

Fekete-Szegő Problem and Upper Bound of Second Hankel Determinant for a New Class of Analytic Functions

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ABSTRACT. In the present investigation we consider Fekete-Szegő problem with complex parameter μ and also find upper bound of the second Hankel determinant $|a_2a_4 - a_3^2|$ for functions belonging to a new class $S_\gamma^\tau(A, B)$ using Toeplitz determinants.

1. Introduction and Preliminaries

Let \mathcal{A} denote the class of functions of the form

$$(1.1) \quad f(z) = z + \sum_{k=2}^{\infty} a_k z^k$$

which are analytic in the open unit disk $\mathbb{U} = \{z : z \in \mathbb{C} \text{ and } |z| < 1\}$ and \mathcal{S} denote the subclass of \mathcal{A} that are univalent in \mathbb{U} . Further, let \mathcal{P} be the family of functions $p(z) \in \mathcal{H}$ (class of analytic function in \mathbb{U}) satisfying $p(0) = 1$ and $\Re(p(z)) > 0$.

If $f, g \in \mathcal{H}$, then the function f is said to be subordinate to g , written as $f(z) \prec g(z)$ ($z \in \mathbb{U}$), if there exists a Schwarz function $w \in \mathcal{H}$ with $w(0) = 0$ and $|w(z)| < 1$ ($z \in \mathbb{U}$) such that $f(z) = g(w(z))$.

In particular, if g is univalent in \mathbb{U} , then we have the following equivalence:

$$f(z) \prec g(z) \iff f(0) = g(0) \text{ and } f(\mathbb{U}) \subset g(\mathbb{U}).$$

We now introduce the following class of functions.

Definition 1.1. Let $0 \leq \gamma \leq 1$, $\tau \in \mathbb{C} \setminus \{0\}$. A function $f \in \mathcal{A}$ is said to be in the class $S_\gamma^\tau(A, B)$, if

$$(1.2) \quad 1 + \frac{1}{\tau} \left[(1 - \gamma) \frac{f(z)}{z} + \gamma f'(z) - 1 \right] \prec \frac{1 + Az}{1 + Bz} \quad (-1 \leq B < A \leq 1; z \in \mathbb{U}),$$

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which is equivalent to saying that

$$(1.3) \quad \left| \frac{(1-\gamma)\frac{f(z)}{z} + \gamma f'(z) - 1}{\tau(A-B) - B[(1-\gamma)\frac{f(z)}{z} + \gamma f'(z) - 1]} \right| < 1.$$

The class $S_\gamma^\tau(A, B)$ is essentially motivated by Swaminathan [25]. We list few particular cases of this class discussed in the literature

[1] $S_\gamma^\tau(1-2\beta, -1) = P_\gamma^\tau(\beta)$ for $0 \leq \beta < 1$, $\tau = \mathbb{C} \setminus \{0\}$ is discussed recently by Swaminathan [25].

[2] The class $S_\gamma^\tau(1-2\beta, -1)$ for $\tau = e^{i\eta} \cos \eta$ where $-\pi/2 < \eta < \pi/2$ is considered in [11].

[3] The class $S_1^\tau(1-2\beta, -1)$ is considered in [3].

[4] The class $S_1^\tau(A, B) = \mathcal{R}^\tau(A, B)$ is considered in [6].

[5] The class $S_1^\tau(A, B) = \mathcal{R}_0^\tau(A, B)$ is considered in [1, 2].

For more details about these classes see the corresponding references.

Fekete and Szegő proved a noticeable result that the estimate

$$(1.4) \quad |a_3 - \lambda a_2^2| \leq 1 + 2 \exp\left(\frac{-2\lambda}{1-\lambda}\right)$$

holds for any normalized univalent function $f(z)$ of the form (1.1) in the open unit disk \mathbb{U} and for $0 \leq \lambda \leq 1$. This inequality is sharp for each λ (see [5]). The coefficient functional

$$(1.5) \quad \phi_\lambda(f) = a_3 - \lambda a_2^2 = \frac{1}{6} \left(f'''(0) - \frac{3\lambda}{2} [f''(0)]^2 \right),$$

on normalized analytic functions f in the unit disk represents various geometric quantities, for example, when $\lambda = 1$, $\phi_\lambda(f) = a_3 - \lambda a_2^2$, becomes $S_f(0)/6$, where S_f denote the Schwarzian derivative $(f''/f')' - (f''/f')^2/2$ of locally univalent functions f in \mathbb{U} . In literature, there exists a large number of results about inequalities for $\phi_\lambda(f)$ corresponding to various subclasses of \mathcal{S} . The problem of maximizing the absolute value of the functional $\phi_\lambda(f)$ is called the Fekete-Szegő problem; see [5]. In [12], Koepf solved the Fekete-Szegő problem for close-to-convex functions and the largest real number λ for which $\phi_\lambda(f)$ is maximized by the Koebe function $z/(1-z)^2$ is $\lambda = 1/3$, and later in [13] (see also [15]), this result was generalized for functions that are close-to-convex of order β . One can see [1], [16] and [24] for result concerning to Fekete-Szegő problem for other classes.

In 1976, Noonan and Thomas [19] discussed the q^{th} Hankel determinant of a locally univalent analytic function $f(z)$ for $q \geq 1$ and $n \geq 1$ which is defined by

$$H_q(n) = \begin{vmatrix} a_n & a_{n+1} & \cdots & a_{n+q-1} \\ a_{n+1} & a_{n+2} & \cdots & a_{n+q} \\ \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot \\ a_{n+q-1} & a_{n+q} & \cdots & a_{n+2q-2} \end{vmatrix}.$$

For our present discussion, we consider the Hankel determinant in the case $q = 2$ and $n = 2$ i.e. $H_2(2) = a_2a_4 - a_3^2$. This is popularly known as the second Hankel determinant of f .

In the present paper we obtain an upper bound to the functional $H_2(2)$ for $f(z) \in S_\gamma^r(A, B)$. Earlier Janteng et al. [8, 9], Mishra and Gochhayat [17], Mishra and Kund [18], Bansal [2] and many other author have obtained sharp upper bounds of $H_2(2)$ for different classes of analytic functions. To prove our main results we need the following Lemma:

Lemma 1.1. ([23]) *Let*

$$(1.6) \quad h(z) = 1 + \sum_{n=1}^{\infty} c_n z^n \prec 1 + \sum_{n=1}^{\infty} C_n z^n = H(z) \quad (z \in \mathbb{U}).$$

If the function H is univalent in \mathbb{U} and $H(\mathbb{U})$ is a convex set, then

$$(1.7) \quad |c_n| \leq |C_1|.$$

Lemma 1.2. ([4]) *Let a function $p \in \mathcal{P}$ be given by the series*

$$(1.8) \quad p(z) = 1 + c_1 z + c_2 z^2 + c_3 z^3 + \dots \quad (z \in \mathbb{U}),$$

then, the sharp estimate

$$(1.9) \quad |c_n| \leq 2 \quad (n \in \mathbb{N}),$$

holds.

Lemma 1.3. ([10, 14]) *Let $p \in \mathcal{P}$ be given by the power series (1.8), then for any complex number μ ,*

$$(1.10) \quad |c_2 - \mu c_1^2| \leq 2 \max\{1, |2\mu - 1|\}$$

and the result is sharp for the functions given by

$$(1.11) \quad p(z) = \frac{1+z^2}{1-z^2}; \quad p(z) = \frac{1+z}{1-z} \quad (z \in \mathbb{U}).$$

Lemma 1.4. ([7]) *Let a function $p \in \mathcal{P}$ be given by the power series (1.8), then*

$$(1.12) \quad 2c_2 = c_1^2 + x(4 - c_1^2)$$

for some x , $|x| \leq 1$, and

$$(1.13) \quad 4c_3 = c_1^3 + 2(4 - c_1^2)c_1x - c_1(4 - c_1^2)x^2 + 2(4 - c_1^2)(1 - |x|^2)z,$$

for some z , $|z| \leq 1$.

2. Main Results

We first give the following result related to the coefficient of $f(z) \in S_\gamma^\tau(A, B)$.

Theorem 2.1. *Let $f(z) \in \mathcal{A}$ is of the form (1.1). If $f(z)$ belongs to the class $S_\gamma^\tau(A, B)$, then*

$$(2.1) \quad |a_n| \leq \frac{|\tau|(A-B)}{[1 + \gamma(n-1)]} \quad (n \in \mathbb{N} \setminus \{1\}).$$

Proof. If $f(z)$ of the form (1.1) belongs to in $S_\gamma^\tau(A, B)$, then by definition

$$(2.2) \quad 1 + \frac{1}{\tau} \left[(1 - \gamma) \frac{f(z)}{z} + \gamma f'(z) - 1 \right] \prec \frac{1 + Az}{1 + Bz} = h(z) \quad (-1 \leq B < A \leq 1; z \in \mathbb{U}),$$

where $h(z)$ is obviously convex univalent in \mathbb{U} under the stated conditions on A and B . Using (1.1) and doing Binomial expansion of $(1 + Bz)^{-1}$ in (2.2), we have

$$\begin{aligned} & 1 + \frac{1}{\tau} \left[(1 - \gamma) \frac{f(z)}{z} + \gamma f'(z) - 1 \right] \\ &= 1 + \sum_{n=1}^{\infty} \frac{(1 + n\gamma)}{\tau} a_{n+1} z^n \prec 1 + (A - B)z - B(A - B)z^2 + \dots (z \in \mathbb{U}). \end{aligned}$$

Now, by applying Lemma 1.1, we get the desired result. \square

It is easy to derive a sufficient condition for $f(z)$ to be in $S_\gamma^\tau(A, B)$ using standard techniques (see [22]). Hence we state the following result without proof.

Theorem 2.2. *Let $f(z) \in \mathcal{A}$. Then a sufficient condition for $f(z)$ to be in $S_\gamma^\tau(A, B)$ is*

$$(2.3) \quad \sum_{n=2}^{\infty} [1 + \gamma(n-1)] |a_n| \leq \frac{|\tau|(A-B)}{|B| + 1}.$$

In the next two theorems we give the result concerning Fekete-Szegő problem and upper bound of Hankel determinant for the class $S_\gamma^\tau(A, B)$.

Theorem 2.3. *Let a function $f(z)$ be given by (1.1) belongs to the class $S_\gamma^\tau(A, B)$, where*

$$(2.4) \quad 0 \leq \gamma \leq 1, \tau \in \mathbb{C} \setminus \{0\}, -1 \leq B < A \leq 1; z \in \mathbb{U},$$

then for any complex number μ

$$(2.5) \quad |a_3 - \mu a_2^2| \leq \frac{(A-B)|\tau|}{(1+2\gamma)} \max \left\{ 1, \left| B + \frac{\mu\tau(A-B)(1+2\gamma)}{(1+\gamma)^2} \right| \right\}.$$

The result is sharp.

Proof. If $f(z) \in S_\gamma^\tau(A, B)$, then there exists a Schwarz function $w(z)$ analytic in \mathbb{U} with $w(0) = 0$ and $|w(z)| < 1$ in \mathbb{U} , such that

$$(2.6) \quad 1 + \frac{1}{\tau} \left[(1 - \gamma) \frac{f(z)}{z} + \gamma f'(z) - 1 \right] = \phi(w(z)) \quad (z \in \mathbb{U}).$$

where

$$(2.7) \quad \begin{aligned} \phi(z) &= \frac{1 + Az}{1 + Bz} = 1 + (A - B)z - B(A - B)z^2 + B^2(A - B)z^3 + \dots \\ &= 1 + B_1z + B_2z^2 + B_3z^3 + \dots \quad (z \in \mathbb{U}). \end{aligned}$$

Define the function $p_1(z)$ by

$$(2.8) \quad p_1(z) = \frac{1 + w(z)}{1 - w(z)} = 1 + c_1z + c_2z^2 + \dots \quad (z \in \mathbb{U}).$$

Since $w(z)$ is a Schwarz function, we see that $\Re p_1(z) > 0$ and $p_1(0) = 1$. Define the function $h(z)$ by

$$(2.9) \quad h(z) = 1 + \frac{1}{\tau} \left[(1 - \gamma) \frac{f(z)}{z} + \gamma f'(z) - 1 \right] = 1 + b_1z + b_2z^2 + \dots \quad (z \in \mathbb{U}).$$

In view of the equations (2.6), (2.8) and (2.9), we have

$$(2.10) \quad h(z) = \phi \left(\frac{p_1(z) - 1}{p_1(z) + 1} \right) = \phi \left(\frac{c_1z + c_2z^2 + c_3z^3 + \dots}{2 + c_1z + c_2z^2 + c_3z^3 + \dots} \right)$$

$$(2.11) \quad = \phi \left(\frac{1}{2}c_1z + \frac{1}{2}(c_2 - c_1^2/2)z^2 + \frac{1}{2}(c_3 - c_1c_2 + c_1^3/4)z^3 + \dots \right)$$

$$(2.12) \quad \begin{aligned} &= 1 + \frac{B_1c_1}{2}z + \left[\frac{B_1}{2}(c_2 - c_1^2/2) + \frac{B_2c_1^2}{4} \right] z^2 \\ &+ \left[\frac{B_1}{2}(c_3 - c_1c_2 + c_1^3/4) + \frac{B_2c_1}{2}(c_2 - c_1^2/2) + \frac{B_3c_1^3}{8} \right] z^3 + \dots \end{aligned}$$

Thus,

$$(2.13) \quad b_1 = \frac{1}{2}B_1c_1; \quad b_2 = \frac{1}{2}B_1(c_2 - c_1^2/2) + \frac{1}{4}B_2c_1^2$$

and

$$(2.14) \quad b_3 = \frac{B_1}{2} (c_3 - c_1 c_2 + c_1^3/4) + \frac{B_2 c_1}{2} (c_2 - c_1^2/2) + \frac{B_3 c_1^3}{8}.$$

Using (2.7) and (2.9) in (2.13) and (2.14), we obtain

$$(2.15) \quad a_2 = \frac{(A-B)c_1\tau}{2(1+\gamma)}; \quad a_3 = \frac{\tau(A-B)}{4(1+2\gamma)} [2c_2 - c_1^2(1+B)]$$

and

$$(2.16) \quad a_4 = \frac{\tau(A-B)}{8(1+3\gamma)} [4c_3 - 4c_1 c_2(1+B) + c_1^3(1+B)^2].$$

Therefore we have

$$(2.17) \quad a_3 - \mu a_2^2 = \frac{(A-B)\tau}{2(1+2\gamma)} [c_2 - \nu c_1^2],$$

where

$$(2.18) \quad \nu = \left(1 + B + \frac{\mu\tau(A-B)(1+2\gamma)}{(1+\gamma)^2} \right).$$

Our result now follows by an application of Lemma 1.2. Also by the application of Lemma 1.2 equality in (2.5) is obtained when

$$(2.19) \quad p_1(z) = \frac{1+z^2}{1-z^2}; \quad p_1(z) = \frac{1+z}{1-z}$$

but

$$(2.20) \quad h(z) = 1 + \frac{1}{\tau} \left[(1-\gamma) \frac{f(z)}{z} + \gamma f'(z) - 1 \right] = \phi \left(\frac{p_1(z)-1}{p_1(z)+1} \right).$$

Putting value of $p_1(z)$ we get the desired results. \square

Theorem 2.4. Let a function $f(z)$ given by (1.1) be in the class $S_\gamma^\tau(A, B)$, where

$$(2.21) \quad 0 \leq \gamma \leq 1, \quad \tau \in \mathbb{C} \setminus \{0\}, \quad -1 \leq B < A \leq 1; \quad z \in \mathbb{U},$$

then

$$(2.22) \quad |a_2 a_4 - a_3^2| \leq \frac{|\tau|^2 (A-B)^2}{(1+2\gamma)^2}.$$

Proof. Using (2.15) and (2.16), we have

$$\begin{aligned}
 |a_2a_4 - a_3^2| &= \frac{|\tau|^2(A - B)^2}{16(1 + \gamma)(1 + 3\gamma)} |4c_1c_3 - 4c_1^2c_2(1 + B) + c_1^4(1 + B)^2 \\
 &\quad - \frac{(1 + \gamma)(1 + 3\gamma)}{(1 + 2\gamma)^2} [4c_2^2 - 4c_1^2c_2(1 + B) + c_1^4(1 + B)^2]| \\
 &= T |4c_1c_3 - 4c_1^2c_2(1 + B) + c_1^4(1 + B)^2 - p[4c_2^2 - 4c_1^2c_2(1 + B) + c_1^4(1 + B)^2]| \\
 (2.23) \quad &= T |4c_1c_3 - 4c_1^2c_2(1 + B)(1 - p) - 4pc_2^2 + c_1^4(1 + B)^2(1 - p)|.
 \end{aligned}$$

where

$$(2.24) \quad T = \frac{|\tau|^2(A - B)^2}{16(1 + \gamma)(1 + 3\gamma)} \text{ and } p = \frac{(1 + \gamma)(1 + 3\gamma)}{(1 + 2\gamma)^2}.$$

It can be easily verified that for $0 \leq \gamma \leq 1$, $p \in [\frac{8}{9}, 1]$. The above equation (2.23) is equivalent to

$$(2.25) \quad |a_2a_4 - a_3^2| = T |d_1c_1c_3 + d_2c_1^2c_2 + d_3c_2^2 + d_4c_1^4|,$$

where

$$(2.26) \quad d_1 = 4; \quad d_2 = -4(1 + B)(1 - p); \quad d_3 = -4p; \quad d_4 = (1 - p)(1 + B)^2.$$

Since the functions $p(z)$ and $p(e^{i\theta}z)$ ($\theta \in \mathbb{R}$) are members of the class \mathcal{P} simultaneously, we assume without loss of generality that $c_1 > 0$. For convenience of notation, we take $c_1 = c$ ($c \in [0, 2]$, see(1.7)). Also, substituting the values of c_2 and c_3 respectively, from (1.12) and (1.13) in (2.25), we have

$$\begin{aligned}
 |a_2a_4 - a_3^2| &= \\
 &\frac{T}{4} |c^4(d_1 + 2d_2 + d_3 + 4d_4) + 2xc^2(4 - c^2)(d_1 + d_2 + d_3) + (4 - c^2)x^2(-d_1c^2 + d_3(4 - c^2)) \\
 &\quad + 2d_1c(4 - c^2)(1 - |x|^2)z|
 \end{aligned}$$

An application of triangle inequality, replacement of $|x|$ by μ and substituting the values of d_1, d_2, d_3 and d_4 from (2.26), we have

$$|a_2a_4 - a_3^2| \leq \frac{T}{4} [4c^4(1 - p)B^2$$

$$\begin{aligned}
& +8|B|(1-p)\mu c^2(4-c^2) + (4-c^2)\mu^2(4c^2 + 4p(4-c^2)) + 8c(4-c^2)(1-\mu^2)] \\
(2.27) \quad & = T[c^4(1-p)B^2 + 2c(4-c^2) + 2\mu|B|(1-p)c^2(4-c^2) + \mu^2(4-c^2)(c^2(1-p) - 2c + 4p)],
\end{aligned}$$

$$(2.28) \quad = F(c, \mu)(\text{say}),$$

Next, we assume that the upper bound for (2.28) occurs at an interior point of the rectangle $[0, 2] \times [0, 1]$. Differentiating $F(c, \mu)$ in (2.28) partially with respect to μ , we have

$$(2.29) \quad \frac{\partial F}{\partial \mu} = T [2|B|(1-p)c^2(4-c^2) + 2\mu(4-c^2)(c^2(1-p) - 2c + 4p)].$$

For $0 < \mu < 1$ and for any fixed c with $0 < c < 2$, from (2.29), we observe that $\frac{\partial F}{\partial \mu} > 0$. Therefore $F(c, \mu)$ is an increasing function of μ , which contradicts our assumption that the maximum value of $F(c, \mu)$ occurs at an interior point of the rectangle $[0, 2] \times [0, 1]$. Moreover, for fixed $c \in [0, 2]$,

$$(2.30) \quad \text{Max } F(c, \mu) = F(c, 1) = G(c)(\text{say}).$$

Thus

$$(2.31) \quad G(c) = T [c^4(1-p)(B^2 - 2|B| - 1) + 4c^2(2|B|(1-p) + 1 - 2p) + 16p].$$

Next,

$$(2.32) \quad G'(c) = 4cT [c^2(1-p)(B^2 - 2|B| - 1) + 2(2|B|(1-p) + 1 - 2p)]$$

$$(2.33) \quad = 4cT [c^2(1-p)(B^2 - 2|B| - 1) + 2\{(1-p)[2|B| + 1] - p\}].$$

So $G'(c) < 0$ for $0 < c < 2$ and has real critical point at $c = 0$. Also $G(c) > G(2)$. Therefore, maximum of $G(c)$ occurs at $c = 0$. Therefore, the upper bound of $F(c, \mu)$ corresponds to $\mu = 1$ and $c = 0$. Hence,

$$|a_2 a_4 - a_3^2| \leq 16pT = \frac{|\tau|^2(A-B)^2}{(1+2\gamma)^2}.$$

This completes the proof of the Theorem. \square

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