

Characterization of CTX-M-14- and CTX-M-15-Producing *Escherichia coli* and *Klebsiella pneumoniae* Isolates from Urine Specimens in a Tertiary-Care Hospital

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This study aimed to characterize CTX-M producers of urinary *E. coli* and *K. pneumoniae* isolates and to determine the prevalence of plasmid-mediated antimicrobial resistance genes among them. Minimum inhibitory concentrations (MICs) were determined, and PCR and sequencing were performed. Among the 42 (82.3%) *E. coli* and 24 (77.4%) *K. pneumoniae* isolates containing *bla*_{CTX-M}, *bla*_{CTX-M-14} and *bla*_{CTX-M-15} were detected in 23 and 19 *E. coli* isolates, respectively, and in 7 and 17 *K. pneumoniae* isolates, respectively. CTX-M producers of urinary *E. coli* and *K. pneumoniae* were resistant to multiple antibiotics and contained other antimicrobial resistance genes. CTX-M-15 producers contained more antimicrobial resistance genes than did CTX-M-14 producers.

Keywords: CTX-M-14, CTX-M-15, extended-spectrum β -lactamase (ESBL), *E. coli*, *K. pneumoniae*

Urinary tract infections (UTIs) are the most prevalent bacterial infections in humans, and *Escherichia coli* and *Klebsiella pneumoniae* are the most important causes of nosocomial UTIs. Narrow- and extended-spectrum cephalosporins have been used to treat infections by these species [19, 20]. However, management of UTIs has become increasingly problematic owing to the increasing production of extended-spectrum β -lactamases (ESBLs) [17, 25]. According to several studies in Korea, 10–17% of *E. coli* isolates and 22–31% of *K. pneumoniae* isolates produce ESBLs [9, 10, 13].

Until the late 1990s, TEM-52, SHV-12, and SHV-2a were the most common ESBLs in *E. coli* and *K. pneumoniae* found in Korea, but CTX-M have spread rapidly in the past few decades [4, 9, 28]. Recently, CTX-M-14 and CTX-M-15 have been frequently shown to be the most prevalent genotype of ESBLs in *E. coli* and *K. pneumoniae* strains isolated in the world, including Korea [9, 13, 21, 27].

With ESBLs, co-production of plasmid-mediated AmpC β -lactamases (PABLs) and/or plasmid-mediated quinolone resistance genes, especially *qnr* and *aac(6′)-Ib-cr*, and/or *armA*, has been frequently reported in many countries,

including Korea [6, 7, 10, 13, 14, 16, 17, 22, 24].

Plasmid-mediated antimicrobial resistance genes, including those of ESBLs, spread widely, and ESBL producers are generally resistant to quinolones and aminoglycosides. Although the literature contains many studies on ESBL producers, reports comparing the prevalence of antimicrobial resistance genes between CTX-M-14- and CTX-M-15-producing *E. coli* and *K. pneumoniae* isolates are rare. Therefore, in this study, we aimed to describe the characteristics of CTX-M-producing urinary *E. coli* and *K. pneumoniae* isolates, and to determine the prevalence of PABL, *qnr*, *aac(6′)-Ib-cr*, and *armA* genes in CTX-M producers.

Non-duplicate ceftazidime- and/or cefotaxime-resistant isolates of *E. coli* and *K. pneumoniae* were obtained from urine specimens collected at the Chungnam National University Hospital from November 2011 to July 2012. All isolates were identified with the Vitek GNI card system (bioMérieux Vitek Inc., Hazelwood, MO, USA).

MICs were determined using the agar dilution method according to Clinical and Laboratory Standards Institute (CLSI) guidelines [2]. The following antibiotics were tested:

cefotaxime, ceftazidime, amikacin, gentamicin, levofloxacin, and ciprofloxacin (Sigma-Aldrich, St. Louis, MO, USA). *E. coli* ATCC 25923 and *Pseudomonas aeruginosa* ATCC 27853 were used as control strains.

PCR and sequencing were performed as previously described [26]. For detection of the genes of ESBL, *bla*_{CTX-M}, *bla*_{TEM}, and *bla*_{SHV} were included. Then, for strains harboring *bla*_{CTX-M}, PABL, *qnr*, *aac(6′)-Ib-cr*, and *armA* genes were detected. All primers used for ESBLs [12], PABLs [18], *qnr* [1], *aac(6′)-Ib-cr* [25], and *armA* [25] were previously published.

To determine clonality, epidemiological typing was performed by rep-PCR for *E. coli* [26] and ERIC-PCR for *K. pneumoniae* [3].

During the study period, 298 *E. coli* and 98 *K. pneumoniae* isolates were obtained, and 51 *E. coli* and 31 *K. pneumoniae* isolates were resistant to ceftazidime and/or cefotaxime.

42 (82.3%) *E. coli* and 24 (77.4%) *K. pneumoniae* isolates were positive by PCR for *bla*_{CTX-M}. Among the isolates, *bla*_{CTX-M-14} and *bla*_{CTX-M-15} were detected in 23 and 19 *E. coli* isolates, respectively, and in 7 and 17 *K. pneumoniae* isolates, respectively.

Among the *E. coli* isolates, the gene encoding DHA-1 AmpC β-lactamase was detected in 1 CTX-M-14 producer. The *qnrB6* gene was detected in 1 CTX-M-15 producer, and the *aac(6′)-Ib-cr* gene was detected in 12 CTX-M-15 producers and in 8 CTX-M-14 producers (Table 1).

Among the *K. pneumoniae* isolates, the gene encoding DHA-1 AmpC β-lactamase was detected in 2 CTX-M-15 producers. The *qnrB1* and *qnrB4* genes were both detected in 2 CTX-M-15 producers each. The *qnrS1* gene was detected in three CTX-M-14 producers and in 1 CTX-M-15 producer. The *aac(6′)-Ib-cr* gene was detected in 4 CTX-M-

14 producers and in 17 CTX-M-15 producers. The *armA* gene was detected in 2 CTX-M-15 producers (Table 2).

Strains of *E. coli* and *K. pneumoniae* showed diverse band patterns according to rep-PCR and ERIC-PCR, respectively. However, 17 *E. coli* strains displayed an identical rep-PCR type: type a (Fig. 1).

Similar to previous reports, a total of 17.1% (51) of *E. coli* and 31.6% (31) of *K. pneumoniae* isolates were found to produce ESBL. Among them, 42 (82%) *E. coli* and 24 (77%) *K. pneumoniae* isolates contained *bla*_{CTX-M}. CTX-M-15 producers were predominantly observed among *K. pneumoniae* isolates (70.8%), whereas a similar occurrence of CTX-M-14 (54.7%) and CTX-M-15 (45.2%) was observed among *E. coli* isolates. Unlike previous reports, other CTX-M types including CTX-M-3 and CTX-M-9, and other ESBLs such as TEM-52, SHV-2a, and SHV-12 were not detected, although other studies report their genes at low rates in Korea [4, 6, 11]. Although this study was limited to a single area, however, these results show ESBL types are completely changed to CTX-M, and that CTX-M-15 is distributed in *K. pneumoniae* isolates rather than in *E. coli* isolates.

Generally, most CTX-M β-lactamases are known to hydrolyze cefotaxime more efficiently than ceftazidime, but CTX-M-15 also hydrolyzes ceftazidime efficiently [1, 21]. In this study, CTX-M producers efficiently hydrolyzed cefotaxime (MIC₅₀ > 128 μg/ml); however, when comparing the MIC₅₀s for ceftazidime, the values were 8 to 128 times higher in CTX-M-15 producers than in CTX-M-14 producers. Therefore, CTX-M-15 appears to be superior to CTX-M-14 at hydrolysis of ceftazidime. Additional studies are needed to investigate the correlation between CTX-M and hydrolysis of ceftazidime.

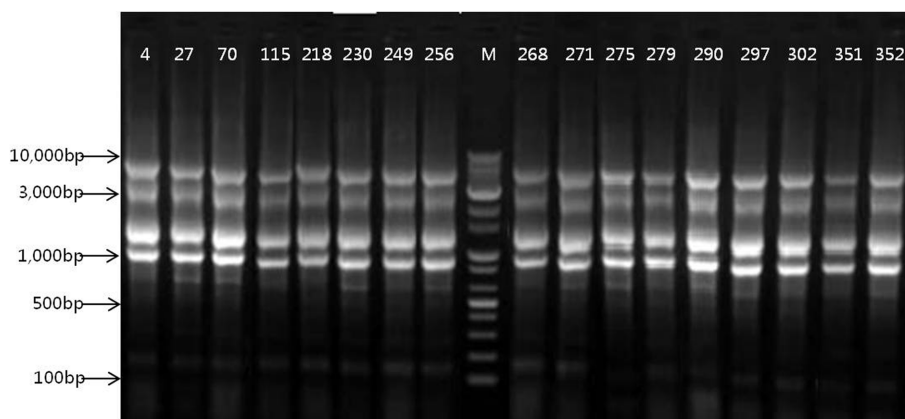


Fig. 1. Repetitive extragenic palindromic element sequence-based (REP)-PCR patterns of genomic DNA from 14 CTX-M-producing *E. coli* isolates.

Lane M, 1 kb DNA size marker. lanes 3 to 352, identical rep-PCR patterns of 17 *E. coli* clinical isolates, respectively.

Table 1. Characteristics of CTX-M-14- and/or CTX-M-15-producing *E. coli* isolates in urine specimens.

Isolates	MIC of agents (µg/ml)						Antimicrobial resistance genes		
	CTX	CAZ	AMK	GEN	LEV	CIP	PABL	<i>qnr, aac(6')-Ib-cr</i>	REP-PCR pattern
CTX-M-14 producers									
EC3	128	<2	<2	128	<2	<1			
EC22	16	<2	<2	32	<2	<1			
EC69	64	<2	>256	256	>32	>32			
EC70	64	8	<2	<2	>32	>32			a
EC74	128	4	<2	32	>32	>32		<i>aac(6')-Ib-cr</i>	
EC75	>256	32	4	<2	>32	>32	<i>bla_{DHA-1}</i>	<i>aac(6')-Ib-cr</i>	
EC85	128	<2	<2	<2	<2	<1			
EC95	256	<2	<2	<2	>32	<1			
EC115	128	<2	4	<2	<2	16		<i>aac(6')-Ib-cr</i>	a
EC117	128	<2	<2	<2	<2	<1			
EC230	128	<2	4	128	>32	16			a
EC244	>256	256	32	128	>32	<1			
EC268	32	4	4	<2	16	32			a
EC273	64	<2	<2	<2	<2	<1			
EC293	64	<2	<2	<2	<2	4			
EC295	>256	16	8	64	>32	>32		<i>aac(6')-Ib-cr</i>	
EC296	128	<2	<2	64	4	4		<i>aac(6')-Ib-cr</i>	
EC297	16	<2	<2	64	32	32		<i>aac(6')-Ib-cr</i>	a
EC303	64	<2	<2	<2	>32	>32		<i>aac(6')-Ib-cr</i>	
EC351	>256	4	<2	<2	32	32			a
EC352	32	<2	4	<2	32	32			a
EC357	64	4	<2	<2	8	8			
EC358	>256	32	8	256	>32	>32		<i>aac(6')-Ib-cr</i>	
CTX-M-15 producers									
EC4	256	16	4	<2	32	32			a
EC27	>256	128	16	64	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC169	256	16	<2	32	<2	<1		<i>aac(6')-Ib-cr</i>	
EC218	256	32	8	64	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC249	>256	64	16	256	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC252	128	16	4	64	<2	4		<i>aac(6')-Ib-cr</i>	
EC254	128	16	4	<2	4	4			
EC256	128	16	<2	<2	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC266	256	16	<2	64	8	8			
EC267	256	32	<2	<2	>32	32			
EC270	128	8	4	<2	<2	<1			
EC271	256	16	4	64	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC275	256	64	8	256	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC278	256	256	<2	<2	<2	8			
EC279	256	32	8	128	>32	>32		<i>aac(6')-Ib-cr</i>	a
EC287	128	8	4	<2	<2	32		<i>aac(6')-Ib-cr</i>	
EC290	256	64	16	4	>32	>32		<i>qnrB6, aac(6')-Ib-cr</i>	a
EC302	>256	256	4	64	16	>32		<i>aac(6')-Ib-cr</i>	a
EC356	256	<2	<2	<2	<2	<1			

Abbreviations : MIC, minimum inhibitory concentration; CTX, cefotaxime; CAZ, ceftazidime; AMK, amikacin; GEN, gentamicin; LEV, levofloxacin; CIP, ciprofloxacin; PABL, plamid-mediated AmpC β-lactamase.

Table 2. Characteristics of CTX-M-14- and/or CTX-M-15-producing *K. pneumoniae* isolates in urine specimens.

Isolates	MIC of agents ($\mu\text{g/ml}$)						Antimicrobial resistance genes		
	CTX	CAZ	AMK	GEN	LEV	CIP	PABL	<i>qnr, aac(6')-Ib-cr</i>	<i>armA</i>
CTX-M-14 producers									
KP33	>256	4	<2	>256	<2	<1		<i>aac(6')-Ib-cr</i>	-
KP111	128	>256	32	<2	>32	>32		<i>aac(6')-Ib-cr</i>	-
KP202	64	<2	<2	128	<2	<1			-
KP241	64	<2	<2	64	<2	<1		<i>qnrS1</i>	-
KP351	256	4	<2	<2	<2	<1			-
KP352	64	<2	<2	64	<2	<1		<i>qnrS1, aac(6')-Ib-cr</i>	-
KP355	32	<2	<2	<2	<2	<1		<i>qnrS1, aac(6')-Ib-cr</i>	-
CTX-M-15 producers									
KP3	>256	>256	8	128	<2	<1		<i>aac(6')-Ib-cr</i>	-
KP20	>256	256	4	<2	<2	<1		<i>aac(6')-Ib-cr</i>	-
KP61	>256	>256	4	128	<2	2		<i>aac(6')-Ib-cr</i>	-
KP112	256	64	8	<2	>32	>32		<i>qnrS1, aac(6')-Ib-cr</i>	-
KP118	>256	>256	8	128	<2	2		<i>aac(6')-Ib-cr</i>	-
KP133	>256	256	4	64	4	8		<i>aac(6')-Ib-cr</i>	-
KP135	256	64	64	32	4	32		<i>aac(6')-Ib-cr</i>	-
KP141	>256	8	8	128	<2	2		<i>qnrB1, aac(6')-Ib-cr</i>	-
KP157	>256	256	32	<2	8	>32		<i>aac(6')-Ib-cr</i>	-
KP167	>256	128	>256	>256	4	32	<i>bla_{DHA-1}</i>	<i>qnrB4, aac(6')-Ib-cr</i>	+
KP169	>256	>256	16	128	<2	<1		<i>aac(6')-Ib-cr</i>	-
KP176	>256	>256	4	128	<2	2		<i>aac(6')-Ib-cr</i>	-
KP201	>256	64	4	128	<2	2		<i>qnrB1, aac(6')-Ib-cr</i>	-
KP211	>256	128	>256	>256	<2	8	<i>bla_{DHA-1}</i>	<i>qnrB4, aac(6')-Ib-cr</i>	+
KP212	>256	64	4	64	<2	4		<i>aac(6')-Ib-cr</i>	-
KP218	>256	>256	4	128	<2	4		<i>aac(6')-Ib-cr</i>	-
KP219	>256	256	8	128	<2	2		<i>aac(6')-Ib-cr</i>	-

Abbreviations: MIC, minimum inhibitory concentration; CTX, cefotaxime; CAZ, ceftazidime; AMK, amikacin; GEN, gentamicin; LEV, levofloxacin; CIP, ciprofloxacin; PABL, plamid-mediated AmpC β -lactamase.

In this study, DHA-1 AmpC β -lactamase was detected in 1 and 2 CTX-M-producing *E. coli* and *K. pneumoniae* isolates, respectively. These results are similar to previous reports, where DHA AmpC β -lactamase was the most prevalent PABL gene in *E. coli* and *K. pneumoniae* isolates [5, 14], indicating that ESBLs play a greater role in resistance to β -lactams than PABLs.

ESBL-producing *Enterobacteriaceae* are frequently resistant to quinolones and aminoglycosides [28], where higher rates of quinolone resistance are found in ESBL producers of *E. coli* and *K. pneumoniae* in many countries [17, 22]. In this study, similar to previous reports in Korea, *qnr* and *aac(6')-Ib-cr* genes were found in 2.3% (1) and 47.6% (20) CTX-M-producing *E. coli* isolates, respectively, and in

33.3% (8) and 87.5% (21) CTX-M-producing *K. pneumoniae* isolates, respectively [9, 12]. Interestingly, many *E. coli* isolates without *qnr* genes were resistant to levofloxacin, whereas *K. pneumoniae* isolates with *qnr* genes were not. Moreover, the *aac(6')-Ib-cr* gene was widely distributed among both *E. coli* and *K. pneumoniae* isolates, and partial isolates with *aac(6')-Ib-cr* were resistant to ciprofloxacin. These results show that *qnr* and *aac(6')-Ib-cr* genes are distributed among CTX-M-producing *E. coli* and *K. pneumoniae*, but are not major determining factors in quinolone resistance.

Although *E. coli* isolates did not contain an *armA* gene, *armA*-containing *K. pneumoniae* isolates were detected, and these were intensively resistant to amikacin and gentamicin. In Korea, 4 *E. coli* isolates from urine collected in 2009 and 2

K. pneumoniae isolates in 2007 contained both *armA* and *bla*_{CTX-M} genes and were reported to be resistant to the aminoglycosides amikacin and gentamicin [10, 25].

In this study, 17 *E. coli* strains displayed an identical rep-PCR type (type a), and were present in both CTX-M-14 and CTX-M-15 producers alike. These strains were presumed to be the same clone.

In conclusion, CTX-M-types were the most common type of ESBLs in urinary *E. coli* and *K. pneumoniae* isolates. CTX-M-14 and CTX-M-15 producers were usually resistant to aminoglycoside and/or quinolone antibiotics, including cefotaxime and/or ceftazidime, and contained multiple antimicrobial resistance genes, such as *bla*_{DHA-1}, *qnr*, *aac*(6')-Ib-cr, and *armA*. CTX-M-15 producers contained more antimicrobial resistance genes than did CTX-M-14 producers.

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