# Fuel-Optimal Altitude Maintenance of Low-Earth-Orbit Spacecrafts by Combined Direct/Indirect Optimization 

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#### Abstract

This work presents fuel-optimal altitude maintenance of Low-Earth-Orbit (LEO) spacecrafts experiencing non-negligible air drag and $J 2$ perturbation. A pseudospectral (direct) method is first applied to roughly estimate an optimal fuel consumption strategy, which is employed as an initial guess to precisely determine itself. Based on the physical specifications of KOrea Multi-Purpose SATellite-2 (KOMPSAT-2), a Korean artificial satellite, numerical simulations show that a satellite ascends with full thrust at the early stage of the maneuver period and then descends with null thrust. While the thrust profile is presumably bang-off, it is difficult to precisely determine the switching time by using a pseudospectral method only. This is expected, since the optimal switching epoch does not coincide with one of the collocation points prescribed by the pseudospectral method, in general. As an attempt to precisely determine the switching time and the associated optimal thrust history, a shooting (indirect) method is then employed with the initial guess being obtained through the pseudospectral method. This hybrid process allows the determination of the optimal fuel consumption for LEO spacecrafts and their thrust profiles efficiently and precisely.


Keywords: altitude maintenance, direct/indirect optimization, fuel optimization, pseudospectral method, shooting method

## 1. INTRODUCTION

Operating an Earth-observing satellite at sufficiently lower altitudes can improve its spatial resolution and help a satellite sensor identify smaller details of ground objects. While QuickBird, a typical high-resolution commercial Earth-observing satellite owned by DigitalGlobe, collects the panchromatic imagery at a resolution of 61 cm and an altitude of 450 km , KOrea Multi-Purpose SATellite-2 (KOMPSAT-2) in a 685 km sun-synchronous orbit has a resolution of 1 m (Kim 2013). If KOMPSAT-2 were able to observe the ground object at a lower altitude, it could have distinguished more details with a better resolution. However, with the lowering of the operating altitude of a satellite and without a proper altitude maintenance management, the atmospheric drag becomes stronger to ultimately cause its reentry into the Earth. Therefore, for the altitude maintenance of Low-Earth-Orbit (LEO) spacecrafts,
fuel-optimal strategy becomes highly critical, as it saves cost and extends the lifetime of the mission as well.

Park et al.(2007) analyzed the effect of drag on orbital variations of KOMPSAT-1 during strong solar and geomagnetic activities. Halbach(2000) presented a numerical study of an optimal periodic thrusting method for LEO spacecrafts using the Legendre-Gauss-Lobatto (LGL) pseudospectral collocation codes developed at Naval Postgraduate School. Park et al.(2008) studied the amount of fuel required to maintain a very low-Earth-orbit with severe air drag using a collocation method. These studies considered air drag as the only perturbation force and acquired solutions using a direct collocation method. However, the actual motion of such satellites in real environments is also affected by other gravitational and non-gravitational perturbations owing to the irregular shape of the Earth (Jo et al. 2011).

In this study, the $J 2$ perturbation, which has significant effect on LEO spacecrafts, is considered along with air

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drag. Also, both a pseudospectral (direct) and a shooting (indirect) method are used together to improve the accuracy of optimization results obtained from previous studies. For numerical simulations, the physical characteristics of KOMPSAT-2, a Korean satellite, are used, and the atmospheric density model from Ionospheric Prediction Service (IPS) Radio and Space Services (Kennewell 1999) is used to reflect highly dominant air density variation caused by solar activity.

The rest of this paper is organized as follows. Section 2 formally presents a fuel-optimal altitude maintenance problem including the objective function, and the governing equations of motion and equality/inequality constraints. Necessary conditions for optimality, such as the costate equations and the transversality conditions are also derived for the indirect optimization method. Section 3 proposes the key process for combining the direct and indirect methods. Section 4 presents simulation results of fuel optimization under various circumstances and the corresponding analyses. Finally, the last section presents the conclusions drawn from the study.

## 2. PROBLEM FORMULATION

### 2.1 Altitude Maintenance Problem

First, an objective function to minimize the fuel consumption is defined as

$$
\begin{equation*}
J=\int_{0}^{t_{J}}|T| d t \tag{1}
\end{equation*}
$$

where, $t_{f}$ is the terminal time and $|T|$ is the thrust magnitude. The objective function is subject to the equations of motion of a satellite:

$$
\begin{gather*}
\dot{x}=v_{x} \\
\dot{y}=v_{y} \\
\dot{z}=v_{z} \\
\dot{v}_{x}=-\mu \frac{x}{r^{3}}+\frac{T_{x}}{m}-\frac{1}{2} \frac{C_{D} A}{m} \rho|v| v_{x}-\frac{3 J_{2} \mu R_{\oplus}^{2} x}{2 r^{5}}\left(1-\frac{5 z^{2}}{r^{2}}\right) \\
\dot{v}_{y}=-\mu \frac{y}{r^{3}}+\frac{T_{y}}{m}-\frac{1}{2} \frac{C_{D} A}{m} \rho|v| v_{y}-\frac{3 J_{2} \mu R_{\oplus}^{2} y}{2 r^{5}}\left(1-\frac{5 z^{2}}{r^{2}}\right) \\
\dot{v}_{z}=-\mu \frac{z}{r^{3}}+\frac{T_{z}}{m}-\frac{1}{2} \frac{C_{D} A}{m} \rho|v| v_{z}-\frac{3 J_{2} \mu R_{\oplus}^{2} z}{2 r^{5}}\left(3-\frac{5 z^{2}}{r^{2}}\right) \\
\dot{m}=-\frac{T}{v_{e}} \tag{2}
\end{gather*}
$$

The set of equations under Eq. (2) governs the motion of a spacecraft under the influence of its own thrust, central
gravity, $J_{2}$ perturbation and atmospheric drag. $(x, y, z)$ are the position components, $\left(v_{x}, v_{y}, v_{z}\right)$ are the associated velocity components, and $m$ is the mass of a spacecraft; $r=\sqrt{x^{2}+y^{2}+z^{2}}$ is the magnitude of position vector of a spacecraft and $v=\sqrt{v_{x}^{2}+v_{y}^{2}+v_{z}^{2}}$ is the magnitude of velocity vector; the thrust components are expressed as $\left(T_{x^{\prime}} T_{y^{\prime}}\right.$ $\left.T_{z}\right)=(T \cos \beta \cos \alpha, T \cos \beta \sin \alpha, T \cos \beta)$, where $\alpha \in[0,2 \pi]$ and $\beta \in[-\pi / 2, \pi / 2]$ represent the longitudinal and latitudinal steering angles, respectively (Fig. 1); $v_{e}$ is the exhaust velocity; $\rho$ is the atmospheric density; $C_{D}$ is the drag coefficient, and $A$ is the cross-sectional area of a spacecraft; $\mu$ is the gravitational parameter of the Earth, $J_{2}$ is the oblateness factor, and $R_{\oplus}$ is the mean radius of the Earth.

For altitude maintenance, a lower limit is imposed on the altitude as an inequality constraint:

$$
\begin{equation*}
r(t)-r_{r e f} \geq 0 \tag{3}
\end{equation*}
$$

where, $r_{r e f}$ is the radius of the reference orbit. In addition, the capacity of a thruster is imposed as an inequality constraint:

$$
\begin{equation*}
0 \leq|T(t)| \leq T_{\max } \tag{4}
\end{equation*}
$$

where, $T_{\text {max }}$ is the maximum thrust. The spacecraft is assumed to be initially located on the $x$-axis at the initial time without loss of generality and to have a circular velocity at the corresponding altitude:


Fig. 1. Longitudinal and latitudinal steering angles.

$$
\left\{\begin{array}{c}
x(0)=r_{0}  \tag{5}\\
y(0)=0 \\
z(0)=0 \\
v_{x}(0)=0 \\
v_{y}(0)=v_{0} \\
v_{z}(0)=0 \\
m(0)=m_{0}
\end{array}\right.
$$

where, $r_{0}$ is the initial radius, $v_{0}$ is the initial circular speed, and $m_{0}$ is the initial mass. Next, for computational efficiency, all the physical variables are scaled using canonical units; the radius of the reference orbit and the time it takes a spacecraft to move 1 radian in the reference orbit are used as Distance Unit (DU) and Time Unit (TU), respectively. Then, the normalized equations of motion are expressed as follows (Kim 2014):

$$
\begin{gather*}
\dot{\bar{x}}=\bar{v}_{x} \\
\dot{\bar{y}}=\bar{v}_{y} \\
\dot{\bar{z}}=\bar{v}_{z} \\
\dot{\bar{v}_{x}}=-\frac{\bar{x}}{\bar{r}^{3}}+\frac{\bar{T}_{x}}{\bar{m}}-\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right) \bar{v} \bar{v}_{x}-\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}} \frac{\bar{x}}{\bar{r}^{5}}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right) \\
\dot{\bar{v}}_{y}=-\frac{\bar{y}}{\bar{r}^{3}}+\frac{\bar{T}_{y}}{\bar{m}}-\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right) \bar{v} \bar{v}_{y}-\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}} \frac{\bar{y}}{\bar{r}^{5}}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right) \\
\dot{\bar{v}}=-\frac{\bar{z}}{\bar{r}^{3}}+\frac{\overline{T_{z}}}{\bar{m}}-\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right) \bar{v} \bar{v}_{z}-\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}} \frac{\bar{z}}{\bar{r}^{5}}\left(3-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right) \\
\dot{\bar{m}}=-\frac{\bar{T}}{\left(v_{e} / v_{r e f}\right)} \tag{6}
\end{gather*}
$$

where, $v_{r e f}$ is the circular speed and $m_{r e f}$ is the initial mass $\left(m_{0}\right)$. Note that the bar symbol( ${ }^{-}$) represents the normalized variables. Constraints on the radius and thrust magnitude are also normalized as

$$
\begin{gather*}
\bar{r}(\bar{t})-1 \geq 0  \tag{7}\\
0 \leq|\bar{T}| \leq T_{\max } / T_{r e f} \tag{8}
\end{gather*}
$$

Here, $T_{r e f}$ is the centrifugal force at $r_{r e f}$ If the spacecraft is in the reference orbit at the initial time, the initial boundary conditions are normalized as follows:

$$
\left\{\begin{array}{l}
\bar{x}(0)=1  \tag{9}\\
\bar{y}(0)=0 \\
\bar{z}(0)=0 \\
\bar{v}_{x}(0)=0 \\
\bar{v}_{y}(0)=1 \\
\bar{v}_{z}(0)=0 \\
\bar{m}(0)=1
\end{array}\right.
$$

### 2.2 Necessary Conditions for Optimality

To apply the indirect method, the 1st-order necessary conditions for optimality should be derived. In this case, Eqs. (10) and (11) are used instead of Eqs. (1) and (7), respectively, for simplicity in derivation.

$$
\begin{gather*}
J=-\bar{m}\left(\bar{t}_{f}\right)  \tag{10}\\
\vec{\psi}\left(\vec{x}\left(\bar{t}_{f}\right), \bar{t}_{f}\right)=\left[\begin{array}{c}
1-\bar{x}\left(\bar{t}_{f}\right)^{2}-\bar{y}\left(\bar{t}_{f}\right)^{2}-\bar{z}\left(\bar{t}_{f}\right)^{2} \\
1-\bar{v}_{x}\left(\bar{t}_{f}\right)^{2}-\bar{v}_{y}\left(\bar{t}_{f}\right)^{2}-\bar{v}_{z}\left(\bar{t}_{f}\right)^{2}
\end{array}\right] \tag{11}
\end{gather*}
$$

The Hamiltonian is first defined as

$$
\begin{align*}
H & =\left(\lambda_{x} \bar{v}_{x}+\lambda_{y} \bar{v}_{y}+\lambda_{z} \bar{v}_{z}\right)-\frac{1}{\bar{r}^{3}}\left(\lambda_{v x} \bar{x}+\lambda_{v y} \bar{y}+\lambda_{v z} \bar{z}\right) \\
& -\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right)|\overrightarrow{\bar{v}}|\left(\lambda_{v x} \bar{v}_{x}+\lambda_{v y} \bar{v}_{y}+\lambda_{v z} \bar{v}_{z}\right) \\
& +\frac{\bar{T}}{\bar{m}}\left(\lambda_{v x} \cos \beta \cos \alpha+\lambda_{v y} \cos \beta \sin \alpha+\lambda_{v z} \sin \beta\right)-\lambda_{m} \frac{\bar{T}}{\left(v_{e} / v_{r e f}\right)} \\
& -\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2} \bar{r}^{5}}\left[\lambda_{v x} \bar{x}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v y} \bar{y}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v z} \bar{z}\left(3-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)\right] \tag{12}
\end{align*}
$$

Here, $\left(\lambda_{x^{\prime}}, \lambda_{y^{\prime}}, \lambda_{z}\right),\left(\lambda_{v x^{\prime}}, \lambda_{v y}, \lambda_{v z}\right)$, and $\lambda_{m}$ are the costates adjoint to the position components, the velocity components, and mass, respectively. The steering angle vector $\vec{u}$ is defined as

$$
\begin{equation*}
\vec{u}=[\cos \beta \cos \alpha \cos \beta \sin \alpha \sin \beta]^{T} \tag{13}
\end{equation*}
$$

The optimal longitudinal and latitudinal steering can be obtained from the first-order optimality conditions $\partial H / \partial \alpha=0, \partial H / \partial \beta=0$ (Park 2013):

$$
\begin{align*}
& \tan \alpha=\frac{\lambda_{v y}}{\lambda_{v x}} \Rightarrow \sin \alpha=-\frac{\lambda_{v y}}{\sqrt{\lambda_{v x}^{2}+\lambda_{v y}^{2}}}, \cos \alpha=-\frac{\lambda_{v x}}{\sqrt{\lambda_{v x}^{2}+\lambda_{v y}^{2}}}  \tag{14}\\
& \tan \beta=-\frac{\lambda_{v z}}{\sqrt{\lambda_{v x}^{2}+\lambda_{v y}^{2}}} \Rightarrow \sin \beta=-\frac{\lambda_{v z}}{\sqrt{\lambda_{v x}^{2}+\lambda_{v y}^{2}+\lambda_{v z}^{2}}}, \cos \beta=\frac{\sqrt{\lambda_{v x}^{2}+\lambda_{v y}^{2}}}{\sqrt{\lambda_{v x}^{2}+\lambda_{v y}^{2}+\lambda_{v z}^{2}}} \tag{15}
\end{align*}
$$

Note that the (-) sign of the sine and cosine in Eq. (14) comes from the second-order convexity condition $\partial^{2} H / \partial \alpha^{2} \geq 0$ (Kluever \& Pierson 1995). Substituting (14) and (15) into the definition of the steering angle vector reveals that $\vec{u}$ is the anti-parallel unit vector to the costate vector adjoint to velocity:

$$
\begin{equation*}
\vec{u}=-\frac{\vec{\lambda}_{v}}{\sqrt{\vec{\lambda}_{v}^{\mathrm{T}} \vec{\lambda}_{v}}} \tag{16}
\end{equation*}
$$

Then, the costate equations are derived from $\dot{\lambda}_{(\cdot)}=-\partial H / \partial(\cdot)$, where ( $\cdot$ ) represents a general state variable (Kim 2014):

$$
\begin{align*}
& \dot{\lambda}_{x}=\frac{\lambda_{v x}}{\bar{r}^{3}}-\frac{3 \bar{x}}{\bar{r}^{5}} \vec{\lambda}_{v}^{T} \overrightarrow{\bar{r}}+\frac{1}{2} \frac{C_{D} A}{\bar{m}} \frac{\partial \rho}{\partial \bar{x}}\left(\frac{r_{r e f}}{m_{r e f}}\right)|\overrightarrow{\bar{v}}| \vec{\lambda}_{v}^{T} \overrightarrow{\bar{v}} \\
& +\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{\text {ref }}^{2}}\left[\frac{1}{\bar{r}^{5}}\left(\lambda_{v x}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\frac{10 \bar{z}^{2} \bar{x}}{\bar{r}^{2}} \vec{\lambda}_{v}^{T} \overrightarrow{\bar{r}}\right)\right] \\
& +\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}}\left[-\frac{5 \bar{x}}{\bar{r}^{7}}\left(\lambda_{v x} \bar{x}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v y} \bar{y}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v z} \bar{z}\left(3-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)\right)\right] \\
& \dot{\lambda}_{y}=\frac{\lambda_{v y}}{\bar{r}^{3}}-\frac{3 \bar{y}}{\bar{r}^{5}} \vec{\lambda}_{v}^{T} \overrightarrow{\bar{r}}+\frac{1}{2} \frac{C_{D} A}{\bar{m}} \frac{\partial \rho}{\partial \bar{y}}\left(\frac{r_{r e f}}{m_{r e f}}\right)|\overrightarrow{\bar{v}}| \vec{\lambda}_{v}^{T} \overrightarrow{\bar{v}} \\
& +\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}}\left[\frac{1}{\bar{r}^{5}}\left(\lambda_{v y}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\frac{10 \bar{z}^{2} \bar{y}}{\bar{r}^{2}} \vec{\lambda}_{v}^{T} \overrightarrow{\bar{r}}\right)\right] \\
& +\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}}\left[-\frac{5 \bar{y}}{\bar{r}^{7}}\left(\lambda_{v x} \bar{x}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v y} \bar{y}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v z} \bar{z}\left(3-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)\right)\right] \\
& \dot{\lambda}_{z}=\frac{\lambda_{v z}}{\bar{r}^{3}}-\frac{3 \bar{z}}{\bar{r}^{5}} \vec{\lambda}_{v}^{T} \overrightarrow{\bar{r}}+\frac{1}{2} \frac{C_{D} A}{\bar{m}} \frac{\partial \rho}{\partial \bar{z}}\left(\frac{r_{r e f}}{m_{r e f}}\right)|\overrightarrow{\bar{v}}| \vec{\lambda}_{v}^{T} \overrightarrow{\bar{v}} \\
& +\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}}\left[\frac{1}{\bar{r}^{5}}\left(\lambda_{v z}\left(3-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\frac{10 \bar{z}^{2}}{\bar{r}^{2}} \vec{\lambda}_{v}^{T} \overrightarrow{\vec{r}}(\bar{z}-1)\right)\right] \\
& +\frac{3 J_{2} R_{\oplus}^{2}}{2 r_{r e f}^{2}}\left[-\frac{5 \bar{z}}{\bar{r}^{7}}\left(\lambda_{v x} \bar{x}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v y} \bar{y}\left(1-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)+\lambda_{v z} \bar{z}\left(3-\frac{5 \bar{z}^{2}}{\bar{r}^{2}}\right)\right)\right] \\
& \left.\dot{\lambda}_{v x}=-\lambda_{x}+\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right) \right\rvert\, \overrightarrow{\vec{v}}\left(\lambda_{v x}+\frac{v_{x}}{|\overrightarrow{\vec{v}}|^{2}} \vec{\lambda}_{v}^{T} \overrightarrow{\vec{v}}\right) \\
& \dot{\lambda}_{v y}=-\lambda_{y}+\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right) \left\lvert\, \overrightarrow{\bar{v}}\left(\lambda_{v y}+\frac{v_{y}}{|\overrightarrow{\bar{v}}|^{2}} \vec{\lambda}_{v}^{T} \overrightarrow{\vec{v}}\right)\right. \\
& \dot{\lambda}_{v z}=-\lambda_{z}+\frac{1}{2} \frac{C_{D} A}{\vec{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right)|\overrightarrow{\vec{v}}|\left(\lambda_{v z}+\frac{v_{z}}{|\overrightarrow{\vec{v}}|^{2}} \vec{\lambda}_{v}^{T} \overrightarrow{\vec{v}}\right) \\
& \dot{\lambda}_{m}=-\frac{1}{\bar{m}}\left[\frac{\bar{T}}{\bar{m}} \vec{\lambda}_{v}^{\mathrm{T}} \vec{\lambda}_{v}+\frac{1}{2} \frac{C_{D} A}{\bar{m}} \rho\left(\frac{r_{r e f}}{m_{r e f}}\right)|\overrightarrow{\bar{v}}| \vec{\lambda}_{v}^{T} \overrightarrow{\bar{v}}\right] \tag{17}
\end{align*}
$$

Finally, the transversality conditions are derived as

$$
\begin{align*}
\lambda_{x}\left(\bar{t}_{f}\right) \bar{y}\left(\bar{t}_{f}\right) & =\lambda_{y}\left(\bar{t}_{f}\right) \bar{x}\left(\bar{t}_{f}\right) \\
\lambda_{y}\left(\bar{t}_{f}\right) \bar{z}\left(\bar{t}_{f}\right) & =\lambda_{z}\left(\bar{t}_{f}\right) \bar{y}\left(\bar{t}_{f}\right) \\
\lambda_{v x}\left(\bar{t}_{f}\right) \bar{v}_{y}\left(\bar{t}_{f}\right) & =\lambda_{v y}\left(\bar{t}_{f}\right) \bar{v}_{x}\left(\bar{t}_{f}\right) \\
\lambda_{v y}\left(\bar{t}_{f}\right) \bar{v}_{z}\left(\bar{t}_{f}\right) & =\lambda_{v z}\left(\bar{t}_{f}\right) \bar{v}_{y}\left(\bar{t}_{f}\right) \\
\lambda_{m}\left(\bar{t}_{f}\right) & =-1 \tag{18}
\end{align*}
$$

## 3. ALTITUDE MAINTENANCE ALGORITHM

In general, a direct method is less sensitive to the initial guess, but shows slow convergence and is not highly accurate. An indirect method converges fast with a proper initial guess of costates, but it is difficult to estimate the initial costates themselves. Given the objective function, governing equation, and associated equality/inequality constraints, the optimal fuel consumption and associated thrust profile are calculated using both pseudospectral (direct) and shooting (indirect) methods. In this manner, a hybrid approach combining the direct and indirect methods
is proposed.
In a pseudospectral method, the state and control variables are approximated at predetermined collocation points (Halbach 2000). In this study, LGL points are used for the discretization of the system, and Lagrange polynomials are used as trial functions (Elnagar et al. 1995). Fig. 2 shows the flowchart of fuel optimization algorithm based on the pseudospectral method (Kim 2014). The input values are the number of LGL points, information of an assigned orbit, physical specifications of a spacecraft and its thruster, and indices associated with air density. In this method, the states and control guesses at the LGL points should be provided. The differentiation matrix $\left(\mathrm{D}_{\mathrm{jk}}\right)$, LGL points $\left(\mathrm{t}_{\mathrm{k}}\right)$, and weights $\left(\mathrm{w}_{\mathrm{k}}\right)$ are determined via a subroutine (lglpoints) (Elnagar et al. 1995). Then, the states and control variables satisfying the constraints while minimizing the objective function are calculated through the 'fmincon' in the optimization Toolbox of MATLAB. The 'fmincon' attempts to find a constrained minimum of a scalar function of multiple variables starting at an initial estimate (MathWorks 2015a).

Fig. 3 shows the time history of altitude and thrust profile after applying the pseudospectral method to the fueloptimal altitude maintenance problem. A spacecraft first ascends with full thrust at the early stage and then descends with null thrust. While the thrust profile is similar to bangoff, it is difficult to precisely determine the switching time by using a pseudospectral method only. This is expected because the optimal switching epoch does not generally coincide with one of the prescribed collocation points of


Fig. 2. Flowchart for fuel optimization using a pseudospectral method.


Fig. 3. Results of fuel optimization using a pseudospectral method.
the pseudospectral method. As an attempt to precisely determine the switching time and its associated optimal thrust history, a shooting method is employed with its initial guess obtained from the solution of the pseudospectral method. Fig. 4 shows the flowchart of a fuel optimization algorithm based on a shooting method. Pontryagin's principle (Pontryagin 1987) yields an optimality strategy for thrust magnitude $\bar{T}$ :

$$
\bar{T}^{*}=\underset{\bar{T}}{\arg \min } H=\left\{\begin{array}{cl}
T_{\max } / T_{r e f}, & \text { if } S<0  \tag{19}\\
0, & \text { if } S>0 \\
\text { singular, }, & \text { if } S=0
\end{array}\right.
$$

Here, $S$ stands for the switching function defined as

$$
\begin{equation*}
S \equiv-\left(\frac{\sqrt{\vec{\lambda}_{v} \cdot \vec{\lambda}_{v}}}{\bar{m}}+\frac{v_{r e f}}{v e} \cdot \lambda_{m}\right) \tag{20}
\end{equation*}
$$

When $S$ has a value near ' 0 ', it is difficult to numerically define its sign. In order to prevent this numerical obscurity, the switching epoch is estimated on the basis of the time history of simulation results using a pseudospectral method. Then, the guess of switching epoch $\left(t_{s}\right)$ is used instead of $S$ for determining $\bar{T}$, as follows:

$$
\bar{T}^{*}(\bar{t})=\underset{\bar{T}}{\arg \min } H(\bar{t})=\left\{\begin{array}{cl}
T_{\max } / T_{\text {ref }}, & \text { if } \bar{t}<t_{s}  \tag{21}\\
0, & \text { if } \bar{t}>t_{s} \\
\text { singular, } & \text { if } \bar{t}=t_{s}
\end{array}\right.
$$

The process of updating the initial guesses is iterated until the transversality conditions are satisfied, and this process of solving nonlinear algebraic equations is performed using the MATLAB built-in function, 'fsolve'. The 'fsolve' finds a root (zero) of a system of nonlinear equations (MathWorks 2015b).

## 4. SIMULATION RESULTS AND DISCUSSIONS

In the altitude maintenance scenario, the assigned altitude is 300 km and a spacecraft starts on the assigned


Fig. 4. Flowchart for fuel optimization using a shooting method.
Table 1. Specifications of satellite and its thruster

| Satellite | Mass | Cross-Sectional Area | Drag Coefficient |
| :---: | :---: | :---: | :---: |
|  | 800 kg | $13.6 \mathrm{~m}^{2}$ | 3.4 |
| Thruster | Max. Thrust | Specific impulse |  |
|  | 0.1 N | 224 s |  |


Combined method





Fig. 5. Results of fuel optimization (time histories of altitude, thrust, and mass) using pseudospectral (left) and combined (right) methods.
altitude with circular velocity. The physical characteristics of the spacecraft (KOMPSAT-2) and the specifications of the thruster are presented in Table 1.

Fig. 5 and Table 2 show that the obscure switching time obtained using the pseudospectral method is precisely determined by applying the shooting method. From the time history of the pseudospectral method, the switching time could be guessed within the range of $9-11 \mathrm{hr}$. Table 3 shows

Table 2. Comparison between results of pseudospectral and combined methods

| Optimization Method | PS | Combined |
| :---: | :---: | :---: |
| Fuel Consumption $(\mathbf{k g})$ | 1.730 | 1.718 |
| Peak Altitude $(\mathbf{k m})$ | 304.256 | 304.574 |
| Final Altitude $(\mathbf{k m})$ | 299.899 | 299.861 |
| Switching Time $(\mathrm{hr})$ | - | 10.488 |

Table 3. Simulation results of pseudospectral method with switching time guess

| Switching Time Guess (hr) | $\mathbf{5}$ | $\mathbf{2 0}$ |
| :---: | :---: | :---: |
| Fuel Consumption $(\mathbf{k g})$ | 0.751 | 3.071 |
| Peak Altitude $(\mathbf{k m})$ | 301.917 | 308.543 |
| Final Altitude $(\mathbf{k m})$ | 294.529 | 306.621 |
| Switching Time $(\mathbf{h r})$ | 4.581 | 18.742 |



Fig. 6. Simulation results for maximum solar activity using pseudospectral (left) and combined (right) methods.
that a guess selected within this range leads to a proper (local) optimal solution satisfying the altitude maintenance constraints. In contrast, if the guess of switching time is (deliberately) selected outside this range, a non-compatible solution without satisfying the given altitude constraint is generated. This implies that the proposed combined approach can be effectively used for this type of numerically sensitive problems.

Figs. 6-8 show optimization results with different atmospheric densities (caused by solar activity) using the pseudospectral and combined methods in which the atmospheric model offered by the Australian IPS Radiation \& Space Services is implemented (Kennewell 1999). The time for a spacecraft to reach the peak altitude and the switching moment is delayed as the solar activity becomes stronger. For the case of maximum solar activity (Fig. 6), the spacecraft raises its altitude for about $2 / 3$ of the operation time, and then drops rapidly for about $1 / 3$ of the operation time owing to the high atmospheric density. In contrast, when solar activity is weak (Fig. 8), a spacecraft reaches the peak altitude at about $1 / 4$ of the operation time, and then


Fig. 7. Simulation results for mean solar ativity using pseudospectral (left) and combined (right) methods.


Fig. 8. Simulation results for minimum solar activity using pseudospectral (left) and combined (right) methods.
descends slowly for the remaining operation time. Fuel consumption for the case of maximum solar activity is about three times larger than that for minimum solar activity (Table 4).

Table 5 shows optimization results with different maneuver periods. Fuel consumption increases with the maneuver period, but this increase is not proportional. For example, the fuel consumption for 5 days is less than that for 1 day multiplied by 5 . This is because a spacecraft reaches higher altitude where atmospheric density is lower when the maneuver period is longer. KOMPSAT-2 collects panchromatic imagery at 1 m resolution with Instantaneous Field of View (IFOV) $1.46 \mu \mathrm{~m}$ at an altitude of 685 km . Based on the IFOV of KOMPSAT-2, Ground Sampling Distance (GSD) is calculated using the relation between GSD and altitude (Park et al. 2008). GSD could be improved to be under 0.5 by lowering the altitude of spacecraft.

Fig. 9 shows simulation results considering the $J 2$ effect with atmospheric drag. Orbit inclination was set as 45 deg. A periodic change in altitude of about 13 km occurred due to the effect of $J 2$. The time histories of longitudinal and latitudinal steering angles indicate that the thrust is applied

Table 4. Comparison between results of pseudospectral and combined methods for solar activity

| Solar Activity | Maximum |  | Mean |  |  | Minimum |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Optimization Method | PS | Combined | PS | Combined | PS | Combined |
| Fuel Consumption(kg) | 2.689 | 2.666 | 1.730 | 1.718 | 0.9433 | 0.878 |
| Peak Altitude(km) | 303.815 | 304.027 | 304.256 | 304.574 | 303.213 | 303.423 |
| Final Altitude(km) | 299.884 | 299.893 | 299.899 | 299.861 | 299.912 | 299.657 |
| Switching Time(hr) | - | 16.271 | - | 10.488 | - | 5.360 |
| Atmospheric Density(kg/m3) |  | $5.189 \mathrm{e}-11$ |  | $3.379 \mathrm{e}-11$ | $1.833 \mathrm{e}-11$ |  |

Table 5. Simulation results of combined method with maneuver period

| Maneuver Period(day) | $\mathbf{1}$ | $\mathbf{3}$ | $\mathbf{5}$ | $\mathbf{7}$ |
| :---: | :---: | :---: | :---: | :---: |
| Fuel Consumption(kg) | 1.718 | 4.671 | 7.422 | 9.356 |
| Peak Altitude(km) | 304.574 | 313.289 | 322.269 | 329.271 |
| Final Altitude(km) | 299.861 | 299.337 | 300.745 | 298.993 |
| Switching Time(hr) | 10.488 | 28.510 | 45.304 | 57.107 |
| GSD(m) | 0.445 | 0.457 | 0.471 | 0.481 |

along the direction of velocity vector. Table 6 confirms that a spacecraft uses about $30 \%$ more fuel when $J 2$ perturbation is active, and thus it has remarkable effect on fuel-optimality of LEO spacecrafts.

## 5. CONCLUSION

Pseudospectral and shooting methods were combined to optimize the fuel consumption for altitude maintenance of spacecrafts at 300 km circular orbits where atmospheric drag is sufficiently strong to cause orbital decay. Based on the physical characteristics of KOMPSAT-2, simulations showed that a satellite ascended with full thrust at the early

Table 6. Simulation results of combined method with $J_{2}$ perturbation

| Perturbation | Drag Only | Including $J_{2}$ |
| :---: | :---: | :---: |
| Fuel Consumption $(\mathbf{k g})$ | 1.729 | 2.235 |
| Peak Altitude $(\mathbf{k m})$ | 304.569 | 304.658 |
| Final Altitude $(\mathbf{k m})$ | 299.859 | 300.047 |
| Switching Time $(\mathbf{h r})$ | 10.552 | 13.638 |

stage and then descended with null thrust. While the thrust profile was similar to bang-off, it was difficult to precisely determine the switching time by using only a pseudospectral method. As an attempt to precisely determine the switching time and its associated optimal thrust history, a shooting method was employed with its initial guess obtained from a solution using the pseudospectral method. By analyzing the results with solar activity, fuel consumption during the maximum solar activity was found to be three times more than that during the minimum solar activity. This implies that the solar activity cycle is a critical factor while designing a LEO mission. Furthermore, fuel consumption was reduced when the maneuver period of satellites was increased. This is possible because with extended maneuver periods, satellites reach higher altitudes where the atmospheric drag


Fig. 9. Time histories of altitude, mass, thrust, and longitudinal/latitudinal steering angles obtained using combined method; air drag only (left) and active J2 effect (right).
is relatively weak. For the case considering $J 2$ perturbation with drag, more fuel was used to maintain the altitude than for the case considering only drag; J2 perturbation has a remarkable effect on LEO spacecrafts, and should be taken into account for a more realistic mission design.

The overall hybrid process proposed in this paper can provide a robust, efficient, and precise fuel-optimal solution for various cases of satellite maneuvers.

## ACKNOWLEDGMENTS

This work was supported by the Global Surveillance Research Center (GSRC) program funded by the Defense Acquisition Program Administration (DAPA) and Agency for Defense Development (ADD).

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