

**ON THE STABILITY OF SPACELIKE HYPERSURFACES  
WITH HIGHER ORDER MEAN CURVATURE IN  
A DE SITTER SPACE**

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ABSTRACT. The closed spacelike hypersurfaces with higher order mean curvature is discussed in a de Sitter space. The hypersurface is proved stable if and only if it is totally umbilical.

**1. Introduction**

As we all know, the hypersurfaces with constant mean curvature or constant scalar curvature in real space forms are characterized as critical points of the area functional for volume-preserving variations. Many results have been achieved about hypersurfaces with constant mean curvature or constant scalar curvature in a unit sphere  $S^{n+1}(1)$  [1, 2, 3]. Among these results, the geodesic sphere is the only stable compact hypersurface with constant mean curvature in a sphere as in [3]. After that, the closed hypersurfaces with higher order mean curvature immersed in a Riemannian space form are studied and similar results are obtained by other researches [4, 8, 12].

Achievements are not only obtained in Riemannian space, in fact, many researches are also conducted in Lorentzian spaces. Constant mean curvature spacelike hypersurfaces are solutions to a variational problems. Actually, they are the critical points of the area functional for variations that leave constant a certain volume function. In this sense, Barbosa and Olikier [5] computed the second variation formula and obtained in the de Sitter space  $S_1^{n+1}$  that spheres maximize the area functional for volume-preserving variations, which is consistent with the definition of stability. Later, researches of [6, 11], they obtained an extension of the result in [5] for spacelike hypersurfaces with constant scalar curvature, respectively.

Motivated by works [7, 9], The stability of closed spacelike hypersurfaces in a de Sitter space  $S_1^{n+1}$  is considered in this paper. This concept arises from considering the variational problem of minimizing a suitable linear combination

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of the 2nd area for volume-preserving variations. Therefore, the purpose of this paper is to prove that a closed spacelike hypersurface in the de Sitter space  $S_1^{n+1}$  is stable if and only if it is totally umbilical. Precisely, the following result is to be obtained.

**Theorem 1.1.** *Let  $M$  be a closed orientable hypersurface in de Sitter space  $S_1^{n+1}$  satisfying  $b_r H_{r+1} - a_n H = b$  for some constants  $a \leq 0$  and  $b$ . By choosing the suitable orientation, we assume that  $H > 0$ . Then  $M$  is stable if and only if  $M$  is totally umbilical. Where  $b_r = (n-r) \binom{n}{r}$  and  $1 \leq r \leq n-1$ .*

When  $r = 1$ , we have:

**Corollary 1.1.** *Let  $M$  be a closed orientable hypersurface in de Sitter space  $S_1^{n+1}$  satisfying  $(n-1)H_2 - aH = b$  for some constants  $a \leq 0$  and  $b$ . By choosing the suitable orientation, we assume that  $H > 0$ . Then  $M$  is stable if and only if  $M$  is totally umbilical.*

When  $a = 0$ , we have the Corollary 1.2 in [7]:

**Corollary 1.2.** *Let  $M$  be a closed orientable hypersurface with constant higher order mean curvature in de Sitter space  $S_1^{n+1}$  and  $H_r > 0$ . Then  $M$  is stable if and only if  $M$  is totally umbilical.*

When  $r = 1$  and  $a = 0$ , we have the main theorem in [11]:

**Corollary 1.3.** *Let  $M$  be a closed orientable hypersurface with constant scalar curvature in de Sitter space  $S_1^{n+1}$ . Then  $M$  is stable if and only if  $M$  is totally umbilical.*

*Remark 1.1.* Comparing with the main theorem in [5, 11], we withdraw the constant mean curvature or constant scalar curvature and obtain the same result.

## 2. Preliminaries

For what follows, we recall that the  $(n+2)$ -dimensional Lorentz-Minkowski space  $\mathbb{R}_1^{n+2}$  is the real vector space  $\mathbb{R}^{n+2}$  endowed with the Lorentz metric

$$\langle \nu, \omega \rangle = -\nu_0 \omega_0 + \sum_{i=1}^{n+1} \nu_i \omega_i$$

for all  $\nu, \omega \in \mathbb{R}^{n+2}$ . The  $(n+1)$ -dimensional de Sitter space  $S_1^{n+1}$  is given by

$$S_1^{n+1} = \{p \in R_1^{n+2} : \langle p, p \rangle = 1\}.$$

The induced metric from  $\langle, \rangle$  makes  $S_1^{n+1}$  into a Lorentz manifold with constant sectional curvature one. Moreover, if  $p \in S_1^{n+1}$ , we can put

$$T_p(S_1^{n+1}) = \{\nu \in R_1^{n+2} : \langle \nu, p \rangle = 0\}.$$

A smooth immersion  $x : M \rightarrow S_1^{n+1} \hookrightarrow \mathbb{R}_1^{n+2}$  of an  $n$ -dimensional connected manifold  $M$  is said to be a spacelike hypersurface if the induced metric via  $x$  is a Riemannian metric on  $M$ , which, as usual, is also denoted by  $\langle \cdot, \cdot \rangle$ .

Observe that  $e_{n+1} = \{0, \dots, 0, 1\}$  is a unit timelike vector field globally defined on  $\mathbb{R}_1^{n+2}$ , which determines a time-orientation on  $\mathbb{R}_1^{n+2}$ . Thus we can choose a unique timelike unit normal field  $N$  on  $M$  which is past-directed on  $\mathbb{R}_1^{n+2}$  (i.e.,  $\langle N, e_{n+1} \rangle > 0$ ), and hence we may assume that  $M$  is oriented by  $N$ .

Let  $x : M \rightarrow S_1^{n+1} \hookrightarrow \mathbb{R}_1^{n+2}$  be an immersed spacelike hypersurface in de Sitter  $S_1^{n+1}$ , and let  $N$  be its past-directed timelike normal field. In order to set up the notation, we will denote by  $\nabla^0$ ,  $\bar{\nabla}$  and  $\nabla$  the Levi-Civita connections of  $\mathbb{R}_1^{n+2}$ ,  $S_1^{n+1}$  and  $M$ , respectively. Then the Gauss and Weingarten formulae for  $M$  in  $S_1^{n+1} \hookrightarrow \mathbb{R}_1^{n+2}$  are given respectively by

$$\begin{aligned} \nabla_V^0 W &= \bar{\nabla}_V W - \langle V, W \rangle x \\ &= \nabla_V W - \langle AV, W \rangle N - \langle V, W \rangle x, \end{aligned}$$

and

$$A(V) = -\nabla_V^0 N = -\bar{\nabla}_V N$$

for all tangent vector fields  $V, W \in \mathcal{X}(M)$ , where  $A$  stands for the shape operator of  $M$  in  $S_1^{n+1}$  associated with  $N$ .

At each  $p \in M$ ,  $A$  restricts to a self-adjoint linear map  $A_p : T_p M \rightarrow T_p M$ . For  $1 \leq r \leq n$ , let  $S_r(p)$  denote the  $r$ -th elementary symmetric function on the eigenvalues of  $A_p$ , in this way one gets  $n$  smooth functions  $S_r : M \rightarrow \mathbb{R}$ , such that

$$\det(tI - A) = \sum_{k=0}^n (-1)^k S_k t^{n-k},$$

where  $S_0 = 1$  by definition. If  $p \in M$  and  $\{e_k\}$  is a basis of  $T_p M$  formed by eigenvectors of  $A_p$ , corresponding with eigenvalues  $\{\lambda_k\}$ , one immediately sees that

$$S_r = \sigma_r(\lambda_1, \dots, \lambda_n),$$

where  $\sigma_r \in R[X_1, \dots, X_n]$  is the  $r$ -th elementary symmetric polynomial on the indeterminates  $X_1, \dots, X_n$ .

For  $1 \leq r \leq n$ , one defines the  $r$ -th mean curvature  $H_r$  of  $x$  by

$$\binom{n}{r} H_r = (-1)^r S_r = \sigma_r(-\lambda_1, \dots, -\lambda_n).$$

In particular, for  $r = 1$ ,

$$H_1 = \frac{1}{n} \sum_{k=1}^n \lambda_k = H$$

is the mean curvature of  $M$ , which is the main extrinsic curvature of the hypersurface. When  $r = 2$ ,  $H_2$  defines a geometric quantity which is related to the

(intrinsic) normalized scalar curvature  $R$  of the hypersurface. More precisely, it follows from the Gauss equation that

$$R = 1 + H_2.$$

On the other hand, with a straightforward computation we verify that

$$|A|^2 = n^2 H^2 - n(n - 1)H_2,$$

where  $|A|^2$  denotes the squared norm of the shape operator of  $M$ .

We also define, for  $0 \leq r \leq n$ , the  $r$ -th Newton transformation  $P_r$  on  $M$  by setting  $P_0 = I$  (the identity operator) and, for  $1 \leq r \leq n$ , via the recurrence relation

$$P_r = (-1)^r S_r I - A P_{r-1}.$$

A trivial induction shows that

$$P_r = (-1)^r (S_r I - S_{r-1} A + S_{r-2} A^2 - \cdots + (-1)^r A^r),$$

so  $P_n = 0$  is obtained from the Cayley-Hamilton theorem. Moreover, since  $P_r$  is a polynomial in  $A$  for every  $r$ , it is also self-adjoint and commutes with  $A$ . Therefore, all bases of  $T_p M$  diagonalizing  $A$  at  $p \in T_p M$  also diagonalize all of the  $P_r$  at  $p$ . Let  $\{e_k\}$  be such a basis. Denoting by  $A_i$  the restriction of  $A$  to  $\langle e_i \rangle^\perp \subset T_p \Sigma$ , it is easy to see that

$$\det(tI - A_i) = \sum_{k=0}^n (-1)^k S_k(A_i) t^{n-k},$$

where

$$S_k(A_i) = \sum_{\substack{1 \leq j_1 < \cdots < j_k \leq n \\ j_1, \dots, j_k \neq i}} \lambda_{j_1} \cdots \lambda_{j_k}.$$

With the above notation, it is also immediately checked that

$$P_r e_i = (-1)^r S_r(A_i) e_i,$$

and hence (Lemma 2.1 of [4]):

**Lemma 2.1.** *For each  $1 \leq r \leq n - 1$*

- (a)  $S_r(A_i) = S_r - \lambda_i S_{r-1}(A_i)$ ;
  - (b)  $\text{tr}(P_r) = (-1)^r \sum_{i=1}^n S_r(A_i) = (-1)^r (n - r) S_r = b_r H_r$ ;
  - (c)  $\text{tr}(A P_r) = (-1)^r \sum_{i=1}^n \lambda_i S_r(A_i) = (-1)^r (r + 1) S_{r+1} = -b_r H_{r+1}$ ;
  - (d)  $\text{tr}(A^2 P_r) = (-1)^r \sum_{i=1}^n \lambda_i^2 S_r(A_i) = (-1)^r (S_1 S_{r+1} - (r + 2) S_{r+2})$ ,
- where  $b_r = (n - r) \binom{n}{r}$ .

Associated with each Newton transformation  $P_r$ , one has the second-order linear differential operator  $L_r : \mathcal{C}^\infty(M) \rightarrow \mathcal{C}^\infty(M)$ , given by

$$L_r(f) = \text{tr}(P_r \text{Hess} f).$$

We remark that  $L_0$  is the Laplacian operator  $\Delta$  and  $L_1$  is the Cheng-Yau's square operator  $\square$  defined in [10]. According to [13],  $P_r$  is a divergence-free whenever  $S_1^{n+1}$  is of constant sectional curvature; consequently,

$$(2.1) \quad L_r(f) = \operatorname{div}(P_r \nabla f).$$

Useful consequences of (2.1) are given in the following

**Proposition 2.1.** *If  $M$  is a closed Riemannian manifold or if  $M$  is a non-compact Riemannian manifold and  $f$  has compact support, then*

$$\int_M L_r(f) dM = 0, \quad \int_M f L_r(f) dM = - \int_M \langle P_r \nabla f, \nabla f \rangle dM.$$

### 3. The variation problem

Let  $X$  be a variation of  $x : M \rightarrow S_1^{n+1}$ , which is a differentiable map  $X : (-\varepsilon, \varepsilon) \times M \rightarrow S_1^{n+1}$ ,  $\varepsilon > 0$ , such that  $X_0 = x$  and for each  $t \in (-\varepsilon, \varepsilon)$ ,  $X_t(\cdot) = X(t, \cdot)$  is an immersion from  $M$  to  $S_1^{n+1}$ , and  $X_t|_{\partial M} = x|_{\partial M}$ .

Next, we let  $dM_t$  denote the volume element of the metric induced on  $M$  by  $X_t$  and  $N_t$  the unit normal vector field along  $X_t$ .

The variational field associated with the variation  $X$  is the vector field  $\frac{\partial X}{\partial t}|_{t=0}$ . Let  $f = -\langle \frac{\partial X}{\partial t}, N_t \rangle$ , we get

$$\frac{\partial X}{\partial t} = f N_t + \left(\frac{\partial X}{\partial t}\right)^\top,$$

where  $\top$  stands for tangential components.

The balance of volume of the variation  $X$  is the function  $V : (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}$  given by

$$V(t) = \int_{[0,t] \times M} X^*(dS_1^{n+1}).$$

and we say  $X$  is volume-preserving if  $V(t) = V(0)$  for all  $t \in (-\varepsilon, \varepsilon)$ . The following lemma is classical (cf. [11]).

**Lemma 3.1.** *Let  $\overline{M}^{n+1}$  be a time-oriented Lorentz manifold and  $x : M \rightarrow \overline{M}^{n+1}$  a closed spacelike hypersurface. If  $X : M \times (-\varepsilon, \varepsilon) \rightarrow \overline{M}^{n+1}$  is a variation of  $x$ , then*

$$\left. \frac{dV}{dt} \right|_{t=0} = \int_M f dM.$$

*In particular,  $X$  is volume-preserving if and only if  $\int_M f dM_t = 0$  for all  $t$ .*

In order to extend [4] to the Lorentz setting, we define the  $r$ -area functional  $\mathcal{A}_r(t) : (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}$  associated with the variation  $X$  by

$$\mathcal{A}_r(t) = \int_M F_r(S_1, S_2, \dots, S_r) dM_t,$$

where  $S_r = S_r(t)$  and  $F_r$  is recursively defined by setting  $F_0 = 1$ ,  $F_1 = -S_1$  and, for  $2 \leq r \leq n - 1$ ,

$$F_r = (-1)^r S_r - \frac{c(n - r + 1)}{r - 1} F_{r-2}.$$

The next step is the Lorentz analogue of Proposition 4.1 of [3]. Since it seems to us that their proof only works on a neighborhood free of umbilics, and in order to keep this work self-contained, an alternative one is presented here.

**Lemma 3.2.** *Let  $x : M \rightarrow S_1^{n+1}$  be a closed spacelike hypersurface of the de Sitter space  $S_1^{n+1}$ , and let  $X : M \times (-\varepsilon, \varepsilon) \rightarrow S_1^{n+1}$  be a variation of  $x$ . Then,*

$$\frac{\partial S_{r+1}}{\partial t} = (-1)^{r+1} [L_r f + \text{tr}(P_r) f - \text{tr}(A^2 P_r) f] + \langle (\frac{\partial X}{\partial t})^\top, \nabla S_{r+1} \rangle.$$

For the constant  $a$ , the Jacobi functional associated to the variation  $X$  is given by  $J : (-\varepsilon, \varepsilon) \rightarrow \mathbb{R}$

$$J(t) = \mathcal{A}_r(t) - a.$$

The following proposition is reached,

**Proposition 3.1** (First Variation Formula). *Let  $M$  be an  $n$ -dimensional closed spacelike hypersurface in the de Sitter space  $S_1^{n+1}$ . For any variation of  $x : M \rightarrow S_1^{n+1}$ , we have*

$$\frac{dJ(t)}{dt} = \int_M [b_r H_{r+1} + c_r - a n H],$$

where  $c_r = 0$  if  $r$  is even and  $c_r = -\frac{n(n-2)\cdots(n-r+1)}{(r-1)(r-3)\cdots 2} (-1)^{(r+1)/2}$  if  $r$  is odd.

*Proof.* From Lemma 3.2, we have

$$\begin{aligned} & \frac{dJ(t)}{dt} \\ &= \int_M F'_r dM_t + \int_M (F_r - a) \frac{\partial}{\partial t} dM_t \\ &= \int_M [(-1)^r S'_r - \frac{n-r+1}{r-1} F'_{r-2}] dM_t \\ & \quad + \int_M [(-1)^r S_r - \frac{n-r+1}{r-1} F_{r-2} - a] \frac{\partial}{\partial t} dM_t \\ &= \int_M (-1)^r [S'_r - S_1 S_r f + S_r \text{div}(\partial X / \partial t)^\top] + a [S_1 f - \text{div}(\partial X / \partial t)^\top] dM_t \\ & \quad - \frac{n-r+1}{r-1} \mathcal{A}'_{r-2} \\ &= \int_M [\text{tr}(P_{r-1}) f + L_{r-1} f - \text{tr}(A^2 P_{r-1} f) + (-1)^r \langle \nabla S_r, (\partial X / \partial t)^\top \rangle] dM_t \\ & \quad + (-1)^r \int_M (-S_1 S_r f + S_r \text{div}(\partial X / \partial t)^\top) dM_t - \frac{n-r+1}{r-1} \mathcal{A}'_{r-2} \end{aligned}$$

$$\begin{aligned}
 & + a \int_M [S_1 f - \operatorname{div}(\partial X/\partial t)^\top] dM_t \\
 = & \int_M [(-1)^{r-1}(n-r+1)S_{r-1}f - (-1)^{r-1}(S_1 S_r - (r+1)S_{r+1})f + aS_1 f] dM_t \\
 & + (-1)^r \int_M \langle \nabla S_r, (\partial X/\partial t)^\top \rangle dM_t \\
 & + \int_M [(-1)^{r+1}S_1 S_r f + (-1)^r S_r \operatorname{div}(\partial X/\partial t)^\top] dM_t \\
 & - \frac{n-r+1}{r-1} \int_M [(-1)^{r-1}(r-1)S_{r-1} + c_{r-2}] f dM_t - a \int_M \operatorname{div}(\partial X/\partial t)^\top dM_t.
 \end{aligned}$$

It now suffices to apply the divergence theorem. Note that  $c_r = -\frac{n-r+1}{r-1}c_{r-2}$ , then

$$\begin{aligned}
 \frac{dJ(t)}{dt} & = \int_M [(-1)^{r+1}(r+1)S_{r+1} + c_r + aS_1] f dM_t \\
 & = \int_M [b_r H_{r+1} + c_r - anH]. \quad \square
 \end{aligned}$$

By a direct application of Lemma 3.2 and Proposition 3.1, after a long but direct computation, we obtain

**Proposition 3.2** (Second Variation Formula). *Let  $x : M \rightarrow S_1^{n+1}$  be a closed spacelike hypersurface satisfying  $b_r H_{r+1} - anH = b$ , where  $2 \leq r \leq n-1$ ,  $a$  and  $b$  are constants.  $X$  is a variation of  $x$ , then the second derivative of  $J$  at  $t = 0$  is given by*

$$\begin{aligned}
 J''(0)(f) & = \int_M \left\{ (r+1)L_r - a\Delta \right\} f + (r+1)[(-1)^r(n-r)S_r \\
 (3.1) \quad & + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})] f + a(n - S_1^2 + 2S_2) f \Big\} f dM.
 \end{aligned}$$

**Definition 3.1.** Let  $x : M \rightarrow S_1^{n+1}$  be a closed spacelike hypersurface satisfying  $b_r H_{r+1} - anH = b$ , where  $2 \leq r \leq n-1$ ,  $a$  and  $b$  are constants. The immersion  $x$  is said to be stable if  $J''(0)(f) \leq 0$  for all volume-preserving variations of  $x$ .

Then from the above definition, a closed spacelike hypersurface satisfying  $b_r H_{r+1} - anH = b$  is stable if and only if  $J''(0)(f) \leq 0$  for all differentiable function  $f$  which satisfies  $\int_M f dM = 0$ . This can be proved following a similar argument as in [3, 11], the details are omitted here.

#### 4. Proof of theorem

In this section, we will prove our main theorem.

*Proof of Theorem 1.1.* Firstly, suppose that  $M$  is totally umbilical hypersurface in  $S_1^{n+1}$ . Then the principal curvatures and  $H$  are constants, we may assume  $H > 0$ . Thus we have

$$S_r = (-1)^r \binom{n}{r} H^r,$$

and

$$L_r f = \binom{n-1}{r} H^r \Delta f.$$

Choose  $f : M \rightarrow \mathbb{R}$  such that  $\int_M f dM = 0$ . From the second variation formula (3.1) of  $J$ , we have

$$\begin{aligned} J''(0)(f) &= \int_M \left\{ (r+1)L_r - a\Delta \right\} f + (r+1)[(-1)^r(n-r)S_r \\ &\quad + (-1)^{r+1}(S_1S_{r+1} - (r+2)S_{r+2})]f + a(n - S_1^2 + 2S_2)f \Big\} f dM. \\ &= \left( (r+1) \binom{n-1}{r} H^r - a \right) \int_M (n(H^2 + 1)f^2 + f\Delta f) dM \\ &\leq \left( (r+1) \binom{n-1}{r} H^r - a \right) \int_M (n(H^2 + 1) - \lambda(M))f^2 dM, \end{aligned}$$

where  $\lambda(M)$  is the first eigenvalue of the Laplacian  $\Delta$  in  $M$ . Since  $M$  is totally umbilical,  $M$  is sphere. Then we have  $\lambda(M) = n(H^2 + 1)$ . By the assumption that  $H > 0$  and  $a \leq 0$ , we obtain  $J''(0)(f) \leq 0$  for all  $f$  with  $\int_M f dM = 0$ . Therefore it is concluded that  $M$  is stable.

Now consider the reversed part. Let  $M \subset S_1^{n+1}$  be a stable spacelike hypersurface satisfying  $b_r H_{r+1} - a_n H = b$  for some constants  $a \leq 0$  and  $b$ . We will show that  $M$  is totally umbilical.

Let  $x : M \rightarrow S_1^{n+1} \subset \mathbb{R}_1^{n+2}$ . Fix a unit vector  $\nu \in \mathbb{R}_1^{n+2}$  and define functions  $f$  and  $g$  on  $M$  by

$$f = \langle N, \nu \rangle, \quad g = \langle x, \nu \rangle.$$

These are called height functions in the direction  $\nu$  associated to the hypersurface. We need the following result.

**Lemma 4.1.** *If  $f$  and  $g$  are the height functions of a hypersurface  $x : M \rightarrow S_1^{n+1}$  defined as above, then*

$$\begin{aligned} L_r(g) &= (-1)^r(r+1)S_{r+1}f - (-1)^r(n-r)S_r g, \\ L_r(f) &= (-1)^{r+1}(S_1S_{r+1} - (r+2)S_{r+2})f + (-1)^r(r+1)S_{r+1}g \\ &\quad + (-1)^{r+1}\langle \nu, \nabla S_{r+1} \rangle. \end{aligned}$$

*Proof.* For a fixed arbitrary vector  $\nu \in \mathbb{R}_1^{n+2}$ , let us consider the functions  $f = \langle N, \nu \rangle$  and  $g = \langle x, \nu \rangle$  on  $M$ , we have

$$X(\langle x, \nu \rangle) = \langle X, \nu \rangle = \langle X, \nu^\top \rangle,$$



$$X(\langle N, \nu \rangle) = -\langle AX, \nu \rangle = -\langle X, A(\nu^\top) \rangle$$

for every vector field  $X \in \mathcal{X}(M)$ , where  $\nu^\top \in \mathcal{X}(M)$  denotes the tangential component of  $\nu$ ,

$$(4.1) \quad \nu = \nu^\top + \langle N, \nu \rangle N + \langle x, \nu \rangle x.$$

Then the gradients of  $\langle x, \nu \rangle$  and  $\langle N, \nu \rangle$  on  $M$  are given by  $\nabla \langle x, \nu \rangle = \nu^\top$  and  $\nabla \langle N, \nu \rangle = -A(\nu^\top)$ , respectively. By taking covariant derivative in (4.1) and using the Gauss and Weingarten formulae, we get

$$(4.2) \quad \nabla_X \nabla \langle x, \nu \rangle = \nabla_X \nu^\top = \langle N, \nu \rangle AX - \langle x, \nu \rangle X$$

for every tangent vector field  $X \in \mathcal{X}(M)$ . Therefore, by Lemma 2.1, we obtain

$$\begin{aligned} L_r g &= \text{tr}(AP_r)f - \text{tr}(P_r)g \\ &= (-1)^r(r+1)S_{r+1}f - (-1)^r(n-r)S_r g \\ &= -b_r H_{r+1}f - b_r H_r g. \end{aligned}$$

On the other hand, from (4.2), we get

$$(4.3) \quad \begin{aligned} \nabla_X \nabla \langle N, \nu \rangle &= -\nabla_X (A\nu^\top) \\ &= -\nabla_X (A)\nu^\top - \langle N, \nu \rangle A^2 X + \langle x, \nu \rangle AX. \end{aligned}$$

By Codazzi equation, we know that  $\nabla A$  is symmetric and then

$$\nabla A(\nu^\top, X) = \nabla A(X, \nu^\top) = (\nabla_{\nu^\top} A)X.$$

By (4.3) and Lemma 2.1, we obtain

$$\begin{aligned} L_r f &= -\text{tr}(P_r \nabla_{\nu^\top} A) - f \text{tr}(A^2 P_r) + g \text{tr}(AP_r) \\ &= (-1)^{r+1} \langle \nu, \nabla S_{r+1} \rangle + (-1)^{r+1} (S_1 S_{r+1} - (r+2)S_{r+2})f \\ &\quad + (-1)^r (r+1)S_{r+1}g. \end{aligned} \quad \square$$

As follows, we recall that the  $(n+2)$ -dimensional Lorentz-Minkowski space  $\mathbb{R}_1^{n+2}$  is the real vector space  $\mathbb{R}^{n+2}$  endowed with the Lorentz metric

$$\langle \nu, \omega \rangle = -\nu_0 \omega_0 + \sum_{i=1}^{n+1} \nu_i \omega_i$$

for all  $\nu, \omega \in \mathbb{R}^{n+2}$ . The  $(n+1)$ -dimensional de Sitter space  $S_1^{n+1}$  is given by

$$S_1^{n+1} = \{p \in \mathbb{R}_1^{n+2} : \langle p, p \rangle = 1\}.$$

Then it is easy to show that the metric induced from  $\langle, \rangle$  turns  $S_1^{n+1}$  into a Lorentz manifold with constant sectional curvature one. We choose  $\nu$  as an element of a canonical basis  $a_0, \dots, a_{n+1}$  of  $\mathbb{R}_1^{n+2}$  and let  $f_A$  and  $g_A$  be the above functions for  $\nu = a_A$ ,  $A = 0, 1, \dots, n+1$ . Set

$$f_A = \langle N, a_A \rangle, \quad g_A = \langle x, a_A \rangle.$$

Now observe that,

$$\sum_{A=1}^{n+1} f_A^2 = 1 + f_0^2, \quad \sum_{A=1}^{n+1} g_A^2 = 1 + g_0^2, \quad \sum_{A=1}^{n+1} f_A g_A = f_0 g_0.$$

We define  $\bar{x} = \int_M x dM$ . Since  $\langle x, x \rangle = 1$ , then it is elementary to conclude that  $\langle \bar{x}, \bar{x} \rangle > 0$ . We choose  $a_0 = \frac{\bar{x}}{|\bar{x}|}$ , then

$$1 = \langle a_0, a_0 \rangle = -\langle a_0, x \rangle^2 + \sum_{A=1}^n \langle a_0, e_i \rangle^2 - \langle a_0, N \rangle^2 \geq -g_0^2 - f_0^2.$$

Since  $x$  is stable. Then, for each  $A$ ,  $J''(0)(g_A) \leq 0$ . On the other hand,

$$\begin{aligned} & J''(0)(g_A) \\ = & \sum_{A=0}^{n+1} \int_M \left\{ (r+1)L_r - a\Delta \right\} g_A + (r+1)[(-1)^r(n-r)S_r \\ & + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})] g_A + a(n - S_1^2 + 2S_2) g_A \Big\} g_A dM \\ = & \int_M \left\{ \left[ (-1)^r(r+1)S_{r+1}f_0g_0 - (-1)^r(n-r)S_r g_0^2 \right] - aS_1 f_0 g_0 + a n g_0^2 \right. \\ & + (r+1)[(-1)^r(n-r)S_r + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})] g_0^2 \\ & + a(n - S_1^2 + 2S_2) g_0^2 - (-1)^r(n-r)S_r + a n + (r+1)[(-1)^r(n-r)S_r \\ & \left. + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})] + a(n - S_1^2 + 2S_2) \right\} dM \\ \geq & \int_M \left\{ \left[ (-1)^r(r+1)S_{r+1}f_0g_0 - aS_1 f_0 g_0 \right] - [(-1)^r(n-r)S_r - a n](-1 - f_0^2) \right. \\ & + (r+1)[(-1)^r(n-r)S_r + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})](-1 - f_0^2) \\ & + a(n - S_1^2 + 2S_2)(-1 - f_0^2) \\ & \left. - (-1)^r(n-r)S_r + a n + (r+1)[(-1)^r(n-r)S_r \right. \\ & \left. + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})] + a(n - S_1^2 + 2S_2) \right\} dM \\ = & \int_M \left\{ \left[ (-1)^r(r+1)S_{r+1}f_0g_0 - aS_1 f_0 g_0 \right] - [(-1)^r(n-r)S_r - a n](-f_0^2) \right. \\ & + (r+1)[(-1)^r(n-r)S_r + (-1)^{r+1}(S_1 S_{r+1} - (r+2)S_{r+2})](-f_0^2) \\ & \left. + a(n - S_1^2 + 2S_2)(-f_0^2) \right\} dM \\ = & - \int_M f_0((r+1)L_r - a\Delta)f_0 dM \end{aligned}$$

$$= \int_M (\langle P_r \nabla f_0, \nabla f_0 \rangle - a \langle \nabla f_0, \nabla f_0 \rangle) dM \geq 0,$$

where we use Proposition 2.1, Lemma 4.1 and  $a \leq 0$ .

Therefore  $J''(0)(g_A)$  must be zero. Then  $\nabla f_0 = 0$  and  $1 + g_0^2 = -f_0^2$ . This implies that  $g_0$  is constant and then  $M$  is totally umbilical.  $\square$

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