

## SOME REMARKS ON THE $p$ -BASIS AND DIFFERENTIAL BASIS

SUN JUNG KIM\*

ABSTRACT. The purpose of this note is to introduce interesting and useful properties differential-basis and  $p$ -basis in theorem 3.3. The result gives a existence differential basis of  $S^p[\Lambda_1](S)$  which has a  $p$ -basis over  $S^p$  ( $S^p[\Lambda_1]$ ).

### 1. Introduction

Let  $p$  be always a prime number and all rings are commutative ring with an identity. The concept of *differential-basis* has an important influence on properties of rings, for example the connection with  $p$ -basis ([4],[5],[6]). Any differential basis of a regular local ring  $R$  of characteristic  $p > 0$  over  $R^p$  is a  $p$ -basis of  $R$  over  $R^p$  ([4]). Furthermore, we can deduce interesting case as following; Let  $S$  be a ring of characteristic  $p$  and let  $\Lambda$  be a  $p$ -basis of  $S$  over  $S^p$  and  $\Lambda_1$  be a subset of  $\Lambda$ , then  $\Lambda_1$  is a  $p$ -basis of  $S^p[\Lambda_1]$  over  $S^p$  and  $\Lambda_2 = \Lambda - \Lambda_1$  is a  $p$ -basis of  $S$  over  $S^p[\Lambda_1]$ ? The purpose of the present paper is to give an answer to question (Theorem 3.3). For the definition and elementary properties, refer to ([1],[2]).

### 2. Preliminaries

For any  $A$ -module, let  $M[x]$  denote the set of all polynomials in  $x$  with coefficients in  $M$ , that is to say expressions of the form  $m_0 + m_1x + \cdots + m_r x^r$  ( $m_i \in M$ ).

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PROPOSITION 2.1. *Let the notation be as above ([3]). Defining the product of an element of  $A[x]$  and an element of  $M[x]$  in the obvious way, we get the following;*

- (1)  $M[x]$  is an  $A[x]$ -module.
- (2)  $M[x] \cong A[x] \otimes_A M$

*Proof.* (1) For  $a_i \in A, m_j \in M$ ,

$$\left(\sum_{i=0}^n a_i x^i\right) \left(\sum_{j=0}^m m_j x^j\right) = \sum_{k=0}^{m+n} c_k x^k, \quad \text{where } c_k = \sum_{i+j=k} a_i m_j$$

since  $c_k \in M$ , the fact that  $M[x]$  is an  $A[x]$ -module is obvious.

(2) Consider the  $A$ -bilinear map  $f : A[x] \times M \rightarrow M[x]$  defined by

$$f\left(\sum_{i=0}^n a_i x^i, m\right) = \sum_{i=0}^n a_i m x^i.$$

By definition of tensor product, there exists a unique  $A$ -linear mapping  $f' : A[x] \otimes_A M \rightarrow M[x]$  such that

$$f'\left(\sum_{i=0}^n a_i x^i \otimes m\right) = \sum_{i=0}^n a_i m x^i$$

A mapping  $g' : M[x] \rightarrow A[x] \otimes_A M$  defined by

$$g'\left(\sum_{j=0}^m m_j x^j\right) = \sum_{j=0}^m x^j \otimes m_j$$

is a  $A$ -linear map.

$$\begin{aligned} (f' \circ g')\left(\sum_{j=0}^m m_j x^j\right) &= f'\left(\sum_{j=0}^m x^j \otimes m_j\right) = \sum_{j=0}^m m_j x^j \\ (g' \circ f')\left(\sum_{i=0}^n a_i x^i \otimes m\right) &= g'\left(\sum_{i=0}^n a_i m x^i\right) = \sum_{i=0}^n x^i \otimes a_i m \\ &= \sum_{i=0}^n a_i (x^i \otimes m) \\ &= \sum_{i=0}^n (a_i x^i \otimes m). \end{aligned}$$

This implies that  $M[x] \cong A[x] \otimes_A M$ . □

COROLLARY 2.2. *For  $A$ -module  $M$ ,*

- (1)  $M[x_1, \dots, x_n] : A[x_1, \dots, x_n] - \text{module.}$
- (2)  $M[x_1, \dots, x_n] \cong A[x_1, \dots, x_n] \otimes_A M.$

*Proof.* (1) It is obvious.

(2) Induction on  $n$ . For  $n = 1$ , it was proved by proposition 2.2. By inductive hypothesis,

$$\begin{aligned} M[x_1, \dots, x_{n-1}] &\cong A[x_1, \dots, x_{n-1}] \otimes_A M. \\ A[x_1, \dots, x_n] \otimes_A M &= (A[x_1, \dots, x_{n-1}][x_n] \otimes_{A[x_1, \dots, x_{n-1}]} A[x_1, \dots, x_{n-1}]) \otimes_A M \\ &\cong A[x_1, \dots, x_{n-1}][x_n] \otimes_{A[x_1, \dots, x_{n-1}]} (A[x_1, \dots, x_{n-1}] \otimes_A M) \\ &\cong A[x_1, \dots, x_{n-1}][x_n] \otimes_{A[x_1, \dots, x_{n-1}]} M[x_1, \dots, x_{n-1}] \\ &\cong M[x_1, \dots, x_{n-1}][x_n]. \end{aligned}$$

□

### 3. Main theorem

Let  $k$  be a ring,  $A$  a  $k$ -algebra and  $B = A \otimes_k K$ . Consider the homomorphism of  $k$ -algebras  $\epsilon : B \rightarrow A$  and  $\lambda_1, \lambda_2 : A \rightarrow B$  defined by  $\epsilon(a \otimes a') = aa', \lambda_1(a) = a \otimes 1, \lambda_2(a) = 1 \otimes a$ . Once and for all, we make  $B = A \otimes A$  an  $A$ -algebra via  $\lambda_1$ . We denote the kernel of  $\epsilon$  by  $I_{A/k}$  or simply by  $I$ , and we put  $I/I^2 = \Omega_{A/k}$ . The  $B$ -module  $I, I^2$  and  $\Omega_{A/k}$  is called the *module of differentials (or of Kahler differential)* of  $A$  over  $k$ . We have  $\epsilon\lambda_1 = \epsilon\lambda_2 = id_A$ . Therefore, if we denote the natural homomorphism  $B \rightarrow B/I^2$  by  $\nu$  and if we put  $d^* = \lambda_2 - \lambda_1$  and  $d = \nu d^*$ , then we get a derivation  $d : A \rightarrow \Omega_{A/k}$ .

From above definition, we have following Lemma. We introduce some properties without proofs.

LEMMA 3.1. (1) If

$$\begin{array}{ccc} k & \xrightarrow{f} & k' \\ g \downarrow & & \downarrow g' \\ A & \xrightarrow{f'} & A' \end{array}$$

is commutative diagram of rings and homomorphisms, then there is a natural homomorphisms of  $A$ -modules  $\Omega_{A/k} \rightarrow \Omega_{A'/k'}$ , hence also a natural homomorphisms of  $A'$ -modules  $\Omega_{A/k} \otimes_A A' \rightarrow \Omega_{A'/k'}$ .

(2) If  $A' = A \otimes_k k'$  in (1), then the last homomorphism is an isomorphism :

$$\Omega_{A'/k'} = \Omega_{A/k} \otimes_k k' \rightarrow \Omega_{A/k} \otimes_A A'.$$

DEFINITION 3.2. Let  $S$  be a ring of characteristic  $p$  and  $S^p$  denote the subring  $\{x^p | x \in S\}$  and let  $S'$  be a subring of  $S$ . A subset  $\Gamma$  of  $S$  is said to be  $p$ -independent over  $S'$ , if the monomials  $b_1^{e_1} \cdots b_n^{e_n}$  where  $b_1, \dots, b_n$  are distinct element of  $\Gamma$  and  $0 \leq e \leq p - 1$ , are linearly independent over  $S^p[S']$ .

If  $B \subset K$  is  $p$ -independent over  $k$  and  $K = K^p(k, B)$ , we say that  $B$  is a  $p$ -basis of  $K/k$ . If  $C \subset K$  is  $p$ -independent over  $k$ , then there exists a  $p$ -basis of  $K/k$  containing  $C$ .

LEMMA 3.3. Let  $S$  be a ring of characteristic  $p$  and  $S'$  a subring of  $S$  containing  $S^p$  and let  $\{x_1, \dots, x_n\}$  be a subset of  $S$ . If  $\{dx_1, \dots, dx_n\}$  is  $S$ -free in  $\Omega_{s/s'}$ , then  $\{x_1, \dots, x_n\}$  is  $p$ -independent over  $S'$ .

Proof. If  $x_1, \dots, x_n$  are not  $p$ -independent over  $S'$ , we can take a reduced polynomial  $f(X_1, \dots, X_n) \in S'[X]$  of lowest degree such that  $f(x_1, \dots, x_n) = 0$ . Then

$$df(x_1, \dots, x_n) = \sum_{i=1}^n \left(\frac{\partial f}{\partial x_i}\right) dx_i = 0 \text{ in } \Omega_{s/s'} \text{ and for some } i, \frac{\partial f}{\partial x_i} \neq 0.$$

If for all  $i$ ,  $\frac{\partial f}{\partial x_i} = 0$ ,

$$0 = \sum_{i=0}^n \left(\frac{\partial f}{\partial x_i}\right) \stackrel{put}{=} g(x_1, \dots, x_n) = 0.$$

Then  $deg(g) < deg(f)$ , it is contradiction. Thus,  $x_1, \dots, x_n$  is a  $p$ -independent over  $S'$ . □

THEOREM 3.4. Let  $S$  be a ring of characteristic  $p$  and let  $\Lambda$  be a differential basis of  $S$  over  $S^p$  and  $\Lambda_1$  be a subset of  $\Lambda$ , then  $\Lambda_1$  is a differential basis of  $S^p[\Lambda_1]$  over  $S^p$  and  $\Lambda_2 = \Lambda - \Lambda_1$  is a differential basis of  $S$  over  $S^p[\Lambda_1]$

Proof. Step (1)

$$\begin{array}{ccc} S^p[\Lambda_1] & \xrightarrow{f} & S \\ g \downarrow & & \downarrow g' \\ S^p & \xrightarrow{f'} & S^p \end{array}$$

is commutative diagram of rings and homomorphism, by (1) of Lemma 3.1, we have natural homomorphism

$$\Omega_{S^p[\Lambda_1]/S^p} \rightarrow \Omega_{S/S^p}.$$

$\Omega_{S^p[\Lambda_1]/S^p}$  is generated by  $\{d(x_\lambda) \mid x_\lambda \in \Lambda_1\}$  as  $S^p[\Lambda_1]$ -module. This is clear since  $d$  is a derivation. Also  $\Omega_{S^p[\Lambda_1]/S^p}$  is a free  $S^p[\Lambda_1]$ -module with  $\{d(x_\lambda) \mid x_\lambda \in \Lambda_1\}$  as a basis. In fact, suppose  $\sum a_\lambda d(x_\lambda) = 0$  ( $a_\lambda \in S^p[\Lambda_1]$ ). Applying  $h$  to  $\sum a_\lambda d(x_\lambda) = 0$  we see  $\sum a_\lambda d(x_\lambda) = 0$ , in  $\Omega_{S/S^p}$ . Since  $\Lambda_1 \subset \Lambda$ ,  $\{d(x_\lambda) \mid x_\lambda \in \Lambda_1\}$ :linear independent over  $S$ . Thus,  $a_\lambda = 0$ . Therefore  $\Lambda_1$  is differential basis of  $\Omega_{S^p[\Lambda_1]/S^p}$ .

**Step (2)** Since  $\{\Lambda\}$  is differential basis of  $\Omega_{S/S^p}$ , by Lemma 3.2,  $\{\Lambda\}$  is  $p$ -independent over  $S^p$ . This implies that  $\Lambda_1(\subset \Lambda)$  is  $p$ -independent over  $S^p$ . i.e.,  $\{x_1^{e_1} \cdots x_n^{e_n} \mid 0 \leq e_i < p\}$  are linearly independent over  $S^p$  (where  $x_1, \dots, x_n$  are distinct elements of  $\Lambda_1$ ). Then, by (2) of corollary 2.2,  $S = S^p[\Lambda_1] \cong S^p[\Lambda_1] \otimes_{S^p} S$ . By (2) of Lemma 3.1,

$$\Omega_{S/S^p} \otimes_S S \cong \Omega_{S/S^p} \xrightarrow{h'} \Omega_{S/S^p[\Lambda_1]}$$

is an isomorphism as  $S$ -module. The homomorphism  $h'$  is defined by

$$\begin{aligned} & h'(\sum a_\lambda d_{S/S^p}(x_\lambda)) \\ &= \sum a_\lambda d_{S/S^p[\Lambda_1]}(x_\lambda) \quad (a_\lambda \in S, x_\lambda \in \Lambda, d_{S/S^p[\Lambda_1]}(x_\lambda) = 0 \text{ for } x_\lambda \in \Lambda) \\ &= \sum a_\mu d_{S/S^p[\Lambda_1]}(x_\mu) \quad (a_\mu \in S, x_\mu \in \Lambda_2). \end{aligned}$$

$\Omega_{S/S^p[\Lambda_1]}$  is generated by  $\{d(x_\mu) \mid x_\mu \in \Lambda_2\}$  as  $S$ -module. In fact,  $\{d_{S/S^p[\Lambda_1]}(x_\mu) \mid x_\mu \in \Lambda_2\}$  is linearly independent over  $S$ .

For

$$\sum a_\mu d_{S/S^p[\Lambda_1]}(x_\mu) \in \Omega_{S/S^p[\Lambda_1]},$$

there exist a  $\sum a_\mu d_{S/S^p}(x_\mu)$  such that

$$h'(\sum a_\mu d_{S/S^p}(x_\mu)) = \sum a_\mu d_{S/S^p[\Lambda_1]}(x_\mu).$$

if  $\sum a_\lambda d_{S/S^p[\mu]}(x_\mu) = 0$  in  $\Omega_{S/S^p[\Lambda_1]}$ ,  $\sum a_\mu d_{S/S^p}(x_\mu) = 0$  in  $\Omega_{S/S^p}$ . It implies that  $a_\mu = 0$ . Thus,

$$\{d_{S/S^p[\Lambda_1]}(x_\mu) \mid x_\mu \in \Lambda_2\}$$

is linearly independent over  $S$ . Therefore the assertion ends. □

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Department of Mathematics  
Kunsan National University  
Kunsan 573-701, Republic of Korea  
*E-mail*: sunsu9903@hanmail.net