

## LINEAR ISOMORPHISMS OF NON-DEGENERATE INTEGRAL TERNARY CUBIC FORMS

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ABSTRACT. In this article, we consider the problem on finding non-degenerate  $n$ -ary  $m$ -ic forms having an  $n \times n$  matrix  $A$  as a linear isomorphism. We show that it is equivalent to solve a linear diophantine equation. In particular, we find all integral ternary cubic forms having  $A$  as a linear isomorphism, for any  $A \in GL_3(\mathbb{Z})$ . We also give a family of non-degenerate cubic forms  $F$  such that  $F(\mathbf{x}) = N$  always has infinitely many integer solutions if exists.

### 1. Introduction

A non-zero homogeneous polynomial

$$\begin{aligned} F(\mathbf{x}) &= F(x_1, x_2, \dots, x_n) \\ &= \sum_{\substack{e_1 + \dots + e_n = m \\ e_i \geq 0}} a_{e_1, \dots, e_n} x_1^{e_1} x_2^{e_2} \cdots x_n^{e_n}, \quad (a_{e_1, \dots, e_n} \in \mathbb{C}) \end{aligned}$$

is called an  $n$ -ary  $m$ -ic form. If  $n = 2, 3$  or  $4$ , then  $F$  is called a binary, ternary or quaternary form, respectively, and if  $m = 2, 3$  or  $4$ , then  $F$  is called a quadratic, cubic or quartic form, respectively. An  $n$ -ary  $m$ -ic form  $F$  is called *degenerate* if there is a  $k$ -ary ( $k < n$ )  $m$ -ic form  $G$  and a matrix  $S = (s_{ij}) \in M_{kn}(\mathbb{C})$  such that

$$F(\mathbf{x}) = G(S\mathbf{x}) = G(s_{11}x_1 + \cdots + s_{1n}x_n, \dots, s_{k1}x_1 + \cdots + s_{kn}x_n).$$

Hence any degenerate form is singular, that is, the projective variety  $F = 0$  on the projective space  $\mathbb{P}^{n-1}$  is singular. Note that a quadratic form is non-singular if and only if it is non-degenerate.

An  $n \times n$  matrix  $A = (a_{ij})$  satisfying

$$F(A\mathbf{x}) := F(a_{11}x_1 + \cdots + a_{1n}x_n, \dots, a_{n1}x_1 + \cdots + a_{nn}x_n) = F(\mathbf{x})$$

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is called a *linear isomorphism* of  $F$ , and the group of all linear isomorphisms of  $F$  is denoted by  $\text{Lin}(F)$ . Deciding this group for given non-degenerate  $n$ -ary  $m$ -ic form seems to be quite difficult problem even for quadratic form case. In 1880, Jordan proved in [4] that  $\text{Lin}(F)$  is finite if  $F$  is non-singular and  $m \geq 3$  (see also [6], [8] and [9]).

Let  $F$  be an integral form, that is,  $a_{e_1, \dots, e_n} \in \mathbb{Z}$ . We define

$$\text{Lin}_{\mathbb{Z}}(F) := \text{Lin}(F) \cap M_n(\mathbb{Z}),$$

which is called the *integral linear isomorphism group of  $F$* . If  $F$  is an integral quadratic form, it is well known that  $\text{Lin}_{\mathbb{Z}}(F)$  is finite if and only if  $F$  is definite. For the structures of integral linear isomorphism groups of some quadratic forms, see [10] for an indefinite case, and [5] for a definite case.

There is little known on this group for  $m \geq 3$ . In fact, finding an integral linear isomorphism is equivalent to solve a system of diophantine equations. Related with computing integral linear isomorphism group, one may naturally ask, for an  $A \in M_n(\mathbb{Z})$ , whether or not an  $n$ -ary  $m$ -ic form  $F$  exists such that  $A \in \text{Lin}_{\mathbb{Z}}(F)$ . The answer of this question is completely known on the quadratic form case. In Theorem 1 of [3], Horn and Merino classified all possible types of Jordan canonical forms of the complex orthogonal matrix. What they proved is that a matrix  $A$  whose Jordan canonical form is one of five types given in the theorem is an automorphism of a non-singular quadratic form defined over the complex numbers. However one may easily deduce that there also exists a non-singular integral quadratic form satisfying the above property if  $A$  is an integral matrix.

For  $m, n \geq 3$ , solving the diophantine equation  $F(\mathbf{x}) = N$ , for an integral form  $F$  and an integer  $N$ , is one of challenging problems in number theory. For example, as one of the simplest cases, it is not known whether or not the diophantine equation  $x^3 + y^3 + z^3 = 33$  has an integer solution (see, for example, [2]).

If  $F$  is degenerate, then for any integer  $N$ , the equation  $F(\mathbf{x}) = N$  always has infinitely many integer solutions if exists. If  $\mathbf{x}_0$  is an integral solution of  $F(\mathbf{x}) = N$  for some integer  $N$ , then  $A\mathbf{x}_0$  is also an integer solution for any  $A \in \text{Lin}_{\mathbb{Z}}(F)$ . According to these two observations, it seems to be interesting problem to find a non-degenerate form having an integral linear isomorphism whose order is infinite.

In this article, we consider the problem on finding non-degenerate forms having  $A$  as a linear isomorphism, for any  $n \times n$  matrix  $A$ . We show that this is equivalent to solve a linear diophantine equation. In particular, we find all integral ternary cubic forms having  $A$  as a linear isomorphism, for any invertible matrix  $A \in M_3(\mathbb{Z})$ . We also give a family of non-degenerate cubic forms  $F$  such that  $F(\mathbf{x}) = N$  always has infinitely many integer solutions if exists.

**2. Linear isomorphisms of  $n$ -ary  $m$ -ic forms**

For positive integers  $m$  and  $n$ , we define

$$\mathfrak{D}_m^n := \{(d_1, d_2, \dots, d_n) \in \mathbb{Z}^n : \sum_{i=1}^n d_i = m, d_i \geq 0\}.$$

For two  $\mathbf{d} = (d_1, d_2, \dots, d_n), \mathbf{e} = (e_1, e_2, \dots, e_n) \in \mathfrak{D}_m^n$ , we define a lexicographic order  $>$  by

$$\mathbf{d} > \mathbf{e} \iff \text{there is an } i \text{ such that } d_k = e_k \text{ for any } k < i \text{ and } d_i > e_i.$$

For  $n$  indeterminates  $x_1, x_2, \dots, x_n$  and  $\mathbf{e} = (e_1, e_2, \dots, e_n) \in \mathfrak{D}_m^n$ , we define a monomial  $\mathbf{x}^{\mathbf{e}} = x_1^{e_1} x_2^{e_2} \cdots x_n^{e_n}$  of degree  $m$ . Note that there is a one-to-one correspondence between the set of all monomials of degree  $m$  with  $n$  indeterminates and the set  $\mathfrak{D}_m^n$ . For an indeterminate vector  $\mathbf{x} = (x_1, x_2, \dots, x_n)^t$ , we define an operator

$$\mathfrak{U}_m^n(\mathbf{x}) := (\mathbf{x}^{\mathbf{e}_1}, \mathbf{x}^{\mathbf{e}_2}, \dots, \mathbf{x}^{\mathbf{e}_{H(n,m)}})^t,$$

where  $\mathbf{e}_1 > \mathbf{e}_2 > \cdots > \mathbf{e}_{H(n,m)}$  are all elements in  $\mathfrak{D}_m^n$  and  $H(n, m)$  is the combination with repetition.

For a matrix  $A \in M_n(\mathbb{C})$ , assume that  $(y_1, y_2, \dots, y_n)^t = A(x_1, x_2, \dots, x_n)^t$ . Then for any  $\mathbf{e} = (e_1, e_2, \dots, e_n) \in \mathfrak{D}_m^n$ , there are  $a_{\mathbf{e}, \mathbf{d}} \in \mathbb{C}$  such that

$$\mathbf{y}^{\mathbf{e}} = y_1^{e_1} y_2^{e_2} \cdots y_n^{e_n} = \sum_{\mathbf{d} \in \mathfrak{D}_m^n} a_{\mathbf{e}, \mathbf{d}} \mathbf{x}^{\mathbf{d}}.$$

Now we define  $\mathfrak{U}_m^n(A) := (a_{\mathbf{e}_i, \mathbf{e}_j}) \in M_{H(n,m)}(\mathbb{C})$ , where  $\mathbf{e}_i$  is the  $i$ -th element in  $\mathfrak{D}_m^n$  in the lexicographic order. Note that  $\mathfrak{U}_m^n(\mathbf{y}) = \mathfrak{U}_m^n(A)\mathfrak{U}_m^n(\mathbf{x})$ .

**Lemma 2.1.** *The map  $\mathfrak{U}_m^n : GL_n(\mathbb{C}) \rightarrow GL_{H(n,m)}(\mathbb{C})$  is a multiplicative homomorphism. In particular, if a matrix  $A \in M_n(\mathbb{C})$  is similar to  $B$ , then  $\mathfrak{U}_m^n(A)$  is also similar to  $\mathfrak{U}_m^n(B)$  for any positive integer  $m$ .*

*Proof.* For any  $A, B \in GL_n(\mathbb{C})$  and an indeterminate vector

$$\mathbf{x} = (x_1, x_2, \dots, x_n)^t,$$

note that

$$\mathfrak{U}_m^n(AB)\mathfrak{U}_m^n(\mathbf{x}) = \mathfrak{U}_m^n(AB\mathbf{x}) = \mathfrak{U}_m^n(A)\mathfrak{U}_m^n(B\mathbf{x}) = \mathfrak{U}_m^n(A)\mathfrak{U}_m^n(B)\mathfrak{U}_m^n(\mathbf{x}).$$

It is well known the set  $\{\mathfrak{U}_m^n(\mathbf{x}) : \mathbf{x} \in \mathbb{C}^n\}$  spans the vector space  $\mathbb{C}^{H(n,m)}$ . Therefore  $\mathfrak{U}_m^n(AB) = \mathfrak{U}_m^n(A)\mathfrak{U}_m^n(B)$ . □

**Lemma 2.2.** *For any  $A \in M_n(\mathbb{C})$ ,  $\det(\mathfrak{U}_m^n(A)) = \det(A)^{H(m,n)}$ .*

*Proof.* Note that the matrix  $A$  is similar to an upper-triangular matrix, that is, there is a  $T \in GL_n(\mathbb{C})$  such that  $A = T^{-1}UT$ , where  $U = (u_{ij})$  is an upper-triangular matrix. For this upper-triangular matrix  $U$ , one may easily show that  $\mathfrak{U}_m^n(U)$  is also upper-triangular and

$$\mathfrak{U}_m^n(U)_{\mathbf{e}, \mathbf{e}} = u_{11}^{e_1} u_{22}^{e_2} \cdots u_{nn}^{e_n},$$

where  $\mathbf{e} = (e_1, e_2, \dots, e_n)$ . Therefore

$$\det(\mathfrak{U}_m^n(A)) = \det(\mathfrak{U}_m^n(U)) = \det(U)^f,$$

where  $H(n, m) \cdot m = nf$ . Note that  $f = \frac{m}{n}H(n, m) = H(m, n)$ . The lemma follows from this.  $\square$

For positive integers  $m$  and  $n$ , let

$$(2.1) \quad F_m(\mathbf{x}) = F_m(x_1, x_2, \dots, x_n) = \sum_{\mathbf{e} \in \mathfrak{D}_m^n} a_{\mathbf{e}} \mathbf{x}^{\mathbf{e}} \quad (a_{\mathbf{e}} \in \mathbb{C})$$

be an  $n$ -ary  $m$ -ic form. Recall that  $\text{Lin}(F_m)$  denotes the group of all linear isomorphisms of  $F_m$ . If a matrix  $A$  is similar to  $B$  with the transition matrix  $S$ , that is,  $B = S^{-1}AS$ , then one may easily show that

$$(2.2) \quad A \in \text{Lin}(F_m) \iff B \in \text{Lin}(F_m \circ S).$$

For the form  $F_m$  in (2.1), we define  $\mathfrak{U}_m^n(F_m) := (a_{\mathbf{e}_1}, a_{\mathbf{e}_2}, \dots, a_{\mathbf{e}_{H(n,m)}})^t \in \mathbb{C}^{H(n,m)}$ .

**Theorem 2.3.** *Let  $F_m$  be a form given in (2.1). Then  $A \in \text{Lin}(F_m)$  if and only if  $\mathfrak{U}_m^n(F_m)$  is the eigenvector of  $\mathfrak{U}_m^n(A)^t$  corresponding to the eigenvalue 1.*

*Proof.* Note that  $F_m(A\mathbf{x}) = \mathfrak{U}_m^n(F_m)^t \cdot \mathfrak{U}_m^n(\mathbf{x})$ . Hence

$$\begin{aligned} F_m(A\mathbf{x}) = F_m(\mathbf{x}) &\iff \mathfrak{U}_m^n(F_m)^t \cdot \mathfrak{U}_m^n(A\mathbf{x}) = \mathfrak{U}_m^n(F_m)^t \cdot \mathfrak{U}_m^n(\mathbf{x}) \\ &\iff \mathfrak{U}_m^n(F_m)^t \mathfrak{U}_m^n(A) \mathfrak{U}_m^n(\mathbf{x}) = \mathfrak{U}_m^n(F_m)^t \cdot \mathfrak{U}_m^n(\mathbf{x}). \end{aligned}$$

Therefore  $\mathfrak{U}_m^n(A)^t \cdot \mathfrak{U}_m^n(F_m) = \mathfrak{U}_m^n(F_m)$ . The theorem follows from this.  $\square$

Let  $A$  be an  $n \times n$  complex matrix and  $f_A(x)$  be its characteristic polynomial. We define

$$\mathfrak{U}_m^n(f_A)(x) := \prod_{\mathbf{e} \in \mathfrak{D}_m^n} (x - \mathbf{\Lambda}^{\mathbf{e}}),$$

where  $\mathbf{\Lambda} = (\lambda_1, \lambda_2, \dots, \lambda_n)$  and  $\lambda_1, \lambda_2, \dots, \lambda_n$  are all eigenvalues of  $A$  counting multiplicities. For the  $\mathbb{C}$ -vector space of  $n$ -ary  $m$ -ic forms

$$\mathfrak{S}_m(A) = \{F_m \mid F_m(A\mathbf{x}) = F_m(\mathbf{x})\},$$

the dimension of  $\mathfrak{S}_m(A)$  is denoted by  $d_m(A)$ .

**Theorem 2.4.** *Under the assumptions given above, we have*

- (i) *the characteristic polynomial of  $\mathfrak{U}_m^n(A)$  is  $\mathfrak{U}_m^n(f_A)(x)$ ;*
- (ii) *there is an  $n$ -ary  $m$ -ic form  $F_m$  having  $A$  as a linear isomorphism if and only if  $\mathfrak{U}_m^n(f_A)(1) = 0$ ;*
- (iii) *if  $A$  is diagonalizable, then  $d_m(A)$  is the algebraic multiplicity of the eigenvalue one of  $\mathfrak{U}_m^n(A)$ ;*
- (iv) *if  $n$  divides  $m$  and  $\det(A)^{\frac{m}{n}} = 1$ , then there is an  $n$ -ary  $m$ -ic form having  $A$  as a linear isomorphism, and*
- (v) *if  $A$  is an integral matrix, then there is a basis for  $\mathfrak{S}_m(A)$  consisting of integral forms.*

*Proof.* Choose a matrix  $T$  such that  $TAT^{-1} = U = (u_{ij})$  is upper-triangular and  $u_{ii} = \lambda_i$  ( $1 \leq i \leq n$ ). For any  $\mathbf{e} \in \mathfrak{D}_m^n$ , note that  $\mathfrak{U}_m^n(U)_{\mathbf{e}, \mathbf{f}} = 0$  for any  $\mathbf{f} < \mathbf{e}$  and  $\mathfrak{U}_m^n(U)_{\mathbf{e}, \mathbf{e}} = \Lambda^{\mathbf{e}}$ . This implies that  $\mathfrak{U}_m^n(U)$  is also upper-triangular and all of its eigenvalues are of the form  $\Lambda^{\mathbf{e}}$  for any  $\mathbf{e} \in \mathfrak{D}_m^n$ . Hence (i), (ii) and (iii) follow directly from Theorem 2.3. For (iv), note that  $\mathfrak{U}_m^n(U)$  has an eigenvalue 1. Finally, assume that  $A$  is an integral matrix. Since

$$\mathfrak{S}_m(A) = \{\mathbf{x} \in \mathbb{C}^{H(n,m)} : \mathfrak{U}_m^n(A)^t(\mathbf{x}) = \mathbf{x}\}$$

and  $\mathfrak{U}_m^n(A)$  is also integral, there are integral vectors that spans  $\mathfrak{S}_m(A)$ .  $\square$

Assume that  $A \in SL_n(\mathbb{Z})$  and the characteristic polynomial  $f_A(x)$  of  $A$  is a non-cyclotomic and irreducible polynomial. It is well known that  $A$  is a linear isomorphism of a non-degenerate integral quadratic form if and only if  $f_A$  is reciprocal, that is,  $f_A(x) = x^n f_A(\frac{1}{x})$  (see [3]). For a cubic case, we only have the following partial result.

**Proposition 2.5.** *Under the assumptions given above, if the splitting field of  $f_A(x)$  is abelian and  $n$  is not divisible by 3, then there does not exist an  $n$ -ary cubic form having  $A$  as a linear isomorphism.*

*Proof.* Since  $f_A(x)$  is not cyclotomic by assumption, any root of it is not a third root of unity. Suppose that  $\alpha^2\beta = 1$  for some roots  $\alpha$  and  $\beta$  of  $f_A(x)$ . Since the Galois group of the splitting field of  $f_A(x)$  acts on the set of roots transitively, there is a root  $\delta$  of  $f_A(x)$  such that  $\beta^2\delta = 1$ . Hence  $\delta = \alpha^4$  is also a root of  $f_A(x)$ . This implies that  $\alpha$  is a root of unity, which is a contradiction. It was proved in [1] that any product of three roots of  $f_A(x)$  is not one under the assumptions given above. Therefore we have  $\mathfrak{U}_3^3(f_A)(1) \neq 0$ . The proposition follows from Theorem 2.4(ii).  $\square$

### 3. Linear isomorphisms of ternary cubic forms

Let  $F_m(\mathbf{x}) = F_m(x_1, x_2, \dots, x_n)$  be an  $n$ -ary  $m$ -ic form as in (2.1). We call  $F_m$  is *reducible over  $\mathbb{C}$*  if  $F_m(\mathbf{x}) = F_k(\mathbf{x}) \cdot F_{m-k}(\mathbf{x})$ , where  $F_k$  and  $F_{m-k}$  are forms of degree  $k$  and  $m - k$ , respectively. If  $F_m$  is a product of  $m$  linear forms, then  $F_m$  is said to be *completely reducible over  $\mathbb{C}$* . If the above forms  $F_k$  and  $F_{m-k}$  have integral coefficients, then we say that the integral form  $F_m$  is reducible over  $\mathbb{Z}$ .

For an  $n$ -ary  $m$ -ic form  $F_m$ , the Hessian matrix  $H(F_m)$  of  $F_m$  is the square matrix defined by

$$H(F_m) = \begin{bmatrix} \frac{\partial^2 F}{\partial x_1^2} & \frac{\partial^2 F}{\partial x_1 \partial x_2} & \cdots & \frac{\partial^2 F}{\partial x_1 \partial x_n} \\ \frac{\partial^2 F}{\partial x_2 \partial x_1} & \frac{\partial^2 F}{\partial x_2^2} & \cdots & \frac{\partial^2 F}{\partial x_2 \partial x_n} \\ \vdots & \vdots & \ddots & \vdots \\ \frac{\partial^2 F}{\partial x_n \partial x_1} & \frac{\partial^2 F}{\partial x_n \partial x_2} & \cdots & \frac{\partial^2 F}{\partial x_n^2} \end{bmatrix}.$$

The determinant of  $H(F_m)$  is denoted by  $h(F_m)$ . In general,  $h(F_m)$  is the  $n$ -ary  $n(m - 2)$ -ic form for any  $m, n \geq 3$ . If  $G(\mathbf{x}) = F_m(A\mathbf{x})$ , then

$$H(G)(\mathbf{x}) = A^t \cdot H(F_m)(A\mathbf{x}) \cdot A \quad \text{and} \quad h(G)(\mathbf{x}) = \det(A)^2 h(F_m)(A\mathbf{x}).$$

**Lemma 3.1.** *Let  $A$  be a  $3 \times 3$  integral matrix such that  $\det(A) \neq \pm 1$ . If an integral cubic form  $F$  satisfies  $F(A\mathbf{x}) = F(\mathbf{x})$ , then  $F$  is degenerate.*

*Proof.* Note that  $F$  is degenerate if and only if  $h(F) = 0$  (see, for example, [7]). Suppose that there is a nonzero vector  $\mathbf{x}_0$  such that  $h(F)(\mathbf{x}_0) \neq 0$ . For a prime  $p$  dividing  $\det(A)$ , take an integer  $k$  such that  $p^{2k} \nmid h(F)(\mathbf{x}_0)$ . Since  $F(A^k \mathbf{x}) = F(\mathbf{x})$ ,  $h(F)(\mathbf{x}_0) = \det(A)^{2k} h(F)(A^k \mathbf{x}_0)$ . This is a contradiction.  $\square$

Let  $T$  be a matrix in  $GL_3(\mathbb{Z})$ . We apply our results obtained in the previous section to find all (non-degenerate) integral ternary cubic forms having the matrix  $T$  as a linear isomorphism. To find such form, we need to compute eigenvectors of  $\mathfrak{U}_3^3(T)$  corresponding to the eigenvalue one. If we find a form having a matrix rationally similar to  $T$  as a linear isomorphism, we may easily find a form having  $T$  as a linear isomorphism by (2.2).

Let  $f_T(x)(m_T(x))$  be the characteristic (minimal, respectively) polynomial of  $T$ . First we assume that  $T \in SL_3(\mathbb{Z})$  and  $f_T(x) = x^3 - sx^2 - tx - 1$  for some  $s, t \in \mathbb{Z}$ . Let  $\alpha, \beta$  and  $\gamma$  be all roots of  $f_T(x)$  counting multiplicities and let  $\Delta_f = t^2s^2 - 4s^3 + 4t^3 - 18ts - 27$  be the discriminant of  $f_T$ . Suppose that  $f_T$  has a multiple root  $\alpha \in \mathbb{C}$ , that is,  $\Delta_f = 0$ . Then one may easily show that  $\alpha = \pm 1$ . Hence  $f_T$  is  $(x - 1)^3$  or  $(x - 1)(x + 1)^2$ , which implies that  $(s, t) = (3, -3)$  or  $(-1, 1)$ . Note that these are all integral solutions of the diophantine equation  $\Delta_f = 0$ .

Suppose that  $\deg(m_T) = 3$ . Since  $T$  is rationally equivalent to its companion matrix, we may assume that  $T = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & t \\ 0 & 1 & s \end{bmatrix}$ . Note that the characteristic polynomial of  $10 \times 10$  matrix  $\mathfrak{U}_3^3(T)$  is of the form

$$f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)g_{s,t}(x)h_{s,t}(x),$$

where  $g_{s,t}(x)$  is the monic polynomial of degree 3 with roots  $\alpha^3, \beta^3, \gamma^3$ , and  $h_{s,t}(x)$  is the monic polynomial of degree 6 with roots  $\alpha^2\beta, \alpha^2\gamma, \dots, \beta\gamma^2$ . Note that  $g_{s,t}(1) = 0$  if and only if  $s = -t$ , and  $h_{s,t}(1) = 0$  if and only if  $\Delta_f = 0$ . The latter holds only when  $(s, t) = (3, -3)$  or  $(-1, 1)$  as stated above.

Suppose that  $s \neq -t$ . Then  $T$  is diagonalizable and  $d_3(T) = 1$ . In this case, we can take

$$F_{s,t}(x, y, z) := x^3 + sx^2y + (2t + s^2)x^2z - txy^2 - (ts + 3)xyz + (t^2 - 2s)xz^2 + y^3 + sy^2z - tyz^2 + z^3.$$

as a generator of  $\mathfrak{S}_3(T)$ . Note that  $F_{s,t}$  is non-degenerate and completely reducible over  $\mathbb{C}$ .

Now assume that  $s = -t$ . Then  $f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)^2u(x)v(x)w(x)$ , where  $u(x) = x^2 + x + 1 + 2sx - s^2x$ ,  $v(x) = x^2 - s^3x + 3s^2x - 2x + 1$ ,  $w(x) = x^2 + x - sx + 1$ .

Hence, if  $s = -t$  and  $s \neq 0, -1, 3$ , then  $d_3(T) \leq 2$ . In fact,  $d_3(T) = 2$  in this case, and one may take a basis for  $\mathfrak{S}_3(T)$  consisting of

$$\begin{aligned} G_{1,s}(x, y, z) &:= (x + y + z)(x^2 + y^2 + z^2 - xy - yz - (1 + 3s)xz), \\ G_{2,s}(x, y, z) &:= (x + y + z)(xy + yz + (1 + s)xz). \end{aligned}$$

Note that  $aG_{1,s} + bG_{2,s}$  is non-degenerate for any  $a, b \in \mathbb{Z}$  with  $b \neq 3a$  and is reducible over  $\mathbb{Z}$ .

If  $(s, t) = (0, 0)$ , then  $f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)^4(x^2 + x + 1)^3$  and  $d_3(T) = 4$ . We may take a basis for  $\mathfrak{S}_3(T)$  consisting of

$$x^3 + y^3 + z^3, x^2y + xz^2 + y^2z, x^2z + xy^2 + yz^2, xyz.$$

If  $(s, t) = (-1, 1)$ , then  $f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)^4(x + 1)^6$  and  $d_3(T) = 2$ . Note that  $G_{1,-1}(x, y, z)$  and  $G_{2,-1}(x, y, z)$  form a basis for  $\mathfrak{S}_3(T)$ . In this case,  $aG_{1,-1} + bG_{2,-1}$  is degenerate for any  $a, b \in \mathbb{Z}$ .

If  $(s, t) = (3, -3)$ , then  $f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)^{10}$  and  $d_3(T) = 2$ . Note that  $G_{1,3}(x, y, z)$  and  $G_{2,3}(x, y, z)$  form a basis for  $\mathfrak{S}_3(T)$ . In this case,  $aG_{1,3} + bG_{2,3}$  is non-degenerate for any  $a, b \in \mathbb{Z}$  with  $b \neq 3a$ .

Now suppose that  $\deg(m_T) < 3$ . In this case,  $f_T$  must have a multiple root. Hence  $(s, t) = (3, -3)$  or  $(-1, 1)$ , i.e.,  $f_T(x) = (x - 1)^3$  or  $(x - 1)(x + 1)^2$ .

If  $f_T(x) = (x - 1)^3$ , then  $m_T(x) = x - 1$  or  $(x - 1)^2$ . The former case implies that  $T = I$ , which is a linear isomorphism of any cubic form. Assume that  $m_T(x) = (x - 1)^2$ . Since  $T$  is rationally similar to its Jordan canonical form, we may assume that  $T = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{bmatrix}$ . By a direct computation, we have  $f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)^{10}$  and  $d_3(T) = 4$ . Every cubic form in  $\mathfrak{S}_3(T)$  is of the form

$$ax^3 + bx^2z + cxz^2 + dz^3,$$

which is degenerate for arbitrary integers  $a, b, c, d$ .

If  $f_T(x) = (x - 1)(x + 1)^2$  and  $m_T(x) = (x - 1)(x + 1)$ , then we assume that  $T = \text{diag}(1, -1, -1)$ . By a direct computation, we have  $f_{\mathfrak{U}_3^3(T)}(x) = (x - 1)^4(x + 1)^6$  and  $d_3(T) = 4$ . Furthermore every cubic form in  $\mathfrak{S}_3(T)$  is of the form

$$ax^3 + bxy^2 + cxyz + dxz^2,$$

which is non-degenerate for any integers  $a, b, c, d$  with  $4bd - c^2 \neq 0$ , and is reducible over  $\mathbb{Z}$ .

Now assume that  $\det(T) = -1$  and  $f_T(x) = x^3 - sx^2 - tx + 1$ . By using similar method in the above, one may easily show that the cases when there is a non-degenerate form having  $T$  as a linear isomorphism are  $(s, t) = (-1, -1)$ , or  $(s, t) = (1, 1)$  and  $m_T(x) = (x + 1)(x - 1)$ . In the former case,  $d_3(T) = 2$  and every cubic form in  $\mathfrak{S}_3(T)$  is of the form

$$a(x - y + z)(x^2 - y^2 + z^2 - xy + yz - 2xz) + by(x - z)(x - y + z),$$

and in the latter case,  $d_3(T) = 6$  and every cubic form in  $\mathfrak{S}_3(T)$  is of the form

$$ax^3 + bx^2y + cxy^2 + dxz^2 + ey^3 + fyz^2.$$

Summing up all, we have the following theorem.

**Theorem 3.2.** *Let  $T \in GL_3(\mathbb{Z})$  and let  $f_T(x) = x^3 - sx^2 - tx - \det(T)$  be the characteristic polynomial of  $T$ . If  $\det(T) = 1$ , then there is a non-degenerate integral ternary cubic form having  $T$  as a linear isomorphism except the cases when  $(s, t) = (-1, 1)$  and  $m_T(x) = (x - 1)(x + 1)^2$ , or  $(s, t) = (3, -3)$  and  $m_T(x) = (x - 1)^2$ . If  $\det(T) = -1$ , then there is a non-degenerate integral ternary cubic form having  $T$  as a linear isomorphism if and only if  $(s, t) = (-1, -1)$ , or  $(s, t) = (1, 1)$  and  $m_T(x) = (x - 1)(x + 1)$ .*

**Corollary 3.3.** *Let  $T \in GL_3(\mathbb{Z})$  be a matrix having infinite order and let  $F$  be an integral ternary cubic form such that  $F(T\mathbf{x}) = F(\mathbf{x})$ . Define*

$$R(F) := \{N \in \mathbb{Z} \mid F(\mathbf{x}) = N \text{ has an integer solution } \mathbf{x}_0 \text{ such that } T\mathbf{x}_0 \neq \mathbf{x}_0\}.$$

*Then for any integer  $N \in R(F)$ , the diophantine equation  $F(\mathbf{x}) = N$  has infinitely many integer solutions. In particular, if  $s \neq -t$ , then  $F_{s,t}(x, y, z) = N$  always has infinitely many integer solutions for any integer  $N$  if exists.*

*Proof.* Let  $f_T(x) = x^3 - sx^2 - tx - \det(T)$  be the characteristic polynomial of  $T$ . We may assume that  $F$  is non-degenerate. Since we are assuming that the order of  $T$  is infinite, we may further assume that  $\det(T) = 1$  and  $m_T(x) = f_T(x)$ . Assume that  $F(\mathbf{x}_0) = N$  for some integral vector  $\mathbf{x}_0$  which is not an eigenvector of  $T$  corresponding to the eigenvalue one. Since  $F(T^m\mathbf{x}_0) = N$  for any integer  $m$ , it is enough to show that  $T^u(\mathbf{x}_0) \neq T^v(\mathbf{x}_0)$  for any  $u \neq v$ . Suppose that  $T^k\mathbf{x}_0 = \mathbf{x}_0$  for some integer  $k$ . Then  $T$  has a root of unity not equal to one as an eigenvalue. Therefore, the only possible candidate of  $(s, t)$  is  $(3, -3)$ . However, in this case, one may easily show that  $\mathbf{x}_0$  should be an eigenvector of  $T$  corresponding to the eigenvalue one by a direct computation. This is a contradiction. Finally, note that if  $s \neq -t$ , then the matrix  $T$  does not have an eigenvalue one.  $\square$

*Remark 3.4.* Under the same assumptions as above, the number of solutions for the diophantine equation  $F(\mathbf{x}) = N$  is one of  $0, 1$  or  $\infty$ , for any integer  $N$ .

*Remark 3.5.* In the above corollary, if  $F(\mathbf{x}_0) = N$  for some eigenvector  $\mathbf{x}_0$  of  $T$  corresponding to the eigenvalue one, then  $F(\mathbf{x}) = N$  could have exactly one integer solution. For example, if

$$T = \begin{bmatrix} 0 & 0 & 1 \\ 1 & 0 & -4 \\ 0 & 1 & 4 \end{bmatrix} \quad \text{and} \quad G_{2,4}(x, y, z) = (x + y + z)(xy + yz + 5zx),$$

then the equation  $G_{2,4}(x, y, z) = 1$  has only one solution  $(1, -3, 1)$ , which is an eigenvector of  $T$  corresponding to the eigenvalue one.

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