

Capacitance Swing and Capacitance Ratio of GaN-Based Metal-Semiconductor-Metal Two-Dimensional Electron Gas Varactor with Different Dielectric Films

Chu-Yeh Tien*, Ping-Yu Kuei[†], Liann-Be Chang** and Chien-Pin Hsu***

Abstract – The performance of the AlGaIn/GaN MSM-2DEG varactor with different dielectric films deposited by the E-beam deposition is investigated in detail. The capacitance swing and the capacitance ratio of the varactor without dielectric film as well as with, SiO₂, Gd₂O₃, and Si₃N₄ films, respectively, are determined by electrodes of varying areas. The maximum capacitance, the minimum capacitance and the capacitance ratios are proportional to the increasing of the electrode areas. The capacitance ratio determined by the maximum and the minimum capacitance is found to be 18.35 (with Si₃N₄ dielectric film) and 149.51 (without dielectric film), respectively. The transition voltages of the fabricated varactors are almost the same for a bias voltage of about ±5 V and leakage current can be lower three orders of magnitude while the varactors with dielectric films. The tunability of the capacitance ratio makes the AlGaIn/GaN MSM-2DEG varactor with a dielectric film highly useful in multirange applications of a surge free preamplifier.

Keywords: MSM, 2DEG, Capacitance ratio, Varactor

1. Introduction

The GaN-based metal-semiconductor-metal two-dimensional electron gas (MSM-2DEG) varactor diode is suitable for high-power applications and integration with power HEMT devices [1-3]. AlGaIn/GaN MSM-2DEG varactors with a good capacitance swing can also be used for low-loss high-power RF switching [4-5]. Our previous research [6] showed that the varactor exhibits great potential to improve the surge protection for GaN-based HEMTs. The study revealed that a larger capacitance swing results in better surge protection capability of MSM-2DEG varactor diodes. Therefore, the fabricated process significantly affects the capacitance swing of MSM-2DEG varactors.

In the standard fabrication process of AlGaIn/GaN-based devices, we have found that an SiO₂ insulator between the metal contact and the AlGaIn/GaN-based structure can lower the gate leakage current of devices as Fig. 1 (a) shown [7-8]. The SiO₂ insulator can also lower the capacitance ratio and the stability of capacitance-voltage (C-V) properties of MSM-2DEG varactors [8]. Our aim is to investigate whether an AlGaIn / GaN MSM-2DEG varactor with a different dielectric film will exhibit C-V characteristics

similar to that of a device with SiO₂ film.

In this study, an AlGaIn/GaN MSM-2DEG varactor diode with SiO₂, Gd₂O₃, and Si₃N₄ films is fabricated, respectively. We also design various electrode shapes and electrode areas of these prepared samples. We compare the C-V performance of the MSM-2DEG varactor diodes with various electrode shapes and electrode areas. In addition, a MSM-2DEG varactor diode with a different dielectric film is studied to verify the effect of the dielectric material on the capacitance ratio and the bias voltage of varactors.

2. Experiment

The AlGaIn/GaN MSM-2DEG varactor diodes were fabricated using a commercial epiwafer from DOWA HOLDINGS Co., Ltd. with Al_{0.27}Ga_{0.73}N/GaN HEMT epitaxial structure on silicon substrate. Room temperature Hall mobility and carrier sheet concentration were 1497 cm²/(V·s) and 1.03×10¹³ cm⁻², respectively. As shown in Fig. 1 (b), the schematic diagram of an AlGaIn/GaN MSM-2DEG varactor fabricated with various electrode areas (37922, 85224, 151122, 236472 μm², etc.) on SiO₂, Gd₂O₃, and Si₃N₄ films, respectively. With the RCA standard pre-deposition cleaning process, a 10-nm thick film of each dielectric is deposited on a AlGaIn/GaN epiwafer sample (1×1 cm² area) using electron beam evaporator from ULVAC Technologies, Inc. without substrate heating. Subsequently, Ni/Au metallization of electrode contact pads of thickness 20 nm/70 nm is carried out. We used Agilent E4980A precision LCR meter to perform C-V measurements of these prepared varactor samples.

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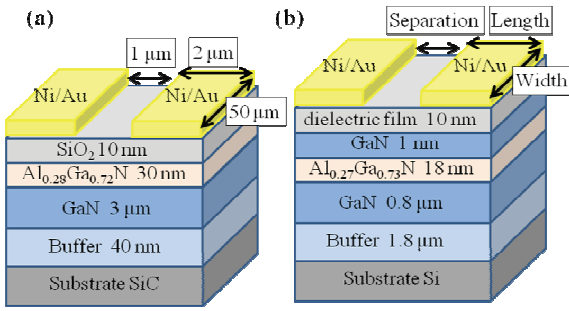


Fig. 1. Schematic diagram of the AlGaN/GaN MSM-2DEG varactor (a) from Marso’s report [8], (b) the samples prepared in this study.

3. Results and Discussion

The operation of the MSM-2DEG varactor diode has been explained in detail in literature [9-10]. Because the MSM-2DEG varactor consists of two Schottky diodes connected back-to-back above the 2DEG channel, the capacitance of an unbiased device is formed by a series connection of the two capacitances of the Schottky depletion zone between metal and the 2DEG channel. Meanwhile, the C-V properties in the forward and reverse bias regions should be symmetrical about the zero bias point. The operation of the MSM-2DEG varactor with the dielectric film is shown in Fig. 2, and the total capacitance can be obtained from the values of $C_{ox(V-)}$, $C_{Schottky(V-)}$, C_{2DEG} , $C_{Schottky(V+)}$, and $C_{ox(V+)}$ in series as given below:

$$\frac{1}{C_{total}} = \frac{1}{C_{ox(V-)}} + \frac{1}{C_{Schottky(V-)}} + \frac{1}{C_{2DEG}} + \frac{1}{C_{Schottky(V+)}} + \frac{1}{C_{ox(V+)}} \quad (1)$$

where C_{ox} , $C_{Schottky}$, and C_{2DEG} are the capacitances of the dielectric film, Schottky depletion zone between metal and the 2DEG channel, and lateral depletion zone in 2DEG, respectively. Typically, capacitance is proportional to the electrode area and is inversely proportional to the thickness of the dielectric film or the Schottky depletion zone above the 2DEG channel. The total capacitance of the device varies with the bias voltage, and each term of Eq. (1) also influence each other. The total capacitance of the device is dominated by the smallest one among C_{ox} , $C_{Schottky}$, and C_{2DEG} .

In an MSM-2DEG varactor, both the dielectric film and the Schottky depletion zone between metal and the 2DEG channel contribute to the capacitance of the device (Fig. 2 (a)), which conforms to Eq. (1) without the C_{2DEG} term. As the reverse bias increases but is less than the transition voltage (V_T), which is approximately ± 5 V in this study, the Schottky depletion zone widens until it penetrates the 2DEG channel completely (Fig. 2 (b)). When the reverse

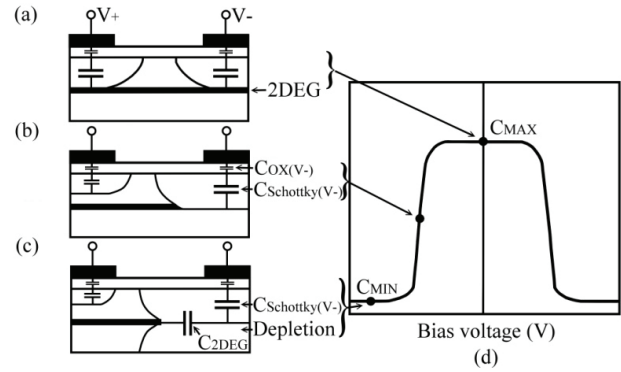


Fig. 2. Operation of MSM-2DEG varactor: (a) unbiased device; (b) $V_{bias} = -V_T$; (c) $V_{bias} \ll -V_T$; (d) capacitance-voltage (C-V) curve. This figure is extracted and modified from Marso’s report [10].

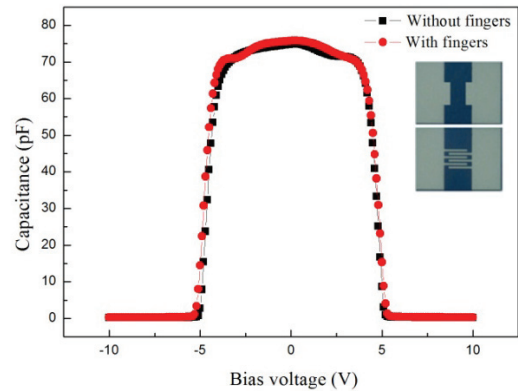


Fig. 3. Capacitance-voltage curves of varactors with different electrode shapes and without dielectric films. Measurement frequency = 1 MHz. Inset: electrode layout (area=40790 μm^2 , spacing=5 μm).

bias continues to increase above V_T , the depletion zone penetrates into the lateral 2DEG channel, forming a smaller capacitance (C_{2DEG}) that is in series with the capacitances of Schottky depletion zone and the dielectric film (Fig. 2 (c)). Finally, when the bias voltage increases above V_T , the device capacitance approximates C_{2DEG} . The C-V curve of the MSM-2DEG varactor is shown in Fig. 2 (d).

Fig. 3 shows the C-V curves of the MSM-2DEG varactor diodes with different electrode shapes but the same electrode area. The area of each of the two types of electrodes of the MSM-2DEG varactor diodes is 40790 μm^2 . It can be readily observed that the capacitance swings are almost identical irrespective of whether the electrode is with or without fingers. The capacitance ratio (C_{MAX} / C_{MIN}) for each varactor is nearly 85. On the basis of these outcomes, we proceed to evaluate the effect of electrode areas on the capacitance swing using fingerless electrodes.

The C-V characteristics of the MSM-2DEG varactor diodes with different electrode areas are shown in Fig. 4 (a). There is no dielectric film in this case. The capacitances of varactors increase with the electrode area, simultaneously, the capacitance ratios (C_{MAX} / C_{MIN}) increase. These

measurement results match the theory that the capacitances of varactors are determined by the electrode area. In our prepared devices, V_T is about ± 5 V. When the bias voltage is less than V_T , the capacitance of the varactor (C_{total}) is equal to the maximum capacitance (C_{MAX}), which is dominated by the capacitance of the Schottky depletion zone between metal and the 2DEG channel ($C_{Schottky}$), and is also proportional to the electrode area. We obtain the maximum capacitance (C_{MAX}) as 84.8, 191, 317, and 651 pF, for electrode areas 37922, 85224, 151122, and 236472 μm^2 , respectively. On the other hand, when the bias voltage is greater than V_T , the depletion zone penetrates the 2DEG channel to create an additional small lateral 2DEG capacitance (C_{2DEG}) in series with $C_{Schottky}$. As a result, the capacitance of the varactor (C_{total}) is equal to the minimum capacitance (C_{MIN}), which is approximately the small lateral 2DEG capacitance (C_{2DEG}). Meanwhile, the minimum capacitance (C_{MIN}) is also affected by the electrode area. We obtain the minimum capacitance (C_{MIN}) as 1.26, 2.24, 3.21, and 4.35 pF, for electrode areas 37922, 85224, 151122, and 236472 μm^2 , respectively. Finally, we obtain the capacitance ratio (C_{MAX}/C_{MIN}) as 67.07, 85.26, 98.64, and 149.51, for electrode areas 37922, 85224, 151122, and 236472 μm^2 , respectively.

Figs. 4 (b)-(d) show the C-V characteristics of the MSM-2DEG varactor diodes with SiO_2 , Gd_2O_3 , and Si_3N_4 dielectric films, respectively. In these measurements, we find that the electrode area has affected the capacitance and the capacitance ratio of these varactors with dielectric film in the same way as well as the C-V properties of the MSM-2DEG varactor diodes without dielectric film. In addition, the maximum capacitance (C_{MAX}), the minimum capacitance (C_{MIN}) and the capacitance ratios (C_{MAX}/C_{MIN}) of the varactor are also proportional to the electrode area.

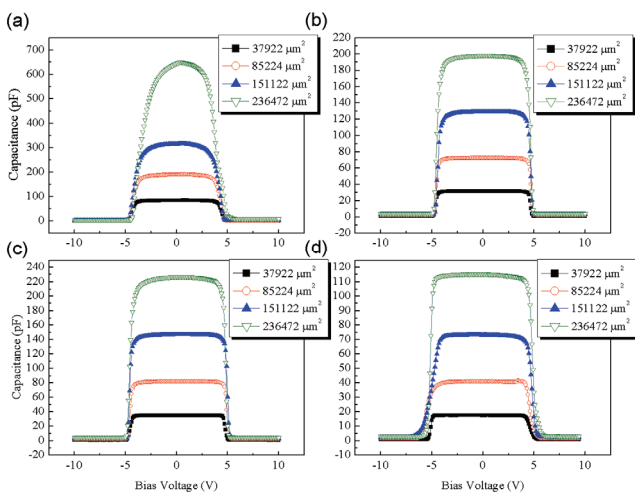


Fig. 4. Capacitance-voltage (C-V) characteristics of varactor with different electrode areas (a) without any dielectric film, (b) with SiO_2 film, (c) with Gd_2O_3 film, and (d) with Si_3N_4 film. Measurement frequency = 1MHz, electrode area = 37922, 85224, 151122, and 236472 μm^2 , respectively.

In addition to the impact of the electrode area, the capacitances of devices decrease for varactors with SiO_2 , Gd_2O_3 , and Si_3N_4 dielectric films, respectively. These results are similar to the capacitance-voltage (C-V) properties of the SiO_2 -passivated MSM varactor in Marso's report [8]. Furthermore, the capacitance of varactors decrease considerably while dielectric films such as Gd_2O_3 and Si_3N_4 etc. are used. With varactors with the same electrode area of 236472 μm^2 , for example, we can get the maximum capacitance (C_{MAX}) as 651, 198, 227, and 116 pF with no, SiO_2 , Gd_2O_3 , and Si_3N_4 dielectric films, respectively. Based on these measurements, the maximum capacitance (C_{MAX}), the minimum capacitance (C_{MIN}) and the capacitance ratios (C_{MAX}/C_{MIN}) show the same tendency of decreasing for varactors with dielectric films and are proportional to the increasing of the electrode areas as Fig. 5 shown. These results of lower capacitance for varactors with dielectric films reveal that the additional series capacitance of the dielectric film make the total electrode capacitance of the varactor lower. The capacitance of dielectric film (C_{ox}) can be estimated by using the following equation [11]:

$$\frac{1}{C_{total}} = \frac{1}{C_{ox}} + \frac{1}{C_{Schottky}} \quad (2)$$

where C_{total} and $C_{Schottky}$ are twice the measured capacitance of the vatactor with and without dielectric film respectively for one electrode. Simultaneously, the relative dielectric constant (ϵ_r) can be obtained:

$$C_{ox} = \epsilon_o \epsilon_r \frac{A}{d} \quad (3)$$

Where ϵ_o is vacuum permittivity, A is the electrode area and d is the thickness of the dielectric film. Finally, we obtain the relative dielectric constant of the SiO_2 , Gd_2O_3 , and Si_3N_4 films as 3.95, 4.53, and 0.45 respectively for

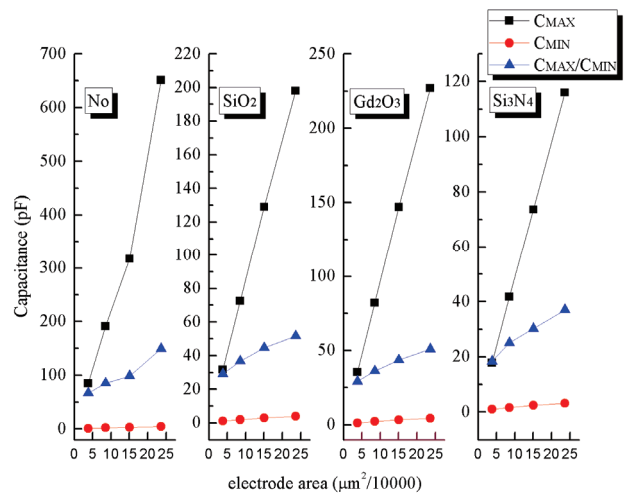


Fig. 5. The capacitance ratios of the MSM-2DEG varactors prepared.

the electrode area is $236472 \mu\text{m}^2$. The results reveal that the quality of dielectric films processed by electron beam deposition is not as good as the samples processed by PECVD [8, 12-14].

In C-V measurement, it also shows that the transition voltages of the varactors with dielectric films prepared are approximately ± 5 V the same in each case, differing from a previous report in which the transition voltage increases twice for the SiO_2 -passivated MSM varactor to unpassivated device [7-8]. Because the transition voltage depends on the 2DEG channel depleted completely, it also relate to the 2DEG carrier density [8]. As Fig. 1 (b) the samples prepared shown, the thinner of AlGaIn layer and the lower mole fractions of Al are, the lower 2DEG carrier density results in lower transition voltage [15] than Marso's device as Fig. 1 (a). Furthermore, the quality of the dielectric film processed by e-beam deposition is not as good as the samples processed by PECVD, the dielectric film/GaN interface state density is too high to increase the sheet carrier density of the 2DEG channel, so that the 2DEG channel is easily depleted with the same transition voltage of the unpassivated varactors [7-8].

Fig. 6 (a) shows the I-V characteristics of the MSM-2DEG varactors without dielectric films. The leakage current increases with the increasing of the electrode area. Because the MSM-2DEG varactor consists of two Schottky diodes connected back to back above the 2DEG channel, both the forward and reverse current are identical and symmetrical, and the reverse current is dominated by the Schottky diode under reverse bias [6]. The leakage current of these MSM-2DEG varactors are 10^{-8} A approximately. When the applied voltage higher than the transition voltage (± 5 V in this study), the reverse-bias depletion zone

penetrates the 2DEG channel and form a leakage current path to the forward-bias depletion zone. The leakage current increases until saturation about 10^{-5} A.

Because a serious problem of AlGaIn/GaN HEMT structure is the high gate leakage current, it can be partially suppressed by using the dielectric film deposition. Fig. 6 (b)-(d) show the I-V characteristics of the MSM-2DEG varactor diodes with SiO_2 , Gd_2O_3 , and Si_3N_4 dielectric films, respectively. Although the leakage current increases with the increasing of the electrode area, for each dielectric film used, it reduces the leakage currents by three orders of magnitude from 10^{-5} A to 10^{-8} A (even more lower) even the input bias voltage higher than ± 5 V. For the varactors with Si_3N_4 dielectric film as shown in Fig. 6 (d), the leakage current increases rapidly as the input bias voltage higher than ± 7 V. That is because the lower energy gap (E_g) of Si_3N_4 [16], the capability of the leakage-current suppression of Si_3N_4 dielectric film is lower than the other two dielectric films as Fig. 6 (b)-(c) shown.

Base on the C-V and I-V measurements, the capacitance ratio is lowered to 18.35 and up to 149.51 while the varactors are with the Si_3N_4 film or without dielectric film, respectively. The varactor without any dielectric film has the highest capacitance ratio here, but the capacitance ratios of the varactors with SiO_2 and Gd_2O_3 dielectric films are up more than 50 while the electrode area are $236472 \mu\text{m}^2$. Compared with previous reports [7-8], the varactors with the SiO_2 and Gd_2O_3 dielectric films deposited by the E-beam deposition have the potential to offer the benefits of both high capacitance ratios and high capability of the leakage-current suppression. The geometry and capacitance parameters of the AlGaIn/GaN MSM-2DEG varactor diodes are summarized in Table 1. The capacitance and capacitance ratios of devices depend on the varactors with different electrode areas as well as dielectric films.

5. Summary and Conclusions

We have fabricated and studied AlGaIn/GaN MSM-2DEG varactor diodes by comparing the capacitance, capacitance ratio, transition voltage, and leakage current of these varactors with various electrode areas as well dielectric films (SiO_2 , Gd_2O_3 , and Si_3N_4), respectively. The capacitances of the varactors vary with the electrode area and with different dielectric materials. The capacitance ratio is tunable from 18.35 to 149.51 for the varactors with the SiO_2 film or without dielectric film, respectively. Compared with our previous study, a key finding in this study is that the transition voltage of each of the fabricated samples is about ± 5 V. It is observed that the MSM-2DEG varactor without dielectric film is stable and suitable for devices requiring a high capacitance ratio. The varactors with the SiO_2 and Gd_2O_3 dielectric films deposited by the E-beam deposition are up more than 50 and also offer the benefit of lower the leakage current. These properties of

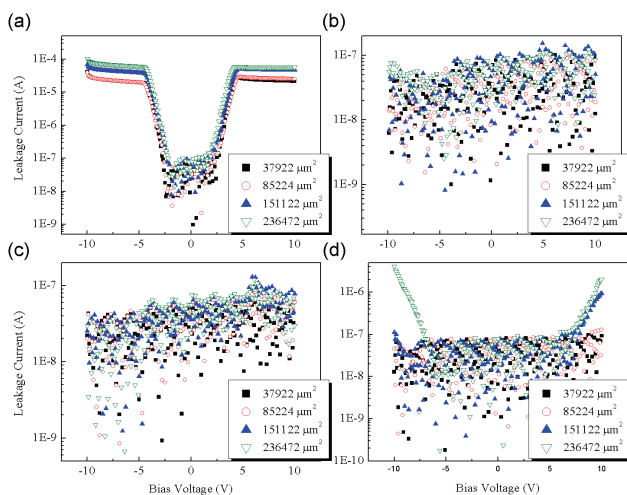


Fig. 6. Current-voltage (I-V) characteristics of varactor with different electrode areas: (a) without any dielectric film; (b) with SiO_2 film; (c) with Gd_2O_3 film, and (d) with Si_3N_4 film. Measurement frequency = 1 MHz, electrode area = 37922, 85224, 151122, and $236472 \mu\text{m}^2$, respectively.

varactors with tunable capacitance ratios make the AlGaIn/GaN MSM-2DEG varactor diode with dielectric film suitable for use in future surge-free communication systems.

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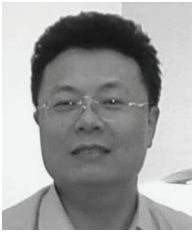
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