# A Novel Atomic Layer Deposited Al<sub>2</sub>O<sub>3</sub> Film with Diluted NH<sub>4</sub>OH for High-Efficient c-Si Solar Cell

Sung-Kwen Oh, Hong-Sik Shin, Kwang-Seok Jeong, Meng Li, Horyeong Lee, Kyumin Han, Yongwoo Lee, Ga-Won Lee, and Hi-Deok Lee\*

Abstract—In this paper, Al<sub>2</sub>O<sub>3</sub> film deposited by thermal atomic layer deposition (ALD) with diluted NH<sub>4</sub>OH instead of H<sub>2</sub>O was suggested for passivation layer and anti-reflection (AR) coating of the p-type crystalline Si (c-Si) solar cell application. It was confirmed that the deposition rate and refractive index of Al<sub>2</sub>O<sub>3</sub> film was proportional to the NH<sub>4</sub>OH concentration. Al<sub>2</sub>O<sub>3</sub> film deposited with 5 % NH<sub>4</sub>OH has the greatest negative fixed oxide charge density (Q<sub>f</sub>), which can be explained by aluminum vacancies  $(V_{Al})$  or oxygen interstitials  $(O_i)$  under O-rich condition. Al<sub>2</sub>O<sub>3</sub> film deposited with NH<sub>4</sub>OH 5 % condition also shows lower interface trap density (D<sub>it</sub>) distribution than those of other conditions. At NH<sub>4</sub>OH 5 % condition, moreover, Al<sub>2</sub>O<sub>3</sub> film shows the highest excess carrier lifetime  $(\tau_{PCD})$  and the lowest surface recombination velocity (Seff), which are linked with its passivation properties. The proposed Al<sub>2</sub>O<sub>3</sub> film deposited with diluted NH<sub>4</sub>OH is very promising for passivation layer and AR coating of the p-type c-Si solar cell.

*Index Terms*—Solar cell, Al<sub>2</sub>O<sub>3</sub>, NH<sub>4</sub>OH, passivation layer, anti-reflection coating

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Dep. EE., Chungnam National University, Daejeon, Korea E-mail : hdlee@cnu.ac.kr

#### I. INTRODUCTION

In the field of c-Si solar cells, the reduction of recombination losses at the surface of c-Si has become increasingly important in order to improve its power conversion efficiency [1-3]. In order to mitigate recombination losses at the surface of c-Si, many kinds of materials had been used [1-4]. In high-efficiency laboratory cells, traditionally, thermal SiO<sub>2</sub> grown in a high-temperature ( $\geq$  900 °C) oxidation process have been applied as passivation layer with excellent chemical passivation property. However, due to the degradation of the c-Si bulk lifetime at high-temperature, thermal SiO<sub>2</sub> cannot be applied to the industrial solar cell processes. Hence, for industrial high-efficiency c-Si solar cells, alternative passivation layers are required, which can be synthesized at low temperature.

One of the alternative passivation layer is amorphous  $SiN_x$  (a-SiN<sub>x</sub>) synthesized by plasma-enhanced chemical vapor deposition (PECVD) at ~ 400 °C. However, at the rear of passivated emitter and rear cell (PERC)-type solar cell, it can cause 'parasitic shunting' which can lead to a loss in the short-circuit current density due to its high positive Q<sub>f</sub>. a-Si deposited by PECVD at 200~250 °C is also considered as alternative passivation layer for c-Si solar cell. In the case of PERC-type solar cell passivated with a-Si at rear, no parasitic shunting occurs. However, it is reported that a-Si is highly sensitive to thermal processes.

Recently,  $a-Al_2O_3$  synthesized by ALD has attracted strong interest as a promising passivation layer on lowly and highly doped p-type c-Si due to its high level of negative  $Q_f$  [1-5].  $a-Al_2O_3$  film can provide not only

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chemical passivation but also outstanding field-effect passivation on p-type c-Si surfaces. Moreover,  $a-Al_2O_3$ has some outstanding properties such as good thermal and chemical stability, and good adhesion to various surfaces [6]. In order to improve the light collection and the stability of  $Al_2O_3$  passivation layer,  $a-SiN_x$  film is usually deposited above  $Al_2O_3$  film by PECVD [3]. Due to the optical properties which can be altered with wide window,  $a-SiN_x$  is the standard for AR coating in solar cell. Moreover, N-rich  $a-SiN_x$  shows the excellent thermal and chemical stability.

In this work, diluted NH<sub>4</sub>OH was used instead of H<sub>2</sub>O to deposit Al<sub>2</sub>O<sub>3</sub> film by thermal ALD. Electrical and material properties of Al<sub>2</sub>O<sub>3</sub> film were studied as a function of the NH<sub>4</sub>OH concentration to confirm the applicability for not only passivation layer but also for AR coating as a substitute for Al<sub>2</sub>O<sub>3</sub>/SiN<sub>x</sub> stack.

# **II. EXPERIMENTAL**

The experimental process flow for this work is summarized in Fig. 1. A p-type Si substrate was treated with RCA cleaning.  $Al_2O_3$  film (10 nm) was deposited by thermal ALD at 250 °C with trimethylaluminum (TMA) and diluted NH<sub>4</sub>OH (0~10 %). Ti film (100 nm) was deposited as a top electrode on the  $Al_2O_3$  film by RF magnetron sputter. After patterning the top electrode by photolithography and wet etching, Al film (100 nm) was

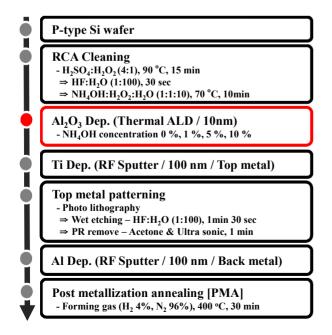


Fig. 1. Process flow for the experiments.

deposited on the backside of the substrate by RF magnetron sputter. Finally, post-metallization annealing (PMA) was carried out in a furnace with forming gas ambient at 400 °C for 30 min.

Deposition rate and refractive index of the  $Al_2O_3$  film deposited at different  $NH_4OH$  concentration were confirmed with Ellipsometry measurement. High frequency capacitance ( $C_{HF}$ ) was measured by an Agilent 4284A precision LCR meter. Quasi-static capacitance ( $C_{QS}$ ) and leakage current were measured by an Agilent 4156A semiconductor parameter analyzer.  $Al_2O_3$  film deposited with diluted  $NH_4OH$  with different concentration was analyzed by X-ray photoelectron spectroscopy (XPS) to find out the origin of the negative fixed charge,  $Q_f$ . To confirm the passivation properties of  $Al_2O_3$  film deposited at different  $NH_4OH$  concentration, Quasi-Steady-State Photoconductance (QSSPC) measurement was carried out.

### **III. RESULTS AND DISCUSSIONS**

Before the fabrication of  $Al_2O_3$  MIS (Metal-Insulator-Semiconductor) capacitor, deposition rate and refractive index of  $Al_2O_3$  film were confirmed by Ellipsometry measurement as shown in Fig. 2. Deposition rate is proportional to the concentration of diluted NH<sub>4</sub>OH, which might be attributed to an increased density of hydroxyl (-OH) surface groups which is supplied from diluted NH<sub>4</sub>OH. It was reported that -OH surface groups are essential for high-quality  $Al_2O_3$  film growth in H<sub>2</sub>Obased ALD process [7]. Refractive index was also increased from 1.76 to 2.00 in the wavelength range of 400~800 nm with the increasing of the NH<sub>4</sub>OH concentration. Higher refractive index of the AR coating means that a relatively lower critical thickness is needed for the minimum reflection as explained in Eq. (1) [8]:

$$d_j = \frac{\lambda_0}{4 n_j} \tag{1}$$

where  $\lambda_0$  is the light wavelength in the air,  $n_j$  and  $d_j$  are the refractive index and the critical thickness of the coating material, respectively, and j is equal to 1 for single layer AR coating.

Due to the increase of deposition rate and the decrease of the critical thickness for the minimum reflection,

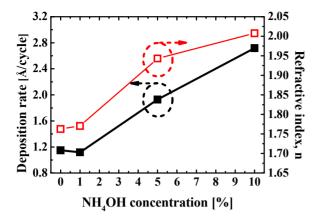


Fig. 2. Deposition rate and refractive index of  $Al_2O_3$  film as a function of  $NH_4OH$  concentration.

proposed  $Al_2O_3$  film can be applied as AR coating layer of c-Si solar cell. And the process efficiency of c-Si solar cell can be improved by using diluted NH<sub>4</sub>OH due to increased deposition rate of  $Al_2O_3$  film. Moreover, because the refractive index is directly linked to material density, it can be said that NH<sub>4</sub>OH affects the density of  $Al_2O_3$  film deposited by thermal ALD [9, 10].

Fig. 3(a) shows the C-V characteristics of an  $Al_2O_3$ MIS capacitor at 1 MHz. The flatband voltage ( $V_{FB}$ ) of NH<sub>4</sub>OH 5 % condition moved largely toward positive bias direction, but then shifted toward the negative bias at NH<sub>4</sub>OH 10 % condition before PMA. After PMA, the  $V_{FB}$  of all conditions shifted abruptly toward the positive bias region and showed the same trend with those before PMA. Fig. 3(b) shows the fixed oxide charge density,  $Q_f$ as a function of the concentration of NH<sub>4</sub>OH. To extract  $Q_{\rm f}\!\!,$  a reference  $V_{FB}$  (-0.53 V) calculated from the dependence of V<sub>FB</sub> on Al<sub>2</sub>O<sub>3</sub> thickness was used. Before PMA,  $Al_2O_3$  film at all conditions showed positive  $Q_f$  in which the lowest positive  $Q_f$  was shown at NH<sub>4</sub>OH 5 % condition. After PMA, Qf of Al2O3 film was negative for all conditions and it became the greatest at  $NH_4OH 5 \%$ condition. Therefore, NH<sub>4</sub>OH 5 % condition is desirable from the view point of negative Q<sub>f</sub>.

To confirm the trend of interface trap density,  $D_{it}$  with the NH<sub>4</sub>OH concentration, quasi-static C-V (QSCV) measurement was performed. From the QSCV curve, the surface potential as a function of voltage was extracted by Berglund's integral [11] and  $D_{it}$  was calculated with high-frequency capacitance (C<sub>HF</sub>) and quasi-static capacitance (C<sub>QS</sub>) from Eq. (2) [11]:

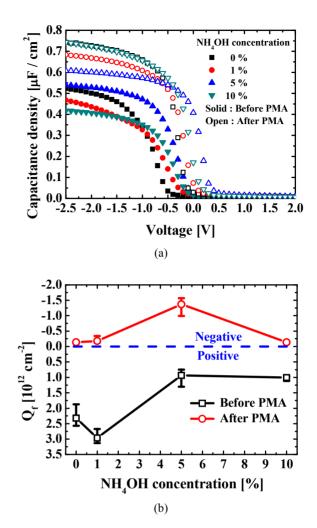


Fig. 3. (a) C-V characteristics of an  $Al_2O_3$  MIS capacitor. (1 MHz), (b) Fixed oxide charge density,  $Q_f$  of an  $Al_2O_3$  MIS capacitor as a function of NH<sub>4</sub>OH concentration before and after PMA.

$$\boldsymbol{D}_{it} = \frac{\boldsymbol{C}_{QS} - \boldsymbol{C}_{HF}}{q} \left(1 - \frac{\boldsymbol{C}_{QS}}{\boldsymbol{C}_{ox}}\right)^{-1} \left(1 - \frac{\boldsymbol{C}_{HF}}{\boldsymbol{C}_{ox}}\right)^{-1} \quad (2)$$

where  $C_{ox}$  is the oxide capacitance and q is electronic charge.

To investigate  $D_{it}$  as a function of surface potential,  $D_{it}$  was plotted as a function of  $E-E_v$  as shown in Fig. 4. Al<sub>2</sub>O<sub>3</sub> films with NH<sub>4</sub>OH 5 % condition showed the lowest  $D_{it}$  at midgap (0.56 eV) and comparable to the without NH<sub>4</sub>OH condition.

To find out the origin of the negative  $Q_f$  in  $Al_2O_3$  film deposited with NH<sub>4</sub>OH, XPS analysis was carried out as shown in Fig. 5. The atomic percentage of the Al2p, O1s, and N1s peaks of the Al<sub>2</sub>O<sub>3</sub> and the ratio of Al2p to O1s was calculated in Table 1. It is notable that nitrogen is

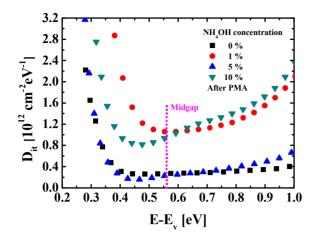


Fig. 4. The interface trap density,  $D_{it}$  as a function of surface potential after PMA.

rarely incorporated in the  $Al_2O_3$  films despite the use of NH<sub>4</sub>OH. Therefore, it can be said that any other material such as  $AlN_x$  or  $AlO_xN_y$  is not formed while  $Al_2O_3$  film is synthesized by thermal ALD with diluted NH<sub>4</sub>OH. In a- $Al_2O_3$ ,  $V_{Al}$  and  $O_i$  form oxygen dangling bonds (O DBs), which contribute to negative  $Q_f$ . Hence, if  $Al_2O_3$  film becomes O-rich, it exhibits greater negative  $Q_f$  [12, 13].  $Al_2O_3$  film deposited with 5 % diluted NH<sub>4</sub>OH has lower percentage of Al2p and higher percentage of O1s than those deposited with other concentration as in Table 1. That is, the least positive  $Q_f$  and the greatest negative  $Q_f$  before and after PMA with NH<sub>4</sub>OH 5 % condition might be the result of great O DBs.

Fig. 6 shows the leakage current of Al<sub>2</sub>O<sub>3</sub> MIS capacitor before and after PMA. As diluted NH<sub>4</sub>OH was applied in  $Al_2O_3$  deposition, the leakage current of  $Al_2O_3$ MIS capacitor was decreased before PMA. Especially, Al<sub>2</sub>O<sub>3</sub> film synthesized with 5 % NH<sub>4</sub>OH showed the lowest leakage current. Decrease of leakage current of Al<sub>2</sub>O<sub>3</sub> MIS capacitor means the improvement of bulk oxide quality [7] and/or the reduction of oxygen vacancies (V<sub>0</sub>) which can introduce leakage current of Al<sub>2</sub>O<sub>3</sub> film [14, 15]. From the results of Ellipsometry and XPS, it can be said that diluted NH<sub>4</sub>OH improve Al<sub>2</sub>O<sub>3</sub> quality and decrease of Vo by increasing the mass density and the oxygen concentration in the Al<sub>2</sub>O<sub>3</sub> film. After PMA, leakage current of MIS capacitor with NH<sub>4</sub>OH 0 and 5 % condition was decreased and that with NH<sub>4</sub>OH 5 % condition exhibited the lowest leakage current. At NH<sub>4</sub>OH 1 and 10 % condition, however, leakage current was increased and higher than other

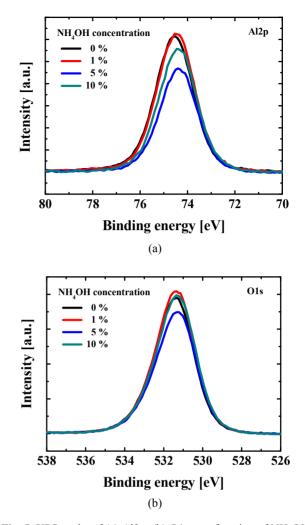


Fig. 5. XPS peaks of (a) Al2p, (b) O1s as a function of  $NH_4OH$  concentration.

**Table 1.** Atomic percent of Al2p, O1s, and N1s in as grown  $Al_2O_3$  film as a function of  $NH_4OH$  concentration

NH4OH	Al2p	Ols	N1s	Al2p/O1s ratio
0 %	35.69	54.14	-	0.66
1 %	35.25	54.38	0.32	0.65
5 %	30.51	56.51	0.31	0.54
10%	32.39	56.02	0.29	0.58

conditions. These higher leakage currents might be explained by great  $D_{it}$  distribution as shown in Fig. 4(b) which can increase leakage current of the Al<sub>2</sub>O<sub>3</sub> film.

Fig. 7 exhibits the QSSPC measurement results before and after forming gas annealing (FGA). Surface recombination velocity,  $S_{eff}$  was extracted from Eq. (3) with excess carrier lifetime,  $\tau_{PCD}$  which is the result of QSSPC measurement [16, 17]:

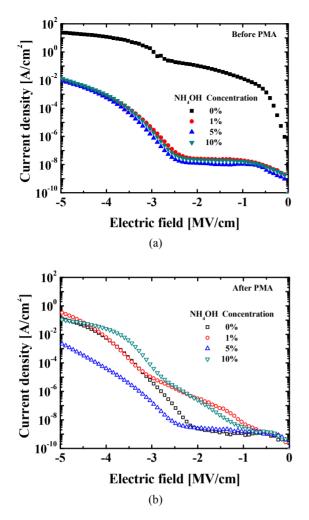
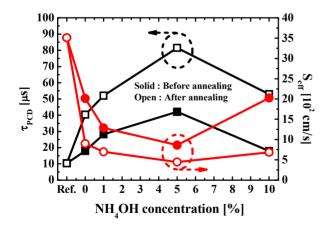


Fig. 6. Leakage current of an  $Al_2O_3$  MIS capacitor (a) before, (b) after PMA.



**Fig. 7.** Excess carrier lifetime,  $\tau_{PCD}$  and surface recombination velocity,  $S_{eff}$  of Si wafers deposited with Al<sub>2</sub>O<sub>3</sub> at different temperature conditions (Ref. : Bare wafer).

$$\frac{1}{\tau_{PCD}} = \frac{1}{\tau_b} + \frac{2 S_{eff}}{W}$$
(3)

where W is the effective wafer thickness and  $\tau_b$  is the bulk minority carrier lifetime which is usually assumed to be infinite as the best case.

The  $\tau_{PCD}$  was increased and  $S_{eff}$  was decreased as the NH<sub>4</sub>OH concentration increases up to NH<sub>4</sub>OH 5 % condition both before and after FGA. Both the  $\tau_{PCD}$  and  $S_{eff}$  was improved by FGA for all conditions. At NH<sub>4</sub>OH 10 % condition, however,  $\tau_{PCD}$  and  $S_{eff}$  became worse than NH<sub>4</sub>OH 5 % condition. Because  $\tau_{PCD}$  and  $S_{eff}$  of Si wafer are closely related to the passivation properties of Al<sub>2</sub>O<sub>3</sub> film, it can be said that Al<sub>2</sub>O<sub>3</sub> film deposited at NH<sub>4</sub>OH 5 % condition with FGA has the best passivation effect, indicating the highest efficiency of c-Si solar cell, due to the outstanding chemical and field-effect passivation properties caused by not only the highest negative Q<sub>f</sub> but also lower D<sub>it</sub> distribution than those deposited at other conditions.

## V. CONCLUSIONS

As the passivation layer and the AR coating of the ptype c-Si solar cell, the characteristics of Al<sub>2</sub>O<sub>3</sub> film deposited by thermal ALD with diluted NH<sub>4</sub>OH were studied in depth. Due to the increase of deposition rate and refractive index of Al2O3 film with the increase of the concentration of diluted NH<sub>4</sub>OH, it is suitable for AR coating and can improve the process efficiency of c-Si solar cell. At NH<sub>4</sub>OH 5 % condition, the Al<sub>2</sub>O<sub>3</sub> film showed the outstanding chemical and field-effect passivation property in p-type c-Si due to not only the highest negative Q<sub>f</sub> but also lower D<sub>it</sub> distribution than those deposited at other conditions. The highest negative Q<sub>f</sub> of Al<sub>2</sub>O<sub>3</sub> film at NH<sub>4</sub>OH 5 % condition is due to the greatest O-rich condition which may cause O DBs. From the result of Ellipsmometry, XPS and leakage current measurement, diluted NH<sub>4</sub>OH had an effect on the improvement of bulk oxide quality and/or the reduction of  $V_0$  of Al<sub>2</sub>O<sub>3</sub> film which may induce the positive  $Q_{f}$ . The highest  $\tau_{PCD}$  and lowest  $S_{\rm eff}$  were appeared at NH<sub>4</sub>OH 5 % condition. Therefore, Al<sub>2</sub>O<sub>3</sub> film deposited with 5% NH<sub>4</sub>OH shows the applicability as AR coating and the excellent field-effect passivation characteristic. In conclusion, it can be said that the Al<sub>2</sub>O<sub>3</sub> film deposited

by thermal ALD with diluted NH<sub>4</sub>OH is very promising for passivation layer and AR coating of p-type c-Si solar cell.

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**Sung-Kwen Oh** received the B.S. degree in Electronics Engineering, in 2011, and is currently working toward the M.S. degree in the Dept. of Electronics Engineering from the Chungnam National University, Daejeon, Korea. His research interests

include germanide, high-k material, Ge-MOSFETs, Schottky barrier MOSFETs, and high efficient silicon solar cells.



**Hong-Sik Shin** received the B.S. and M.S. degree in Electronics Engineering from the Chungnam National University, Daejeon, Korea in 2008 and 2010, respectively. He is currently working toward the Ph.D. degree in the Department of

Electronics Engineering. From 2008 to 2010, he was with the ETRI, Daejeon, Korea, as a researcher. From 2012 to 2013, he was with the University of Texas at Austin, as a Visiting Scholar, and also with SEMATECH, Austin, Texas as an intern. His research interests include Ge-MOSFETs, III-V MOSFETs, and Schottky barrier MOSFETs.



**Kwang-Seok Jeong** received a B.S. and M.S. degree in Electronics Engineering from the Chungnam National University, Daejeon, Korea in 2007 and 2011, respectively. He is currently working toward a Ph.D. degree in the Department of

Electronics Engineering, Chungnam National University, Daejeon, Korea. His research interests include TFTs such as LTPS TFTs, organic TFTs, and oxide-based TFTs, and high efficient silicon solar cells.



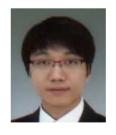
**Meng Li** was born in China in 1982. He received a B.S. degree in Electronics Engineering from the Mokwon University, Daejeon, Korea, in 2011. He is currently working toward a Ph.D. degree in the Dept. of Electronics Engineering, Chungnam

National University, Daejeon, Korea. His research interests include nickel silicide, high-k material, Schottky barrier MOSFETs, and high efficient silicon solar cells.



Horycong Lee received the B.S. degree in Electronics Engineering, in 2013, and is currently working toward the M.S. degree in the Dept. of Electronics Engineering from the Chungnam National University, Daejeon, Korea. His research interests

include III-V MOSFETs, high-k material, Schottky barrier MOSFETs, and high efficient silicon solar cells.



**Kyumin Han** received a B.S. degree in Physics from the Hanseo University, Chungnam, Korea, in 2007, M.S. degree in Electronics Engineering, Sungkyunkwan Univ. (SKKU), Suwon, Korea in 2009, and Ph.D. degrees in Electronics

Engineering from the Chungnam National University, Daejeon, Korea in 2013, respectively. He is currently working as a researcher for in DMS Co., Ltd. His research interests include high efficiency equipment of silicon solar cell, especially vacuum equipment such as RIE, and PECVD.



**Yongwoo Lee** received a B.S. and M.S. degree in Electronics Engineering form the Sungkyunkwan University (SKKU), Suwon, Korea in 2009 and 2011, respectively. He is currently working as a researcher for in DMS Co., Ltd. His research

interests include high efficiency equipment of silicon solar cell, especially vacuum equipment such as RIE, PECVD, and ALD.



**Ga-Won Lee** received a B.S., M.S., and Ph.D. degrees in Electrical Engineering from Korea Advanced Institute of Science and Technology (KAIST), Daejeon, Korea, in 1994, 1996, and 1999, respectively. In 1999, she joined Hynix Semiconductor Ltd.

(currently SK Hynix Semiconductor Ltd.) as a senior research engineer, where she was involved in the development of 0.115- $\mu$ m, 0.09- $\mu$ m DDRII DRAM technologies. Since 2005, she has been at Chungnam National University, Daejeon, Korea, as an Associate Professor with the Department of Electronics Engineering. Her main research fields are flash memory, flexible display technology including fabrication, electrical analysis, and modeling.



**Hi-Deok Lee** received a B.S., M.S., and Ph.D. degrees in Electrical Engineering from the Korea Advanced Institute of Science and Technology (KAIST), Daejeon, Korea, in 1990, 1992, and 1996, respectively. In 1993, he joined the

LG Semicon Company, Ltd. (currently SK Hynix Semiconductor Ltd.), Chongju, Choongbuk, Korea, where he has been involved in the development of 0.35μm, 0.25-μm, and 0.18-μm CMOS technologies, was also responsible for the respectively. He development of 0.15-µm and 0.13-µm CMOS technologies. Since 2001, he has been with Chungnam National University, Daejeon, Korea, with the Department of Electronics Engineering. From 2006 to 2008, he was with the University of Texas, Austin, and SEMATECH, Austin, as a Visiting Scholar. His research interests are in nanoscale CMOS technology and its reliability physics, silicide technology, and Test Element Group design. His research interests also include development of high performance analog and high voltage MOSFETs, and high efficient silicon solar cells. Dr. Lee is a member of the Institute of Electronics Engineers of Korea.