

## GENERATION OF CLASS FIELDS BY SIEGEL-RAMACHANDRA INVARIANTS

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ABSTRACT. We show in many cases that the Siegel-Ramachandra invariants generate the ray class fields over imaginary quadratic fields. As its application we revisit the class number one problem done by Heegner and Stark, and present a new proof by making use of inequality argument together with Shimura's reciprocity law.

### 1. Introduction

Let  $K$  be an imaginary quadratic field with the ring of integers  $\mathcal{O}_K$ . For a nontrivial ideal  $\mathfrak{f}$  of  $\mathcal{O}_K$ , we denote by  $\text{Cl}(\mathfrak{f})$  the ray class group modulo  $\mathfrak{f}$  and write  $C_0$  for its identity class. By class field theory there exists a unique abelian extension  $K_{\mathfrak{f}}$  of  $K$ , called the *ray class field* modulo  $\mathfrak{f}$ , whose Galois group is isomorphic to  $\text{Cl}(\mathfrak{f})$  via the Artin reciprocity map [10, Chapter V]. In particular, the ray class field modulo  $\mathcal{O}_K$  is called the *Hilbert class field* of  $K$  and is simply written by  $H_K$ .

For a rational pair  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} \in \mathbb{Q}^2 \setminus \mathbb{Z}^2$ , the *Siegel function*  $g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau)$  on the complex upper half-plane  $\mathbb{H} = \{\tau \in \mathbb{C} \mid \text{Im}(\tau) > 0\}$  is defined by

$$(1) \quad g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau) = -q^{(1/2)\mathbf{B}_2(r_1)} e^{\pi i r_2(r_1-1)} (1-q_z) \prod_{n=1}^{\infty} (1-q^n q_z)(1-q^n q_z^{-1}),$$

where  $\mathbf{B}_2(X) = X^2 - X + 1/6$  is the second Bernoulli polynomial,  $q = e^{2\pi i \tau}$  and  $q_z = e^{2\pi i z}$  with  $z = r_1 \tau + r_2$ . It has neither zeros nor poles on  $\mathbb{H}$ . If  $\mathfrak{f} \neq \mathcal{O}_K$  and  $C \in \text{Cl}(\mathfrak{f})$ , then we take any integral ideal  $\mathfrak{c}$  in  $C$  and  $z_1, z_2 \in \mathbb{C}$  such that  $\mathfrak{f}\mathfrak{c}^{-1} = \mathbb{Z}z_1 + \mathbb{Z}z_2$  and  $z = z_1/z_2 \in \mathbb{H}$ . We then define the *Siegel-Ramachandra*

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invariant modulo  $\mathfrak{f}$  at  $C$  by

$$(2) \quad g_{\mathfrak{f}}(C) = g \begin{bmatrix} a/N \\ b/N \end{bmatrix} (z)^{12N},$$

where  $N$  is the smallest positive integer in  $\mathfrak{f}$  and  $a, b$  are integers such that  $1 = (a/N)z_1 + (b/N)z_2$ . This value depends only on the class  $C$  [12, Chapter 2, Remark to Theorem 1.2], and lies in  $K_{\mathfrak{f}}$  [12, Chapter 2, Proposition 1.3 and Chapter 11, Theorem 1.1]. Furthermore, it satisfies the transformation formula

$$(3) \quad g_{\mathfrak{f}}(C_1)^{\sigma(C_2)} = g_{\mathfrak{f}}(C_1 C_2) \quad (C_1, C_2 \in \text{Cl}(\mathfrak{f})),$$

where  $\sigma$  is the Artin reciprocity map [12, pp. 235–236].

In 1964 Ramachandra [17, Theorem 10] first constructed a primitive generator of  $K_{\mathfrak{f}}$  over  $K$  for any  $\mathfrak{f} \neq \mathcal{O}_K$ , however, his invariant involves overly complicated product of Siegel-Ramachandra invariants and the singular values of the modular  $\Delta$ -function. Thus, Lang [15, p. 292] and Schertz [20, p. 386] conjectured that the simplest invariant  $g_{\mathfrak{f}}(C_0)$  would be a primitive generator of  $K_{\mathfrak{f}}$  over  $K$  (or, over  $H_K$ ), and Schertz gave a conditional proof [20, Theorems 3 and 4].

In this paper we shall first show in §3 that when  $\mathfrak{f} = (N)$  for an integer  $N (\geq 2)$ ,  $g_{\mathfrak{f}}(C_0)$  generates  $K_{(N)}$  over  $H_K$  for almost all imaginary quadratic fields  $K$  (Theorem 3.3). We shall further develop a simple criterion for  $g_{\mathfrak{f}}(C_0)$  to be a primitive generator of  $K_{\mathfrak{f}}$  over  $K$  when  $\mathfrak{f}$  is just a nontrivial ideal of  $\mathcal{O}_K$  (Theorem 3.6 and Remark 3.7) by adopting Schertz's idea. In §4 we shall investigate some properties of Siegel-Ramachandra invariants modulo 2.

Gauss' class number one problem for imaginary quadratic fields was first solved by Heegner [9] in 1952. There was a gap in his proof which heavily relies on the singular values of the Weber functions, however, few years later complete proofs were found independently by Baker [1] and Stark [25]. Moreover, Stark [25] finally filled up the supposed gap in Heegner's proof. In §5 as an application we shall introduce a new proof (Theorems 4.8 and 5.2) by using Siegel functions and Stevenhagen's explicit description of Shimura's reciprocity law [26, §3, 6].

## 2. Preliminaries

First, we shall briefly review necessary basic properties of Siegel functions and Shimura's reciprocity law.

For a positive integer  $N$  let  $\zeta_N = e^{2\pi i/N}$  be a primitive  $N$ -th root of unity and

$$\Gamma(N) = \{\gamma \in \text{SL}_2(\mathbb{Z}) \mid \gamma \equiv I_2 \pmod{N}\}$$

be the principal congruence subgroup of level  $N$  of  $\text{SL}_2(\mathbb{Z})$ . Then its corresponding modular curve of level  $N$  is denoted by  $X(N) = \Gamma(N) \backslash (\mathbb{H} \cup \mathbb{P}^1(\mathbb{Q}))$ . Furthermore, we let  $\mathcal{F}_N$  be the field of meromorphic functions on  $X(N)$  defined

over the  $N$ -th cyclotomic field  $\mathbb{Q}(\zeta_N)$ . We know that  $\mathcal{F}_1 = \mathbb{Q}(j(\tau))$ , where

$$(4) \quad \begin{aligned} j(\tau) = & q^{-1} + 744 + 196884q + 21493760q^2 + 864299970q^3 \\ & + 20245856256q^4 + \dots \end{aligned}$$

is the elliptic modular  $j$ -function, and  $\mathcal{F}_N$  is a Galois extension of  $\mathcal{F}_1$  with

$$(5) \quad \text{Gal}(\mathcal{F}_N/\mathcal{F}_1) \simeq \text{GL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm I_2\},$$

whose action is given as follows: For an element  $\alpha \in \text{GL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm I_2\}$  we decompose it into

$$\alpha = \alpha_1 \cdot \alpha_2 \text{ for some } \alpha_1 \in \text{SL}_2(\mathbb{Z}) \text{ and } \alpha_2 = \begin{bmatrix} 1 & 0 \\ 0 & d \end{bmatrix} \text{ with } d \in (\mathbb{Z}/N\mathbb{Z})^*.$$

Then, the action of  $\alpha_1$  is given by a fractional linear transformation. And,  $\alpha_2$  acts by the rule

$$\sum_{n \gg -\infty} c_n q^{n/N} \mapsto \sum_{n \gg -\infty} c_n^{\sigma_d} q^{n/N},$$

where  $\sum_{n \gg -\infty} c_n q^{n/N}$  is the Fourier expansion of a function in  $\mathcal{F}_N$  and  $\sigma_d$  is the automorphism of  $\mathbb{Q}(\zeta_N)$  defined by  $\zeta_N^{\sigma_d} = \zeta_N^d$  [15, Chapter 6, §3]. Here, for later use, we observe that

$$(6) \quad \begin{aligned} [\mathcal{F}_N : \mathcal{F}_1] &= \#\text{GL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm I_2\} \\ &= \begin{cases} 6 & \text{if } N = 2, \\ (N^4/2) \prod_{p|N} (1 - p^{-1})(1 - p^{-2}) & \text{if } N \geq 3 \end{cases} \end{aligned}$$

[23, pp. 21–22].

**Proposition 2.1.** *For a given integer  $N (\geq 2)$  let  $\{m(\mathbf{r})\}_{\mathbf{r} \in (1/N)\mathbb{Z}^2 \setminus \mathbb{Z}^2}$  be a family of integers such that  $m(\mathbf{r}) = 0$  except finitely many  $\mathbf{r}$ . A product of Siegel functions*

$$g(\tau) = \zeta \prod_{\mathbf{r} = \begin{bmatrix} r_1 \\ r_2 \end{bmatrix}} g_{\mathbf{r}}(\tau)^{m(\mathbf{r})}$$

belongs to  $\mathcal{F}_N$ , where  $\zeta = \prod_{\mathbf{r}} e^{\pi i r_2 (1-r_1) m(\mathbf{r})}$ , if

$$\sum_{\mathbf{r}} m(\mathbf{r})(Nr_1)^2 \equiv \sum_{\mathbf{r}} m(\mathbf{r})(Nr_2)^2 \equiv 0 \pmod{\text{gcd}(2, N) \cdot N},$$

$$\sum_{\mathbf{r}} m(\mathbf{r})(Nr_1)(Nr_2) \equiv 0 \pmod{N},$$

$$\text{gcd}(12, N) \cdot \sum_{\mathbf{r}} m(\mathbf{r}) \equiv 0 \pmod{12}.$$

*Proof.* See [12, Chapter 3, Theorems 5.2 and 5.3]. □

*Remark 2.2.* Let  $g(\tau)$  be an element of  $\mathcal{F}_N$  for some integer  $N (\geq 2)$ . If both  $g(\tau)$  and  $g(\tau)^{-1}$  are integral over  $\mathbb{Q}[j(\tau)]$ , then  $g(\tau)$  is called a *modular unit* (of level  $N$ ). As is well-known,  $g(\tau)$  is a modular unit if and only if it has neither zeros nor poles on  $\mathbb{H}$  ([12, p. 36] or [11, Theorem 2.2]). Hence any product of

Siegel functions becomes a modular unit. In particular,  $g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau)^{12N/\gcd(6,N)}$  is a modular unit of level  $N$  for any  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} \in (1/N)\mathbb{Z}^2 \setminus \mathbb{Z}^2$ .

For a real number  $x$  we denote by  $\langle x \rangle$  the fractional part of  $x$  in the interval  $[0, 1)$ .

**Proposition 2.3.** *Let  $\begin{bmatrix} r_1 \\ r_2 \end{bmatrix} \in (1/N)\mathbb{Z}^2 \setminus \mathbb{Z}^2$  for an integer  $N (\geq 2)$ .*

(i) *We have the  $q$ -order formula*

$$\text{ord}_q g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau) = \frac{1}{2} \mathbf{B}_2(\langle r_1 \rangle).$$

(ii) *For  $\gamma = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{SL}_2(\mathbb{Z})$  with  $c > 0$  we get the transformation formula*

$$g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau) \circ \gamma = -ie^{(\pi i/6)(a/c+d/c-12\sum_{k=1}^{c-1}(k/c-1/2)((kd/c)-1/2))} g_{\begin{bmatrix} r_1 a+r_2 c \\ r_1 b+r_2 d \end{bmatrix}}(\tau).$$

(iii) *For  $s = \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} \in \mathbb{Z}^2$  we have*

$$g_{\begin{bmatrix} r_1+s_1 \\ r_2+s_2 \end{bmatrix}}(\tau) = (-1)^{s_1 s_2 + s_1 + s_2} e^{-\pi i(s_1 r_2 - s_2 r_1)} g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau).$$

(iv)  $g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau)^{12N/\gcd(6,N)}$  *is determined only by  $\pm \begin{bmatrix} r_1 \\ r_2 \end{bmatrix} \pmod{\mathbb{Z}^2}$ .*

(v) *An element  $\begin{bmatrix} a & b \\ c & d \end{bmatrix} \in \text{GL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm I_2\} (\simeq \text{Gal}(\mathcal{F}_N/\mathcal{F}_1))$  acts on it by*

$$(g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau)^{12N/\gcd(6,N)})^{\begin{bmatrix} a & b \\ c & d \end{bmatrix}} = g_{\begin{bmatrix} r_1 a+r_2 c \\ r_1 b+r_2 d \end{bmatrix}}(\tau)^{12N/\gcd(6,N)}.$$

(vi)  $g_{\begin{bmatrix} r_1 \\ r_2 \end{bmatrix}}(\tau)$  *is integral over  $\mathbb{Z}[j(\tau)]$ .*

*Proof.* (i) See [12, p. 31].

(ii) See [12, p. 27, K1 and p. 29] and [14, Chapter IX].

(iii) See [12, p. 28, K2 and p. 29].

(iv) One can easily check this relation by the definition (1) and (iii).

(v) See [12, Chapter 2, Proposition 1.3] and (iv).

(vi) See [11, §3]. □

For an imaginary quadratic field  $K$  of discriminant  $d_K$  we let

$$(7) \quad \tau_K = \begin{cases} \sqrt{d_K}/2 & \text{if } d_K \equiv 0 \pmod{4}, \\ (3 + \sqrt{d_K})/2 & \text{if } d_K \equiv 1 \pmod{4}, \end{cases}$$

which generates the ring of integers  $\mathcal{O}_K$  of  $K$  over  $\mathbb{Z}$ . Then we have

$$\min(\tau_K, \mathbb{Q}) = X^2 + BX + C = \begin{cases} X^2 - d_K/4 & \text{if } d_K \equiv 0 \pmod{4}, \\ X^2 - 3X + (9 - d_K)/4 & \text{if } d_K \equiv 1 \pmod{4}. \end{cases}$$

For each positive integer  $N$  we define the matrix group

$$W_{N, \tau_K} = \left\{ \begin{bmatrix} t - Bs & -Cs \\ s & t \end{bmatrix} \in \text{GL}_2(\mathbb{Z}/N\mathbb{Z}) \mid t, s \in \mathbb{Z}/N\mathbb{Z} \right\}.$$

**Proposition 2.4.** *For a positive integer  $N$  we have*

$$K_{(N)} = K(h(\tau_K) \mid h \in \mathcal{F}_N \text{ is defined and finite at } \tau_K).$$

*Proof.* See [15, Chapter 10, Corollary to Theorem 2] or [23, Proposition 6.33]. □

**Proposition 2.5** (Shimura’s reciprocity law). *Let  $K$  be an imaginary quadratic field. For each positive integer  $N$ , the matrix group  $W_{N,\tau_K}$  gives rise to the surjection*

$$\begin{aligned} W_{N,\tau_K} &\longrightarrow \text{Gal}(K_{(N)}/H_K) \\ \alpha &\mapsto (h(\tau_K) \mapsto h^\alpha(\tau_K) \mid h(\tau) \in \mathcal{F}_N \text{ is defined and finite at } \tau_K), \end{aligned}$$

whose kernel is

$$\text{Ker}_{N,\tau_K} = \begin{cases} \left\{ \pm \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \pm \begin{bmatrix} -1 & -3 \\ 1 & -2 \end{bmatrix}, \pm \begin{bmatrix} -2 & 3 \\ -1 & 1 \end{bmatrix} \right\} & \text{if } K = \mathbb{Q}(\sqrt{-3}), \\ \left\{ \pm \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \pm \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \right\} & \text{if } K = \mathbb{Q}(\sqrt{-1}), \\ \left\{ \pm \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right\} & \text{otherwise.} \end{cases}$$

*Proof.* See [26, §3] or [7, pp. 50–51]. □

For an imaginary quadratic field  $K$  of discriminant  $d_K$ , let

$$\begin{aligned} C(d_K) = \{ aX^2 + bXY + cY^2 \in \mathbb{Z}[X, Y] \mid \gcd(a, b, c) = 1, b^2 - 4ac = d_K, \\ (-a < b \leq a < c \text{ or } 0 \leq b \leq a = c) \} \end{aligned}$$

be the form class group of reduced quadratic forms of discriminant  $d_K$ , whose identity element is

$$\begin{cases} X^2 - (d_K/4)Y^2 & \text{if } d_K \equiv 0 \pmod{4}, \\ X^2 + XY + ((1 - d_K)/4)Y^2 & \text{if } d_K \equiv 1 \pmod{4} \end{cases}$$

[4, Theorems 2.8 and 3.9]. Note that if  $aX^2 + bXY + cY^2 \in C(d_K)$ , then

$$a \leq \sqrt{|d_K|/3}$$

[4, p. 29] and the group  $C(d_K)$  is isomorphic to the ideal class group of  $K$ , and hence to  $\text{Gal}(H_K/K)$  [4, Theorem 7.7]. Thus, in particular, the class number of  $K$  is the same as the order of the group  $C(d_K)$ , namely  $[H_K : K]$ . We denote it by  $h_K$ .

**Proposition 2.6** (Shimura’s reciprocity law). *Let  $K$  be an imaginary quadratic field of discriminant  $d_K$ , and  $p$  be a prime. For each  $Q = aX^2 + bXY + cY^2 \in C(d_K)$  let*

$$\tau_Q = (-b + \sqrt{d_K})/2a \quad (\in \mathbb{H})$$

and  $u_Q$  be an element of  $\text{GL}_2(\mathbb{Z}/p\mathbb{Z})/\{\pm I_2\}$  given as follows:

Case 1.  $d_K \equiv 0 \pmod{4}$

$$u_Q = \begin{cases} \begin{bmatrix} a & b/2 \\ 0 & 1 \end{bmatrix} & \text{if } p \nmid a, \\ \begin{bmatrix} -b/2 & -c \\ 1 & 0 \end{bmatrix} & \text{if } p \mid a \text{ and } p \nmid c, \\ \begin{bmatrix} -a - b/2 & -c - b/2 \\ 1 & -1 \end{bmatrix} & \text{if } p \mid a \text{ and } p \mid c, \end{cases}$$

Case 2.  $d_K \equiv 1 \pmod{4}$

$$u_Q = \begin{cases} \begin{bmatrix} a & (3+b)/2 \\ 0 & 1 \end{bmatrix} & \text{if } p \nmid a, \\ \begin{bmatrix} (3-b)/2 & -c \\ 1 & 0 \end{bmatrix} & \text{if } p \mid a \text{ and } p \nmid c, \\ \begin{bmatrix} -a + (3-b)/2 & -c - (3+b)/2 \\ 1 & -1 \end{bmatrix} & \text{if } p \mid a \text{ and } p \mid c. \end{cases}$$

If  $h(\tau) \in \mathcal{F}_p$  is defined and finite at  $\tau_K$  and  $h(\tau_K) \in H_K$ , then the conjugates of  $h(\tau_K)$  via the action of  $\text{Gal}(H_K/K)$  are given by

$$h^{u_Q}(\tau_Q) \quad (Q \in C(d_K))$$

possibly with some multiplicity.

*Proof.* See [26, §6] or [7, Lemma 20]. □

### 3. Generators of ray class fields

Let  $K$  be an imaginary quadratic field. For an integer  $N (\geq 2)$  we get

$$g_{(N)}(C_0) = g_{\begin{bmatrix} 0 \\ 1/N \end{bmatrix}}(\tau_K)^{12N}$$

by the definition (2). In this section we shall show that it plays a role of primitive generator of  $K_{(N)}$  over  $H_K$  (or, even over  $K$ ).

**Lemma 3.1.** *Let  $\begin{bmatrix} s \\ t \end{bmatrix} \in \mathbb{Z}^2 \setminus N\mathbb{Z}^2$  for an integer  $N (\geq 2)$ . If  $\begin{bmatrix} s \\ t \end{bmatrix} \not\equiv \pm \begin{bmatrix} 0 \\ 1 \end{bmatrix} \pmod{N}$ , then  $g_{\begin{bmatrix} 0 \\ 1/N \end{bmatrix}}(\tau)^{12N} \neq g_{\begin{bmatrix} s/N \\ t/N \end{bmatrix}}(\tau)^{12N}$ .*

*Proof.* Assume on the contrary that  $g_{\begin{bmatrix} 0 \\ 1/N \end{bmatrix}}(\tau)^{12N} = g_{\begin{bmatrix} s/N \\ t/N \end{bmatrix}}(\tau)^{12N}$ . Since

$$\text{ord}_q g_{\begin{bmatrix} 0 \\ 1/N \end{bmatrix}}(\tau)^{12N} = 6N\mathbf{B}_2(0) = \text{ord}_q g_{\begin{bmatrix} s/N \\ t/N \end{bmatrix}}(\tau)^{12N} = 6N\mathbf{B}_2(\langle s/N \rangle)$$

by Proposition 2.3(i), we must have  $s \equiv 0 \pmod{N}$  by the graph of  $\mathbf{B}_2(X) = X^2 - X + 1/6$ . And, since

$$\text{ord}_q (g_{\begin{bmatrix} 0 \\ 1/N \end{bmatrix}}(\tau)^{12N}) \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \text{ord}_q g_{\begin{bmatrix} 1/N \\ 0 \end{bmatrix}}(\tau)^{12N} = 6N\mathbf{B}_2(1/N)$$

$$= \text{ord}_q \left( g \begin{bmatrix} 0 & -1 \\ t/N & 0 \end{bmatrix} (\tau)^{12N} \right) \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} = \text{ord}_q g \begin{bmatrix} t/N \\ 0 \end{bmatrix} (\tau)^{12N} = 6N\mathbf{B}_2(\langle t/N \rangle)$$

by Proposition 2.3(ii) and (i), it follows that  $t \equiv \pm 1 \pmod{N}$ . This proves the lemma.  $\square$

**Lemma 3.2.** (i)  $j(\tau)$  induces a bijective map  $j : \text{SL}_2(\mathbb{Z}) \backslash \mathbb{H} \rightarrow \mathbb{C}$ .  
 (ii) If  $K_1$  and  $K_2$  are distinct imaginary quadratic fields, then  $\tau_{K_1}$  and  $\tau_{K_2}$  are not equivalent under the action of  $\text{SL}_2(\mathbb{Z})$ .

*Proof.* (i) See [15, Chapter 3, Theorem 4].  
 (ii) See [15, Chapter 3, Theorem 1].  $\square$

For a real number  $x$  we denote by  $[x]$  the greatest integer that is less than or equal to  $x$ .

**Theorem 3.3.** For a given integer  $N (\geq 2)$  we have

$$\begin{aligned} & \#\{\text{imaginary quadratic fields } K \mid g \begin{bmatrix} 0 \\ 1/N \end{bmatrix} (\tau_K)^{12N} \text{ does not generate } K_{(N)} \\ & \text{over } H_K\} \\ & \leq \begin{cases} 12 & \text{if } N = 2, \\ ((N + 1)[N/2] - 1)(N^5/4) \prod_{p|N} (1 - p^{-1})(1 - p^{-2}) & \text{if } N \geq 3. \end{cases} \end{aligned}$$

*Proof.* Let

$$S = \left\{ \begin{bmatrix} s \\ t \end{bmatrix} \in \mathbb{Z}^2 \mid (s = 0, 2 \leq t \leq [N/2]) \text{ or } (1 \leq s \leq [N/2], 0 \leq t \leq N - 1) \right\},$$

which consists of  $(N + 1)[N/2] - 1$  elements. For each  $\begin{bmatrix} s \\ t \end{bmatrix} \in S$  we consider the function

$$g(\tau) = g \begin{bmatrix} 0 \\ 1/N \end{bmatrix} (\tau)^{12N} - g \begin{bmatrix} s/N \\ t/N \end{bmatrix} (\tau)^{12N} \quad (\in \mathcal{F}_N),$$

which is nonzero by Lemma 3.1. Since  $g(\tau)$  is integral over  $\mathbb{Z}[j(\tau)]$  by Proposition 2.3(vi), we have

$$(8) \quad \mathbf{N}_{\mathcal{F}_N/\mathcal{F}_1}(g(\tau)) = g(\tau) \prod_{\sigma \neq \text{id}} g(\tau)^\sigma = P(j(\tau))$$

for some nonzero polynomial  $P(X) \in \mathbb{Z}[X]$ .

Note by Proposition 2.3(v) that any conjugate of  $g(\tau)$  under the action of  $\text{Gal}(\mathcal{F}_N/\mathcal{F}_1)$  is of the form

$$g \begin{bmatrix} a/N \\ b/N \end{bmatrix} (\tau)^{12N} - g \begin{bmatrix} c/N \\ d/N \end{bmatrix} (\tau)^{12N} \quad \text{for some } \begin{bmatrix} a \\ b \end{bmatrix}, \begin{bmatrix} c \\ d \end{bmatrix} \in \mathbb{Z}^2 \setminus N\mathbb{Z}^2,$$

which is holomorphic on  $\mathbb{H}$ . Now, let

$$Z \begin{bmatrix} s \\ t \end{bmatrix} = \{\text{imaginary quadratic fields } K \mid g(\tau_K) = 0\}.$$

If  $K \in Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]}$ , then (8) gives  $P(j(\tau_K)) = 0$ , from which we obtain by Lemma 3.2

$$\#Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]} \leq \deg P(X).$$

On the other hand, since

$$\begin{aligned} & \text{ord}_q \left( g_{\left[ \begin{smallmatrix} a/N \\ b/N \end{smallmatrix} \right]}(\tau)^{12N} - g_{\left[ \begin{smallmatrix} c/N \\ d/N \end{smallmatrix} \right]}(\tau)^{12N} \right) \\ & \geq \min \{ 6N\mathbf{B}_2(\langle a/N \rangle), 6N\mathbf{B}_2(\langle c/N \rangle) \} \quad \text{by Proposition 2.3(i)} \\ & \geq 6N\mathbf{B}_2(1/2) \quad \text{by the graph of } \mathbf{B}_2(X) = X^2 - X + 1/6 \\ & = -N/2, \end{aligned}$$

we deduce that

$$\begin{aligned} \text{ord}_q P(j(\tau)) &= \text{ord}_q \mathbf{N}_{\mathcal{F}_N/\mathcal{F}_1}(g(\tau)) \\ &\geq -(N/2) \cdot [\mathcal{F}_N : \mathcal{F}_1] \\ &= -(N/2) \cdot \#\text{GL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm I_2\} \quad \text{by (5)} \\ &= \begin{cases} -6 & \text{if } N = 2, \\ -(N^5/4) \prod_{p|N} (1-p^{-1})(1-p^{-2}) & \text{if } N \geq 3 \end{cases} \quad \text{by (6)}. \end{aligned}$$

Thus we get from the fact  $\text{ord}_q j(\tau) = -1$  that

$$\#Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]} \leq \deg P(X) \leq \begin{cases} 6 & \text{if } N = 2, \\ (N^5/4) \prod_{p|N} (1-p^{-1})(1-p^{-2}) & \text{if } N \geq 3. \end{cases}$$

And, if we let

$$Z = \bigcup_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right] \in S} Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]},$$

then

$$\begin{aligned} \#Z &\leq \sum_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right] \in S} \#Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]} \leq \#S \cdot \max_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right] \in S} \{ \#Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]} \} \\ &\leq \begin{cases} 12 & \text{if } N = 2, \\ ((N+1)[N/2]-1)(N^5/4) \prod_{p|N} (1-p^{-1})(1-p^{-2}) & \text{if } N \geq 3. \end{cases} \end{aligned}$$

Now, let  $K$  be an imaginary quadratic field lying outside  $Z$ . Then the singular value  $g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N}$  generates  $K_{(N)}$  over  $H_K$ . Indeed, suppose that it does not generate  $K_{(N)}$  over  $H_K$ . Then there exists a non-identity element  $\alpha = \begin{bmatrix} t-Bs & -Cs \\ s & t \end{bmatrix}$  of  $W_{N,\tau_K}/\text{Ker}_{N,\tau_K} (\simeq \text{Gal}(K_{(N)}/H_K))$  in Proposition 2.5 which fixes  $g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N}$ . Here we may assume that  $\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]$  belongs to  $S$  because  $W_{N,\tau_K}/\text{Ker}_{N,\tau_K}$  is a subgroup or a quotient of  $\text{GL}_2(\mathbb{Z}/N\mathbb{Z})/\{\pm I_2\}$ . We derive that

$$0 = g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N} - (g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N})^\alpha$$



$$\begin{aligned}
 &= g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N} - (g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau)^{12N})^\alpha(\tau_K) \quad \text{by Proposition 2.5} \\
 &= g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N} - g_{\left[ \begin{smallmatrix} s/N \\ t/N \end{smallmatrix} \right]}(\tau_K)^{12N} \quad \text{by Proposition 2.3(iv) and (v)}.
 \end{aligned}$$

But this implies that  $K$  belongs to  $Z_{\left[ \begin{smallmatrix} s \\ t \end{smallmatrix} \right]} (\subseteq Z)$ , which yields a contradiction. Therefore we conclude that

$$\{\text{imaginary quadratic fields } K \mid g_{\left[ \begin{smallmatrix} 0 \\ 1/N \end{smallmatrix} \right]}(\tau_K)^{12N} \text{ does not generate } K_{(N)} \text{ over } H_K\} \subseteq Z.$$

This completes the proof. □

Let  $K$  be an imaginary quadratic field and  $\mathfrak{f}$  be a nontrivial ideal of  $\mathcal{O}_K$ . For a character  $\chi$  of  $\text{Cl}(\mathfrak{f})$  we let  $\mathfrak{f}_\chi$  be the conductor of  $\chi$  and  $\chi_0$  be the proper character of  $\text{Cl}(\mathfrak{f}_\chi)$  corresponding to  $\chi$ . If  $\mathfrak{f} \neq \mathcal{O}_K$  and  $\chi$  is also a nontrivial character of  $\text{Cl}(\mathfrak{f})$ , then we define the *Stickelberger element*

$$S_{\mathfrak{f}}(\chi, g_{\mathfrak{f}}) = \sum_{C \in \text{Cl}(\mathfrak{f})} \chi(C) \log |g_{\mathfrak{f}}(C)|,$$

and the *L-function*

$$L_{\mathfrak{f}}(s, \chi) = \sum_{\mathfrak{a}} \frac{\chi([\mathfrak{a}])}{\mathbf{N}_{K/\mathbb{Q}}(\mathfrak{a})^s} \quad (s \in \mathbb{C}),$$

where  $\mathfrak{a}$  runs over all nontrivial ideals of  $\mathcal{O}_K$  relatively prime to  $\mathfrak{f}$  and  $[\mathfrak{a}]$  is the class containing  $\mathfrak{a}$ .

**Proposition 3.4** (The second Kronecker limit formula). *If  $\mathfrak{f}_\chi \neq \mathcal{O}_K$ , then we have*

$$L_{\mathfrak{f}_\chi}(1, \chi_0) \prod_{\mathfrak{p} \mid \mathfrak{f}, \mathfrak{p} \nmid \mathfrak{f}_\chi} (1 - \bar{\chi}_0([\mathfrak{p}])) = -\frac{\pi \chi_0([\gamma \mathfrak{d}_K \mathfrak{f}_\chi])}{3N(\mathfrak{f}_\chi) \sqrt{-d_K} \omega(\mathfrak{f}_\chi) T_\gamma(\bar{\chi}_0)} S_{\mathfrak{f}}(\bar{\chi}, g_{\mathfrak{f}}),$$

where  $\mathfrak{d}_K$  is the different of  $K/\mathbb{Q}$ ,  $\gamma$  is a nonzero element of  $K$  so that  $\gamma \mathfrak{d}_K \mathfrak{f}_\chi$  becomes an ideal of  $\mathcal{O}_K$  relatively prime to  $\mathfrak{f}_\chi$ ,  $N(\mathfrak{f}_\chi)$  is the smallest positive integer in  $\mathfrak{f}_\chi$ ,  $\omega(\mathfrak{f}_\chi) = |\{\zeta \in \mathcal{O}_K^\times \mid \zeta \equiv 1 \pmod{\mathfrak{f}_\chi}\}|$  and

$$T_\gamma(\bar{\chi}_0) = \sum_{x + \mathfrak{f}_\chi \in \pi_{\mathfrak{f}_\chi}(\mathcal{O}_K)^\times} \bar{\chi}_0([x \mathcal{O}_K]) e^{2\pi i \text{Tr}_{K/\mathbb{Q}}(x\gamma)}.$$

*Proof.* See [15, Chapter 22, Theorems 1 and 2] and [12, Chapter 11, Theorem 2.1]. □

*Remark 3.5.* (i) The Euler factor  $\prod_{\mathfrak{p} \mid \mathfrak{f}, \mathfrak{p} \nmid \mathfrak{f}_\chi} (1 - \bar{\chi}_0([\mathfrak{p}]))$  is understood to be 1 if there is no prime ideal  $\mathfrak{p}$  such that  $\mathfrak{p} \mid \mathfrak{f}$  and  $\mathfrak{p} \nmid \mathfrak{f}_\chi$ .  
 (ii) As is well-known,  $L_{\mathfrak{f}_\chi}(1, \chi_0) \neq 0$  [10, Chapter IV, Proposition 5.7].

**Theorem 3.6.** *Let  $K$  be an imaginary quadratic field and  $\mathfrak{f}$  be a nontrivial proper ideal of  $\mathcal{O}_K$  whose prime ideal factorization is given by*

$$\mathfrak{f} = \prod_{k=1}^n \mathfrak{p}_k^{e_k}.$$

*Assume that*

$$(9) \quad [K_{\mathfrak{f}} : K] > 2 \sum_{k=1}^n [K_{\mathfrak{f}\mathfrak{p}_k^{-e_k}} : K].$$

*Then  $g_{\mathfrak{f}}(C_0)$  generates  $K_{\mathfrak{f}}$  over  $K$ .*

*Proof.* Set  $F = K(g_{\mathfrak{f}}(C_0))$ . We then derive that

$$\begin{aligned} & \#\{\text{characters } \chi \text{ of } \text{Gal}(K_{\mathfrak{f}}/K) \mid \chi|_{\text{Gal}(K_{\mathfrak{f}}/F)} \neq 1\} \\ &= \#\{\text{characters } \chi \text{ of } \text{Gal}(K_{\mathfrak{f}}/K)\} - \#\{\text{characters } \chi \text{ of } \text{Gal}(F/K)\} \\ (10) \quad &= [K_{\mathfrak{f}} : K] - [F : K]. \end{aligned}$$

Furthermore, we have

$$\begin{aligned} & \#\{\text{characters } \chi \text{ of } \text{Gal}(K_{\mathfrak{f}}/K) \mid \mathfrak{p}_k \nmid \mathfrak{f}_{\chi} \text{ for some } k\} \\ &= \#\{\text{characters } \chi \text{ of } \text{Gal}(K_{\mathfrak{f}}/K) \mid \mathfrak{f}_{\chi} \mid \mathfrak{f}\mathfrak{p}_k^{-e_k} \text{ for some } k\} \\ &\leq \sum_{k=1}^n \#\{\text{characters } \chi \text{ of } \text{Gal}(K_{\mathfrak{f}\mathfrak{p}_k^{-e_k}}/K)\} \\ (11) \quad &= \sum_{k=1}^n [K_{\mathfrak{f}\mathfrak{p}_k^{-e_k}} : K]. \end{aligned}$$

Now, suppose that  $F$  is properly contained in  $K_{\mathfrak{f}}$ . Then we get by the assumption (9) that

$$\begin{aligned} [K_{\mathfrak{f}} : K] - [F : K] &= [K_{\mathfrak{f}} : K](1 - 1/[K_{\mathfrak{f}} : F]) \\ &> 2 \sum_{k=1}^n [K_{\mathfrak{f}\mathfrak{p}_k^{-e_k}} : K](1 - 1/2) \\ &= \sum_{k=1}^n [K_{\mathfrak{f}\mathfrak{p}_k^{-e_k}} : K]. \end{aligned}$$

This, together with (10) and (11), implies that there exists a character  $\chi$  of  $\text{Gal}(K_{\mathfrak{f}}/K)$  such that

$$(12) \quad \chi|_{\text{Gal}(K_{\mathfrak{f}}/F)} \neq 1,$$

$$(13) \quad \mathfrak{p}_k \mid \mathfrak{f}_{\chi} \quad \text{for all } k = 1, \dots, n.$$

Identifying  $\text{Cl}(\mathfrak{f})$  and  $\text{Gal}(K_{\mathfrak{f}}/K)$  via the Artin reciprocity map, we obtain from Proposition 3.4 and (13) that

$$(14) \quad 0 \neq L_{\mathfrak{f}_x}(1, \chi_0) = -\frac{\pi\chi_0([\gamma\mathfrak{d}_K\mathfrak{f}_x])}{3N(\mathfrak{f}_x)\sqrt{-d_K}\omega(\mathfrak{f}_x)T_\gamma(\bar{\chi}_0)} S_{\mathfrak{f}}(\bar{\chi}, g_{\mathfrak{f}}).$$

On the other hand, we achieve that

$$\begin{aligned} S_{\mathfrak{f}}(\bar{\chi}, g_{\mathfrak{f}}) &= \sum_{C \in \text{Cl}(\mathfrak{f})} \bar{\chi}(C) \log |g_{\mathfrak{f}}(C_0)^C| \quad \text{by (3)} \\ &= \sum_{\substack{C_1 \in \text{Gal}(K_{\mathfrak{f}}/K) \\ C_1 \pmod{\text{Gal}(K_{\mathfrak{f}}/F)}}} \sum_{C_2 \in \text{Gal}(K_{\mathfrak{f}}/F)} \bar{\chi}(C_1 C_2) \log |g_{\mathfrak{f}}(C_0)^{C_1 C_2}| \\ &= \sum_{C_1} \sum_{C_2} \bar{\chi}(C_1) \bar{\chi}(C_2) \log |(g_{\mathfrak{f}}(C_0)^{C_2})^{C_1}| \\ &= \sum_{C_1} \bar{\chi}(C_1) \log |g_{\mathfrak{f}}(C_0)^{C_1}| \left( \sum_{C_2} \bar{\chi}(C_2) \right) \quad \text{by the fact } g_{\mathfrak{f}}(C_0) \in F \\ &= 0 \quad \text{by (12),} \end{aligned}$$

which contradicts (14). Therefore, we conclude  $F = K_{\mathfrak{f}}$  as desired.  $\square$

*Remark 3.7.* (i) For a nontrivial integral ideal  $\mathfrak{f}$  of an imaginary quadratic field  $K$ , we have a degree formula

$$(15) \quad [K_{\mathfrak{f}} : K] = \frac{h_K \varphi(\mathfrak{f}) \omega(\mathfrak{f})}{\omega_K},$$

where  $\varphi$  is the (multiplicative) Euler function for ideals, namely

$$\varphi(\mathfrak{p}^n) = (\mathbf{N}_{K/\mathbb{Q}}(\mathfrak{p}) - 1) \mathbf{N}_{K/\mathbb{Q}}(\mathfrak{p})^{n-1}$$

for a prime ideal power  $\mathfrak{p}^n$  ( $n \geq 1$ ),  $\omega(\mathfrak{f})$  is the number of roots of unity in  $K$  which are  $\equiv 1 \pmod{\mathfrak{f}}$  and  $\omega_K$  is the number of roots of unity in  $K$  [16, Chapter VI, Theorem 1].

Let  $N$  ( $\geq 2$ ) be an integer whose prime factorization is given by

$$N = \prod_{a=1}^A p_a^{u_a} \prod_{b=1}^B q_b^{v_b} \prod_{c=1}^C r_c^{w_c} \quad (A, B, C, u_a, v_b, w_c \geq 0),$$

where each  $p_a$  (respectively,  $q_b$  and  $r_c$ ) splits (respectively, is inert and ramified) in  $K$ . One can then verify that the condition

$$4 \sum_{a=1}^A \frac{1}{(p_a - 1) p_a^{u_a - 1}} + 2 \sum_{b=1}^B \frac{1}{(q_b^2 - 1) q_b^{2(v_b - 1)}} + 2 \sum_{c=1}^C \frac{1}{(r_c - 1) r_c^{2w_c - 1}} < \frac{\omega((N))}{\omega_K}$$

implies the assumption (9) when  $\mathfrak{f} = (N)$ .

(ii) Let  $d_k$  ( $k = 1, \dots, n$ ) be the exponent of the group  $(\mathcal{O}_K/\mathfrak{p}_k^{e_k})^\times$ . Schertz [20, Theorem 3] proved that if the conductor of the extension  $K_{\mathfrak{f}}/K$  is exactly

$\mathfrak{f}$ , then  $g_{\mathfrak{f}}(C_0)$  is a primitive generator of  $K_{\mathfrak{f}}$  over  $K$  in either case when  $n = 1$  or

$$(16) \quad d_k \nmid 2 \ (k = 1, \dots, n - 1), \ d_n \nmid 2\omega_K \text{ and } \mathfrak{p}_n^{e_n} \nmid \gcd(6, \omega_K).$$

Note that we do not require any condition on the conductor of the extension  $K_{\mathfrak{f}}/K$ .

**4. Siegel-Ramachandra invariants of conductor 2**

Throughout this section we let  $K$  be an imaginary quadratic field. We shall examine certain properties of the singular value  $g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau_K)$  which is a 24-th root of  $g_{(2)}(C_0)$ . Although most of the results here are classical and known, we will present relatively short and new proofs purely in terms of Siegel functions.

By the definition (1) we have

$$(17) \quad \begin{aligned} g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau) &= 2\zeta_4 q^{1/12} \prod_{n=1}^{\infty} (1 + q^n)^2, \\ g_{\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix}}(\tau) &= -q^{-1/24} \prod_{n=1}^{\infty} (1 - q^{n-1/2})^2, \\ g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau) &= \zeta_8^3 q^{-1/24} \prod_{n=1}^{\infty} (1 + q^{n-1/2})^2. \end{aligned}$$

Let  $\gamma_2(\tau)$  be the cube root of  $j(\tau)$  whose Fourier expansion begins with the term  $q^{-1/3}$ .

**Lemma 4.1.** (i) *We have the identity*

$$g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau)g_{\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix}}(\tau)g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau) = 2\zeta_8.$$

(ii) *We have the relations*

$$\gamma_2(\tau) = \frac{g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau)^{12} + 16}{g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau)^4} = \frac{g_{\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix}}(\tau)^{12} + 16}{g_{\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix}}(\tau)^4} = \frac{g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau)^{12} + 16}{g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau)^4}.$$

*Proof.* (i) We obtain by (17)

$$\begin{aligned} g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau)g_{\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix}}(\tau)g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau) &= 2\zeta_8 \prod_{n=1}^{\infty} (1 + q^n)^2(1 - q^{2n-1})^2 \\ &= 2\zeta_8 \prod_{n=1}^{\infty} \frac{(1 - q^{2n})^2}{(1 - q^n)^2} \cdot \frac{(1 - q^n)^2}{(1 - q^{2n})^2} = 2\zeta_8. \end{aligned}$$

(ii) Since  $g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau)^{12} \in \mathcal{F}_2$  by Proposition 2.1 and

$$\text{Gal}(\mathcal{F}_2/\mathcal{F}_1) \simeq \text{GL}_2(\mathbb{Z}/2\mathbb{Z})/\{\pm I_2\}$$

$$= \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \right\}$$

by (5), we derive that

$$\begin{aligned} & \prod_{\sigma \in \text{Gal}(\mathcal{F}_2/\mathcal{F}_1)} (X - (g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau)^{12})^\sigma) \\ &= (X - g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau)^{12})^2 (X - g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau)^{12})^2 (X - g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau)^{12})^2 \\ & \quad \text{by Proposition 2.3(iv) and (v)} \\ &= (X^3 + 48X^2 + (-q^{-1} + 24 - 196884q + \dots)X + 4096)^2 \quad \text{by (17)} \\ &= (X^3 + 48X^2 + (-j(\tau) + 768)X + 4096)^2 \quad \text{by (4)} \\ &= ((X + 16)^3 - j(\tau)X)^2 \\ &= ((X + 16)^3 - \gamma_2(\tau)^3 X)^2. \end{aligned}$$

Hence we get

$$\gamma_2(\tau) = \xi_1 \frac{g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau)^{12} + 16}{g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau)^4} = \xi_2 \frac{g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau)^{12} + 16}{g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau)^4} = \xi_3 \frac{g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau)^{12} + 16}{g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau)^4}$$

for some cube roots of unity  $\xi_k$  ( $k = 1, 2, 3$ ). Comparing the leading terms of Fourier expansions we conclude  $\xi_1 = \xi_2 = \xi_3 = 1$ . □

*Remark 4.2.* Let

$$\eta(\tau) = \sqrt{2\pi}\zeta_8 q^{1/24} \prod_{n=1}^{\infty} (1 - q^n)$$

be the Dedekind eta function, and

$$f(\tau) = \zeta_{48}^{-1} \frac{\eta((\tau + 1)/2)}{\eta(\tau)}, \quad f_1(\tau) = \frac{\eta(\tau/2)}{\eta(\tau)}, \quad f_2(\tau) = \sqrt{2} \frac{\eta(2\tau)}{\eta(\tau)}$$

be the Weber functions. Then one can deduce the following identities

$$(18) \quad f(\tau)^2 = \zeta_8^5 g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau), \quad f_1(\tau)^2 = -g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau), \quad f_2(\tau)^2 = \zeta_4^3 g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau),$$

and hence Lemma 4.1(ii) can be reformulated in terms of the Weber functions as in the classical case [4, Theorem 12.17].

**Lemma 4.3.** *If  $x$  is a real algebraic integer, then  $\min(x, K)$  has integer coefficients.*

*Proof.* Since  $x \in \mathbb{R}$ , we get

$$[K(x) : K] = \frac{[K(x) : \mathbb{Q}(x)] \cdot [\mathbb{Q}(x) : \mathbb{Q}]}{[K : \mathbb{Q}]} = [\mathbb{Q}(x) : \mathbb{Q}],$$

from which it follows that  $\min(x, K) = \min(x, \mathbb{Q})$ . Furthermore,  $\min(x, K)$  has integer coefficients, because  $x$  is an algebraic integer. □

**Proposition 4.4.** *Let  $K$  be an imaginary quadratic field of discriminant  $d_K$ .*

- (i)  $j(\tau_K)$  is a real algebraic integer which generates  $H_K$  over  $K$ .
- (ii) If  $p$  is a prime dividing the discriminant of  $\min(j(\tau_K), K)$ , then  $(\frac{d_K}{p}) \neq 1$  and  $p \leq |d_K|$ .

*Proof.* (i) See [15, Chapter 5, Theorem 4 and Chapter 10, Theorem 1].

(ii) See [8], [6] or [4, Theorem 13.28]. □

*Remark 4.5.* For any  $(\begin{smallmatrix} r_1 \\ r_2 \end{smallmatrix}) \in \mathbb{Q}^2 \setminus \mathbb{Z}^2$ ,  $g_{(\begin{smallmatrix} r_1 \\ r_2 \end{smallmatrix})}(\tau_K)$  is an algebraic integer by Propositions 2.3(vi) and 4.4(i).

**Theorem 4.6.** *Let  $K \neq \mathbb{Q}(\sqrt{-3}), \mathbb{Q}(\sqrt{-1})$  and set  $x = \mathbf{N}_{K_{(2)}/H_K}(g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})})(\tau_K)^{12}$ .*

*Assume that 2 is not inert in  $K$  (equivalently,  $d_K \equiv 0 \pmod{4}$  or  $d_K \equiv 1 \pmod{8}$ ).*

- (i)  $x$  generates  $H_K$  over  $K$ .
- (ii)  $x$  is a real algebraic integer dividing  $2^{12}$  whose minimal polynomial  $\min(x, K)$  has integer coefficients.
- (iii) If  $p$  is an odd prime dividing the discriminant of  $\min(x, K)$ , then  $(\frac{d_K}{p}) \neq 1$  and  $p \leq |d_K|$ .

*Proof.* (i) Since  $g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})}(\tau)^{12} \in \mathcal{F}_2$ ,  $g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})}(\tau_K)^{12}$  lies in  $K_{(2)}$  by Proposition 2.4. Moreover, we have

$$[K_{(2)} : H_K] = \begin{cases} 2 & \text{if } d_K \equiv 0 \pmod{4}, \\ 1 & \text{if } d_K \equiv 1 \pmod{8} \end{cases}$$

by the degree formula (15), and

$$\begin{aligned} \text{Gal}(K_{(2)}/H_K) &\simeq W_{2, \tau_K} / \text{Ker}_{2, \tau_K} \\ &= \begin{cases} \left\{ \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \right\} \right\} & \text{if } d_K \equiv 0 \pmod{8}, \\ \left\{ \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \right\} \right\} & \text{if } d_K \equiv 4 \pmod{8}, \\ \left\{ \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \right\} \right\} & \text{if } d_K \equiv 1 \pmod{8}, \end{cases} \end{aligned}$$

by Proposition 2.5. So we obtain

$$(19) \quad x = \mathbf{N}_{K_{(2)}/H_K}(g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})})(\tau_K)^{12} = \begin{cases} g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})}(\tau_K)^{12} g_{(\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix})}(\tau_K)^{12} & \text{if } d_K \equiv 0 \pmod{8}, \\ g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})}(\tau_K)^{12} g_{(\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix})}(\tau_K)^{12} & \text{if } d_K \equiv 4 \pmod{8}, \\ g_{(\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix})}(\tau_K)^{12} & \text{if } d_K \equiv 1 \pmod{8} \end{cases}$$

by Propositions 2.5 and 2.3(iv), (v); and hence

$$(20) \quad j(\tau_K) = \begin{cases} (256 - x)^3/x^2 & \text{if } d_K \equiv 0 \pmod{4}, \\ (x + 16)^3/x & \text{if } d_K \equiv 1 \pmod{8} \end{cases}$$

by Lemma 4.1. Therefore  $x$  generates  $H_K$  over  $K$  by Proposition 4.4(i).

(ii) We see that  $x \in \mathbb{R}$  by the definition (7), (17) and (19). Furthermore, since  $x$  is an algebraic integer by Remark 4.5,  $\min(x, K)$  has integer coefficients by Lemma 4.3. And,  $x$  divides  $2^{12}$  by (19) and Lemma 4.1(i).

(iii) If  $h_K = 1$ , there is nothing to prove. So we assume  $h_K > 1$ . If  $\sigma_1$  and  $\sigma_2$  are distinct elements of  $\text{Gal}(H_K/K)$ , then we derive from (20) that

$$\begin{aligned} & j(\tau_K)^{\sigma_1} - j(\tau_K)^{\sigma_2} \\ = & \begin{cases} (x_1 - x_2)(-x_1^2x_2^2 + 196608x_1x_2 - 16777216x_1 - 16777216x_2)/x_1^2x_2^2 \\ \text{if } d_K \equiv 0 \pmod{4}, \\ (x_1 - x_2)(x_1^2x_2 + x_1x_2^2 + 48x_1x_2 - 4096)/x_1x_2 \\ \text{if } d_K \equiv 1 \pmod{8}, \end{cases} \end{aligned}$$

where  $x_1 = x^{\sigma_1}$  and  $x_2 = x^{\sigma_2}$ . Observe from (ii) that there is no prime ideal  $\mathfrak{p}$  of  $H_K$  which contains  $x_1x_2$  and lies above an odd prime. Therefore, if  $p$  is an odd prime dividing the discriminant of  $\min(x, K)$ , then  $(\frac{d_K}{p}) \neq 1$  and  $p \leq |d_K|$  by Proposition 4.4(ii).  $\square$

*Remark 4.7.* Let  $K \neq \mathbb{Q}(\sqrt{-3}), \mathbb{Q}(\sqrt{-1})$ . Since  $g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)^{24}$  generates  $K_{(2)}$  over  $K$  by Theorem 3.6 and Remark 3.7, so does  $g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)^{12}$ . If 2 is inert in  $K$ , then

$$\text{Gal}(K_{(2)}/H_K) \simeq W_{2,\tau_K}/\text{Ker}_{2,\tau_K} = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \right\}$$

by Proposition 2.5. And, we derive from Proposition 2.3(iv), (v) and Lemma 4.1(i) that

$$\mathbf{N}_{K_{(2)}/H_K}(g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)^{12}) = g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)^{12}g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau_K)^{12}g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau_K)^{12} = -2^{12}.$$

Therefore, in this case one cannot develop a theory like Theorem 4.6 with  $\mathbf{N}_{K_{(2)}/H_K}(g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)^{12})$ .

**Theorem 4.8.** *Let  $K$  be an imaginary quadratic field of discriminant  $d_K$ . Assume that 2 is inert and 3 is not ramified in  $K$  (equivalently,  $d_K \equiv 5 \pmod{8}$  and  $d_K \not\equiv 0 \pmod{3}$ ).*

- (i) *The real algebraic integer  $\zeta_8 g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)$  generates  $K_{(2)}$  over  $H_K$ .*
- (ii) *The real algebraic integer  $\gamma_2(\tau_K)$  generates  $H_K$  over  $K$ .*

*Proof.* (i) Let  $\alpha = g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau_K)$ . It is an algebraic integer by Remark 4.5, and  $\zeta_8\alpha \in \mathbb{R}$  by the definition (7) and (17). Since  $\alpha^4$  is a real cube root of  $\alpha^{12}$ , we get from Remark 4.7 that

$$\begin{aligned} [K_{(2)}(\alpha^4) : K_{(2)}] &= [K(\alpha^4) : K(\alpha^{12})] = \frac{[K(\alpha^4) : \mathbb{Q}(\alpha^4)][\mathbb{Q}(\alpha^4) : \mathbb{Q}(\alpha^{12})]}{[K(\alpha^{12}) : \mathbb{Q}(\alpha^{12})]} \\ &= [\mathbb{Q}(\alpha^4) : \mathbb{Q}(\alpha^{12})] = 1 \text{ or } 3. \end{aligned}$$

Furthermore, since  $g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau)^4 \in \mathcal{F}_6$  by Proposition 2.1, we get  $\alpha^4 \in K_{(6)}$  by Proposition 2.4, from which it follows that  $[K_{(2)}(\alpha^4) : K_{(2)}]$  divides

$$[K_{(6)} : K_{(2)}] = \begin{cases} 2 & \text{if } 3 \text{ splits in } K, \\ 4 & \text{if } 3 \text{ is inert in } K \end{cases}$$

by the degree formula (15). Hence  $[K_{(2)}(\alpha^4) : K_{(2)}] = 1$ , which implies  $\alpha^4 \in K_{(2)}$ .

On the other hand, since  $\zeta_8^{-1}g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau)^3 \in \mathcal{F}_8$  by Proposition 2.1, we obtain  $\zeta_8^{-1}\alpha^3 \in K_{(8)}$  by Proposition 2.4. One can then readily check by Proposition 2.5 that

$$\begin{aligned} &\text{Gal}(K_{(8)}/K_{(2)}) \\ &\simeq \left\langle \begin{bmatrix} 5 & 4 \\ 4 & 1 \end{bmatrix} \right\rangle \times \begin{cases} \left\langle \begin{bmatrix} 7 & 6 \\ 2 & 1 \end{bmatrix} \right\rangle & \text{if } d_K \equiv 5 \pmod{16}, \\ \left\langle \begin{bmatrix} 7 & 2 \\ 2 & 1 \end{bmatrix} \right\rangle & \text{if } d_K \equiv 13 \pmod{16} \end{cases} \quad (\simeq \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/4\mathbb{Z}). \end{aligned}$$

Decomposing  $\begin{bmatrix} 5 & 4 \\ 4 & 1 \end{bmatrix} = \begin{bmatrix} 5 & 12 \\ 12 & 29 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix}$ , we deduce that

$$\begin{aligned} (\zeta_8^{-1}\alpha^3)^{\begin{bmatrix} 5 & 4 \\ 4 & 1 \end{bmatrix}} &= (\zeta_8^{-1}g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau)^3)^{\begin{bmatrix} 5 & 12 \\ 12 & 29 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix}}(\tau_K) \quad \text{by Proposition 2.5} \\ &= (\zeta_8^{-1}g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau)^3 \circ \begin{bmatrix} 5 & 12 \\ 12 & 29 \end{bmatrix})^{\begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix}}(\tau_K) \\ &= (\zeta_8^{-1}g_{\left[ \begin{smallmatrix} 6 \\ 29/2 \end{smallmatrix} \right]}(\tau)^3)^{\begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix}}(\tau_K) \quad \text{by Proposition 2.3(ii)} \\ &= (\zeta_8^3g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau)^3)^{\begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix}}(\tau_K) \quad \text{by Proposition 2.3(iii)} \\ &= (8\zeta_8q^{1/4} \prod_{n=1}^{\infty} (1+q^n)^6)^{\begin{bmatrix} 1 & 0 \\ 0 & 5 \end{bmatrix}}(\tau_K) \quad \text{by (17)} \\ &= (8\zeta_8^5q^{1/4} \prod_{n=1}^{\infty} (1+q^n)^6)(\tau_K) \\ &= \zeta_8^{-1}g_{\left[ \begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix} \right]}(\tau_K)^3 \\ &= \zeta_8^{-1}\alpha^3. \end{aligned}$$



In a similar way, one can verify that  $\zeta_8^{-1}\alpha^3$  is invariant under the actions of

$$\begin{bmatrix} 7 & 6 \\ 2 & 1 \end{bmatrix} = \begin{bmatrix} 7 & 2 \\ 10 & 3 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 3 \end{bmatrix} \text{ and } \begin{bmatrix} 7 & 2 \\ 2 & 1 \end{bmatrix} = \begin{bmatrix} 23 & 14 \\ 18 & 11 \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 0 & 3 \end{bmatrix}.$$

Thus,  $\zeta_8^{-1}\alpha^3$  lies in  $K_{(2)}$ , so does  $\alpha^4/\zeta_8^{-1}\alpha^3 = \zeta_8\alpha$ . Lastly, since  $\alpha^{12}$  generates  $K_{(2)}$  over  $K$ , so does  $\zeta_8\alpha$ . Therefore, we are done.

(ii) Let  $\alpha = g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K)$ . Since  $\gamma_2(\tau_K) = (\alpha^{12} + 16)/\alpha^4$  by Lemma 4.1(ii) and  $\alpha^4 \in K_{(2)} \cap \mathbb{R}$  by (i), we have  $\gamma_2(\tau_K) \in K_{(2)} \cap \mathbb{R}$ . Note from Remark 4.7 that  $g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau_K)^{12}$  and  $g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau_K)^{12}$  are the two conjugates of  $\alpha^{12}$  over  $H_K$ . In particular,  $g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau_K)^4$  and  $g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau_K)^4$  belong to  $K_{(2)}$  by Lemma 4.1(ii). Hence, the other two conjugates of  $\alpha^4$  over  $H_K$  are  $\xi_1 g_{\begin{bmatrix} 1/2 \\ 0 \end{bmatrix}}(\tau_K)^4$  and  $\xi_2 g_{\begin{bmatrix} 1/2 \\ 1/2 \end{bmatrix}}(\tau_K)^4$  for some cube roots of unity  $\xi_1, \xi_2$ . If  $\zeta_3$  lies in  $K_{(2)}$ , then 3 ramifies in  $K_{(2)}$  (but not in  $K$  by hypothesis), which contradicts the fact that all prime ideals of  $K$  which are ramified in  $K_{(2)}$  must divide (2). So we get  $\xi_1 = \xi_2 = 1$ . And, Lemma 4.1(ii) shows that  $\gamma_2(\tau_K)$  is invariant under the action of  $\text{Gal}(K_{(2)}/H_K)$ ; and hence  $\gamma_2(\tau_K) \in H_K$ . Therefore, we conclude that  $\gamma_2(\tau_K)$  is a real algebraic integer which generates  $H_K$  over  $K$  by the fact  $j(\tau_K) = \gamma_2(\tau_K)^3$  and Proposition 4.4(i).  $\square$

*Remark 4.9.* Observe that  $\zeta_8 g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K) = \zeta_8^3 f_2(\tau_K)^2$  by (18). Besides Theorem 4.8 there are several other theorems which assert that the singular values of the Weber functions and  $\gamma_2(\tau)$  generate class fields of imaginary quadratic fields ([27, §126–127], [19], [21], [4, §12]) whose proofs are quite classical. However, they are certainly elegant and worthy of considering. On the other hand, Gee [7] applied Shimura’s reciprocity law to the Weber functions which satisfy the following transformation properties:

$$\begin{aligned} f(\tau) \circ T &= \zeta_{48}^{-1} f_1(\tau), & f_1(\tau) \circ T &= \zeta_{48}^{-1} f(\tau), & f_2(\tau) \circ T &= \zeta_{24} f_2(\tau), \\ f(\tau) \circ S &= f(\tau), & f_1(\tau) \circ S &= f_2(\tau), & f_2(\tau) \circ S &= f_1(\tau), \end{aligned}$$

where  $T = \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  and  $S = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$  are the generators of  $\text{SL}_2(\mathbb{Z})$ . But, the general transformation formula for

$$f(\tau) \circ \gamma \quad (f(\tau) = f(\tau), f_1(\tau), f_2(\tau), \gamma \in \text{SL}_2(\mathbb{Z}))$$

does not seem to be known, which forces her to produce a redundant step to decompose  $\gamma$  into a product of  $T$  and  $S$  [7, §5]. Thus we would like to point out that the relation (18) and Proposition 2.3(ii), (iii) will give us an explicit formula for  $f(\tau)^2 \circ \gamma$ , from which one can efficiently apply Shimura’s reciprocity law.

### 5. Application to class number one problem

In this section we shall revisit Gauss' class number one problem for imaginary quadratic fields.

Let  $K$  be an imaginary quadratic field of discriminant  $d_K$ . Since  $j(\tau_K)$  is a real algebraic integer lying in  $H_K$  by Proposition 4.4(i), it should be an integer when  $K$  has class number one. By determining the form class group  $C(d_K)$  we know that there are only nine imaginary quadratic fields  $K$  of class number one with  $d_K \geq -163$  [4, p. 261]:

TABLE 1. Imaginary quadratic fields  $K$  of class number one with  $d_K \geq -163$ .

$K$	$\mathbb{Q}(\sqrt{-3})$	$\mathbb{Q}(\sqrt{-1})$	$\mathbb{Q}(\sqrt{-7})$	$\mathbb{Q}(\sqrt{-2})$	$\mathbb{Q}(\sqrt{-11})$	$\mathbb{Q}(\sqrt{-19})$	$\mathbb{Q}(\sqrt{-43})$	$\mathbb{Q}(\sqrt{-67})$	$\mathbb{Q}(\sqrt{-163})$
$d_K$	-3	-4	-7	-8	-11	-19	-43	-67	-163
$j(\tau_K)$	0	$12^3$	$-15^3$	$20^3$	$-32^3$	$-96^3$	$-960^3$	$-5280^3$	$-640320^3$
$\gamma_2(\tau_K)$	0	12	-15	20	-32	-96	-960	-5280	-640320

We shall show in this section that the above table is the complete one by utilizing Shimura's reciprocity law and Siegel functions.

**Lemma 5.1.** *Let  $\tau_0 \in \mathbb{H}$ , and set  $A = |e^{2\pi i\tau_0}|$ .*

- (i) *If  $\begin{bmatrix} a \\ b \end{bmatrix} \in \mathbb{Q}^2$  with  $0 < a \leq 1/2$ , then  $|g_{\begin{bmatrix} a \\ b \end{bmatrix}}(\tau_0)| \leq A^{(1/2)\mathbf{B}_2(a)} e^{2A^a/(1-A)}$ .*
- (ii) *If  $b \in \mathbb{Q}$  with  $0 < b < 1$ , then  $|g_{\begin{bmatrix} 0 \\ b \end{bmatrix}}(\tau_0)| \leq A^{(1/2)\mathbf{B}_2(0)} |1 - e^{2\pi ib}| e^{2A/(1-A)}$ .*

*Proof.* (i) We derive from the definition (1) that

$$\begin{aligned}
 |g_{\begin{bmatrix} a \\ b \end{bmatrix}}(\tau_0)| &\leq A^{(1/2)\mathbf{B}_2(a)} (1 + A^a) \prod_{n=1}^{\infty} (1 + A^{n+a})(1 + A^{n-a}) \\
 &\leq A^{(1/2)\mathbf{B}_2(a)} \prod_{n=0}^{\infty} (1 + A^{n+a})^2 \quad \text{by the facts } A < 1 \text{ and } 0 < a \leq 1/2 \\
 &\leq A^{(1/2)\mathbf{B}_2(a)} \prod_{n=0}^{\infty} e^{2A^{n+a}} \quad \text{by the inequality } 1 + X < e^X \text{ for } X > 0 \\
 &= A^{(1/2)\mathbf{B}_2(a)} e^{2A^a/(1-A)}.
 \end{aligned}$$

(ii) In a similar way, we get that

$$\begin{aligned}
 |g_{\begin{bmatrix} 0 \\ b \end{bmatrix}}(\tau_0)| &\leq A^{(1/2)\mathbf{B}_2(0)} |1 - e^{2\pi ib}| \prod_{n=1}^{\infty} (1 + A^n)^2 \\
 &\leq A^{(1/2)\mathbf{B}_2(0)} |1 - e^{2\pi ib}| \prod_{n=1}^{\infty} e^{2A^n} \\
 &\quad \text{by the inequality } 1 + X < e^X \text{ for } X > 0 \\
 &= A^{(1/2)\mathbf{B}_2(0)} |1 - e^{2\pi ib}| e^{2A/(1-A)}. \quad \square
 \end{aligned}$$

**Theorem 5.2.** *Let  $K (\neq \mathbb{Q}(\sqrt{-3}), \mathbb{Q}(\sqrt{-1}))$  be an imaginary quadratic field of discriminant  $d_K$ . Assume that  $K$  has class number one (that is,  $H_K = K$ ).*

- (i) *If 2 is not inert in  $K$ , then  $d_K = -7, -8$ .*
- (ii) *If 2 is inert and 3 is ramified in  $K$ , then there is no such  $K$ .*
- (iii) *If 2 is inert and 3 is not ramified in  $K$ , then  $d_K = -11, -19, -43, -67, -163$ .*

*Proof.* Since we are assuming that  $K$  is neither  $\mathbb{Q}(\sqrt{-3})$  nor  $\mathbb{Q}(\sqrt{-1})$ , we have  $d_K \leq -7$ . Let  $\alpha = g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau_K) (\neq 0)$  and  $A = e^{-\pi\sqrt{|d_K|}}$ .

(i) If  $d_K \leq -31$ , then we see that

$$\begin{aligned} & |\mathbf{N}_{K_{(2)}/K}(\alpha^{12})|^{1/12} \\ &= \begin{cases} |g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau_K)g_{\begin{smallmatrix} 1/2 \\ 1/2 \end{smallmatrix}}(\tau_K)| & \text{if } d_K \equiv 0 \pmod{8}, \\ |g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau_K)g_{\begin{smallmatrix} 1/2 \\ 0 \end{smallmatrix}}(\tau_K)| & \text{if } d_K \equiv 4 \pmod{8}, \\ |g_{\begin{smallmatrix} 0 \\ 1/2 \end{smallmatrix}}(\tau_K)| & \text{if } d_K \equiv 1 \pmod{8}, \end{cases} \quad \text{by (19)} \\ &\leq \begin{cases} 2A^{1/12}e^{2A/(1-A)} \cdot A^{-1/24}e^{2A^{1/2}/(1-A)} & \text{if } d_K \equiv 0 \pmod{4}, \\ 2A^{1/12}e^{2A/(1-A)} & \text{if } d_K \equiv 1 \pmod{8}, \end{cases} \quad \text{by Lemma 5.1} \\ &< 1 \quad \text{by the fact } A \leq e^{-\pi\sqrt{31}}. \end{aligned}$$

On the other hand, since  $\mathbf{N}_{K_{(2)}/K}(\alpha^{12})$  is a nonzero integer by Theorem 4.6(ii), the above inequality is false; hence  $d_K > -31$ . And, we get the conclusion by Table 1.

(ii) Since 2 is inert and 3 is ramified in  $K$  (equivalently,  $d_K \equiv 21 \pmod{24}$ ), we have

$$\text{Gal}(K_{(3)}/K) \simeq W_{3,\tau_K}/\text{Ker}_{3,\tau_K} = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 2 & 0 \\ 1 & 2 \end{bmatrix} \right\}$$

by Proposition 2.5. Let  $\beta = g_{\begin{smallmatrix} 0 \\ 1/3 \end{smallmatrix}}(\tau_K) (\neq 0)$ . Since  $g_{\begin{smallmatrix} 0 \\ 1/3 \end{smallmatrix}}(\tau)^{12} \in \mathcal{F}_3$  by Proposition 2.1, we have  $\beta^{12} \in K_{(3)}$  by Proposition 2.4. Furthermore, since  $\beta^{12}$  is a real algebraic integer by the definition (1), Propositions 2.3(vi) and 4.4(i),  $\mathbf{N}_{K_{(3)}/K}(\beta^{12})$  is a nonzero integer by Lemma 4.3. If  $d_K \leq -51$ , then we derive that

$$\begin{aligned} & |\mathbf{N}_{K_{(3)}/K}(\beta^{12})|^{1/12} \\ &= |g_{\begin{smallmatrix} 0 \\ 1/3 \end{smallmatrix}}(\tau_K)g_{\begin{smallmatrix} 1/3 \\ 1/3 \end{smallmatrix}}(\tau_K)g_{\begin{smallmatrix} 1/3 \\ 2/3 \end{smallmatrix}}(\tau_K)| \quad \text{by Proposition 2.3(iv) and (v)} \\ &\leq A^{1/12}|1 - \zeta_3|e^{2A/(1-A)} \cdot (A^{-1/36}e^{2A^{1/3}/(1-A)})^2 \quad \text{by Lemma 5.1} \\ &= \sqrt{3}A^{1/36}e^{(2A+4A^{1/3})/(1-A)} \\ &< 1 \quad \text{by the fact } A \leq e^{-\pi\sqrt{51}}. \end{aligned}$$

Hence we must have  $-51 < d_K \leq -7$ . But, there is no such imaginary quadratic field  $K$  with  $d_K \equiv 21 \pmod{24}$  as desired.

(iii) Let  $x = \zeta_8\alpha$ . Since  $[K_{(2)} : K] = 3$  by the degree formula (15), we have

$$\min(x, K) = X^3 + aX^2 + bX + c \quad \text{for some } a, b, c \in \mathbb{Z}$$

by Theorem 4.8(i) and Lemma 4.3. Furthermore, we get

$$\min(x^4, K) = X^3 - \gamma_2(\tau_K)X - 16 \quad (\in \mathbb{Z}[X])$$

by Lemma 4.1(ii) and Theorem 4.8(ii). Now, by adopting Heegner’s idea [9] one can determine the possible values of  $a, b, c$ , from which we obtain

$$\gamma_2(\tau_K) = 0, -32, -96, -960, -5280, -640320.$$

Therefore, we can conclude the assertion (iii) by Table 1 and Lemma 3.2, although we omit the details [4, pp. 272–274]. □

*Remark 5.3.* (i) In 1903 Landau ([13] or [4, Theorem 2.18]) presented a simple and elementary proof of Theorem 5.2(i) by considering the form class group  $C(d_K)$ .

(ii) Theorem 4.8(i) is essentially a gap in Heegner’s work, which was fulfilled by Stark [25].

(iii) To every imaginary quadratic order  $\mathcal{O}$  of class number one there is an associated elliptic curve  $E_{\mathcal{O}}$  over  $\overline{\mathbb{Q}}$  admitting complex multiplication by  $\mathcal{O}$ . It can be defined over  $\mathbb{Q}$  and is unique up to  $\overline{\mathbb{Q}}$ -isomorphism. For a positive integer  $n$ , let  $X_{\text{ns}}^+(n)$  be the modular curve associated to the normalizer of the non-split Cartan subgroup of level  $n$  which can be defined over  $\mathbb{Q}$  [3]. If every prime  $p$  dividing  $n$  is inert in  $\mathcal{O}$ , then  $E_{\mathcal{O}}$  gives rise to an integral point of  $X_{\text{ns}}^+(n)$  [22, p. 195]. Here, by integral points we mean the points corresponding to elliptic curves with integral  $j$ -invariant. As Serre pointed out [22, p. 197], the solutions by Heegner and Stark can be viewed as the determination of the integral points of  $X_{\text{ns}}^+(24)$ . And, Baran [2] recently gave a geometric solution of the class number one problem by finding an explicit parametrization for the modular curve  $X_{\text{ns}}^+(9)$  over  $\mathbb{Q}$ .

We can also apply the arguments in the proof of Theorem 5.2(i) and (ii) to solve a problem concerning imaginary quadratic fields of class number two.

**Theorem 5.4.**  $\mathbb{Q}(\sqrt{-15})$  is the unique imaginary quadratic field of class number two in which 2 splits.

*Proof.* Let  $K$  be an imaginary quadratic field of discriminant  $d_K$  and class number two in which 2 splits (so  $d_K \equiv 1 \pmod{8}$ ). Then the form class group  $C(d_K)$  consists of two reduced quadratic forms, that is

$$\begin{aligned} Q_1 &= X^2 + XY + ((1 - d_K)/4)Y^2, \\ Q_2 &= aX^2 + bXY + cY^2 \quad \text{for some } a, b, c \in \mathbb{Z} \text{ with } 2 \leq a \leq \sqrt{|d_K|/3}. \end{aligned}$$

And, we have by Proposition 2.6 with  $p = 2$

$$\begin{aligned} \tau_{Q_1} &= (-1 + \sqrt{d_K})/2, \quad u_{Q_1} = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, \\ \tau_{Q_2} &= (-b + \sqrt{d_K})/2a, \quad u_{Q_2} = \begin{bmatrix} * & * \\ r & s \end{bmatrix} \text{ for some } \begin{bmatrix} r \\ s \end{bmatrix} \in \left\{ \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \end{bmatrix} \right\}. \end{aligned}$$

Let  $\alpha = g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau_K) (\neq 0)$ . Then  $\alpha^{12} \in H_K$  by (19). If  $d_K \leq -31$ , then we derive that

$$\begin{aligned} & |\mathbf{N}_{H_K/K}(\alpha^{12})|^{1/12} \\ &= \prod_{Q \in C(d_K)} |(g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}(\tau)^{12})^{u_Q}(\tau_Q)|^{1/12} \quad \text{by Proposition 2.6} \\ &= |g_{\begin{bmatrix} 0 \\ 1/2 \end{bmatrix}}((-1 + \sqrt{d_K})/2)| \times |g_{\begin{bmatrix} r/2 \\ s/2 \end{bmatrix}}((-b + \sqrt{d_K})/2a)| \\ &\quad \text{by Proposition 2.3(iv) and (v)} \\ &\leq 2A^{1/12} e^{2A/(1-A)} \times \begin{cases} 2A^{1/12a} e^{2A^{1/a}/(1-A^{1/a})} & \text{if } r = 0, \\ A^{-1/24a} e^{2A^{1/2a}/(1-A^{1/a})} & \text{if } r = 1 \end{cases} \\ &\quad \text{with } A = e^{-\pi\sqrt{|d_K|}} \text{ by Lemma 5.1} \\ &\leq \begin{cases} 4A^{1/12} e^{2A/(1-A) - \pi\sqrt{3}/12 + 2e^{-\pi\sqrt{3}}/(1-e^{-\pi\sqrt{3}})} & \text{if } r = 0, \\ 2A^{1/12-1/48} e^{2A/(1-A) + 2e^{-\pi\sqrt{3}/2}/(1-e^{-\pi\sqrt{3}})} & \text{if } r = 1 \end{cases} \\ &\quad \text{because } A < 1 \text{ and } 2 \leq a \leq \sqrt{|d_K|/3} \\ &< 1 \quad \text{by the fact } A \leq e^{-\pi\sqrt{31}}. \end{aligned}$$

On the other hand, since  $\alpha^{12}$  is a real algebraic integer,  $\mathbf{N}_{H_K/K}(\alpha^{12})$  is a nonzero integer by Lemma 4.3. Therefore we should have  $d_K > -31$ . One can then easily see by the following remark that  $\mathbb{Q}(\sqrt{-15})$  is the unique one.  $\square$

*Remark 5.5.* There are exactly eighteen imaginary quadratic fields of class number two whose discriminants are as follows [18, p. 636]:

$$\begin{aligned} & -15, -20, -24, -35, -40, -51, -52, -88, -91, -115, \\ & -123, -148, -187, -232, -235, -267, -403, -427. \end{aligned}$$

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