## SOME CLASSES OF REPEATED-ROOT CONSTACYCLIC CODES OVER $\mathbb{F}_{p^m} + u\mathbb{F}_{p^m} + u^2\mathbb{F}_{p^m}$

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ABSTRACT. Constacyclic codes of length  $p^s$  over  $R = \mathbb{F}_{p^m} + u\mathbb{F}_{p^m} + u^2\mathbb{F}_{p^m}$  are precisely the ideals of the ring  $\frac{R[x]}{\langle x^{p^s} - 1 \rangle}$ . In this paper, we investigate constacyclic codes of length  $p^s$  over R. The units of the ring R are of the forms  $\gamma$ ,  $\alpha + u\beta$ ,  $\alpha + u\beta + u^2\gamma$  and  $\alpha + u^2\gamma$ , where  $\alpha$ ,  $\beta$  and  $\gamma$  are nonzero elements of  $\mathbb{F}_{p^m}$ . We obtain the structures and Hamming distances of all  $(\alpha + u\beta)$ -constacyclic codes and  $(\alpha + u\beta + u^2\gamma)$ -constacyclic codes of length  $p^s$  over R. Furthermore, we classify all cyclic codes of length  $p^s$  over R, and by using the ring isomorphism we characterize  $\gamma$ -constacyclic codes of length  $p^s$  over R.

#### 1. Introduction

Constacyclic codes over finite rings are an important class of codes from both a theoretical and practical viewpoint. In the 1990s, it was shown that certain good nonlinear binary codes can be constructed from cyclic codes over  $\mathbb{Z}_4$  via the Gray map [10]. Since then, constacyclic codes over finite chain rings have been studied by many authors [8, 12, 17]. In these studies, the code length n is relatively prime to the characteristic of the residue field of a finite chain ring. The case when the code length n is divisible by the characteristics p of the residue field of a finite chain ring yields the so-called repeated-root codes, which were studied since 2003 by several authors such as Abualrub and Oehmke [1], Blackford [2, 3], Noton and Sălăgean [14], Sălăgean [16], Ling et al. [13], Zhu and Kai [18, 19]. In recent years, Dinh and Dougherty have studied the description of several classes of constacyclic codes, such as cyclic and negacyclic codes over various types of finite rings [4, 5, 6, 7, 8, 9]. In this paper, we continue to study repeated-root constacyclic codes over the chain ring  $\mathbb{F}_{p^m} + u\mathbb{F}_{p^m} + u^2\mathbb{F}_{p^m}$ .

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The paper is organized as follows. In Section 2, we will recall some notations and properties about constacyclic codes over finite chain rings, and the structure and Hamming distance of  $\alpha$ -constacyclic codes of length  $p^s$  over  $\mathbb{F}_{p^m}$ , where  $\alpha$  is a nonzero element of  $\mathbb{F}_{p^m}$ . Using the structure and Hamming distances of constacyclic codes over  $\mathbb{F}_{p^m}$ , we investigate the structure and Hamming distance of  $(\alpha + u\beta)$ -constacyclic codes and  $(\alpha + u\beta + u^2\gamma)$ -constacyclic codes of length  $p^s$  over  $R = \mathbb{F}_{p^m} + u\mathbb{F}_{p^m} + u^2\mathbb{F}_{p^m}$  in Section 3. We show that  $R_{\alpha+u\beta} = \frac{R[x]}{\langle x^{p^s} - (\alpha+u\beta) \rangle}$  or  $R_{\alpha+u\beta+u^2\gamma} = \frac{R[x]}{\langle x^{p^s} - (\alpha+u\beta+u^2\gamma) \rangle}$  is a finite chain ring with maximal ideal of  $\langle \alpha_0 x - 1 \rangle$ , where  $\alpha_0$  is completely determined by  $\alpha, s$ and m. In Section 4, we address the cyclic codes of length  $p^s$  over R. These cyclic codes are the ideals of the ring  $R_1 = \frac{R[x]}{\langle x^{p^s} - 1 \rangle}$ , which is a local ring with the maximal ideal  $\langle x - 1, u \rangle$ . We classify all such cyclic codes by categorizing the ideals of the local ring  $R_1$  into 8 types, and provide a detailed structure of ideals in each type. In the last section, we build a one-to-one correspondence between cyclic and  $\gamma$ -constacyclic codes of length  $p^s$  over  $R_1$  via the ring isomorphism  $\psi$ , which allows us to apply our results about cyclic codes in Section 4 to  $\gamma$ -constacyclic codes over R.

### 2. Preliminaries

Let  $\mathbb{F}_{p^m}$  be a finite field with  $p^m$  elements, where p is a prime and m is an integer number. Let R be the commutative ring  $\mathbb{F}_{p^m} + u\mathbb{F}_{p^m} + u^2\mathbb{F}_{p^m} =$  $\{a + bu + cu^2 \mid a, b, c \in \mathbb{F}_{p^m}\}$  with  $u^3 = 0$ . The ring R is a chain ring, it has a unique maximal ideal  $\langle u \rangle = \{au \mid a \in \mathbb{F}_{p^m}\}$ . A code of length n over R is a nonempty subset of  $R^n$ , and a code is linear over R if it is an R-submodule of  $R^n$ . Let C be a code of length n over R and P(C) be its polynomial representation, i.e.,

$$P(C) = \{\sum_{i=0}^{n-1} c_i x^i \mid (c_0, c_1, \dots, c_{n-1}) \in C\}.$$

For a unit  $\lambda$  of R, the  $\lambda$ -constacyclic ( $\lambda$ -twisted) shift  $\tau_{\lambda}$  on  $\mathbb{R}^{n}$  is the shift

 $\tau_{\lambda}(a_0, a_1, \dots, a_{n-1}) = (\lambda a_{n-1}, a_0, \dots, a_{n-2}).$ 

A linear code C is said to be  $\lambda$ -constacyclic if  $\tau_{\lambda}(C) = C$ , i.e., C is closed under the  $\lambda$ -constacyclic shift  $\tau_{\lambda}$ . In the case  $\lambda = 1$ , these  $\lambda$ -constacyclic codes are called cyclic codes and in the case  $\lambda = -1$ , these  $\lambda$ -constacyclic codes are called negacyclic codes. A code C of length n over R is  $\lambda$ -constacyclic if and only if P(C) is an ideal of  $\frac{R[x]}{\langle x^n - \lambda \rangle}$ , and a code C of length n over R is cyclic if and only if P(C) is an ideal of  $\frac{R[x]}{\langle x^n - 1 \rangle}$ , and a code C of length n over R is negacyclic if and only if P(C) is an ideal of  $\frac{R[x]}{\langle x^n + 1 \rangle}$ .

Let  $x = (x_0, x_1, \ldots, x_{n-1})$  and  $y = (y_0, y_1, \ldots, y_{n-1}) \in \mathbb{R}^n$ . The Euclidean inner product or dot product of x and y in  $\mathbb{R}^n$  is defined as  $x \cdot y = x_0y_0 + x_1y_1 + \cdots + x_{n-1}y_{n-1}$ , where the operation is performed in R. The dual code

of C is defined as  $C^{\perp} = \{x \in \mathbb{R}^n \mid x \cdot y = 0, \forall y \in C\}$ . A code C is called self-orthogonal if  $C \subseteq C^{\perp}$ , and it is called self-dual if  $C = C^{\perp}$ . It is well known that the dual of a  $\lambda$ -constacyclic code is a  $\lambda^{-1}$ -constacyclic code [7].

The following equivalent conditions are known for the class of finite commutative chain rings [8].

**Proposition 2.1.** Let R be a finite commutative ring. Then the following conditions are equivalent:

(i) R is a local ring and the maximal ideal M of R is principal, i.e.,  $M = \langle r \rangle$  for some  $r \in R$ ;

(ii) R is a local principal ideal ring;

(iii) R is a chain ring with ideals  $\langle r^i \rangle$ , and  $|\langle r^i \rangle| = |\bar{R}|^{N(r)-i}$ ,  $0 \le i \le N(r)$ , where  $|\bar{R}| = \frac{R}{M}$  and N(r) is the nilpotency of r.

The following proposition can be found in [11, 15].

**Proposition 2.2.** Let p be a prime and R be a finite chain ring of size  $p^{\alpha}$ . The number of codewords in any linear code C of length n over R is  $p^k$  for some integer  $k \in \{0, 1, ..., \alpha n\}$ . Moreover, the dual code  $C^{\perp}$  has  $p^l$  codewords, where  $k + l = \alpha n$ , i.e.,  $|C||C^{\perp}| = |R|^n$ .

Let  $\lambda$  be a nonzero element of the field  $\mathbb{F}_{p^m}$ . Let C be a  $\lambda$ -constacyclic code of length  $p^s$  over  $\mathbb{F}_{p^m}$ . Then  $\lambda^{-p^m} = \lambda^{-1}$ . By the division algorithm, there exist nonnegative integers  $\lambda_q, \lambda_r$  such that  $s = \lambda_q m + \lambda_r$ , where  $s, m > 0, 0 \leq \lambda_r \leq m-1$ . Let  $\lambda_0 = -\lambda^{-p^{(\lambda_q+1)m-s}} = -\lambda^{-p^{m-\lambda_r}}$ . Then  $\lambda_0^{p^s} = -\lambda^{-p^{(\lambda_q+1)m}} = -\lambda^{-1}$ . We will use the following.

**Proposition 2.3** ([5, Theorem 4.11]). Let C be a  $\lambda$ -constacyclic code of length  $p^s$  over  $\mathbb{F}_{p^m}$ . Then  $C = \langle (\lambda_0 x + 1)^i \rangle \subseteq \frac{\mathbb{F}_{p^m}[x]}{\langle x^{p^s} - \lambda \rangle}$  for  $i \in \{0, 1, \dots, p^s\}$ , and its Hamming distance d(C) is completely determined by

$$d(C) = \begin{cases} 1, & \text{if } i = 0, \\ l+2, & \text{if } lp^{s-1} + 1 \le i \le (l+1)p^{s-1}, \text{ where } 0 \le l \le p-2, \\ (t+1)p^k, & \text{if } p^s - p^{s-k} + (t-1)p^{s-k-1} + 1 \le i \le p^s - p^{s-k} + tp^{s-k-1}, \\ where \ 1 \le t \le p-1, \text{ and } 1 \le k \le s-1, \\ 0, & \text{if } i = p^s. \end{cases}$$

# 3. $(\alpha + u\beta)$ or $(\alpha + u\beta + u^2\gamma)$ -constacyclic codes of length $p^s$ over ring R

Let  $\alpha, \beta$  and  $\gamma$  be nonzero elements of the field  $\mathbb{F}_{p^m}$ . Then  $\alpha + u\beta$  and  $\alpha + u\beta + u^2\gamma$  are units of R. The  $(\alpha + u\beta)$ -constacyclic codes of length  $p^s$  over R are ideals of the ring  $R_{\alpha+u\beta} = \frac{R[x]}{\langle x^{p^s} - (\alpha + u\beta) \rangle}$ , and the  $(\alpha + u\beta + u^2\gamma)$ -constacyclic codes of length  $p^s$  over R are ideals of the ring  $R_{\alpha+u\beta+u^2\gamma} = \frac{R[x]}{\langle x^{p^s} - (\alpha + u\beta + u^2\gamma) \rangle}$ . By the division algorithm, there exist nonnegative integers  $\alpha_q, \alpha_r$  such that

 $s = \alpha_q m + \alpha_r$ , where  $0 \le \alpha_r \le m - 1$ . Let  $\alpha_0 = \alpha^{-p^{(\alpha_q+1)m-s}} = \alpha^{-p^{m-\alpha_r}}$ . Then  $\alpha_0^{p^s} = \alpha^{-p^{(\alpha_q+1)m}} = \alpha^{-1}$ .

**Lemma 3.1.** In  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$ ,  $\langle (\alpha_0 x - 1)^{p^s} \rangle = \langle u \rangle$ . In particular,  $\alpha_0 x - 1$  is nilpotent in  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$  with nilpotency index  $3p^s$ .

Proof. If  $1 \le i \le p^s - 1$ , then  $p \mid \binom{p^s}{i}$ . (i) By computing in  $R_{\alpha+u\beta}$ ,

$$(\alpha_0 x - 1)^{p^s} = (\alpha_0 x)^{p^s} - 1 + \sum_{i=1}^{p^s - 1} {p^s \choose i} (\alpha_0 x)^i (-1)^{p^s - i}$$
$$= \alpha^{-1} x^{p^s} - 1 = \alpha^{-1} (\alpha + u\beta) - 1 = u\beta\alpha^{-1}.$$

So  $\langle (\alpha_0 x - 1)^{p^s} \rangle = \langle u \rangle$ .

(ii) By computing in  $R_{\alpha+u\beta+u^2\gamma}$ ,

$$(\alpha_0 x - 1)^{p^s} = (\alpha_0 x)^{p^s} - 1 + \sum_{i=1}^{p^s - 1} {p^s \choose i} (\alpha_0 x)^i (-1)^{p^s - i}$$
$$= \alpha^{-1} x^{p^s} - 1 = \alpha^{-1} (\alpha + u\beta + u^2 \gamma) - 1$$
$$= u\beta \alpha^{-1} + u^2 \gamma \alpha^{-1} = u(\beta \alpha^{-1} + u\gamma \alpha^{-1}).$$

So  $\langle (\alpha_0 x - 1)^{p^s} \rangle = \langle u \rangle$ .

The last statement is straightforward because u has nilpotency index 3 in  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$ .

**Theorem 3.2.** The ring  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$  is a chain ring whose ideal is separately

$$R_{\alpha+u\beta} = \langle 1 \rangle \supseteq \langle \alpha_0 x - 1 \rangle \supseteq \cdots \supseteq \langle (\alpha_0 x - 1)^{3p^s - 1} \rangle \supseteq \langle (\alpha_0 x - 1)^{3p^s} \rangle = \langle 0 \rangle,$$

or

$$R_{\alpha+u\beta+u^{2}\gamma} = \langle 1 \rangle \supseteq \langle \alpha_{0}x - 1 \rangle \supseteq \cdots \supseteq \langle (\alpha_{0}x - 1)^{3p^{s}-1} \rangle \supseteq \langle (\alpha_{0}x - 1)^{3p^{s}} \rangle = \langle 0 \rangle.$$

*Proof.* Let f(x) be an element in  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$ . Then f(x) can be represented as

$$f(x) = \sum_{i=0}^{p^s - 1} a_{0i} (\alpha_0 x - 1)^i + u \sum_{i=0}^{p^s - 1} a_{1i} (\alpha_0 x - 1)^i + u^2 \sum_{i=0}^{p^s - 1} a_{2i} (\alpha_0 x - 1)^i,$$

where  $a_{0i}, a_{1i}, a_{2i} \in \mathbb{F}_{p^m}$ . By Lemma 3.1,  $u = (\alpha_0 x - 1)^{p^s} \alpha \beta^{-1}$ , so  $f(x) = a_{00} + (\alpha_0 x - 1)g(x)$  for some polynomial  $g(x) \in R_{\alpha+u\beta}$  or  $g(x) \in R_{\alpha+u\beta+u^2\gamma}$ . Because  $\alpha_0 x - 1$  is nilpotent in  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$ , f(x) is not invertible if and only if  $a_{00} = 0$ . It is equivalent to the fact that f(x) is in  $\langle \alpha_0 x - 1 \rangle$ . Therefore,  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$  is a local ring with maximal ideal  $\langle \alpha_0 x - 1 \rangle$ . That means that  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$  is a chain ring whose ideals are  $\langle (\alpha_0 x - 1)^i \rangle$ ,  $0 \leq i \leq 3p^s$ .

We have  $(\alpha + u\beta + u^2\gamma)^{p^{2m}} = (\alpha^{p^m})^{p^m} = \alpha^{p^m} = \alpha$ , hence  $(\alpha + u\beta + u^2\gamma)^{p^{2m}}\alpha^{-1} = 1$ . Therefore,

$$\begin{aligned} (\alpha + u\beta + u^2\gamma)^{-1} &= (\alpha + u\beta + u^2\gamma)^{p^{2m}-1}\alpha^{-1} \\ &= [(\alpha + u\beta)^{p^{m+1}-1} + (p^{m+1}-1)(\alpha + u\beta)^{p^{m+1}-2}u^2\gamma]\alpha^{-1} \\ &= [\alpha^{p^{2m}-1} - u\beta\alpha^{p^{2m}-2} + \frac{(p^{2m}-1)(p^{2m}-2)}{2}u^2\beta^2\alpha^{p^{2m}-3} \\ &- (\alpha + u\beta)^{p^{2m}-2}u^2\gamma]\alpha^{-1} \\ &= [1 - u\beta\alpha^{-1} - u^2\gamma\alpha^{-1} + u^2\beta^2\alpha^{-2}]\alpha^{-1} \\ &= \alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3}). \end{aligned}$$

This implies that if  $C = \langle (\alpha_0 x - 1)^i \rangle$  is a  $(\alpha + u\beta + u^2\gamma)$ -constacyclic code of length  $p^s$  over R, then its dual  $C^{\perp}$  is a  $[\alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3})]$ constacyclic code of length  $p^s$  over R. That means  $C^{\perp}$  is an ideal of the chain ring  $R_{\alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3})} = \frac{R[x]}{\langle x^{p^s} - (\alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3}))\rangle}$ . Since  $|C| = p^{m(3p^s - i)}$ , it follows that  $|C^{\perp}| = p^{mi}$  and  $C^{\perp} = \langle (\alpha_0^{-1}x - 1)^{3p^s - i} \rangle \subset$  $R_{\alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3})}$ . We obtain the following theorem.

**Theorem 3.3.** For each  $(\alpha + u\beta + u^2\gamma)$ -constacyclic code of length  $p^s$  over  $R, C = \langle (\alpha_0 x - 1)^i \rangle \subset R_{\alpha + u\beta + u^2\gamma}$ , its dual is the  $[\alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3})]$ -constacyclic code

$$C^{\perp} = \langle (\alpha_0^{-1}x - 1)^{3p^s - i} \rangle \subset R_{\alpha^{-1} - u\beta\alpha^{-2} - u^2(\gamma\alpha^{-2} - \beta^2\alpha^{-3})},$$

which contains  $p^{mi}$  codewords.

Similarly, we have the following theorem.

**Theorem 3.4.** For each  $(\alpha + u\beta)$ -constacyclic code of length  $p^s$  over R,  $C = \langle (\alpha_0 x - 1)^i \rangle \subset R_{\alpha + u\beta}$ , its dual is the  $(\alpha^{-1} - u\beta\alpha^{-2} + u^2\beta^2\alpha^{-3})$ -constacyclic code  $C^{\perp} = \langle (\alpha_0^{-1}x - 1)^{3p^s - i} \rangle \subset R_{\alpha^{-1} - u\beta\alpha^{-2} + u^2\beta^2}$ , which contains  $p^{mi}$  codewords.

In the following, we consider the Hamming distance of  $(\alpha + u\beta)$ -constacyclic codes or  $(\alpha + u\beta + u^2\gamma)$ -constacyclic codes of length  $p^s$  over R.

**Theorem 3.5.** Let C be a  $(\alpha + u\beta)$ -constacyclic code or  $(\alpha + u\beta + u^2\gamma)$ constacyclic code of length  $p^s$  over R. Then  $C = \langle (\alpha_0 x - 1)^i \rangle \subset R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$  for  $i \in \{0, 1, 2, ..., 3p^s\}$ , and the Hamming distance d(C) is completely determined by

$$d(C) = \begin{cases} 1, \ if \ 0 \le i \le 2p^s, \\ l+2, \ if \ 2p^s + lp^{s-1} + 1 \le i \le 2p^s + (l+1)p^{s-1}, \ where \ 0 \le l \le p-2, \\ (t+1)p^k, \ if \ 3p^s - p^{s-k} + (t-1)p^{s-k-1} + 1 \le i \le 3p^s - p^{s-k} + tp^{s-k-1}, \\ where \ 1 \le t \le p-1, \ and \ 1 \le k \le s-1, \\ 0, \ if \ i = 3p^s. \end{cases}$$

*Proof.* By Lemma 3.1,  $\langle (\alpha_0 x - 1)^{2p^s} \rangle = \langle u^2 \rangle$  in  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$ . We consider the following two cases.

Case 1:  $1 \leq i \leq 2p^s$ . Then  $u^2 \in \langle (\alpha_0 x - 1)^i \rangle$ , and thus  $\langle (\alpha_0 x - 1)^i \rangle$  has a Hamming distance of 1.

Case 2:  $2p^s + 1 \leq i \leq 3p^s - 1$ . Then  $\langle (\alpha_0 x - 1)^i \rangle = \langle u^2 (\alpha_0 x - 1)^{i-2p^s} \rangle$ , which means that the codewords of the code  $\langle (\alpha_0 x - 1)^i \rangle$  in  $R_{\alpha+u\beta}$  or  $R_{\alpha+u\beta+u^2\gamma}$  are precisely the codewords of the code  $\langle (\alpha_0 x - 1)^{i-2p^s} \rangle$  in  $\frac{\mathbb{F}_{p^m}[x]}{\langle x^{p^s} - \alpha \rangle}$ , multiplied with u, which have the same Hamming weights. Moreover, the codes  $\langle (\alpha_0 x - 1)^{i-2p^s} \rangle$  in  $\frac{\mathbb{F}_{p^m}[x]}{\langle x^{p^s} - \alpha \rangle}$  are  $\alpha$ -constacyclic codes of length  $p^s$  over  $\mathbb{F}_{p^m}$ , with the Hamming distance computed as Proposition 2.3. We complete the proof of the theorem.  $\Box$ 

## 4. Cyclic codes of length $p^s$ over R

Cyclic codes of length  $p^s$  over R are ideals of the residue ring  $R_1 = \frac{R[x]}{\langle x^{p^s} - 1 \rangle}$ . It is easy to prove the following lemma.

**Lemma 4.1.** The followings hold in  $R_1$ :

- (i) For any nonnegative integer t,  $(x-1)^{p^t} = x^{p^t} 1$ .
- (ii) x 1 is nilpotent with the nilpotency index  $p^s$ .

Unlike  $R_{\alpha+u\beta}$ , the ring  $R_1$  is not a chain ring. It is a local ring whose maximal ideal is not principal.

**Proposition 4.2.** The ring  $R_1$  is a local ring with the maximal ideal  $\langle u, x-1 \rangle$ , but it is not a chain ring.

*Proof.* Any  $f(x) \in R_1$  can be represented as

$$f(x) = \sum_{i=0}^{p^s - 1} b_{0i}(x-1)^i + u \sum_{i=0}^{p^s - 1} b_{1i}(x-1)^i + u^2 \sum_{i=0}^{p^s - 1} b_{2i}(x-1)^i$$
  
=  $b_{00} + (x-1) \sum_{i=1}^{p^s - 1} b_{0i}(x-1)^{i-1} + u \sum_{i=0}^{p^s - 1} b_{1i}(x-1)^i + u^2 \sum_{i=0}^{p^s - 1} b_{2i}(x-1)^i$ ,

where  $b_{0i}, b_{1i}, b_{2i} \in \mathbb{F}_{p^m}$ . Note that x - 1, u and  $u^2$  are nilpotent in  $R_1$ . It follows that f(x) is not invertible if and only if  $b_{00} = 0$ , and  $\langle u, x - 1 \rangle$  is precisely the set of non-invertible elements of  $R_1$ . Hence  $R_1$  is a local ring with the maximal ideal  $\langle u, x - 1 \rangle$ . Suppose that  $u \in \langle x - 1 \rangle$ . Then there must exist  $f_1(x), f_2(x) \in R[x]$  such that  $u = (x - 1)f_1(x) + (x^{p^s} - 1)f_2(x)$ . But this is impossible because u = 0 of x = 1. Hence  $u \notin \langle x - 1 \rangle$ . Obviously,  $x - 1 \notin \langle u \rangle$ , because x - 1 has nilpotency index  $p^s$  and  $u^3 = 0$ . Therefore, the maximal ideal  $\langle u, x - 1 \rangle$  of  $R_1$  is not principal. It means  $R_1$  is not a chain ring.  $\Box$ 

We can list all cyclic codes of length  $p^s$  over  $R_1$  as follows.

**Theorem 4.3.** Cyclic codes of length  $p^s$  over R, i.e., ideals of the ring  $R_1$  are • Type  $1: \langle 0 \rangle, \langle 1 \rangle$ .

• Type 2:  $I = \langle u^2 (x-1)^k \rangle$ , where  $0 \le k \le p^s - 1$ .

• Type 3:  $I = \langle u(x-1)^l + u^2 \sum_{j=0}^{l-1} c_{2j}(x-1)^j \rangle$ , where  $0 \le l \le p^s - 1, c_{2j} \in I$ t < l, and either h(x) is 0 or h(x) is a unit where it can be represented as  $h(x) = \sum_{j} h_j (x-1)^j$  with  $h_j \in \mathbb{F}_{p^m}$ , and  $h_0 \neq 0$ .

• Type 4:  $I = \langle u(x-1)^l + u^2 \sum_{j=0}^{w-1} c_{2j}(x-1)^j, u^2(x-1)^w \rangle$ , where  $0 \le l \le p^s - 1, c_{2j} \in \mathbb{F}_{p^m}, w < l$  and w < T, where T is the smallest integer such that  $u^2(x-1)^T \in \langle u(x-1)^l + u^2 \sum_{j=0}^{l-1} c_{2j}(x-1)^j \rangle$ ; or equivalent,  $\langle u(x-1)^l + u^2 \sum_{j=0}^{l-1} c_{2j}(x-1)^j \rangle$ ; or equivalent,  $\langle u(x-1)^l + u^2 \sum_{j=0}^{l-1} c_{2j}(x-1)^j \rangle$ ; where  $u(x-1)^l = u^2 u(x-1)^{l-1} + u^2 u(x-1)^{l-1} = u^2 u(x-1)^{l-1} u(x-1)^{l-1} + u^2 u(x-1)^{l-1} u(x-1)^{l-1} = u^2 u(x-1)^{l-1} u(x-1)^{l-1} = u^2 u(x-1)^{l-1} u(x-1)^{l-1} u(x-1)^{l-1} u(x-1)^{l-1} = u^2 u(x-1)^{l-1} u(x-1)^{l$  $u^2(x-1)^t h(x), u(x-1)^w$ , with h(x) as in Type 3, and  $\deg(h) \le w-t-1$ . 

 $\begin{array}{l} p^s - 1, 0 \leq t < i, 0 \leq z < i \ and \ h_1(x), h_2(x) \ are \ similar \ to \ h(x) \ in \ Type \ 3.\\ \bullet \ Type \ 6: \ I = \langle (x-1)^i + u \sum_{j=0}^{q-1} c_{1j}(x-1)^j + u^2 \sum_{j=0}^{q-1} c_{2j}(x-1)^j, u(x-1)^j + u^2 \sum_{j=0}^{q-1} c_{2j}(x-1)^j + u^2 \sum_{j=0}^{q-1} c_{2j}(x-1)^$ 

 $1)^{q} + u^{2} \sum_{j=0}^{q-1} e_{2j}(x-1)^{j} \rangle, \text{ where } 0 \le i \le p^{s} - 1, q \le i \text{ and } c_{1j}, c_{2j}, e_{2j} \in \mathbb{F}_{p^{m}}.$ • Type 7 :  $I = \langle (x-1)^{i} + u \sum_{j=0}^{\sigma-1} c_{1j}(x-1)^{j} + u^{2} \sum_{j=0}^{\sigma-1} c_{2j}(x-1)^{j}, u(x-1)^{j} + u^{2} \sum_{j=0}^{\sigma-1} c_{2j}(x-1)^{j} \rangle$ 

 $(1)^{q} + u^{2} \sum_{j=0}^{\sigma-1} e_{2j}(x-1)^{j}, u^{2}(x-1)^{\sigma} \rangle, \text{ where } 0 \leq i \leq p^{s} - 1, \sigma < q \leq q$  $i, c_{1j}, c_{2j}, e_{2j} \in \mathbb{F}_{p^m}$ , and T is the smallest integer such that  $u^2(x-1)^T \in \langle u(x-1)^q + u^2 \sum_{j=0}^{q-1} e_{2j}(x-1)^j \rangle = \langle u(x-1)^q + u^2(x-1)^z h(x) \rangle$ , with h(x) as in Type 3, and  $\operatorname{deg}(h(x)) \leq w - z - 1$ . • Type 8 :  $I = \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j} (x-1)^j + u^2 \sum_{j=0}^{\eta-1} c_{2j} (x-1)^j, u^2 (x-1)^\eta \rangle$ ,

where  $0 \le i \le p^s - 1$ ,  $\eta < i, c_{0i}, c_{2i} \in \mathbb{F}_{p^m}$ .

*Proof.* Ideals of Type 1 are the trivial ideals. Consider an arbitrary nontrivial ideal of  $R_1$ .

Case 1.  $I \subset \langle u^2 \rangle$ . Any element of I must have the form  $u^2 \sum_{j=0}^{p^s-1} b_{2j} (x-1)^j$ , where  $b_{2i} \in \mathbb{F}_{p^m}$ . Let  $b \in I$  be an element that has the smallest k such that  $b_{2k} \neq 0$ . Hence all elements  $a(x) \in I$  have the form

$$a(x) = u^{2}(x-1)^{k} \sum_{j=k}^{p^{s}-1} a_{2j}(x-1)^{j-k},$$

which implies  $I \subset \langle u^2(x-1)^k \rangle$ . On the other hand, we have  $b \in I$  with

$$b = u^{2}(x-1)^{k} \sum_{j=k}^{p^{s}-1} b_{2j}(x-1)^{j-k} = u^{2}(x-1)^{k} (b_{2k} + \sum_{j=k+1}^{p^{s}-1} b_{2j}(x-1)^{j-k}).$$

As  $b_{2k} \neq 0$ ,  $b_{2k} + \sum_{j=k+1}^{p^s-1} b_{2j}(x-1)^{j-k}$  is invertible, it follows that  $u^2(x-1)^{j-k}$  $1^{k} \in I$ . That is to say, the ideals of  $R_1$  contained in  $\langle u^2 \rangle$  are  $\langle u^2(x-1)^k \rangle$ ,  $0 \leq k \leq p^s - 1$  .

Case 2.  $\langle u^2 \rangle \subsetneq I \subset \langle u \rangle$ . Any element of I must have the form

$$u\sum_{j=0}^{p^s-1}e_{1j}(x-1)^j+u^2\sum_{j=0}^{p^s-1}e_{2j}(x-1)^j,$$

and there exists a polynomial  $u \sum_{j=0}^{p^s-1} p_{1j}(x-1)^j + u^2 \sum_{j=0}^{p^s-1} e_{2j}(x-1)^j$  in Isuch that  $\sum_{j=0}^{p^s-1} p_{1j}(x-1)^j \neq 0$ . Let  $M = \{u \sum_{j=0}^{p^s-1} e_{1j}(x-1)^j + u^2 \sum_{j=0}^{p^s-1} e_{2j}(x-1)^j \in I \mid \sum_{j=0}^{p^s-1} e_{1j}(x-1)^j \neq 0\}$  and  $N = \{u^2 \sum_{j=0}^{p^s-1} e_{2j}(x-1)^j \in I \mid e_{2j} \in \mathbb{F}_{p^m}\}$ . We take  $\delta = \min\{\deg(h(x)) \mid h(x) \in M\}$ . Suppose that  $H = \{h(x) \in M \mid \deg(h(x)) = \delta\}$ . Then there is an element  $h_1(x) = u \sum_{j=0}^{p^s-1} h_{1j}(x-1)^j + u^2 \sum_{j=0}^{p^s-1} h_{2j}(x-1)^j$  in H that has the smallest l such that  $h_{1l} \neq 0$ . Hence we have

$$h_1(x) = u(x-1)^l (h_{1l} + \sum_{j=l+1}^{p^s-1} h_{1j}(x-1)^{j-l}) + u^2 \sum_{j=0}^{p^s-1} h_{2j}(x-1)^j \in I.$$

Let  $h_2(x) = (x-1)^l (h_{1l} + \sum_{j=l+1}^{p^s-1} h_{1j}(x-1)^{j-l}) + u \sum_{j=0}^{p^s-1} h_{2j}(x-1)^j$ . Then  $h_1(x) = uh_2(x)$ . We now have two subcases.

Case 2a.  $N \subset \langle h_1(x) \rangle$ . For any  $f(x) \in M$ , obviously, f(x) can be written as  $f(x) = uf_1(x)$ , where  $f_1(x) = \sum_{j=0}^{p^s-1} e_{1j}(x-1)^j + u \sum_{j=0}^{p^s-1} e_{2j}(x-1)^j$ . By the Euclidean algorithm for finite commutative local rings,  $f_1(x)$  can be written as

$$f_1(x) = q(x)h_2(x) + r(x),$$

where  $q(x), r(x) \in R_1$  and r(x) = 0 or  $\deg(r(x)) < \deg(h_1(x))$ . It implies that  $uf_1(x) = q(x)h_1(x) + ur(x)$ . Suppose that  $ur(x) \notin N$ . Then  $ur(x) \neq 0$ . Hence  $ur(x) = f(x) - q(x)h_1(x) \in M$ , which contradicts the assumption of  $h_1(x)$ . Thus  $ur(x) \in N$ . Therefore,  $I = \langle h_1(x) \rangle$ . Because  $uh_1(x) = u^2(x-1)^l [h_{1l} + \sum_{j=l+1}^{p^s-1} h_{1j}(x-1)^{j-l}] \in I$  and  $h_{1l} + \sum_{j=l+1}^{p^s-1} h_{1j}(x-1)^{j-l}$  is an invertible element in  $R_1$ , it follows that  $u^2(x-1)^l \in I$  and

$$\tilde{h}(x) = u(x-1)^{l}(h_{1l} + \sum_{j=l+1}^{p^{s}-1} h_{1j}(x-1)^{j-l}) + u^{2} \sum_{j=0}^{l-1} h_{2j}(x-1)^{j} \in I.$$

Thus  $c(x) = \tilde{h}(x)(h_{1l} + \sum_{j=l+1}^{p^s-1} h_{1j}(x-1)^{j-l})^{-1} \in I$  and c(x) can be expressed as  $c(x) = u(x-1)^l + u^2 \sum_{j=0}^{l-1} c_{2j}(x-1)^j$ , where  $c_{2j} \in \mathbb{F}_{p^m}$ . Therefore

Therefore,

$$I = \langle u(x-1)^{l} + u^{2} \sum_{j=0}^{l-1} c_{2j}(x-1)^{j} \rangle.$$

Case 2b.  $N \not\subseteq \langle h(x) \rangle = \langle c(x) \rangle$ . For any  $n(x) \in N$ , there exists the smallest integer w such that  $n(x) = u^2(x-1)^w n_1(x)$  for  $n_1(x) \in R_1$ . Obviously,  $u^2(x-1)^w n_1(x) = u^2(x-1)^w n_1(x)$ .

 $1)^w \in N$ , but  $u^2(x-1)^w \notin \langle \tilde{h}(x) \rangle = \langle c(x) \rangle$ . Hence

$$I = \langle u(x-1)^l + u^2 \sum_{j=0}^{l-1} c_{2j}(x-1)^j, u^2(x-1)^w \rangle.$$

Suppose that  $w \ge l$ . Then

$$u^{2}(x-1)^{w} = u(x-1)^{w-l} [u(x-1)^{l} + u^{2} \sum_{j=0}^{l-1} c_{2j}(x-1)^{j}] \in \langle c(x) \rangle,$$

which is a contradiction. Thus w < l. Hence

$$I = \langle u(x-1)^{l} + u^{2} \sum_{j=0}^{w-1} c_{2j}(x-1)^{j}, u^{2}(x-1)^{w} \rangle.$$

Let T be the smallest integer such that  $u^2(x-1)^T \in \langle c(x) \rangle$ . If  $w \ge T$ , then  $u^2(x-1)^w = (x-1)^{w-T} u^2(x-1)^T \in \langle c(x) \rangle$ , which contradicts the assumption of  $u^2(x-1)^w \notin \langle c(x) \rangle$ . Hence w < T.

Case 3.  $I \not\subseteq \langle u \rangle$ . Let  $I_u$  denote the set of elements in I reduced modulo u. Then  $I_u$  is a nonzero ideal of the ring  $\frac{\mathbb{F}_p m[x]}{\langle x^{p^s} - 1 \rangle}$ . According to [5, Theorem 6.2], it is a chain ring with ideals  $\langle (x-1)^j \rangle$ , where  $0 \leq j \leq p^s$ . Hence there is an integer  $i \in \{0, 1, \ldots, p^s - 1\}$  such that  $I_u = \langle (x-1)^i \rangle \subset \frac{\mathbb{F}_p m[x]}{\langle x^{p^s} - 1 \rangle}$ . Therefore, there are two elements  $c_i(x) = \sum_{j=0}^{p^s-1} c_{0j}^{(i)}(x-1)^j + u \sum_{j=0}^{p^s-1} c_{1j}^{(i)}(x-1)^j + u^2 \sum_{j=0}^{p^s-1} c_{2j}^{(i)}(x-1)^j \in R_1$  for i = 1, 2 such that  $(x-1)^i + uc_1(x) + u^2c_2(x) \in I$ , where  $c_{0j}^{(i)}, c_{1j}^{(i)}, c_{2j}^{(i)} \in \mathbb{F}_{p^m}$ . Note that

$$(x-1)^{i} + uc_{1}(x) + u^{2}c_{2}(x)$$
  
=  $(x-1)^{i} + u\sum_{j=0}^{p^{s}-1} c_{0j}^{(1)}(x-1)^{j} + u^{2}\sum_{j=0}^{p^{s}-1} c_{1j}^{(1)}(x-1)^{j} + u^{2}\sum_{j=0}^{p^{s}-1} c_{0j}^{(2)}(x-1)^{j}$   
=  $(x-1)^{i} + u\sum_{j=0}^{p^{s}-1} c_{0j}^{(1)}(x-1)^{j} + u^{2}\sum_{j=0}^{p^{s}-1} c_{2j}(x-1)^{j} \in I,$ 

where  $c_{2j} = c_{1j}^{(1)} + c_{0j}^{(1)}$ , and for all l with  $i \le l \le p^s - 1$ ,  $p^{s-1}$   $p^{s-1}$ 

$$u^{2}(x-1)^{l} = u^{2}[(x-1)^{i} + u\sum_{j=0}^{p^{*}-1} c_{0j}^{(1)}(x-1)^{j} + u^{2}\sum_{j=0}^{p^{*}-1} c_{2j}(x-1)^{j}](x-1)^{l-i} \in I.$$

It follows that

$$(x-1)^{i} + u \sum_{j=0}^{p^{s}-1} c_{0j}^{(1)} (x-1)^{j} + u^{2} \sum_{j=0}^{i-1} c_{2j} (x-1)^{j} \in I.$$

Hence it can be assumed without loss of generality that  $c(x) = (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)} (x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j} (x-1)^j \in I$ , where  $c_{0j}^{(1)}, c_{2j} \in \mathbb{F}_{p^m}$ . We now have two subcases.

Case 3a:  $I = \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)} (x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j} (x-1)^j \rangle$ . *I* can be express as  $I = \langle (x-1)^i + u (x-1)^t h_1(x) + u^2 (x-1)^z h_2(x) \rangle$ , such that either  $h_1(x), h_2(x)$  are 0 or  $h_1(x), h_2(x)$  are units that can be represented as  $h_1(x) = \sum_j h_{1j} (x-1)^j, h_2(x) = \sum_j h_{2j} (x-1)^j$ , with  $h_{1j}, h_{2j} \in \mathbb{F}_{p^m}$ , and  $h_{10} \neq 0, h_{20} \neq 0$ . It means that *I* is in Type 5.

 $\begin{array}{l} h_1(x) = \sum_j h_{1j}(x-1)^j, \ h_2(x) = \sum_j h_{2j}(x-1)^j, \ \text{with } h_{1j}, h_{2j} \in \mathbb{F}_{p^m}, \ \text{and } h_{10} \neq 0, \ h_{20} \neq 0. \ \text{It means that } I \ \text{is in Type 5.} \\ \text{Case 3b: } \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^j \rangle \subsetneq I. \ \text{For every } f(x) \in I \setminus \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^j \rangle, \ \text{there is a polynomial } g(x) \in R_1 \ \text{such that} \end{array}$ 

$$0 \neq h_f(x) = f(x) - g(x)[(x-1)^i + u\sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^j] \in I,$$

and  $h_f(x)$  can be expressed as

$$h_f(x) = \sum_{j=1}^{i-1} h_{0j}(x-1)^j + u \sum_{j=1}^{i-1} h_{1j}(x-1)^j + u^2 \sum_{j=1}^{i-1} h_{2j}(x-1)^j \in I,$$

where  $h_{0j}, h_{1j}, h_{2j} \in \mathbb{F}_{p^m}$ . Now,  $h_f(x)$  reduced modulo u is in  $I_u = \langle (x-1)^i \rangle \subset \frac{\mathbb{F}_{p^m}[x]}{\langle x^{p^s} - 1 \rangle}$ , and thus  $h_{0j} = 0$  for all  $0 \leq j \leq i-1$ , i.e.,  $h_f(x) = u \sum_{j=1}^{i-1} h_{1j}(x-1)^j + u^2 \sum_{j=1}^{i-1} h_{2j}(x-1)^j = u h_{f_u}(x) + u^2 h_{f_{u^2}}(x)$ , where  $h_{f_u}(x) = \sum_{j=1}^{i-1} h_{1j}(x-1)^j$ ,  $h_{f_{u^2}}(x) = \sum_{j=1}^{i-1} h_{2j}(x-1)^j$ .

Let  $M_f = \{h_f(x) = uh_{f_u}(x) + u^2 h_{f_{u^2}}(x) \in I \mid f \in I \setminus \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^j \rangle, \ h_{f_u}(x) \neq 0 \} \text{ and } N_f = \{u^2 h_{f_{u^2}}(x) \in I \mid f \in I \setminus \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^j \rangle, \ h_{f_u}(x) \neq 0 \} \text{ and } N_f = \{u^2 h_{f_{u^2}}(x) \in I \mid f \in I \setminus \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^j \rangle, \ h_{f_u}(x) = 0 \}.$ Suppose that  $M_f \neq \Phi$ . We take  $\varsigma = \min\{\deg(h_f(x)) \mid h_f(x) \in M_f\}$ . It is

Suppose that  $M_f \neq \Phi$ . We take  $\varsigma = \min\{\deg(h_f(x)) \mid h_f(x) \in M_f\}$ . It is easy to prove that there is a polynomial  $\tilde{h}_f(x) \in M_f$  with  $\deg(\tilde{h}_f(x)) = \varsigma$  that has the smallest q such that  $\tilde{h}_{1q} \neq 0$ . Hence we have

$$\tilde{h}_f(x) = u(x-1)^q (\tilde{h}_{1q} + \sum_{j=q+1}^{i-1} \tilde{h}_{1j}(x-1)^{j-q}) + u^2 \sum_{j=0}^{i-1} \tilde{h}_{2j}(x-1)^j \in I.$$

Similarly with Case 2, we have

$$c_f(x) = u(x-1)^q + u^2 \sum_{j=0}^{q-1} e_{2j}(x-1)^j \in I,$$

where  $q \leq i$ .

If 
$$N_f \subset \langle c_f(x) \rangle$$
, then  

$$I = \langle (x-1)^i + u \sum_{j=0}^{q-1} c_{1j} (x-1)^j + u^2 \sum_{j=0}^{q-1} c_{2j} (x-1)^j, u (x-1)^q + u^2 \sum_{j=0}^{q-1} e_{2j} (x-1)^j \rangle,$$

where  $q \leq i$ . Hence I is in Type 6.

If  $N_f \not\subseteq \langle c_f(x) \rangle$ , then there exists the smallest integer  $\sigma < i$  such that  $h_{f_{u^2}}(x) = u^2(x-1)^{\sigma} n_{f_{u^2}}(x)$  for any  $h_{f_{u^2}}(x) \in N_f$ . It is easy to verify that  $u^2(x-1)^{\sigma} \in N_f$ , but  $u^2(x-1)^{\sigma} \notin \langle c_f(x) \rangle$ . Hence

$$I = \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{1j} (x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j} (x-1)^j,$$
$$u(x-1)^q + u^2 \sum_{j=0}^{q-1} e_{2j} (x-1)^j, u^2 (x-1)^\sigma \rangle.$$

Suppose that  $\sigma \geq q$ . Then  $u^2(x-1)^{\sigma} \in \langle c_f(x) \rangle$ , which is a contradiction. Hence  $\sigma < q \leq i$ . Therefore,

$$I = \langle (x-1)^i + u \sum_{j=0}^{\sigma-1} c_{1j} (x-1)^j + u^2 \sum_{j=0}^{\sigma-1} c_{2j} (x-1)^j,$$
$$u (x-1)^q + u^2 \sum_{j=0}^{\sigma-1} e_{2j} (x-1)^j, u^2 (x-1)^\sigma \rangle.$$

Let T be the smallest integer such that  $u^2(x-1)^T \in \langle u(x-1)^q + u^2 \sum_{j=0}^{\sigma-1} e_{2j}(x-1)^j \rangle$ . If  $\sigma \geq T$ , then  $u^2(x-1)^\sigma \in \langle c_f(x) \rangle$ , which is a contradiction. Hence  $\sigma < T$ , and therefore, I is in Type 7.

Suppose that  $M_f = \Phi$ . Then there exists the smallest integer  $\eta < i$  such that  $h_{f_{u^2}}(x) = u^2(x-1)^{\eta} \tilde{h}_{f_{u^2}}$  for any  $h_{f_{u^2}}(x) \in N_f$ . It is easy to verify that  $u^2(x-1)^{\eta} \in N_f$ , but  $u^2(x-1)^{\eta} \notin \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)}(x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j}(x-1)^i \rangle$ . Hence

$$I = \langle (x-1)^i + u \sum_{j=0}^{i-1} c_{0j}^{(1)} (x-1)^j + u^2 \sum_{j=0}^{i-1} c_{2j} (x-1)^i, u^2 (x-1)^\eta \rangle.$$

Therefore, I is in Type 8.

For cyclic codes of Types 4 and 7 according to the classification in the Theorem 4.3, the number T plays a very important role. We now determine T for Type 4 and 7.

**Proposition 4.4.** In Type 4, let T be the smallest integer such that  $u^2(x-1)^T \in C = \langle u(x-1)^l + u^2(x-1)^t h(x) \rangle$ . Then

$$T = \begin{cases} l, & \text{if } h(x) = 0, \\ \min\{l, p^s - l + t\}, & \text{if } h(x) \neq 0, \end{cases}$$

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*Proof.* Firstly  $T \leq l$ , because  $u^2(x-1)^l = u[u(x-1)^l + u^2 \sum_{j=0}^{w-1} c_{2j}(x-1)^j] \in C$ . In case  $h(x) = 0, C = \langle u(x-1)^l \rangle$  and it implies T = l.

We consider the case  $h(x) \neq 0$  and know h(x) is a unit. Because  $u^2(x-1)^T \in \langle u(x-1)^l + u^2(x-1)^t h(x) \rangle$ , there exists  $f(x) \in R_1$  such that  $u^2(x-1)^T = f(x)[u(x-1)^l + u^2(x-1)^t h(x)]$ . Writing f(x) as

$$f(x) = \sum_{j=0}^{p^s-1} a_{0j}(x-1)^j + u \sum_{j=0}^{p^s-1} a_{1j}(x-1)^j + u^2 \sum_{j=0}^{p^s-1} a_{2j}(x-1)^j,$$

where  $a_{0j}, a_{1j}, a_{2j} \in \mathbb{F}_{p^m}$ , we have

$$\begin{split} u^{2}(x-1)^{T} \\ &= [\sum_{j=0}^{p^{s}-1} a_{0j}(x-1)^{j} + u \sum_{j=0}^{p^{s}-1} a_{1j}(x-1)^{j} + u^{2} \sum_{j=0}^{p^{s}-1} a_{2j}(x-1)^{j}] \\ &[u(x-1)^{l} + u^{2}(x-1)^{l} h(x)] \\ &= u(x-1)^{l} \sum_{j=0}^{p^{s}-1} a_{0j}(x-1)^{j} + u^{2}(x-1)^{t} h(x) \sum_{j=0}^{p^{s}-1} a_{0j}(x-1)^{j} \\ &+ u^{2}(x-1)^{l} \sum_{j=0}^{p^{s}-1} a_{1j}(x-1)^{j} \\ &= u(x-1)^{l} \sum_{j=0}^{p^{s}-l-1} a_{0j}(x-1)^{j} + u(x-1)^{p^{s}} \sum_{j=p^{s}-l}^{p^{s}-1} a_{0j}(x-1)^{j+l-p^{s}} \\ &+ u^{2}(x-1)^{l} \sum_{j=0}^{p^{s}-l-1} a_{1j}(x-1)^{j} + u^{2}(x-1)^{p^{s}} \sum_{j=p^{s}-l}^{p^{s}-1} a_{1j}(x-1)^{j+l-p^{s}} \\ &+ u^{2}(x-1)^{l} h(x) \sum_{j=0}^{p^{s}-l-1} a_{0j}(x-1)^{j} + u^{2}(x-1)^{l} h(x) \sum_{j=p^{s}-l}^{p^{s}-1} a_{0j}(x-1)^{j} \\ &= u^{2}(x-1)^{l} \sum_{j=0}^{p^{s}-l-1} a_{1j}(x-1)^{j} + u^{2}(x-1)^{p^{s}-l+t} h(x) \sum_{j=0}^{p^{s}-l} a_{0,p^{s}-l+j}(x-1)^{j}. \end{split}$$

So  $T \ge \min\{l, p^s - l + t\}$ . Moreover,

$$[u(x-1)^{l} + u^{2}(x-1)^{t}h(x)] \cdot (x-1)^{p^{s}-l} = u^{2}(x-1)^{p^{s}-l+t}h(x).$$

Hence  $u^2(x-1)^{p^s-l+t} = [u(x-1)^l + u^2(x-1)^t h(x)]h^{-1}(x) \in C$ . Thus  $T \le p^s - l + t$ , which means that  $T = \min\{l, p^s - l + t\}$ .  $\Box$ 

Similarly, we can prove the following proposition.

**Proposition 4.5.** In Type 7, we have

$$T = \begin{cases} q, & \text{if } h(x) = 0, \\ \min\{q, p^s - q + z\}, & \text{if } h(x) \neq 0. \end{cases}$$

## 5. $\gamma$ -constacyclic codes of length $p^s$ over R

In this section, we discuss the  $\gamma$ -constacyclic codes by constructing a one-toone correspondence between cyclic and  $\gamma$ -constacyclic code to apply our results from Section 5 to  $\gamma$ -constacyclic code.

Since  $\gamma$  is a nonzero element of the field  $\mathbb{F}_{p^m}$ , there exists  $\gamma_0$  such that  $\gamma_0^{p^s} = \gamma^{-1}$ . Similarly with Proposition 6.1 of [7], we have the following proposition.

**Proposition 5.1.** The map  $\psi: \frac{R[x]}{\langle x^{p^s}-1\rangle} \to \frac{R[x]}{\langle x^{p^s}-\gamma\rangle}$  given by  $f(x) \mapsto f(\gamma_0 x)$  is a ring isomorphism. In particular, for  $A \subseteq \frac{R[x]}{\langle x^{p^s}-1\rangle}$ ,  $B \subseteq \frac{R[x]}{\langle x^{p^s}-\gamma\rangle}$  with  $\psi(A) = B$ . Then A is an ideal of  $\frac{R[x]}{\langle x^{p^s}-1\rangle}$  if and only if B is an ideal of  $\frac{R[x]}{\langle x^{p^s}-\gamma\rangle}$ . Equivalently, A is a cyclic code of length  $p^s$  over R if and only if B is a  $\gamma$ -constacyclic code of length  $p^s$  over R.

Using the isomorphism  $\psi$ , we can apply the results about cyclic code of length  $p^s$  over R in Section 4 to corresponding  $\gamma$ -constacyclic codes of length  $p^s$  over R. Indeed, the results in Section 4 for cyclic codes hold with  $\gamma$ -constacyclic codes by replacing x by  $\gamma_0 x$  and writing  $h(x), h_1(x)$  and  $h_2(x)$  more explicitly.

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