

Synthesis of Poly-Substituted Benzene Derivatives *via* [3+3] Annulation Protocol from Morita-Baylis-Hillman Adducts and Glutaconates

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Received August 14, 2013, Accepted August 30, 2013

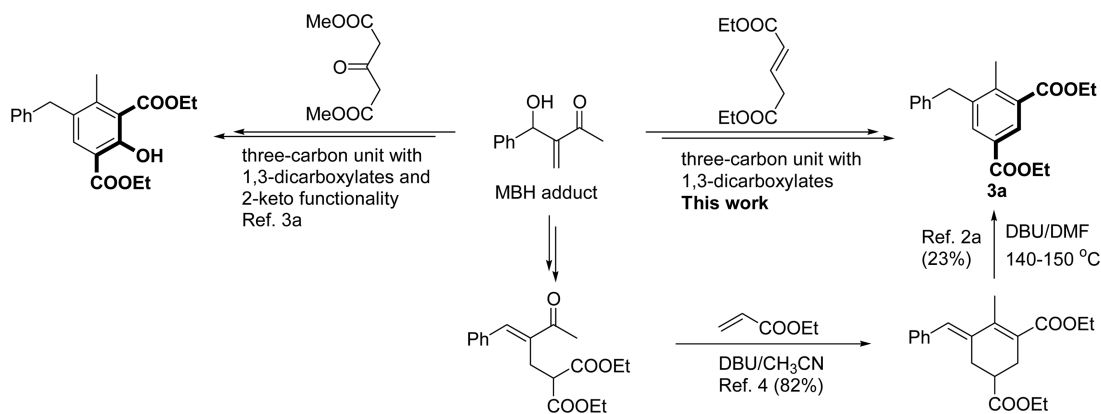
Key Words : Poly-substituted benzenes, [3+3] Annulation, Morita-Baylis-Hillman adducts, Glutaconates

Morita-Baylis-Hillman (MBH) adducts¹ have been used for the synthesis of various aromatic compounds including poly-substituted benzenes² and phenols.³ The reaction of MBH adduct and 1,3-dimethylacetone dicarboxylate has been used for the synthesis of poly-substituted phenols bearing 2,6-dicarboxylates *via* the [3+3] annulation protocol (*vide infra*, Scheme 1).^{3a} 1,3-Dimethylacetone dicarboxylate served a three-carbon unit with 1,3-dicarboxylates and 2-keto functionality in the reaction. The corresponding poly-substituted benzene **3a** has been synthesized in low yield (23%)^{2a} by DBU-mediated dehydrogenation of the cyclohexene intermediate which was prepared from MBH adduct and diethyl malonate (*vide infra*, Scheme 1).⁴

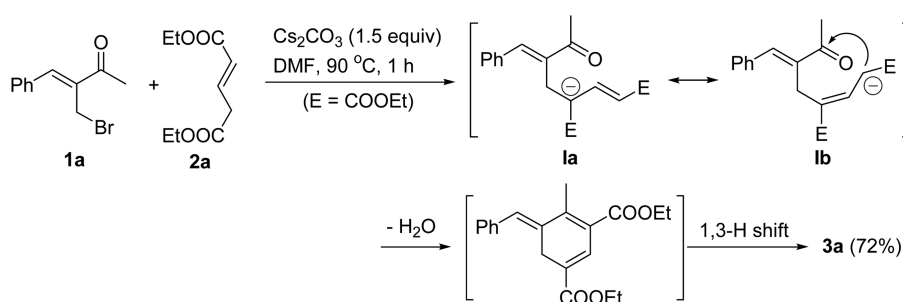
Glutaconates have been used in organic synthesis in order to introduce a three-carbon unit bearing two carboxylates at the 1,3-position.^{5,6} In these respects, we presumed that the

reaction of MBH adduct and diethyl glutaconate could be used for the preparation of poly-substituted benzene derivatives bearing 1,3-dicarboxylates such as **3a**, as shown in Scheme 1.

At the outset of our experiment, the reaction of MBH bromide **1a** and diethyl glutaconate (**2a**) was examined in CH₃CN in the presence of Cs₂CO₃ at 50 °C for 2 h. To our delight, desired product **3a** was obtained in moderate yield (61%).⁷ The nucleophilic substitution of **1a** with the anion of **2a** would produce a resonance-stabilized carbanion intermediate **I**,⁸ and the following cyclization, dehydration and a base-catalyzed 1,3-H shift produced **3a** *via* an overall [3+3] annulation approach, as shown in Scheme 2. The reaction in refluxing CH₃CN gave a similar yield of **3a** (60%). After some trials, we found that the reaction in DMF at 90 °C produced **3a** in good yield (72%) in short time (1 h), and we



Scheme 1



Scheme 2

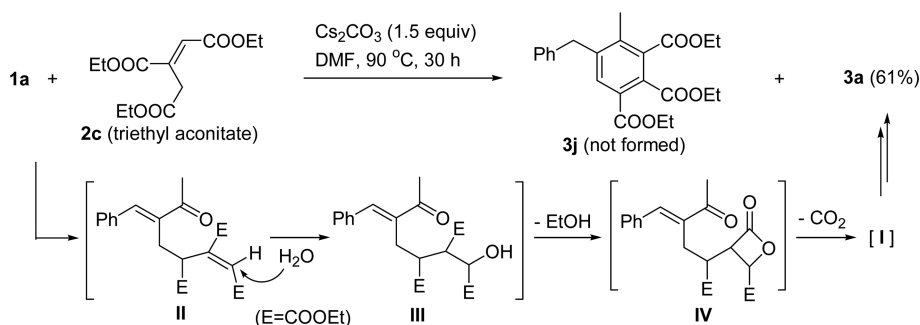
Table 1. Synthesis of poly-substituted benzene derivatives

Entry	MBH bromide	Product (%) ^a
1		 3a (72)
2	1a	 3b (70) ^b
3		 3c (76)
4		 3d (71)
5		 3e (66)
6		 3f (69)
7		 3g (53)
8		 3h (69)
9		 3i (48) ^c

^aConditions: MBH bromide **1** (1.0 mmol), diethyl glutaconate (**2a**, 1.1 equiv), Cs₂CO₃ (1.5 equiv), DMF, 90 °C, 1 h. ^bDimethyl glutaconate (**2b**) was used. ^cReaction time was 48 h.

selected this condition as an optimum one.

Encouraged by the successful result, we examined the

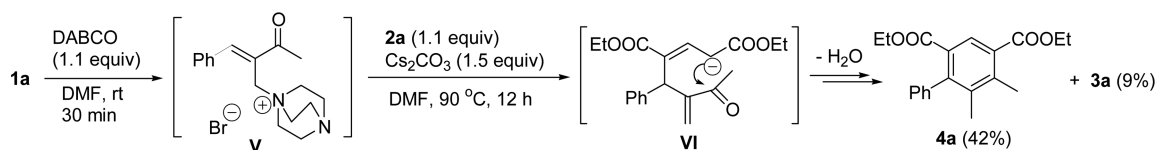


reactions of various MBH bromides **1b-h** under the optimized conditions (DMF, 90 °C, 1 h), and the results are summarized in Table 1. The reaction of **1a** and dimethyl glutaconate (**2b**) afforded **3b** in a similar yield (70%, entry 2). The reactions of MBH bromides **1b-f** (entries 3-7) afforded the corresponding poly-substituted benzenes **3c-g** in good to moderate yields (53-76%). The MBH bromide **1g**, derived from ethyl vinyl ketone, gave **3h** in a similar yield (69%, entry 8). The benzoyl derivative **1h**, derived from phenyl vinyl ketone, gave the biphenyl derivative **3i** in moderate yield (48%); however a long reaction time (48 h) was required (entry 9) presumably due to the steric hindrance during the cyclization.

The reaction of **1a** and triethyl aconitate (**2c**), bearing an ester moiety at the 3-position, afforded **3a** (61%) unexpectedly instead of a desired product **3j**, as shown in Scheme 3. When we monitored the reaction of **1a** and **2c** on TLC both components disappeared rapidly to form somewhat polar compounds, presumably a stereo- and/or regioisomeric mixture of **II**. The polar components slowly converted to **3a**. In order to check the possibility for the conversion of **2c** into **2a** by a selective removal of the ester moiety at the 3-position, we examined the reaction of **2c**. However, **2c** was not converted to **2a** under the same reaction conditions. Thus, the mechanism for the formation of **3a** could be tentatively proposed as follows: (i) conjugate addition of water in the reaction mixture to **II** to form a β -hydroxy ester **III**,^{9a-c} formation of β -lactone **IV**, decarboxylation to form **I**,^{9d,e} and the final cyclization to **3a**. However, further studies are required in order to understand the mechanism more precisely.

As a last examination, the reaction of **2a** and the DABCO salt of **1a** was examined, as shown in Scheme 4. The corresponding DABCO salt **V** was formed quantitatively in DMF at room temperature;¹⁰ however, the reaction with **2a** afforded benzene derivative **4a** in moderate yield (42%) via the S_N2' type reaction of **2a** to form an intermediate **VI** and a following cyclization process. In the reaction, compound **3a** (9%) was also formed via the competitive S_N2 reaction of **2a** to form an intermediate **I** and a following cyclization process.

In summary, various poly-substituted benzene derivatives bearing 1,3-dicarboxylates have been synthesized via an efficient [3+3] annulation protocol from Morita-Baylis-Hillman bromides and glutaconate derivatives.



Scheme 4

Experimental Section

The starting materials MBH bromides were prepared according to the reported method from MBH adducts with aqueous HBr or PBr₃.¹¹ Glutaconate derivatives **2a** (*E*) and **2b** (*E*) were prepared by esterification of commercial *trans*-glutaconic acid. Triethyl aconitate (**2c**, *E*) was prepared by esterification of commercial *trans*-aconitic acid.¹²

Typical Synthetic Procedure of 3a. A stirred solution of **1a** (239 mg, 1.0 mmol), **2a** (205 mg, 1.1 mmol), Cs₂CO₃ (489 mg, 1.5 mmol) in DMF (3.0 mL) was heated to 90 °C for 1 h. After the usual aqueous extractive workup and column chromatographic purification process (hexane/ether, 10:1), compound **3a**^{2a} was obtained as colorless oil, 235 mg (72%). Other compounds were synthesized similarly, and the spectroscopic data of **3b-i** and **4a** are as follows.

Compound 3b: 70%; white solid, mp 52–54 °C; IR (KBr) 1719, 1434, 1322, 1233 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 2.39 (s, 3H), 3.82 (s, 3H), 3.83 (s, 3H), 4.02 (s, 2H), 6.98–7.02 (m, 2H), 7.08–7.23 (m, 3H), 7.90 (d, *J* = 1.8 Hz, 1H), 8.28 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 16.98, 39.78, 52.16, 52.18, 126.27, 127.43, 128.41, 128.54, 129.62, 131.87, 134.11, 139.14, 140.77, 143.37, 166.37, 168.09; ESIMS *m/z* 299 [M+H]⁺. Anal. Calcd for C₁₈H₁₈O₄: C, 72.47; H, 6.08. Found: C, 72.69; H, 6.31.

Compound 3c: 76%; colorless oil, IR (film) 1720, 1318, 1228 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 1.25 (t, *J* = 7.2 Hz, 3H), 1.34 (t, *J* = 7.2 Hz, 3H), 2.41 (s, 3H), 4.24 (q, *J* = 7.2 Hz, 2H), 4.32 (q, *J* = 7.2 Hz, 2H), 4.42 (s, 2H) 6.77 (d, *J* = 6.6 Hz, 1H), 7.25 (t, *J* = 7.8 Hz, 1H), 7.38–7.50 (m, 2H), 7.67 (d, *J* = 8.4 Hz, 1H), 7.76–7.86 (m, 1H), 7.79 (d, *J* = 1.8 Hz, 1H), 7.90–7.96 (m, 1H), 8.29 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 14.21, 14.28, 16.89, 36.42, 61.04, 61.27, 123.23, 125.53, 125.72, 125.79, 126.19, 127.19, 128.00, 128.82, 129.43, 131.81, 132.32, 133.72, 133.97, 134.96, 140.11, 143.02, 165.92, 167.96; ESIMS *m/z* 377 [M+H]⁺. Anal. Calcd for C₂₄H₂₄O₄: C, 76.57; H, 6.43. Found: C, 76.45; H, 6.68.

Compound 3d: 71%; colorless oil, IR (film) 1720, 1368, 1228 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 1.39 (t, *J* = 7.2 Hz, 3H), 1.41 (t, *J* = 7.2 Hz, 3H), 2.48 (s, 3H), 4.26 (s, 2H), 4.37 (q, *J* = 7.2 Hz, 2H), 4.38 (q, *J* = 7.2 Hz, 2H), 7.26 (dd, *J* = 8.4 and 1.8 Hz, 1H), 7.38–7.47 (m, 3H), 7.68–7.73 (m, 1H), 7.76 (d, *J* = 8.4 Hz, 1H), 7.76–7.81 (m, 1H), 8.04 (d, *J* = 1.8 Hz, 1H), 8.36 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 14.26, 14.30, 17.04, 40.01, 61.13, 61.23, 125.48, 126.07, 126.63, 126.95, 127.52, 127.57, 127.91, 128.19, 129.48, 132.09, 132.53, 133.49, 134.08, 136.80, 140.41, 143.02, 165.99, 167.91; ESIMS *m/z* 377 [M+H]⁺.

Compound 3e: 66%; colorless oil, IR (film) 1720, 1316,

1228 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 1.33 (t, *J* = 7.2 Hz, 3H), 1.34 (t, *J* = 7.2 Hz, 3H), 2.49 (s, 3H), 3.50–3.63 (m, 2H), 4.31 (q, *J* = 7.2 Hz, 2H), 4.32 (q, *J* = 7.2 Hz, 2H), 6.21 (dd, *J* = 15.9 and 4.2 Hz, 1H), 6.28 (dd, *J* = 15.9 and 2.4 Hz, 1H), 7.09–7.27 (m, 5H), 7.92 (d, *J* = 1.8 Hz, 1H), 8.24 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 14.28, 14.32, 16.75, 37.20, 61.12, 61.23, 126.10, 127.27, 127.32, 127.91, 128.49, 129.27, 131.53, 132.30, 133.26, 137.11, 140.09, 142.54, 166.01, 167.95; ESIMS *m/z* 353 [M+H]⁺. Anal. Calcd for C₂₂H₂₄O₄: C, 74.98; H, 6.86. Found: C, 75.12; H, 6.93.

Compound 3f: 69%; colorless oil, IR (film) 1721, 1303, 1229, 1177 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 1.39 (t, *J* = 7.2 Hz, 3H), 1.41 (t, *J* = 7.2 Hz, 3H), 2.53 (s, 3H), 4.06 (s, 2H), 4.37 (q, *J* = 7.2 Hz, 2H), 4.38 (q, *J* = 7.2 Hz, 2H), 5.89 (dd, *J* = 3.3 and 0.9 Hz, 1H), 6.27 (dd, *J* = 3.3 and 1.8 Hz, 1H), 7.32 (dd, *J* = 1.8 and 0.9 Hz, 1H), 7.97 (d, *J* = 1.8 Hz, 1H), 8.33 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 14.25, 14.27, 16.69, 32.70, 61.11, 61.23, 106.56, 110.30, 127.91, 129.64, 132.34, 133.58, 138.16, 141.58, 142.68, 152.90, 165.84, 167.79; ESIMS *m/z* 317 [M+H]⁺.

Compound 3g: 53%; colorless oil, IR (film) 2957, 2930, 1722, 1315, 1226 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 0.90 (t, *J* = 7.2 Hz, 3H), 1.25–1.38 (m, 6H), 1.40 (t, *J* = 7.2 Hz, 3H), 1.41 (t, *J* = 7.2 Hz, 3H), 1.54–1.65 (m, 2H), 2.53 (s, 3H), 2.69 (t, *J* = 7.8 Hz, 2H), 4.38 (q, *J* = 7.2 Hz, 2x2H), 7.92 (d, *J* = 1.8 Hz, 1H), 8.24 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 14.04, 14.27, 14.32, 16.50, 22.57, 29.25, 30.20, 31.63, 33.79, 61.02, 61.13, 127.56, 128.55, 132.08, 132.73, 141.85, 142.90, 166.18, 168.15; ESIMS *m/z* 321 [M+H]⁺. Anal. Calcd for C₁₉H₂₈O₄: C, 71.22; H, 8.81. Found: C, 71.47; H, 8.79.

Compound 3h: 69%; colorless oil, IR (film) 1721, 1317, 1228 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 1.04 (t, *J* = 7.5 Hz, 3H), 1.31 (t, *J* = 7.2 Hz, 3H), 1.33 (t, *J* = 7.2 Hz, 3H), 2.86 (q, *J* = 7.5 Hz, 2H), 4.06 (s, 2H), 4.29 (q, *J* = 7.2 Hz, 2H), 4.31 (q, *J* = 7.2 Hz, 2H), 6.97–7.04 (m, 2H), 7.08–7.23 (m, 3H), 7.88 (d, *J* = 1.8 Hz, 1H), 8.23 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 14.24, 14.30, 15.01, 23.37, 38.77, 61.09, 61.24, 126.28, 127.98, 128.47, 128.52, 129.67, 132.10, 134.57, 139.90, 139.91, 148.50, 165.95, 167.91; ESIMS *m/z* 341 [M+H]⁺.

Compound 3i: 48%; pale yellow oil, IR (film) 1722, 1368, 1245 cm⁻¹; ¹H NMR (CDCl₃, 300 MHz) δ 0.92, (t, *J* = 7.2 Hz, 3H), 1.41 (t, *J* = 7.2 Hz, 3H), 3.82 (s, 2H), 3.99 (q, *J* = 7.2 Hz, 2H), 4.40 (q, *J* = 7.2 Hz, 2H), 6.75 (d, *J* = 8.4 Hz, 2H), 7.00–7.07 (m, 2H), 7.13 (d, *J* = 8.4 Hz, 2H), 7.29–7.36 (m, 3H), 8.04 (d, *J* = 1.8 Hz, 1H), 8.35 (d, *J* = 1.8 Hz, 1H); ¹³C NMR (CDCl₃, 75 MHz) δ 13.59, 14.31, 38.60, 61.08, 61.35, 127.48, 127.91, 128.34, 128.57, 128.65, 129.75, 129.95, 131.86, 133.32, 133.60, 138.54, 138.63, 140.04,

145.83, 165.66, 167.74; ESIMS m/z 423 $[M+H]^+$, 425 $[M+H+2]^+$. Anal. Calcd for $C_{25}H_{23}ClO_4$: C, 71.00; H, 5.48. Found: C, 71.13; H, 5.71.

Compound 4a: 42%; colorless oil, IR (film) 1721, 1310, 1233, 1176 cm^{-1} ; 1H NMR ($CDCl_3$, 300 MHz) δ 0.92 (t, $J = 7.2$ Hz, 3H), 1.41 (t, $J = 7.2$ Hz, 3H), 2.04 (s, 3H), 2.54 (s, 3H), 3.96 (q, $J = 7.2$ Hz, 2H), 4.39 (q, $J = 7.2$ Hz, 2H), 7.09-7.15 (m, 2H), 7.31-7.43 (m, 3H), 8.09 (s, 1H); ^{13}C NMR ($CDCl_3$, 75 MHz) δ 13.59, 14.29, 17.64, 17.67, 60.75, 61.15, 127.01, 127.89, 128.15, 128.59, 129.54, 130.63, 137.38, 140.56, 141.13, 144.33, 167.96, 168.02; ESIMS m/z 327 $[M+H]^+$. Anal. Calcd for $C_{20}H_{22}O_4$: C, 73.60; H, 6.79. Found: C, 73.75; H, 6.92.

Acknowledgments. This research was supported by Basic Science Research Program through the National Research Foundation of Korea (NRF) funded by the Ministry of Education, Science and Technology (2012R1A1B3000541). Spectroscopic data were obtained from the Korea Basic Science Institute, Gwangju branch.

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- The reaction of **2a** and MBH acetate (CH_3CN , 50 °C, 4 h) instead of **1a** gave a similar yield of **3a** (56%); however, the formation of rearranged MBH acetate made the separation of **3a** somewhat tedious.
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