulated residuals represented as purple arrow are smaller than residuals generated with VLAD framework shown as **Fig. 1**.

Our EVLAD is then obtained by two normalization operations. First, a subvector-wise L2-normalization is applied as $v^i = v^i / \|v^i\|$ which is termed intra-normalization [18] followed by concatenating all K d -dimensional subvectors into a $D = K \times d$ dimensional vector. The power-law normalization applied in original VLAD formulation is omitted in EVLAD formulation. Finally, EVLAD vector is L2-normalized as $v = v / \|v\|$. In summary, our EVLAD is described as **Algorithm 1**.

Algorithm 1 Computation of EVLAD descriptor v from a set of descriptors $X = \{x_1, \dots, x_N\}$. The hierarchical codebooks $C^1 = \{c_1, \dots, c_k\}$ and $C^2 = \{C_1^2, \dots, C_k^2\}$ are learnt on a training set by using k -means, where $C_k^2 = \{c_1^k, \dots, c_l^k\}$, $k = 1 \dots K$.

```

for k=1:K
     $v^k = 0_d$  //initialization
for n=1:N
     $i = \arg \min_{k:c_k \in C^1} \|x_n - c_k\|$ 
     $j = \arg \min_{l:c_l^i \in C^2} \|x_n - c_l^i\|$ 
     $v^i = v^i + x_n - c_j^i$  // aggregate residual vectors
for k=1:K
     $v^i = v^i / \|v^i\|$  // intra-normalization
 $v = [(v^1)^T \dots (v^K)^T]^T$ 
 $v = v / \|v\|$  // L2-normalization

```

3.2 Complexity Analysis

The complexity of our EVLAD is described as follows. To store the hierarchical-codebook, the storage complexity is $O(KLd)$. Because the parameters K and L are usually small, the memory overhead of the hierarchical-codebook can be omitted.

Given an image with N local descriptor, to generate the EVLAD representation, the computational complexity is $O(N(K+L)d)$. Compared against the original computational complexity $O(NKd)$, our algorithm increases the computation complexity moderately.

3.3 From vector to code

The resulting EVLAD vectors generated as **Algorithm 1** are high dimensional and the required storage overhead is prohibitive for large scale database. In this section, we address the general problem of encoding a high dimensional image descriptor with only several bytes while keeping desirable discrimination. Given an image descriptor, a D -dimensional input vector, we want to generate a B bits code to encode the image representation, such that the approximate nearest neighbors of a (non-encoded) query vector can be searched efficiently in a set of encoded database vectors. This problem is handled by projected residual vector quantization [23] (PRVQ) which can satisfy memory constraint and offer desirable search accuracy.

PRVQ quantizer consists of multiple stage-quantizers. In each stage, a projection matrix is used to project the original vector into a low dimensional space. The projected vectors are used to learn a stage-quantizer by clustering algorithm such as k-means. Then, the quantization errors of the projected vectors are reprojected by the transposition of the projection matrix into the original vector space to get new residual vectors set which are further used to learn a new stage projection matrix and stage-quantizer. We repeat this process m times, a quantizer composed of m stage-quantizers can be learnt. When quantizing a database vector, the projection matrixes and quantizers constructed in each training stage are used to generate a tuple of indices which correspond to the serial number whose cluster centroid has the least distance to the vector's stage residual. Let each individual stage-quantizer have ks reproduction values. In general, to limit the assignment complexity, ks is small (e.g., $ks = 256$). However, the set K of centroids induced by PRVQ quantizer is large: $K = (ks)^m$. When a query q is submitted, the distance between q and a database vector x is used as similarity

measure. Comparing with symmetric distance computation (SDC), the asymmetric distance computation (ADC) with the smaller distance error is computed as

$$\|q - x\|^2 = \|q - \sum_{i=1}^m ((p^i)^T \cdot c_j^i)\|^2 = \|q\|^2 + \|\sum_{i=1}^m ((p^i)^T \cdot c_j^i)\|^2 - 2 \sum_{i=1}^m \langle p^i \cdot q, c_j^i \rangle \quad (13)$$

Where c_j^i and p^i are the j th centroid and the projection matrix respectively in stage i . The term $\|q\|^2$ affects equally for all database vectors and can be neglected when sorting the offline. The summation term $\sum_{i=1}^m \langle p^i \cdot q, c_j^i \rangle$ can be read from look-table. So the search result can be obtained quickly. The detailed introduction of PRVQ see reference [23].

3.4 Non-exhaustive search

PRVQ provides an approximate solution for fast nearest neighbor search and reduces the memory constraints significantly for storing the EVLAD descriptors by encoding them into compact code. However, the search is exhaustive. In this section, we introduce a popular non-exhaustive solution by use of multiple inverted files. It has been found that multiple inverted files, obtained by multiple independent quantizers (codebooks), are able to achieve practically good recall and speed. In this section, a simple but effective algorithm is introduced to generate multiple quantizers by use of the two level hierarchical-codebooks.

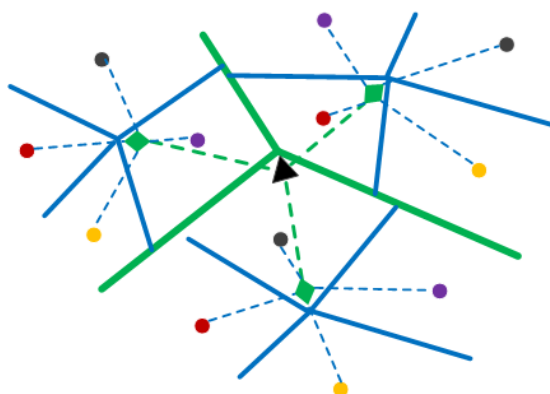


Fig. 3. An illustration of multiple quantizers generated from the second code words represented as color circles and the same color code words are grouped into the same quantizer.

The multiple quantizers devote to group the second level code words into L groups and each group with K code words. An example is shown as **Fig. 3**. In this example, the second code words are grouped into 4 groups which are illustrated as 4 different color circles and each group has 3 code words. How the multiple quantizers are generated effectively? A simple but suboptimal scheme can be adopted as follows. First K code words are selected out randomly without replacement from each of the second level K codebooks to form one quantizer. All L quantizers are constructed by repeating the above process L times. We refer to these L quantizers as *random-multiple-quantizers* (RMQs). However, a bad case can be present, in which, the code words in some quantizers are too concentrated while those in other quantizers are too loose. It can produce large quantization error when they are used to create multiple inverted files for large scale retrieval thus deteriorates the search performance. A better strategy should disperse the code words in every quantizer so as to minimize the quantization errors.

Algorithm 2 constructs L quantizers by dispersing the second level K codebooks incrementally into L groups and each group (can be seen as a new codebook, i.e., quantizer) is

with K code words. First, we use C_1^2 to initialize L groups by assigning L code words into L groups without replacement. The remainder $K-1$ codebooks are progressively dispersed into L groups. When dealing with the codebook C_k^2 , $2 \leq k \leq K$, we assign all its L code words into L different groups without replacement. **Algorithm 3** shows us how to disperse the code words of C_k^2 . It is based on the distances matrix $D_{L \times L}$ which stores the distances between centroids of all the groups and codebook C_k^2 . In **Algorithm 3**, idx is an indicator and $idx(j)$ indicates the group number to which the j^{th} code word of codebook C_k^2 should be assigned. Firstly, an initialization is implemented. Then, a rectification whose objective is maximizing the sum of distances between the group centroids and the code words of codebook C_k^2 is performed. After the indicator idx is obtained by **Algorithm 3**, it is used to aid to assign code word of codebook C_k^2 into corresponding group. We then update the centroid of group by recalculating the mean of all code words of each group. We repeat the above process $K-1$ times until $K-1$ codebooks are handled out. The final L groups (quantizers) are termed as *enhanced-multiple-quantizers* (EMQs). After the L EMQs are built, they can be used to generate L inverted files when the database vectors are quantized.

Algorithm 2 Computing L quantizers Q from two level codebooks $C^1 = \{c_1, \dots, c_k\}$ and $C^2 = \{C_1^2, \dots, C_K^2\}$, where $C_k^2 = \{c_1^k, \dots, c_L^k\}$, $k = 1 \dots K$.

```

for l=1:L
    Ql = {cl1}           // initialization by using C12
    Ml = mean(Ql)       // Ml is the centroid of quantizer Ql
for k=2:K
    D(i, j) = d(Mi, cjk) // compute the initial distance
idx = compute_indicator(D) // idx(j), an indicator computed as Algorithm 3,
for j=1:L
    Qidx(j)} = Qidx(j)} ∪ cjk // update quantizers
    Midx(j)} = mean(Qidx(j)}) // update quantizer centroids

```

Algorithm 3 Computing idx from distance matrix D

```

for l = 1:L
    idx(l) = l           // initialize indicator
for i = 1:L-1
    for j = i+1:L
        if D(idx(i), i) + D(idx(j), j) < D(idx(j), i) + D(idx(i), j)
            swap(idx(i), idx(j)) // swap indicator

```

4. Experimental Results and Analysis

In order to evaluate our work, we adopt some public datasets and corresponding evaluation protocols that are usually considered in this context.

4.1 Dataset and Evaluation Protocol

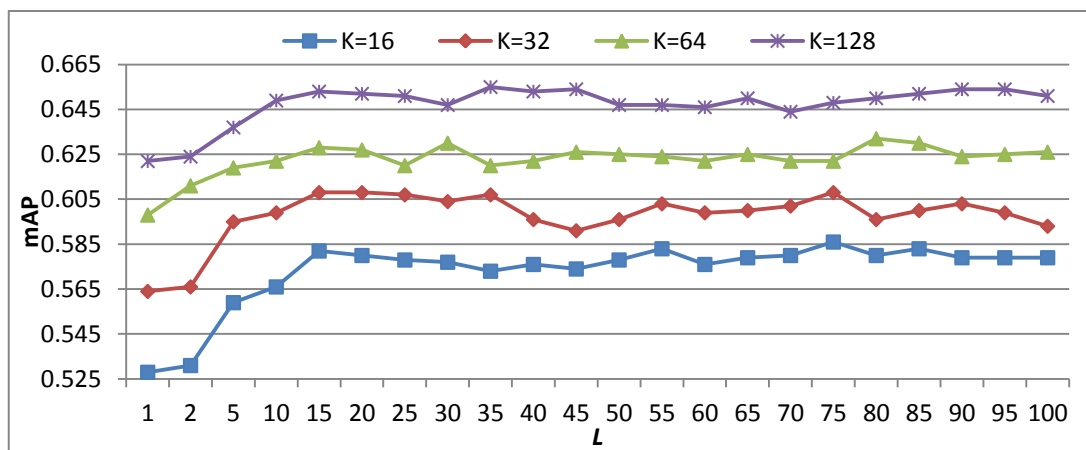
Holidays dataset. Holidays dataset [21] is a collection of 1491 high resolution personal photos of different locations and objects, 500 of them being used as queries, with the query removed from the ranked list. The accuracy is measured by the mean Average Precision(mAP).

UKB dataset. The UKB dataset [22] contains images of 2550 objects, each of which is represented by 4 images. The most common evaluation metric for this dataset counts the average number of relevant images (including the query itself) that are ranked in the first four positions. This corresponds to 4 times the recall@4 measure, i.e., the best performance is 4.

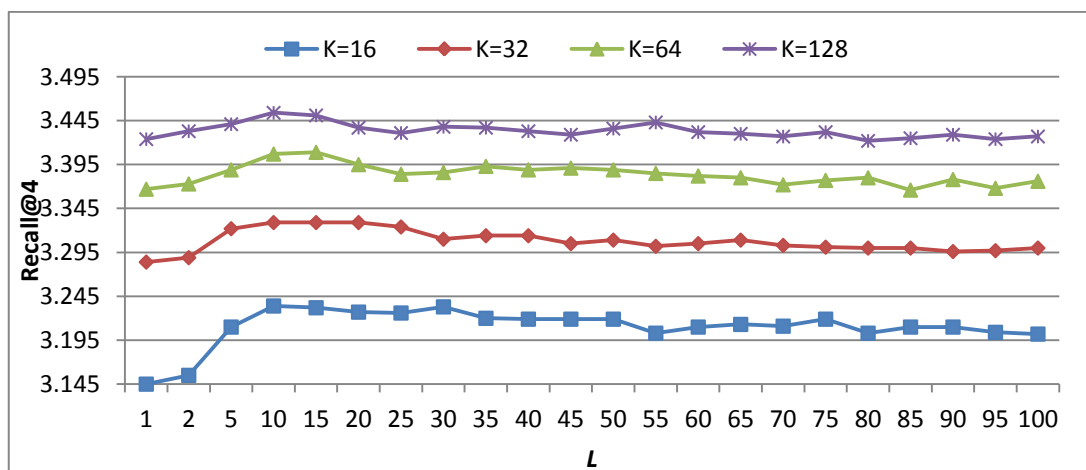
Holidays_Flickr1M dataset. Large scale evaluation is performed on Holidays merged with Flickr1M which consists of 1 million images collected from Flickr. The accuracy is measured by mAP.

4.2 Performance of EVLAD

(a) Impact of the codebook size



(a)



(b)

Fig. 4. An illustration of the impact of the parameters K and L for EVLAD. (a) Holidays, (b) UKB

We first test the impact of different K and L on search accuracy with the hierarchical codebook learnt with the independent training dataset Flickr60k. The results are shown in Fig. 4. When L equals to 1, our EVLAD is equivalent to VLAD_{IN} [18]. From Fig. 4, we can see that our EVLAD outperform the VLAD_{IN} formulation with both the Holidays dataset (Fig. 4(a)) and UKB dataset (Fig. 4(b)). Fixing the parameter L , the EVLAD performance gets better by increasing the value of the parameter K . It indicates that higher dimensional representation usually provide better accuracy. As we can see from Fig. 4(a) that EVLAD improves obviously before reaching its performance peak ($L=15$) by increasing the value of the parameter L for different configurations of the parameter K . In the cases of $L>15$, EVLAD does not improve obviously. On the contrary, it starts to drop slowly or fluctuate smoothly. The same situation happens to the UKB dataset (Fig. 4(b)), and EVLAD reaches its performance extreme point in the case of $L = 10$. In the following comparison against the state of art, we will still just consider the case of $K = 64$ and $L = 15$ which we still term it EVLAD.

(b) Comparison with state of the art

Table 1. Comparison of EVLAD with state-of-the-art on Holidays

Descriptor	K	D	Raw descriptors	After dimensionality reduction to 128 components	Encoded into 8 bytes with PRVQ (P=32)
BOW ^[14]	20k	20k	0.404	0.444	---
Fisher ^[14]	64	8192	0.495	0.492	---
VLAD	64	8192	0.561	0.576	0.575
VLAD_{IN}			0.594	0.611	0.584
EVLAD	64	8192	0.635	0.637	0.606

Table 1 compares our EVLAD representation with the result of literature [14] (mAP performance for Holidays), which includes different vector representations, in particular, FV and BOF baseline. For VLAD and VLAD_{IN} we use the code provided by the authors and perform it by ourselves. With the size of 8192, our EVLAD outperforms all the baselines. Particularly, our EVLAD outperforms BOF by 57.1% and Fisher by 28.2% and the original VLAD by 13.3%. Although comparing with the best baseline VLAD_{IN} , the mAP increase is about 6.9%, from 0.594 to 0.635. Column 4 and column 5 of **Table 1** show the relative improvement of EVLAD when applying dimensionality reduction and using compact codes obtained by PRVQ [23].

Table 2. Comparison of EVLAD with state-of-the-art on UKB (Recall@4 performance)

Descriptor	K	D	Raw descriptors	After dimensionality reduction to 128 components	Encoded into 8 bytes with PRVQ (P=32)
BOW ^[14]	20k	20k	2.87	2.95	---
Fisher ^[14]	64	8192	3.09	3.09	---

VLAD	64	8192	3.20	3.19	3.19
VLAD _{IN}			3.36	3.32	3.32
EVLAD	64	8192	3.51	3.44	3.44

Similarly, with UKB dataset, to validate our approach, we carry out the same performance evaluation and the results are shown in [Table 2](#). From [Table 2](#), we also can see that our EVLAD shows the same outstanding performance. Particularly, our EVLAD outperforms BOF by 22.3% and Fisher by 13.6% and the original VLAD by 9.7%. Although comparing with the best baseline VLAD_{IN}, the Recall@4 increasement is about 4.5%, from 3.36 to 3.51. Similarly, Column 4 and 5 of [Table 2](#) show the relative improvement of EVLAD when applying dimensionality reduction and using compact codes obtained by PRVQ [\[23\]](#).

(c) Large scale image retrieval

Table 3. Large scale search on Holidays_Flickr1M (mAP performance)

Descriptor	K	D	Raw descriptors	After dimensionality reduction to 128 compoments	Encoded into 8 bytes with PRVQ (P=32)
VLAD			0.545	0.335	0.322
VLAD _{IN}	64	8192	0.576	0.358	0.328
EVLAD			0.607	0.374	0.339

With dataset up to 1 million images and compact image descriptor (128D), we test our EVLAD on large scale image retrieval by performing exhaustive nearest neighbor search with raw descriptors and compressed version generated with PCA projection. We also further encode the compressed vectors into compact codes with PRVQ[\[23\]](#) to satisfy the memory constraints. In addition, to validate the superiority of our EVLAD, we also perform VLAD and VLAD_{IN} with the same setup as EVLAD. The test results are shown in [Table 3](#). As we can see from [Table 3](#), EVLAD show excellent performance in all different configurations.

4.3 Performance of non-exhaustive search

Table 4. non-exhaustive search combined with PRVQ (P=32) on Holidays+Flickr1M

K	L	exhaustive		SIF		RMQs		EMQs	
		time	mAP	time	mAP	time	mAP	time	mAP
32	8	1001491	0.339	29921	0.269	592257	0.337	584833	0.338
64	8	1001491	0.339	14541	0.276	376941	0.334	351773	0.337
128	8	1001491	0.339	6794	0.273	198273	0.314	152855	0.337
32	16	1001491	0.339	29755	0.284	891807	0.337	861585	0.339

64	16	1001491	0.339	14612	0.283	622050	0.338	608996	0.339
128	16	1001491	0.339	7126	0.294	402635	0.332	386175	0.338

With the Holidays_Flickr1M dataset described with EVLAD, We evaluate our non-exhaustive search strategy combined with PRVQ. We perform three different non-exhaustive search strategies which are single inverted file (SIF), RMQs and EMQs respectively. For fair comparison, SIF traverses L inverted lists for a given query. The results in different settings are shown in [Table 4](#) and the time is measured by the average traversed vectors. As we can see from [Table 4](#) that SIF retrieval performance is the worst although it spends the least search time. Compared against exhaustive search, EMQs almost obtains the same performance while spending far less search time. RMQs obtain a little worse retrieval accuracy and spend a little more search time than EMQs. In conclusion, EMQs show the outstanding performance in search time and retrieval accuracy.

5. Conclusion

This paper has analyzed the VLAD representation, and shows that it leads to suboptimal results due to the limitation: to obtain better accuracy, VLAD should be with higher dimensional representation. We propose to leverage hierarchical codebooks to solve the problem. Our approach significantly outperforms the state of the art in term of search quality. In addition, 2-level hierarchical-codebooks are used to build multiple inverted files for more accurate and faster search. Experimental results show that our method can make significant improvement on both VLAD image representation and non-exhaustive search.

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