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Short Term Spectrum Trading in Future LTE Based Cognitive Radio Systems

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Abstract

Market means of spectrum trading have been utilized as a vital method of spectrum sharing and access in future cognitive radio system. In this paper, we consider the spectrum trading with multiple primary carrier providers (PCP) leasing the spectrum to multiple secondary carrier providers (SCP) for a short period of time. Several factors including the price of the resource, duration of leasing, and the spectrum quality guides the proposed model. We formulate three trading policies based on the game theory for dynamic spectrum access in a LTE based cognitive radio system (CRS). In the first, we consider utility function based resource sharing (UFRS) without any knowledge of past transaction. In the second policy, each SCP deals with PCP using a non-cooperative resource sharing (NCRS) method which employs optimal strategy based on reinforcement learning. In variation of second policy, third policy adopts a Nash bargaining while incorporating a recommendation entity in resource sharing (RERS). The simulation results suggest overall increase in throughput while maintaining higher spectrum efficiency and fairness.

Keywords: Cognitive Radio, Spectrum trading, Resource utilization, Game theory, Learning.

1. Introduction

With the rapid increase in multimedia/internet traffic and the requirement of many other broadband services in wireless, the resource management in Long Term Evolution (LTE) and LTE-Advanced (LTE-A) need to be carried out intelligently not only to share spectrum but also network resources optimally. The ITU-R report [1] identified the vital features related to the use of cognitive radio system (CRS), and these systems employ a technique which agrees to obtain the knowledge of its environment and dynamically adjust its operational parameters and learn from the results obtained.

A suitable machine learning technique in CRS can be adopted to learn and analyze various traffic patterns on different channels over time and then predict the preeminent idle channels [2-3]. If a carrier provider is in need of extra resources in a given sector, an intelligent sharing mechanism based on predefined policy will not only help in overall better resource utilization but also help in achieving better quality of service (QoS) requirement of different services. Game theory in CRS could be an appropriate method to ensure coexistence of different carrier providers while optimally sharing the resources either in a collaborative or non-collaborative mode.

The dynamic spectrum access (DSA) by the SCPs is modeled [4] in three groups – shared use, commons, and the exclusive use model. In the shared use, the SCPs can make use of the spectrum owned by PCPs without any price when not used by them. In the commons model, the spectrum is open for everyone to access (e.g. ISM bands). These two methods have some specific drawbacks. In the exclusive use model, the PCPs lease their vacant spectrum to SCPs and gain some revenue while the SCPs could have the assured access to the spectrum for a shorter or longer period of time as per the agreement made. The ITU report [5] explains the different prices and various techniques involved in sharing and evaluating the spectrum.

In a multi operator radio access network (MO-RAN) [6] apart from spectrum sharing, RAN can also be shared which leads to unified trading policy. The benefits of including RAN along with the spectrum are that a carrier provider-A can handoff some surplus users to another carrier provider-B subjected to the agreement in trading policy. The billing and charging could be still with the home carrier provider based on its usual tariff rate. Now the sharing approach can be viewed in three dimensions (Fig. 1). In one dimension decision making methods are various trading mechanism is represented while in the other two dimensions the scenario of single or multiple carrier providers and trading mechanism is considered.

The spectrum sharing mechanism need to provide financial incentives to the parties involved and market driven spectrum trading could be a better approach[7,8] In MO-RAN the challenges increases by many fold as the trading mechanism need to consider not only DSA but also the cost involved in implementation. Additionally, fairness remains as an issue in any multiple access systems. Unlike previous work [9], the goal of our work presented here is to devise an adaptive sharing mechanism of resource block (RB)for a future LTE based network (e.g., LTE-A) while considering major issue in trading, fairness, and implementation. For this reason we include the cost of RAN sharing apart from other goal in problem formulation. Furthermore, the focus of our proposed algorithms is to incorporate utility based incentive to each party while increasing the over all resources utilization and throughput.



Fig. 1. Inter-Carrier provider spectrum sharing

Our earlier work [10] was focused on an intelligent mechanism of resource utilization approach using reinforcement learning with game theory [11] which identifies the best free resource blocks (RB) and allocated using different modes among secondary users. This work is logical extension of previous work [10] but sharing is based on trading policy. The proposed system is formulated on agreement model based on the utility maximization in leasing the resources. The policy includes role changes i.e., any carrier provider can act as a buyer (seller), when the resources at a particular time period are deficient (surplus).

In practice sometimes LTE-SCP runs out of radio resource to serve additional user equipments (UEs) but this can be availed dynamically with LTE-PCP by suitable trading mechanism (**Fig. 2**). There could be unified spectrum trading policy in CRS which includes the cost of RAN sharing by LTE-PCP. In order to facilitate spectrum trading, in this paper we have proposed and evaluated three different approaches in short term leasing by considering real world cellular mobile market scenario.

In the first approach, we consider a utility function based resource sharing (UFRS) where the PCP shares its resources with the SCP upon considering various factors in order to maximize its utility. In the second approach a non-cooperative resource sharing (NCRS) is formulated using Nash Equilibrium where each SCPs shares the resources with the PCPs based on reinforcement learning. The third policy defines a recommendation entity based resource sharing (RERS) which is the variation of second policy based on Nash bargaining method where the PCP and SCP leases and acquires the resources depending on the price declared by a recommender. We formulate a mathematical model for the above three policies and simulate in LTE environment considering several system parameters.

The rest of the paper is organized as follows: Section 2 describes a review of related works on spectrum trading in CR networks. The system model and optimization frame work are explained in Section 3. The problem formulation for our three approaches along with the heuristic algorithm is presented in Section 4. Section 5 presents the simulation results under various simulation parameters and conditions. The conclusion and future work are stated in Section 6.



Fig. 2. Spectrum sharing scenario between LTE- PCP and LTE-SCP

2. Related Work

The challenges in spectrum trading has been analyzed in different ways to solve the pricing issue in CR networks which includes bargaining game, auction, noncooperative, classical optimization and micro economic approach [12]. In bargaining game, a Nash solution is obtained as the players can negotiate and bargain with each other ensuring fairness and efficiency. In auction approach, the bidding decision is carried out at a certain interval or at a fixed time and price of the spectrum varies largely with the bidders. Optimization approach maximizes the revenue of a seller and maximizes the throughput of a bidder under some constraints. Non cooperative game is involved when the multiple entities share the spectrum

while applying game theory to find to the solution. Market equilibrium is another method of spectrum trading where the competition among players determine the market dynamics.

In [9], authors discussed two models of spectrum sharing where the utility based profit maximization problem is analyzed for one primary user and used Nash equilibrium for multiple primary user scenarios. The short term and long term spectrum trading with two different approaches have been analyzed in [8] where the agreement based spectrumsharing is discussed for future market. The secondary users can compete with each other in a spot market based on symmetry and asymmetry method. The spectrum leasing scenario with multiple primary users and secondary users are discussed in [13] is based on two classes of solutions including generalized Nash equilibrium and solutions where the secondary users compete for a spectrum only with its satisfied QoS.

The multiple primary and secondary users employing market equilibrium price compete for a spectrum based on a Bertrand game model [14] and Nash equilibrium. An oligopoly optimal auction mechanism [15] based on graph theory was proposed for dynamic spectrum sharing. A random leader based short term and long term incentive aware spectrum sharing is discussed in [16] and analyzed using special mobility management model. In [17] the authors have proposed a demand based optimization problem and formulated using Nash equilibrium for spectrum sharing among single and multiple agents with the help of a spectrum broker. In a typical cellular based cognitive radio network, the performance and in particular throughput for the UEs present near to eNodeB can be maintained easily but at cell edge it become difficult as inter-cell interference becomes dominant. In [18], a dynamic spectrum allocation scheme based on game theory through distributed pricing calculation and exchange has been proposed to take care of UEs throughput at cell edge.

In [19], the spectrum leasing has been proposed in two different cases using interference temperature constraint. The resources allocated among secondary users using second price auction mechanism has been discussed in [20]. The non cooperative way of spectrum sharing scenario can be formulated with multiple users using sub gradient and Q- learning algorithm. An evolutionary game with multiple buyers and sellers and Nash equilibrium can be used to analyze the competition [7][21]. The two level dynamic spectrum sharing game provide further flexibility where the secondary users adopt strategies based on quality and price [22]. A Nash Bargaining game using two different cases and market equilibrium price has been formulated in [23] for spectrum trading where the sharing among primary user and secondary users is analyzed and random matching based spectrum sharing among secondary users is discussed.

3. System Model & Optimization Framework

3.1System Model

We consider an LTE based cognitive radio systems (LTE-CRS) model with N_p PCPs and N_s SCPs. The total bandwidth *W* is distributed into *M* resource blocks (RBs). Let the available free RBs for trading by the PCP at time *t* be $m_{max}^{RB_i}$ and randomly distributed in time-resource grid (**Table 1**). Based on the role played by the LTE carrier provider with CRS capability, we name them as primary (LTE-PCP) or secondary (LTE-SCP). The LTE-SCP request the resources from LTE-PCP for a contract period τ and these resources are reused by a PCP after a contract period. The contract starts upon satisfying minimum utility of both primary and secondary carrier providers. The PCP also offers its RAN to facilitate the traded spectrum by the SCP and the cost of maintaining RAN for PCP is treated as overhead in the utility function. The

optimization framework in framing the utility expression is modeled below.

3.2 Optimization Framework for LTE-PCP & LTE-SCP

The valuation price (V^{RB_i}) for the resources owned by the PCP_i ($\forall i \in N_P$) at a particular time interval *t* is dictated by the quality of the channel, which in turn mainly depends on the signal to interference noise ratio (SINR) γ^{RB_i} and hence the achievable data rate. The SINR outlines a boundary how far the SCP_j can use the requested resources ($R_j^{RB_i}$) of PCP_i that can vary mainly due to noise level, fading and hardware capability of SCP_j . Let the total resource of a PCP be M^{RB_i} and maximum amount of RBs leased to the SCP_j be $m_{max}^{RB_i}$. The utility model for PCP and SCP needs to be formulated separately.

3.2.1 Utility function of PCP

The utility of a PCP_i is the function of it's own valuation price (V^{RB_i}) of retained RBs, offered price (P_j) by the SCP_j and loss it suffers in leasing its resources to the SCP_j . The objective function (U_{pcp}) for utility of PCP is formulated as

$$\max \sum_{i \in N_s} \left[V^{RB_i} \left(M^{RB_i} - m^{RB_i}_{max} \right) + p_j \, m^{RB_i}_{max} - \left(a^t_{mj} \, V^{RB_i}_{mmax} m^{RB_i}_{max} + L^i_{RAN} \right) \right] \tag{1}$$

Subjected to,

$$\sum_{j \in N_s} a_{mj}^t \le 1 \quad , \ |a_{mj}^t| \le 1 \tag{2}$$

$$M^{RB_i} > m_{max}^{RB_i} \tag{3}$$

$$U_{PCP_i} \ge U_{th} \tag{4}$$

Where a_{mj}^t is loss factor for the *m* RBs allocated to the *SCP_j* for a contract period τ and is linked with availability at *PCP_i*; L_{RAN}^i is the loss function due to RAN sharing.

The objective function defined in (1), includes three purposes that need to be optimized. The first term indicates the valuation of the total RBs left with the PCP_i in a given time. This represent the non-trading component of utility function of a PCP_i . The second term is the result of direct return from the short-term trading with SCP_j which depends on the prevailing market condition. The third term denotes total loss for a PCP_i in the process of trading. This includes overhead in maintaining the RAN to support the trading process with SCP_j .

3.2.2 Utility function of SCP

The utility of a SCP_j is a function of payoff $(R_j^{RB_i}, V^{RB_i}, \tau)$ attained in using the resources and price p_j paid to the PCP_i . The overhead represents the cost of signaling (S_o) in handing over surplus UEs to PCP under spectrum trading policy. Now the objective function (U_{scp}) for utility of SCP is defined as

$$max \sum_{j \in N_p} \left[\underbrace{\frac{R_j^{RB_i}}{N_{RB_j}} (\tau_j^r - \tau_i^a) log_2(1 + \gamma^{RB_i})}_{V^{\tilde{R}B_i}} - p_i R_j^{RB_i} - S_o \right]$$
(5)

Subjected to,

$$\gamma^{RB_i} \ge \gamma_{th}^{RB_i} \tag{6}$$

$$U_{SCP_j} \ge U_{th} \tag{7}$$

Where τ_j^r and τ_i^a are the requested and available contract period agreed by SCP_j and PCP_i . The quality of resources owned by PCP is measured in terms of $SINR^{RB_i}$ which should be greater than a predefined level. Each carrier provider defines its own threshold limit for its utility before entering into transaction.

The objective function for a SCP_j defined in (5) has three major component. The first term represents gain in terms of resource and hence data rate dictated by the channel quality on prevailing conditions. The second terms is loss due to payment at the end of trading process. The SCP_j need additional signaling mechanism to utilize the traded spectrum. Although the surplus resource demand at SCP_j is met through RAN of PCP_i , a suitable signaling method need to be maintained at SCP_j for seamless utilization of traded resources.

Symbols	Description
N_p	Number of primary carrier providers
N_s	Number of secondary carrier providers
W	Band width
М	Number of resource blocks
Т	Time
$m_{max}^{RB_i}$	Number of available free RBs
Т	Contract period
V^{RB_i}	Valuation price
γ^{RB_i}	Signal to interference noise ratio
$R_j^{RB_i}$	Number of requested resources
M^{RB_i}	Total resource of a PCP
P_{j}	Offered price
a_{mj}^t	Loss factor
L ⁱ _{RAN}	Loss function
S_o	Cost of signaling
U_{pcp}	Objective function for PCP
U_{scp}	Objective function for SCP
U _{min}	Minimum utility
$ au_j^r$	Requested contract period
$ au_i^a$	Available contract period
$U_{pcp_N}^{scp_N}$	Utility of PCP corresponding to N th SCP
$e_{N_s}^k$	A strategy by N_s^{th} SCP
S_{SCP}^{j}	A strategy by SCP
β	Learning rate

Table 1. List of Symbols

4. Development of Heuristic Algorithm

Based on the problem formulation discussed in Section3 for agreement in spectrum trading, we develop three heuristic algorithms based on the transaction model for trading policy. All the three approaches discussed here assume that in a given time SCP is in need of additional resource which can be served in the same cell covered by PCP. It is also assumed that both SCP and PCP are willing to participate and role change can happen i.e., the SCP may become PCP and vice versa in a given circumstance.

4.1 Utility Function Based Resource Sharing (UFRS)

In this approach, the PCP advertises its price, maximum available RBs and the contract period. Based on requirements, each SCP calculate its utility and if it satisfies minimum value it sends its requisition for the required resources and valuation price to the PCP. The PCP_i upon receiving requisition estimates its utility based on the valuation price, requirement and its system parameters. Now PCP evaluates offers from different SCP and then starts short listing SCPs for which it's utility (U_{PCP}) is maximum.

$$U_{PCP} = f_{max} \{ U_{pcp_1}^{scp_1}, U_{pcp_2}^{scp_2}, \dots, U_{pcp_N}^{scp_N} \}$$
(8)

 $U_{pCP} - I_{max} \{U_{pcp_1}, U_{pcp_2}, \dots, U_{pcp_N}\}$ (8) Where $U_{pcp_N}^{scp_N}$ is the utility of PCP corresponding to Nth SCP. If the requisition of resources by the SCPs is less than the maximum available unused resource then allocate all the resources else allocate the resources based on priority which is expressed as

$$R_{j}^{RB_{i}} = \begin{cases} a_{j}^{RB_{i}}, \ if \ R_{j}^{RB_{i}} \leq m_{max}^{RB_{i}} \\ a_{jpr}^{RB_{i}}, \ if \ R_{j}^{RB_{i}} \geq m_{max}^{RB_{i}} \\ \end{pmatrix} (9)$$

When the requisition of resources of all the SCPs are equal then allocation to the SCPs is carried out based on the Jain's fairness [24] given by

$$Fairness = \frac{\left|\sum_{j=1}^{N_s} a_j^{RB_i}\right|^{\wedge 2}}{N_s \cdot \sum_{j=1}^{N_s} a_j^{RB_i}}$$
(10)

Now the complete UFRS algorithm is listed in Table 2.

Table 2. UFRS Algorithm			
// Utility based trading of RBs among PCPs & SCPs			
1.	$ ext{PCP}_{ ext{i}} ext{ advertises } p_i$, $m_{max}^{RB_i}$ and $ au_{ ext{a}}$		
2.	Obtain $(R_j^{RB_i}, V^{RB_i}, \tau)$		
3.	for each SCP $j, j', j'', \forall j, j', j'' \in N_s$		
4.	for each PCP $i \in N_p$ do		
5.	Calculate utility U_{pcp} using (1)		
6.	Short list based on (8)		
7.	if $\sum_{j \in N_s} R_j^{RB_i} \le m_{max}^{RB_i}$		
8.	Allocate $a_j^{RB_i}$		
9.	$m_{max}^{RB_i} = m_{max}^{RB_i} - a_j^{RB_i}$		
10.	j = j + 1		
11.	else if		
12.	Calculate $R_j^{RB_i}$ using (9)		
13.	Allocate using (10)		
14.	end if		
15.	end for		
16.	end for		
17.	end for PCP _i		

4.2 Non Cooperative Resource Sharing (NCRS)

The NCRS algorithm does not require any cooperation among SCPs. After receiving advertisement from PCP each SCP sets an optimal strategy in obtaining the resources in using reinforcement learning. A non cooperative game consisting of "Players, Action and Reward" is formulated to deal with the contention among SCPs. The players here are the set of SCPs ($\forall j, j', j'' \in N_s$). The strategies based on game theory are the valuation price V^{RB_i} , requisition of resources (R^{RB_i}) and contract period τ ; and reward is the utility obtained using (5). The strategy in bidding the resources is based on reinforcement learning where the strategy made at the current time perioddepends on the previous trading experience. At time period *t* the set of all strategies selected by the SCPs are represented by the vector $(e_j^1 e_{j'}^2, e_{j''}^3 \dots e_{N_s}^k)$ and the strategy performed at time period t+1 is represented as

$$e_i^{M(t+1)} = e_i^{M(t)} + \beta \left(U_{SCP_i}^{t+1} - U_{SCP_i}^t \right)$$
(11)

Where $0 \le \beta \le 1$ is the learning rate. When β is zero, weight is assigned to the current strategy only, when the learning rate is one the action depends the previous trading experience. Now the NCRS algorithm is summarized in Table 3.

Table 3. Non	Cooperative	Resource	Sharing	(NCRS)
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// Bidding of RBs among SCPs
1. SCP estimates R^{RB_i}, V^{RB_i}, τ
2. for j^{th} strategy
3. S_{SCP}^{j} do set $e_{j}^{0_{t}}$
4. obtain $U_j^t \forall j, j', j'' \in N_s$
5. for <i>N</i> PCP
6. initiate transaction with PCP_i
7. if agreed
8. end
9. else approach next PCP_i
10. if $I > N_p$
11. Go to step 14
12. else
13. Go to step 5
14. end for
15. do set a new $e_j^{1_{t+1}}$ using (11)
16. obtain $U_{j'\neq j}^{*t+1} > U_{th}$
17. end if $j > k$
18. Go to step 5
19. end

4.3 Recommendation Entity based Resource Sharing(RERS)

In this approach a recommending entity is proposed which recommends a market price to all carrier providers. The carrier providers are not to exceed recommender's price in trading. We formulate using Nash bargaining game and the players here are the PCP (N_p) and the SCP (N_s). The Nash Bargaining confines the concept of efficiency and fairness [25.26]. The solution is to maximize the product of all the players utility over the minimum utility. The bargaining game personifies detailed bargain procedure, where a PCP starts the game by offering a price p and the SCP accepts or rejects. When the SCP accepts, both parties (PCP and SCP) obtain the utility using (1) and (5) respectively. The solution for bargaining game is expressed as

$$S = \max[\left(U_{PCP_i} - U_{min}\right)\left(U_{SCP_j} - U_{min}\right)]$$
(12)

Where U_{min} represent the minimum utility obtained by the PCP and SCP in bargaining of resources. The SCP upon rejecting re-offers a new $V_j^{RO_{RB_i}}$ at time period *t* and the game proceeds until the time deadline *t*+1. If disagreement is reached at time *t*+1 both *PCP_i* and *SCP_j* walk out and the bargaining game continues with other PCP and SCP. The algorithm is now shown in **Table 4**.

Table 4. RERS Algorithm

// Bargaining Algorithm at SCP j, $j \in N_s$		
1. for N_P PCP <i>i</i>		
2. for PCP $i, i \in N_P$ offers m_{max}^{RB} , p_i, τ_a		
3. Compute $U_{SCP_j}^t$ using (1)		
4. if $U_{SCP_j}^t > U_{th}$		
5. Obtain <i>S</i> using (12)		
6. else		
7. SCP_j do re-offers <i>new</i> $V_j^{RB_i}$ at time $t+1$		
8. check if accepted by PCP_i		
9. end		
10. if not accepted		
11. check if $i > N_P$		
12. end		
13. Go to step 2		
14. end		

5. SIMULATION RESULTS & DISCUSSION

The three proposed policies:UFRS, NCRS, and RERS were simulated and analyzed using LTE System level simulator [27]. The simulator set up at link level employ link-adaptation and resource allocation and pre generate many needed parameters mainly to minimize run time execution. The macroscopic path loss between an eNodeB sector and UE includes

distance based propagation loss and gain of the antenna. The shadow fading here is represented by a log-normal distribution of mean 0 dB and standard deviation 10 dB. The multiple input multiple output (MIMO) transmission modes enabling transmission diversity and open loop spatial multiplexing (OLSM)) were used for physical channel model which is based on simple zero forcing (ZF) receiver. The spatial layer which multiplexes different data stream basically maps symbol onto ports of transmit antenna. The adaptive modulation and coding (AMC) were incorporated with coding rates between 1/13 and 1 along with 4-QAM, 16-QAM and 64-QAM modulation techniques.

The spectrum sharing scenario was created with multiple primary carrier provider and secondary carrier providers each having a bandwidth of 5 MHz. To start with, the total number of resources available with each carrier providers was fixed at a minimum of 25 RBs. At a particular time period the PCP has free resources (say 8 RBs) leases its RBs to SCPs after serving its own UEs. The SCPs based on the trading policy acquires the RBs from a PCP and then utilize this resource to its own UEs through RAN of PCP. Table 5 lists the main simulation parameters.

Parameter	Values		
Frequency band	2.14 GHz		
Bandwidth	20 MHz		
No: of RBs	100		
Subcarriers/ RBs	12		
Subcarrier spacing	15 KHz		
Length of TTI	1 ms		
Modulation & Coding	QPSK,16-QAM,64-QAM		
levels			
Macroscopic path Loss	TS36942, Urban		
Minimum Coupling Loss	70		
Transmit Mode	CLSM		
Antenna azimuth offset	30		
Shadowing	Log-normal distribution		
Channel Model	Winner		
Scheduler	Proportional Fair		
Number of eNodeB	57		
Sectors			
UE per Sector	10		
Learning Rate	0.8		

Table 5. Simulation Parameters

5.1 UE throughput

Mapping between the UE wideband SINR and the throughput achieved by each UE moving at 5 km/h for three policies is plotted in **Fig. 3**. The SINR values over the dynamic range of -15 dB to 30 dB. The UE throughput(**Fig. 4**) as empirical cumulative distribution function (ECDF) for each proposed algorithm, were observed in a typical LTE environment. The mean value is marked in the CDF as a black dot. The ECDF of UFRS and RERS follows closely and rate of change is relatively higher for UFRS which is attributed to the simplified trading procedure in UFRS.

The mean and peak UE throughput for the UFRS, NCRS, and RERSare shown in **Fig. 5** and values are listed in Table6 along with fairness index. The reason for higher peak and average throughput in NCRS could be the adoption of the reinforcement learning method with Nash equilibrium. Another advantage with NCRS is higher level of fairness. Furthermore, the NRCS policy does not require any co-operation among the SCPs. It is interesting to note that the ECDF of throughput observed during simulation for UFRS follows a steeper curve than NCRS (**Fig. 4**). The RERS policy could be an intermedia choice but it requires a third party as a recomender entity.

Parameters	UFRS	NCRS	RERS
Fairness Index	0.607	0.774	0.64
Peak UE Throughput	2.56 Mb/s	4.48 Mb/s	3.07 Mb/s
AverageUEThroughput	1.07 Mb/s	2.24 Mb/s	1.31 Mb/s

Table 6. Comparison of Fairness, Peak and Average Throughput

5.2 Spectral Efficiency

The ECDF of the spectral efficiency of three approaches were observed during simulation. All the three policies show the similar pattern of spectral efficiency (**Fig. 6**). The adaptive modulation and coding techniqe were adopted by the LTE system simulator based on the prevailing scenario. To implement AMC, although the coding rates were varried between 1/13 and 1, the order of modulationwere chosen among 4-QAM, 16-QAM and 64-QAM based on the channel conditions. The small variation in spectral efficiency for the UFRS, NCRS, and RERS policy could be attributed to their data stream handling process. As can be seen in **Fig. 6**, the ECDF of spectral efficiency follows an usual pattern for all three proposed policies, thereby justifying the effectiveness of these techniques for dynamic spectrum access.



Fig. 3. SINR to throughput mapping



Fig. 6. The ECDF of spectral efficiency

6. Conclusion

To facilitate short term spectrum trading at system level three policies were formulated, simulated and analyzed for the future LTE based cognitive radio systems. The incentives to different trading policies were based on estimation of utility of each player. When there is a co-operation among PCPs and SCPs (UFRS algorithm), it results in higher throughput. The third policy (RERS algorithm) was formulated on Nash Bargaining game. A further analysis and formulation is needed that will guarantee Nash equilibrium among players. All the three proposed policies were targeted in achieving higher overall throughput and resource utilization thereby benefiting each carrier provider. This could be highly desirable in future wireless networks as demand of radio resources by high end user equipment and its applications varies in a given time and location. Another future work could be the use of higher degree of learning to evaluate and adapt in a given scenario.

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