

## CURVES OF MAXIMAL GENUS ON SURFACE SCROLLS

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ABSTRACT. We investigate a minimal set of generators of a homogeneous ideal of a curve of degree  $d$  on a linearly normal smooth surface scroll  $W$  in  $\mathbf{P}^r$  whose arithmetic genus is maximal among curves of degree  $d$  on  $W$ .

### 1. Introduction

For given two integers  $d, r$  with  $d \geq r \geq 3$ , Castelnuovo [2] proved that there is an upper bound  $\pi(d, r)$  for the arithmetic genus of irreducible, nondegenerate curves of degree  $d$  in  $\mathbf{P}^r$ . Here  $\pi(d, r)$  is given by

$$\pi(d, r) = \binom{m}{2}(r-1) + m\epsilon$$

where  $d = m(r-1) + \epsilon + l$ ,  $\epsilon = 0, \dots, r-2$ . He also classified curves for which the bound is attained.

In this paper we will investigate a curve lying on a linearly normal smooth surface scroll  $W$  in  $\mathbf{P}^r$  whose arithmetic genera are maximal among curves of degree  $d$  on  $W$ ; Theorem 2.3. Especially we are interested in a minimal set of generators of homogeneous ideals of such curves; Proposition 2.5.

Every linearly normal surface scroll in  $\mathbf{P}^r$  is the image of the unique ruled surface by an embedding defined by a unisecant linear series on the ruled surface. So a degree of a curve on  $W$  is given by a fixed ample divisor  $H$  on the ruled surface which gives the embedding of the ruled surface into  $\mathbf{P}^r$ . So the paper deals with a curve on a ruled surface with a fixed ample divisor on the ruled surface.

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**2. Generators of homogeneous ideals of maximal curves**

Let  $Y$  be a smooth curve of genus  $g_y \geq 1$ . Let  $\pi : \mathbf{P}(\mathcal{E}) \rightarrow Y$  be a ruled surface over  $Y$  with the  $e$ -invariant  $e$  and let  $S_0$  be a *minimal degree section* of  $\mathbf{P}(\mathcal{E})$ , that is,  $S_0$  is the section of  $\mathbf{P}(\mathcal{E})$  with  $S_0^2 = -e$ . Assume that  $\mathcal{E}_0 = \mathcal{E} \otimes \mathcal{O}_Y(-N)$  is normalized. Setting  $n = \deg N$  and  $\mathcal{O}_Y(B) = \det \mathcal{E}$  with  $b = \deg B$ , we have

$$(2.1) \quad e = -\deg \mathcal{E}_0 = 2n - b.$$

Throughout this paper, fix a divisor  $Z \in \text{Div}(Y)$  with

$$z := \deg Z \geq \max\{2g_y + 1, 2g_y + 1 + e\}.$$

and set

$$H = S_0 + \pi^*Z \text{ and } r = \dim |H|.$$

The  $H$ -degree of a curve  $X \subset \mathbf{P}(\mathcal{E})$  is defined by the intersection number  $X.H$ .

LEMMA 2.1. *The linear series  $|H|$  on  $\mathbf{P}(\mathcal{E})$  is very ample and*

$$(2.2) \quad r = \dim |H| = -e + 2z - 2g_y + 1.$$

*Proof.* By [3, V, Ex. 2.11], the linear series  $|H|$  is very ample. We now count  $h^0(\mathbf{P}(\mathcal{E}), H)$ . Since  $\pi_*(\mathcal{O}_{\mathbf{P}(\mathcal{E})}(S_0)) \cong \mathcal{E}_0$  by [3, V, 2.4], it follows by the projection formula that

$$\begin{aligned} h^0(\mathbf{P}(\mathcal{E}), H) &= h^0(Y, \mathcal{E}_0 \otimes \mathcal{O}_Y(Z)) \\ &= \deg(\mathcal{E}_0 \otimes \mathcal{O}_Y(Z)) - 2(g_y - 1) + h^1(Y, \mathcal{E}_0 \otimes \mathcal{O}_Y(Z)) \\ &= -e + 2z - 2g_y + 2 + h^0(Y, \mathcal{E}_0^\vee \otimes \mathcal{O}_Y(K_Y - Z)). \end{aligned}$$

It is enough to prove that  $h^0(Y, \mathcal{E}_0^\vee \otimes \mathcal{O}_Y(K_Y - Z)) = 0$ . Note that we have

$$\mathcal{E}_0^\vee \cong \mathcal{E}_0 \otimes \det \mathcal{E}_0^{-1} \cong \mathcal{E}_0 \otimes (\det \mathcal{E}^{-1} \otimes \mathcal{O}_Y(2N)) = \mathcal{E}_0 \otimes \mathcal{O}_Y(-B + 2N);$$

hence, it follows that

$$h^0(Y, \mathcal{E}_0^\vee \otimes \mathcal{O}_Y(K_Y - Z)) = h^0(Y, \mathcal{E}_0 \otimes \mathcal{O}_Y(K_Y - Z - B + 2N)).$$

By Equation (2.1) and the assumption  $z - e \geq 2g_y + 1$ , we have

$$\deg(K_Y - Z - B + 2N) = 2g_y - 2 - (z - e) < 0;$$

however,  $\mathcal{E}_0$  is normalized, hence

$$h^0(Y, \mathcal{E}_0 \otimes \mathcal{O}_Y(K_Y - Z - B + 2N)) = 0.$$

□

REMARK 2.2. Let

$$\phi_H : \mathbf{P}(\mathcal{E}) \hookrightarrow \mathbf{P}^r$$

be an embedding defined by  $|H|$  and set

$$W = \phi_H(\mathbf{P}(\mathcal{E})).$$

Since  $H^2 = -e + 2z$ , the surface scroll  $W$  is of degree  $(r - 1 + 2g_y)$  in  $\mathbf{P}^r$ .

THEOREM 2.3. *The maximal arithmetic genus of curves of degree  $d$  lying on  $W = \phi_H(\mathbf{P}(\mathcal{E}))$  in  $\mathbf{P}^r$  is equal to*

$$(2.3) \quad \binom{m}{2}(r - 1 + 2g_y) + m\varepsilon + g_y,$$

where

$$(2.4) \quad m = \left\lfloor \frac{d - 1 + g_y}{r - 1 + 2g_y} \right\rfloor$$

is the greatest integer not exceeding  $(d - 1 + g_y)/(r - 1 + 2g_y)$  and

$$\varepsilon = (d - 1 + g_y) - (r - 1 + 2g_y)m.$$

*Proof.* Let  $X$  be a curve on  $\mathbf{P}(\mathcal{E})$  of  $H$ -degree  $d$ . Set  $X \sim iS_0 + \pi^*J$  for some  $J \in \text{Div}(Y)$ . We have

$$d = X.H = -ie + iz + j,$$

where  $j = \deg J$ . By the adjunction formula, we get

$$p_a(X) = (i - 1) \left( d - 1 + g_y - \frac{i}{2}(r - 1 + 2g_y) \right) + g_y.$$

An elementary calculation shows that  $p_a(X)$  is maximized for fixed  $d$  and  $r$  when

$$i = \left\lfloor \frac{d - 1 + g_y}{r - 1 + 2g_y} \right\rfloor + 1.$$

□

REMARK 2.4. If  $X \subset \mathbf{P}(\mathcal{E})$  is a curve of  $H$ -degree  $d$  with

$$p_a(X) = \binom{m}{2}(r - 1 + 2g_y) + m\varepsilon + g_y,$$

then we have

$$(2.5) \quad X \sim (m + 1)H + \pi^*(J - (m + 1)Z).$$

Note that

$$(2.6) \quad \deg(J - (m + 1)Z) = -r + \varepsilon + 2 - 3g_y.$$

PROPOSITION 2.5. *Assume that  $z \geq 3+e$  if  $g_y = 1$  and  $z \geq \max\{3g_y + e, 3g_y + \frac{e}{2}\}$  if  $g_y \geq 2$ . Let  $X \subset \mathbf{P}(\mathcal{E})$  be a curve of  $H$ -degree  $d$  with the maximal arithmetic genus*

$$p_a(X) = \binom{m}{2}(r - 1 + 2g_y) + m\varepsilon.$$

Let  $I \subset \mathbb{C}[X_0, \dots, X_r]$  be the homogeneous ideal defining  $X$  under the embedding by  $|H|$ . If  $|(m + 1)Z - J|$  is base-point-free, then a minimal set of generators for  $I$  consists of quadrics and polynomials of degree  $m + 1$ . On the other hand, if  $|(m + 1)Z - J|$  has a base point, then a minimal set of generators for  $I$  consists of quadrics, polynomials of degree  $m + 1$ , and, in addition, polynomials of degree  $m + 2$ .

REMARK 2.6. By [4] and the assumption on the degree  $z$ , the very ample linear series  $|H|$  satisfies  $N_1$  property, that is, the embedding  $\phi_H$  is a projectively normal embedding and the homogeneous ideal of  $W$  is generated by quadrics.

We divide the proof of Proposition 2.5 into the following three lemmas.

LEMMA 2.7. *Every hypersurface of degree  $l \leq m$  containing  $X$  contains  $W$ .*

*Proof.* Consider the short exact sequence of ideal sheaves

$$0 \rightarrow \mathcal{I}_{W, \mathbf{P}^r}(l) \rightarrow \mathcal{I}_{X, \mathbf{P}^r}(l) \rightarrow \mathcal{I}_{X, W}(l) \rightarrow 0.$$

Since  $l < m + 1$ , we have

$$\begin{aligned} H^0(W, \mathcal{I}_{X, W}(l)) &= H^0(\mathbf{P}(\mathcal{E}), -X + lH) \\ &= H^0(\mathbf{P}(\mathcal{E}), (l - m - 1)S_0 + \pi^*(lZ - J)) = 0. \end{aligned}$$

Therefore

$$H^0(\mathbf{P}^r, \mathcal{I}_{X, \mathbf{P}^r}(l)) = H^0(\mathbf{P}^r, \mathcal{I}_{W, \mathbf{P}^r}(l))$$

for  $l \leq m$ . □

LEMMA 2.8. *Under the hypothesis of Proposition 2.5, modulo the ideal of  $W$ , there are exactly  $h^0(Y, (m + 1)Z - J)$  linearly independent hypersurfaces of degree  $m + 1$  containing  $X$ .*

*Proof.* By Remark 2.6, we have

$$H^1(\mathbf{P}^r, \mathcal{I}_{W, \mathbf{P}^r}(m + 1)) = 0.$$

From the short exact sequence of ideal sheaves, we have

$$0 \rightarrow H^0(\mathbf{P}^r, \mathcal{I}_{W, \mathbf{P}^r}(m+1)) \rightarrow H^0(\mathbf{P}^r, \mathcal{I}_{X, \mathbf{P}^r}(m+1)) \rightarrow H^0(W, \mathcal{I}_{X, W}(m+1)) \rightarrow 0.$$

Since

$$H^0(W, \mathcal{I}_{X, W}(m+1)) \cong H^0(Y, (m+1)Z - J),$$

the proof is done. □

LEMMA 2.9. *Under the hypothesis of Proposition 2.5, the natural map*

$$H^0(W, \mathcal{I}_{X, W}(m+2)) \otimes H^0(W, \mathcal{O}_W(\alpha)) \rightarrow H^0(W, \mathcal{I}_{X, W}(m+2+\alpha))$$

is surjective for any  $\alpha > 0$ . Furthermore, if  $|(m+1)Z - J|$  is base-point-free, then the natural map

$$H^0(W, \mathcal{I}_{X, W}(m+1)) \otimes H^0(W, \mathcal{O}_W(\alpha)) \rightarrow H^0(W, \mathcal{I}_{X, W}(m+1+\alpha))$$

is also surjective for any  $\alpha > 0$ .

*Proof.* Since

$$\mathcal{I}_{X, W}(m+2) \cong \mathcal{O}_{\mathbf{P}(\mathcal{E})}(S_0 + \pi^*((m+2)Z - J)),$$

we have to prove that the map

$$\begin{aligned} H^0(\mathbf{P}(\mathcal{E}), S_0 + \pi^*((m+2)Z - J)) \otimes H^0(\mathbf{P}(\mathcal{E}), \alpha S_0 + \pi^*(\alpha Z)) \\ \longrightarrow H^0(\mathbf{P}(\mathcal{E}), (\alpha+1)S_0 + \pi^*((m+2)Z - J + \alpha Z)) \end{aligned}$$

is surjective. Hence, it is equivalent to prove that the following map is surjective:

$$\begin{aligned} H^0(Y, \mathcal{E}_0 \otimes \mathcal{O}_Y((m+2)Z - J)) \otimes H^0(Y, \text{sym}^\alpha \mathcal{E}_0 \otimes \mathcal{O}_Y(\alpha Z)) \\ \longrightarrow H^0(Y, \mathcal{E}_0 \otimes \text{sym}^\alpha \mathcal{E}_0 \otimes \mathcal{O}_Y((m+2)Z - J + \alpha Z)). \end{aligned}$$

For this, we have the following result:

LEMMA 2.10 ([1, Proposition 2.2]). *Let  $\mathcal{F}$  and  $\mathcal{G}$  be vector bundles over  $Y$  with  $\mathcal{F}$  generated by global sections. Let  $\mu^-(\mathcal{L}) := \min\{\mu(\mathcal{Q}) : \mathcal{L} \rightarrow \mathcal{Q} \rightarrow 0\}$ , where  $\mu(\mathcal{Q}) = \text{deg } \mathcal{Q} / \text{rank } \mathcal{Q}$ . If*

1.  $\mu^-(\mathcal{G}) > 2g_y$  and
2.  $\mu^-(\mathcal{G}) > 2g_y + \text{rank}(\mathcal{F})(2g_y - \mu^-(\mathcal{F})) - 2h^1(Y, \mathcal{F})$ ,

then the natural multiplication map

$$\tau : H^0(Y, \mathcal{F}) \otimes H^0(Y, \mathcal{G}) \rightarrow H^0(Y, \mathcal{F} \otimes \mathcal{G}),$$

is surjective.

Set  $\mathcal{F} = \mathcal{E}_0 \otimes \mathcal{O}_Y((m + 2)Z - J)$  and  $\mathcal{G} = \text{sym}^\alpha \mathcal{E}_0 \otimes \mathcal{O}_Y(\alpha Z)$ . First, we will show that  $\mathcal{F}$  is generated by global sections. By [1, Lemma 1.12], a vector bundle  $\mathcal{L}$  on  $Y$  is generated by global sections if  $\mu^-(\mathcal{L}) \geq 2g_y$ ; hence it is enough to show that

$$\mu^-(\mathcal{F}) \geq 2g_y.$$

Note that  $\mu^-(\mathcal{E}_0) = -e$  if  $e \geq 0$  and  $\mu^-(\mathcal{E}_0) = -\frac{e}{2}$  if  $e < 0$ ; cf. [4, §2.4]. From [1, Lemma 2.5], we have  $\mu^-(\mathcal{L} \otimes \mathcal{M}) = \mu^-(\mathcal{L}) + \mu^-(\mathcal{M})$  for vector bundles  $\mathcal{L}$  and  $\mathcal{M}$  on  $Y$ . Therefore we have

$$\begin{aligned} \mu^-(\mathcal{F}) &= \mu^-(\mathcal{E}_0) + \mu^-(\mathcal{O}_Y((m + 2)Z - J)) \\ &= \begin{cases} -e + r - \varepsilon - 2 + 3g_y + z & \text{if } e \geq 0, \\ -\frac{e}{2} + r - \varepsilon - 2 + 3g_y + z & \text{if } e < 0 \end{cases} \quad \text{by Equation (2.6)} \\ &\geq \begin{cases} -e + g_y + z & \text{if } e \geq 0, \\ -\frac{e}{2} + g_y + z & \text{if } e < 0 \end{cases} \quad \text{since } \varepsilon \leq r - 2 + 2g_y \\ &\geq 2g_y. \quad \text{by the assumption on } z \end{aligned}$$

Second, we will prove that  $\mu^-(\mathcal{G}) > 2g_y$ . From [1, Lemma 2.5], we have  $\mu^-(\text{sym}^\alpha \mathcal{E}_0) = \alpha\mu^-(\mathcal{E}_0)$ . Therefore we have

$$\begin{aligned} \mu^-(\mathcal{G}) &= \alpha\mu^-(\mathcal{E}_0) + \mu^-(\mathcal{O}_Y(\alpha Z)) \\ &= \begin{cases} -\alpha e + \alpha z & \text{if } e \geq 0, \\ -\alpha \cdot \frac{e}{2} + \alpha z & \text{if } e \leq -1 \end{cases} \\ &> 2g_y. \end{aligned}$$

Finally, since  $2g_y - \mu^-(\mathcal{F}) \leq 0$ , the condition (2) of Lemma 2.10 holds. So far, we proved the first assertion of Lemma 2.9.

For the second assertion, we have

$$\mathcal{I}_{X,W}(m + 1) \cong \mathcal{O}_{\mathbf{P}(\mathcal{E})}(\pi^*((m + 1)Z - J));$$

hence it is equivalent to prove that the following map is surjective:

$$\begin{aligned} H^0(Y, (m + 1)Z - J) \otimes H^0(Y, \text{sym}^\alpha \mathcal{E}_0 \otimes \mathcal{O}_Y(\alpha Z)) \\ \longrightarrow H^0(Y, \text{sym}^\alpha \mathcal{E}_0 \otimes \mathcal{O}_Y((m + 1)Z - J + \alpha Z)). \end{aligned}$$

Set  $\mathcal{F} = \mathcal{O}_Y((m + 1)Z - J)$  and  $\mathcal{G} = \text{sym}^\alpha \mathcal{E}_0 \otimes \mathcal{O}_Y(\alpha Z)$ . Since we assumed that  $\mathcal{F}$  is generated by global sections, the second assertion also follows by Lemma 2.10.  $\square$

REMARK 2.11. It is clear that the natural map

$$H^0(W, \mathcal{I}_{X,W}(m+1)) \otimes H^0(W, \alpha H) \rightarrow H^0(W, \mathcal{I}_{X,W}(m+1+\alpha))$$

cannot be surjective if  $|(m+1)Z - J|$  has a base point.

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