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#### **Clinical Article**

# Angiographic and Clinical Factors Related with Good Functional Outcome after Mechanical Thrombectomy in Acute Cerebral Artery Occlusion

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**Objective :** The aim of this study is to investigate good prognostic factors for an acute occlusion of a major cerebral artery using mechanical thrombectomy.

**Methods :** Between January 2013 to December 2014, 37 consecutive patients with acute occlusion of a major cerebral artery treated by mechanical thrombectomy with stent retrievers were conducted. We analyzed clinical and angiographic factors retrospectively. The collateral flow and the result of recanalization were sorted by grading systems. Outcome was assessed by National Institutes of Health Stroke Scale (NIHSS) and modified Rankin Scale (mRS) at 90 days. We compared the various parameters between good and poor angiographic and clinical results.

**Results :** Twenty seven patients demonstrated good recanalization [Thrombolysis in Cerebral Infarction (TICI) 2b or 3] after thrombectomy. At the 90-day follow up, 19 patients had good (mRS, 0–2), 14 had moderate (3–4) and four had poor outcomes (5–6). The mRS of older patients ( $\geq$ 75 years) were poor than younger patients. Early recanalization, high Thrombolysis in Myocardial Infarction risk score, and low baseline NIHSS were closely related to 90-day mRS, whereas high TICI was related to both mRS and the decrease in the NIHSS.

**Conclusion :** NIHSS decreased markedly only when recanalization was successful. A good mRS was related to low initial NIHSS, good collateral, and early successful recanalization.

Key Words: Acute cerebral artery occlusion · Functional outcome · Mechanical recanalization · Prognostic factor · Stent retriever.

# INTRODUCTION

Acute thromboembolic occlusion of major cerebral arteries is a very urgent situation and requires immediate reperfusion therapy. Endovascular intervention offers promise in patients presenting beyond the 4.5-hr time window<sup>16</sup>, as well as in <4.5hr, who are ineligible for tissue plasminogen activator (tPA) or fail to respond to intravenous tPA<sup>19,21</sup>. A thrombolytic agent (urokinase, abciximab, or tirofiban) was used in the past although frequent fatal complications such as huge intracerebral hemorrhage (ICH)<sup>19,23,24</sup>.

Many studies have reported high recanalization and low complication rates following mechanical thromboembolectomy using a stent retriever system<sup>4,10,17,21,22)</sup>. Although the introduction of mechanical recanalization for acute ischemic stroke is popular, few data are available about the prognostic factors of good outcome from the procedure. The prognostic factors identified could suggest proper decision-making before performing thrombectomy.

We collected data from 37 consecutive patients with acute ischemic stroke who were treated with the Solitaire AB/FR stent device and analyzed the angiographic and clinical factors correlated with good outcomes.

## MATERIALS AND METHODS

The study was approved by the Institutional Review Board of our university (OC14RISE0070). Data in a prospectively maintained interventional data base at the Stroke Center of our hospital were analyzed for the 24 months since January 2013.

The policies of our Stroke Center for endovascular recanalization are 1) baseline National Institutes of Health Stroke Scale (NI-HSS) score  $\geq$ 4, except for isolated aphasia or hemianopsia, 2) exclusion of hemorrhage by cranial computed tomography (CT)

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or magnetic resonance imaging (MRI), 3) large vessel [intracranial internal carotid artery (ICA), A1, M1–2, vertebrobasilar artery] occlusion, 4) treatment initiation within 8 hr of symptom onset for hemispheric stroke and within 12 hr for vertebrobasilar stroke, and 5) perfusion-diffusion mismatching confirmed by physician.

All patients within 4.5-hr from symptom treated IV tPA before endovascular procedure. However, we performed endovascular thrombectomy immediately after confirm of large artery occlusion regardless of tPA. NIHSS divided as mild (<10), moderate (10–20), and severe (>20) neurologic deficit.

## Procedure

All endovascular procedures were performed under local anesthesia with proper sedation. All patients treated in our department by intracranial mechanical recanalization using the Solitaire (EV3, Irvine, CA, USA) retrievable stent for acute cerebral artery occlusion were included. Some patients underwent additional balloon angioplasty or permanent stent deployment when recanalization failed.

Collateral flow was graded based on the Thrombolysis in Myocardial Infarction (TIMI) risk score proposed by the American Society of Interventional and Therapeutic Neuroradiology/Society of Interventional Radiology<sup>12)</sup> : Grade 0, no collateral visible to the ischemic site; grade 1, slow collaterals to the periphery of some of the defect; grade 2, rapid collaterals to the periphery of the ischemic site with persistence of some defect and to only a portion of the ischemic territory; grade 3, collaterals with slow but complete angiographic blood flow to the ischemic bed occurring by the late venous phase; grade 4, complete and rapid collateral blood flow to the vascular bed of the entire ischemic territory, by retrograde perfusion.

The recanalization result was assessed by digital subtraction angiography immediately after the procedure according to Thrombolysis in Cerebral Infarction (TICI) criteria<sup>12)</sup> : Grade 0, no perfusion; grade 1, penetration with minimal perfusion; grade 2, partial perfusion, subdivided as partial (2a) and complete (2b) filling of entire vascular territory; and grade 3, no flow constraint and complete perfusion. After the thrombectomy, dissection or vessel perforation were assessed carefully.

#### Patient care and follow-up

All patients underwent CT immediately after the procedure to check hemorrhagic transformation. Systolic blood pressure was targeted to 100–120 mm Hg immediately after recanalization using a continuous nicardipine injection to prevent hyperperfusion injury. CT angiography and perfusion CT were performed within 72 hr after the intervention. The occurrence of symptomatic intracerebral hemorrhage was defined as clinical deterioration (≥4-points increase on the NIHSS or 1-point deterioration in the level of consciousness) combined with a space-occupying brain hematoma on a CT scan or MRI.

Clinical outcome was evaluated with the 90-day modified

Rankin Scale (mRS) score, the NIHSS, and 90-day mortality. We defined improvement of NIHSS (NIHSS gap) as markedly ( $\geq$ 5) and slightly (<5) decrease. Good long-term outcome was defined as mRS of 0–2, moderate outcome as 3–4 and poor outcome as 5–6 at 3 months.

#### Statistical analysis

For categorical variables, the comparisons between good and poor outcome, classified by angiographic and clinical results, were made by using the  $\chi^2$  test or Fisher exact test if the  $\chi^2$  was not valid. For continuous variables, the comparisons of means were performed by using a Student t-test. All analyses were performed by using SPSS for Windows, ver. 12.0 (SPSS Inc., Chicago, IL, USA). A *p*<0.05 was considered significant.

## RESULTS

Thirty-seven patients (26 males and 11 females; mean age, 67.38 yr; range, 45–88 yr) with acute ischemic stroke were treated at our institution during the 24 months, and occlusion of an intracranial vessel [distal ICA, anterior cerebral artery (ACA), middle cerebral artery [MCA], or vertebra-basilar artery (VBA)] confirmed by diffusion MRI and CT angiography. Of the 37 patients, most common occlusion sites were MCA (n=22). Distal ICA occlusions were 8, VBA were 6 and ACA was 1. The mean time interval from stroke onset to visiting the hospital was 204 $\pm$ 99 min. Mean initial NIHSS score was 16.59 $\pm$ 4.83 (range, 8–26) min. After the initial CT, four patients were treated with intravenous tPA (0.9 mg/kg) without clinical improvement. Intravenous administration of tPA was halted when recanalization was achieved. Mean procedure time and symptom to recanalization time were 36.78 $\pm$ 16.52 and 241.08 $\pm$ 102.24 min respectively.

#### Outcomes

Mean NIHSS at 3 month was  $9.45\pm6.15$  (range 2-22). Fig. 1 shows the angiographic and clinical outcome after recanalization. Twenty seven (72.9%) of the 31 patients achieved successful recanalization of TICI grade 2b or 3 after stent retrieval. Mean time taken from femoral puncture to first recanalization was 36.78 min. Seven patients failed recanalization although three thrombectomies with retrievable stents and balloon angioplasty was done. The location of combined severe stenosis was the distal ICA (C6) in three cases, M1 in three cases, and VBA in one case. Three patients achieved recanalization. The other patient, who underwent recanalization of a distal M1-proximal M2 occlusion, failed due to a M2 perforation during selection of the M2 branch with a microwire.

Brain CT scans within 72 hr post-treatment demonstrated no space occupying the intracerebral hematoma except one case of arterial (proximal M2) perforation. We had two mortalities during the study period. One patient died due to an iatrogenic MCA perforation during microwire selection. The other patient died due to a progressive hemispheric infarction and severe brain edema after successful recanalization.

Most patients with a successful recanalization showed immediate clinical symptom improvement. 32 (86.5%) of 37 patients who achieved recanalization showed a decrease of >3 points on the NIHSS in 3 days and 16 of them decreased >5 points. No patient showed re-occlusion or severe stenosis (>70% a normal vessel) after successful recanalization (TICI 2b or 3) by the 90day follow up. Nineteen patients had good outcomes (mRS 0–2),



Fig. 1. Angiographic and clinical outcome after recanalization. TICI : Thrombolysis in Cerebral Infarction, mRS : modified Rankin Scale, NIHSS : National Institutes of Health Stroke Scale.

Variables	NIHSS gap		mRS		
	<5	≥5	0-2	3-4	5-6
Age (yr)					
<75	15	13	16	11	1
≥75	6	3	3	3	3
<i>p</i> -value	0.490		0.031		
Sex					
Male	15	11	13	11	2
Female	6	5	6	3	2
<i>p</i> -value	0.860		0.906		
tPA					
Yes	2	3	3	2	0
No	19	13	16	12	4
<i>p</i> -value	0.416		0.570		
Time to recanalization (min)					
<300	13	14	17	10	0
≥300	8	2	2	4	4
<i>p</i> -value	0.082		0.002		
TIMI					
≤2	13	7	6	10	4
≥3	8	9	13	4	0
<i>p</i> -value	0.272		0.008		
TICI					
≤2a	9	1	1	6	3
≥2b	12	15	18	8	1
<i>p</i> -value	0.023		0.009		
NIHSS at base					
<10	1	0	1	0	0
10-20	15	13	18	9	1
>20	5	3	0	5	3
<i>p</i> -value	0.611		0.001		

**Table 1.** Clinical outcome and correlating factors

mRS : modified Rankin Scale, tPA : tissue plasminogen activator, TIMI : Thrombolysis in Myocardial Infarction, TICI : Thrombolysis in Cerebral Infarction, NIHSS : National Institutes of Health Stroke Scale

14 patients had moderate outcomes (mRS 3–4), and four patients had poor outcomes (mRS 5–6) at the 3 months follow-up.

Table 1 shows the clinical and neuroimaging outcomes. Age, sex, or use of tPA was not related to the decrease in the NIHSS and mRS at 3 months. Elapsed time from symptom onset to recanalization, TIMI, and baseline NIHSS were closely related only to mRS at 90 days, whereas TICI was closely related to both the NIHSS gap and mRS. Younger patients (<75 years) showed better mRS than older ( $\geq$ 75 years) although there was no significant difference in NIHSS gap.

## DISCUSSION

Recanalization of an acute cerebral artery occlusion is a procedure that remarkably improves patient quality of life<sup>9</sup>. However, previous randomized controlled studies did not prove the superiority of mechanical thrombectomy<sup>5,7,13</sup> although recent studies show good results. Early recanalization is the most important factor for a good prognosis<sup>1,4,10,17</sup>. However, there few studies have indicated whether a "good prognosis" means remarkable improvement of symptoms (decrease on the NIHSS) or self-reliance in daily life. A remarkable increase on the NIHSS does not always indicate a good quality of life<sup>2,11,25</sup>.

Most recanalization procedures in the last century were performed through intra-venous or intra-arterial chemical thrombolysis<sup>19,23)</sup>. Many patients did not achieve successful recanalization within a measurable time or suffered serious complications such as intracranial hemorrhage, whereas others had a good prognosis. Mechanical thrombectomy (or thromboembolectomy) is the currently used method, and many studies have shown the good results of early recanalization with low complication rates. However, most studies have reported only good results from mechanical thrombectomy, and few studies have been conducted on the factors that influence a good prognosis, although there has been a change in recanalization methods recently<sup>3,14</sup>.

In our consecutive case review series using only the Solitaire stent, we achieved a revascularization rate of 73% (TICI 2b or 3), and complete revascularization was achieved in 60% (TICI 3). The mean time taken from femoral puncture to recanalization was 36 min (range, 20–62 min), which is only half or one-third the time compared with previous intra-arterial chemical thrombolysis procedure.

In this study, the outcome of younger patients (<75 years) were significant better than older patients at 3 months although there was no significant difference of NIHSS gap. Short term functional recovery after successful recanalization was similar in all age group. However, older patients are more vulnerable to endovascular procedures due to advanced atherosclerosis, multiple stenosis, more tortuosity of large arteries, etc. There was also more post-thrombectomy intracranial hemorrhage in older group (10.7% vs. 33%) of this study population. Post-stroke dementias, deterioration of multiple organs or muscle atrophy are another important factor of poor functional outcome at long term follows

up in older age group. There are several studies that thrombectomy in elderly patients is benefit only when infarct core is small compared with younger ones<sup>6,15,18</sup>.

Our analysis revealed that successful recanalization (TICI 2b or 3) was achieved after thrombectomy. After recanalization, 43% of the patients experienced a  $\geq$ 5-point improvement on the NIHSS at discharge, and 51% of patients achieved a good clinical outcome at 3 months. The reason for the small decrease in the NIHSS of 49% in the patients even after successful recanalization seemed to be associated with persistent hemiparesis/hemiplegia due to core infarct in the motor cortex or corticospinal tract, including the internal capsule, thalamus, and pontomedullary system during the initial stage. Two of 10 patients showed a marked decrease in the NIHSS ( $\geq$ 5) following thrombectomy, even 300 min after symptom onset. This result may have been related to good collaterals and the large volume of salvageable penumbra, even after 300 min. The overall mortality rate in this consecutive series was 5.4%; two of 37 patients died within 3 months.

In many studies, the main prognostic factors are early recanalization, good collateral, small core infarct, low NIHSS, young age, and so on<sup>6,8,10,15,18,20)</sup>. In this study, higher TICI grades had intimate relation with larger NIHSS gap and good mRS although shorter time to recanalization, lower initial NIHSS, and higher TIMI had relation only with good mRS. Some patients can achieve good mRS even after delayed recanliazation or only medical therapy if the patients have good collateral. Our purpose of mechanical thrombectomy is to recover and prevent deterioration of neurologic function, not to retain neurologic deficit with good collateral flow. So, our opinion about mechanical thrombectomy is to set a goal of complete recanalization even after some delayed time and poor NIHSS if the patients regarded to have salvageable penumbra.

There are several limitations in this study. First of all, this study is not a randomized controlled study and has selection bias. The number of cases is also small and this is single center study. The decision of endovascular therapy or conservative therapy was made by the physician on duty although we have an indication about mechanical thrombectomy. The uses of variable devices, like balloon, size of stent, were decided case by case. And there is multiple clinical and radiologic factors affecting outcome should be considered and duly weighted besides angiographic factors.

## CONCLUSION

Neurological recovery was related only with successful recanalization. The main predictive factors for good mRS were low initial NIHSS, good collateral, and early successful recanalization.

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