

## Some Further Results on Uniqueness of Entire Functions and Fixed Points

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ABSTRACT. In this paper, we investigate the uniqueness problem on entire functions sharing fixed points (ignoring multiplicities). Our main results improve and generalize some results due to Zhang [13], Qi-Yang [10] and Dou-Qi-Yang [1].

### 1. Introduction

In this paper, a meromorphic function will mean meromorphic in the whole complex plane. We assume that the reader is familiar with standard notations and fundamental results of Nevanlinna Theory as explained in [12].

We say that two meromorphic functions  $f$  and  $g$  share a small function  $a(z)$  IM (ignoring multiplicities) when  $f - a$  and  $g - a$  have the same zeros. If  $f$  and  $g$  have the same zeros with the same multiplicities, then we say that  $f$  and  $g$  share  $a(z)$  CM (counting multiplicities).

Let  $p$  be a positive integer and  $a \in \mathbb{C}$ . We denote by  $N_p(r, \frac{1}{f-a})$  the counting function of the zeros of  $f - a$  where an  $m$ -fold zero is counted  $m$  times if  $m \leq p$  and  $p$  times if  $m > p$ . We denote by  $\overline{N}_L(r, \frac{1}{f-1})$  the counting function for 1-points of both  $f(z)$  and  $g(z)$  about which  $f(z)$  has a larger multiplicity than  $g(z)$ , with multiplicity not being counted. We say that a finite value  $z_0$  is a fixed point of  $f(z)$  if  $f(z_0) = z_0$ , and we define

$$E_f = \{z \in \mathbb{C} : f(z) = z, \text{counting multiplicities}\}.$$

About a famous question of Hayman [5] in 1959, Fang-Hua[3] and Yang-Hua[7] proved the following.

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**Theorem A.** Let  $f$  and  $g$  be two nonconstant entire functions, and let  $n \geq 6$  be a positive integer. If  $f^n f'$  and  $g^n g'$  share 1 CM, then either  $f(z) = c_1 e^{cz}$ ,  $g(z) = c_2 e^{-cz}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $(c_1 c_2)^{n+1} c^2 = -1$  or  $f = tg$  for a constant  $t$  such that  $t^{n+1} = 1$ .

**Theorem B.** Let  $f$  and  $g$  be two nonconstant entire functions, and let  $n$  and  $k$  be two positive integers with  $n > 2k + 4$ . If  $(f^n)^{(k)}$  and  $(g^n)^{(k)}$  share 1 CM, then either  $f(z) = c_1 e^{cz}$ ,  $g(z) = c_2 e^{-cz}$ , where  $c_1, c_2$  and  $c$  are three constants satisfying  $(-1)^k (c_1 c_2)^n (nc)^{2k} = -1$  or  $f = tg$  for a constant  $t$  such that  $t^n = 1$ .

In [2], Fang also proved the following results.

**Theorem C.** Let  $f$  and  $g$  be two nonconstant entire functions, and let  $n, k$  be two positive integers with  $n \geq 2k + 8$ . If  $(f^n(f-1))^{(k)}$  and  $(g^n(g-1))^{(k)}$  share 1 CM, then  $f = g$ .

Corresponding to the problems of entire functions that share 1 CM, many authors considered the uniqueness problems of entire functions that have fixed points, see Fang-Qiu [4], Lin-Yi [8], Zhang [13].

In order to state the results, we need the following definitions:

**Definition 1.** Let  $m^*$  is an integer, according to the differential polynomials  $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$  and  $(g^n(z)(\lambda g^m(z) + \mu))^{(k)}$  in the following Theorem D and Theorem 1, we define

$$m^* = \begin{cases} m, & \lambda \neq 0; \\ 0, & \lambda = 0. \end{cases}$$

**Definition 2.** Let  $m^{**}$  is an integer, according to the nonzero polynomial  $P(z)$  in the following Theorem E and Theorem 2, we define

$$m^{**} = \begin{cases} m, & P(z) \neq C; \\ 0, & P(z) = C. \end{cases}$$

Recently, Qi-Yang [10] and Dou-Qi-Yang [1] proved the following results which generalize some previous results.

**Theorem D.** Let  $f(z)$  and  $g(z)$  be two transcendental entire functions,  $n, m$  and  $k$  be positive integers,  $\lambda$  and  $\mu$  be constants that satisfy  $|\lambda| + |\mu| \neq 0$ . Suppose that  $n > 2k + m^* + 4$ . If  $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$  and  $(g^n(z)(\lambda g^m(z) + \mu))^{(k)}$  share  $z$  CM, then the following conclusions hold:

(i) If  $\lambda\mu \neq 0$ , then  $f^d(z) \equiv g^d(z)$ , where  $d = \text{GCD}(n, m)$ ; in particular,  $f(z) \equiv g(z)$ , when  $d = 1$ .

(ii) If  $\lambda\mu = 0$ , then  $f = cg$  for a constant  $c$  that satisfies  $c^{n+m^*} = 1$ , or  $k = 1$  and  $f(z) = b_1 e^{bz^2}$ ,  $g(z) = b_2 e^{-bz^2}$  for three constants  $b_1, b_2$  and  $b$  that satisfy  $4(\lambda + \mu)^2 (b_1 b_2)^{n+m^*} ((n+m^*)b)^2 = -1$ .

**Theorem E.** Let  $P(z) = a_m z^m + a_{m-1} z^{m-1} + \cdots + a_1 z + a_0$  or  $P(z) = C$ ,

$a_0, a_1, \dots, a_{m-1}, a_m \neq 0, C \neq 0$  are complex constant. Suppose that  $f(z)$  and  $g(z)$  be two transcendental entire functions, and let  $n, m$  and  $k$  be three positive integers with  $n > 2k + m^{**} + 4$ . If  $(f^n(z)P(f))^{(k)}$  and  $(g^n(z)P(g))^{(k)}$  share  $z$  CM, then the following conclusions hold:

(i) If  $P(z) = a_m z^m + a_{m-1} z^{m-1} + \dots + a_1 z + a_0$  is not a monomial, then  $f = tg$  for a constant  $t$  that satisfies  $t^d = 1$ , where  $d = (n + m, \dots, n + m - i, \dots, n)$ ,  $a_{m-i} \neq 0$ , for some  $i = 0, 1, 2, \dots, m$ ; or  $f$  and  $g$  satisfy the algebraic equation  $R(f, g) \equiv 0$ , where  $R(w_1, w_2) = w_1^n (a_m w_1^m + a_{m-1} w_1^{m-1} + \dots + a_1 w_1 + a_0) - w_2^n (a_m w_2^m + a_{m-1} w_2^{m-1} + \dots + a_1 w_2 + a_0)$ ;

(ii) If  $P(z) = C$  or  $P(z) = a_m z^m$ , then  $f = tg$  for a constant  $t$  that satisfies  $t^{n+m^{**}} = 1$ , or  $f(z) = b_1 e^{bz^2}$ ,  $g(z) = b_2 e^{-bz^2}$  for three constants  $b_1, b_2$  and  $b$  that satisfy  $4(a_m)^2 (b_1 b_2)^{n+m} ((n+m)b)^2 = -1$ , or  $4C^2 (b_1 b_2)^n (nb)^2 = -1$ .

**Question:** Whether the CM sharing value can be replaced by the IM sharing fixed points in the Theorem D and Theorem E? In the paper, we provide an affirmative solution by proving the following theorems.

**Theorem 1.** Let  $f(z)$  and  $g(z)$  be two transcendental entire functions, and let  $n, m$  and  $k$  be three positive integers with  $n > 5k + 4m^* + 7$ ,  $\lambda$  and  $\mu$  be constants that satisfy  $|\lambda| + |\mu| \neq 0$ . If  $(f^n(z)(\lambda f^m(z) + \mu))^{(k)}$  and  $(g^n(z)(\lambda g^m(z) + \mu))^{(k)}$  share  $z$  IM, then the following conclusions hold:

(i) If  $\lambda\mu \neq 0$ , then  $f^d(z) \equiv g^d(z)$ ,  $d = GCD(n, m)$ ; especially, when  $d = 1$ ,  $f(z) \equiv g(z)$ ;

(ii) If  $\lambda\mu = 0$ , then  $f = cg$  for a constant  $c$  that satisfies  $c^{n+m^*} = 1$ , or  $k = 1$  and  $f(z) = b_1 e^{bz^2}$ ,  $g(z) = b_2 e^{-bz^2}$  for three constants  $b_1, b_2$  and  $b$  that satisfy  $4(\lambda + \mu)^2 (b_1 b_2)^{n+m^*} ((n+m^*)b)^2 = -1$ .

**Theorem 2.** Let  $P(z) = a_m z^m + a_{m-1} z^{m-1} + \dots + a_1 z + a_0$  or  $P(z) = C$ , where  $a_0, a_1, \dots, a_{m-1}, a_m \neq 0, C \neq 0$  are complex constant. Suppose that  $f(z)$  and  $g(z)$  be two transcendental entire functions, and let  $n, m$  and  $k$  be three positive integers with  $n > 5k + 4m^{**} + 7$ . If  $(f^n(z)P(f))^{(k)}$  and  $(g^n(z)P(g))^{(k)}$  share  $z$  IM, then the following conclusions hold:

(i) If  $P(z) = a_m z^m + a_{m-1} z^{m-1} + \dots + a_1 z + a_0$  is not a monomial, then  $f = tg$  for a constant  $t$  that satisfies  $t^d = 1$ , where  $d = (n + m, \dots, n + m - i, \dots, n)$ ,  $a_{m-i} \neq 0$ , for some  $i = 0, 1, 2, \dots, m$ ; or  $f$  and  $g$  satisfy the algebraic equation  $R(f, g) \equiv 0$ , where  $R(w_1, w_2) = w_1^n (a_m w_1^m + a_{m-1} w_1^{m-1} + \dots + a_1 w_1 + a_0) - w_2^n (a_m w_2^m + a_{m-1} w_2^{m-1} + \dots + a_1 w_2 + a_0)$ ;

(ii) If  $P(z) = C$  or  $P(z) = a_m z^m$ , then  $f = tg$  for a constant  $t$  that satisfies  $t^{n+m^{**}} = 1$ , or  $f(z) = b_1 e^{bz^2}$ ,  $g(z) = b_2 e^{-bz^2}$  for three constants  $b_1, b_2$  and  $b$  that satisfy  $4(a_m)^2 (b_1 b_2)^{n+m} ((n+m)b)^2 = -1$ , or  $4C^2 (b_1 b_2)^n (nb)^2 = -1$ .

## 2. Some Lemmas

**Lemma 1**([12]). Let  $f$  be a nonconstant meromorphic function, and  $a_0, a_1, a_2, \dots$

$a_n$  be small functions of  $f$  such that  $a_n \neq 0$ . Then

$$T(r, a_n f^n + a_{n-1} f^{n-1} + \cdots + a_1 f + a_0) = nT(r, f) + S(r, f).$$

**Lemma 2**([9]). *Let  $f$  be a nonconstant meromorphic function, and  $p, k$  be positive integers. Then*

$$(2.1) \quad N_p(r, \frac{1}{f^{(k)}}) \leq T(r, f^{(k)}) - T(r, f) + N_{p+k}(r, \frac{1}{f}) + S(r, f),$$

$$(2.2) \quad N_p(r, \frac{1}{f^{(k)}}) \leq k\bar{N}(r, f) + N_{p+k}(r, \frac{1}{f}) + S(r, f).$$

**Lemma 3**([11]). *Let*

$$(2.3) \quad H = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right),$$

where  $F$  and  $G$  are two nonconstant meromorphic functions. If  $F$  and  $G$  share 1 IM and  $H \neq 0$ , then

$$\begin{aligned} T(r, F) + T(r, G) &\leq 2(N_2(r, \frac{1}{F}) + N_2(r, \frac{1}{G}) + N_2(r, F) \\ &\quad + N_2(r, G)) + 3(\bar{N}_L(r, \frac{1}{F-1}) + \bar{N}_L(r, \frac{1}{G-1})) \\ &\quad + S(r, F) + S(r, G). \end{aligned}$$

**Lemma 4**([12]). *Let  $f(z)$  be a nonconstant meromorphic function,  $a_1(z)$ ,  $a_2(z)$  and  $a_3(z)$  be three distinct small functions of  $f(z)$ . Then*

$$T(r, f) < \sum_{j=1}^3 \bar{N}(r, \frac{1}{f - a_j}) + S(r, f).$$

**Lemma 5**([10]). *Let  $f$  and  $g$  be two nonconstant entire functions,  $n, m$  and  $k$  be three positive integers, and let  $F = (f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ ,  $G = (g^n(z)(\lambda g^m(z) + \mu))^{(k)}$ , where  $\lambda\mu \neq 0$ . If there exist two non-zero constants  $a_1$  and  $a_2$  such that  $\bar{N}(r, \frac{1}{F-a_1}) = \bar{N}(r, \frac{1}{G})$  and  $\bar{N}(r, \frac{1}{G-a_2}) = \bar{N}(r, \frac{1}{F})$ , then  $n \leq 2k + 2 + m$ .*

**Lemma 6**([10]). *Suppose that  $F$  and  $G$  are given by Lemma 5. If  $n > 2k + m$  and  $F = G$ , then  $f^d(z) \equiv g^d(z)$ ,  $d = \text{GCD}(n, m)$ .*

**Lemma 7**([10]). *Let  $f$  and  $g$  be two transcendental entire functions,  $n, m$  and  $k$  be three positive integers, and let  $F = (f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ ,  $G = (g^n(z)(\lambda g^m(z) +$*

$\mu))^{(k)}$ , where  $\lambda\mu \neq 0$ . If  $F/G = z^2$ , then  $n \leq k + 2$ .

**Lemma 8([10]).** Let  $f$  and  $g$  be two nonconstant entire functions,  $n, m$  and  $k$  be three positive integers, and let  $F = (f^n(z)(\lambda f^m(z) + \mu))^{(k)}$ ,  $G = (g^n(z)(\lambda g^m(z) + \mu))^{(k)}$ , where  $|\lambda| + |\mu| \neq 0$ , and  $\lambda\mu = 0$ . If there exist two non-zero constants  $a_1$  and  $a_2$  such that  $\overline{N}(r, \frac{1}{F-a_1}) = \overline{N}(r, \frac{1}{G})$  and  $\overline{N}(r, \frac{1}{G-a_2}) = \overline{N}(r, \frac{1}{F})$ , then  $n \leq 2k + 2 + m^*$ .

**Lemma 9([10]).** Suppose that  $F$  and  $G$  are given by Lemma 8. If  $n > 2k + m^*$  and  $F = G$ , then  $f = cg$  for a constant  $c$  that satisfies  $c^{n+m^*} = 1$ .

**Lemma 10([6]).** Suppose that  $f$  is a nonconstant meromorphic function,  $k \geq 2$  is an integer. If

$$N(r, f) + N(r, \frac{1}{f}) + N(r, \frac{1}{f^{(k)}}) = S(r, \frac{f'}{f}),$$

then  $f = e^{az+b}$ , where  $a \neq 0, b$  are constant.

**Lemma 11.** Let  $f(z)$  and  $g(z)$  be two transcendental entire functions,  $n, m$  and  $k$  be positive integers,  $\lambda$  is a non-zero constant, and let  $F = (\lambda f^{n+m}(z))'$  and  $G = (\lambda g^{n+m}(z))'$ . If  $FG \equiv z^2$ , then  $f(z) = b_1 e^{bz^2}$ ,  $g(z) = b_2 e^{-bz^2}$  for three constants  $b_1, b_2$  and  $b$  that satisfy  $4\lambda^2(b_1 b_2)^{n+m^*}((n+m^*)b)^2 = -1$ .

*Proof.* Since

$$(2.4) \quad \lambda^2(f^{n+m})'(g^{n+m})' = z^2.$$

$f$  and  $g$  are entire functions and  $n > 5k + 4m + 7$ , by using the arguments similar to the proof of Lemma 7 in [10], we get from (2.5) that  $f$  and  $g$  have no zeros. Let  $f = e^{\alpha(z)}$ ,  $g = e^{\beta(z)}$ , where  $\alpha(z), \beta(z)$  are nonconstant entire functions. Set

$$(2.5) \quad h(z) = \frac{1}{f(z)g(z)},$$

we know that  $h(z) = e^{\gamma(z)}$ , where  $\gamma(z)$  is an entire function. We say that  $\gamma(z)$  is a constant. In fact, if  $\gamma(z)$  is a nonconstant entire function, then  $h(z)$  is transcendental entire function. By (2.5), we obtain

$$(2.6) \quad (m+n)^2 \lambda^2 (f^{n+m-1})' (g^{n+m-1})' g' = z^2.$$

From (2.6) and (2.7), we get

$$(2.7) \quad \left(\frac{g'}{g} + \frac{1}{2} \frac{h'}{h}\right)^2 = \frac{1}{4} \left(\frac{h'}{h}\right)^2 - \frac{z^2 h^{m+n}}{(m+n)^2 \lambda^2}.$$

Set  $\xi = \frac{g'}{g} + \frac{1}{2} \frac{h'}{h}$ , then (2.8) becomes

$$(2.8) \quad \xi^2 = \frac{1}{4} \left(\frac{h'}{h}\right)^2 - \frac{z^2 h^{m+n}}{(m+n)^2 \lambda^2}.$$

Suppose  $\xi \equiv 0$ , by (2.9), we have

$$(2.9) \quad h^{m+n} = \frac{(m+n)^2 \lambda^2}{4z^2} \left(\frac{h'}{h}\right)^2.$$

Since  $h(z) = e^{\gamma(z)}$ , we have by (2.10) that

$$\begin{aligned} (m+n)T(r, h) &= (m+n)m(r, h) + O(1) \\ &\leq m(r, \frac{1}{4z^2}) + 2m(r, \frac{h'}{h}) + O(1) = S(r, h). \end{aligned}$$

Therefore  $h$  is constant, which leads to a contradiction. Thus  $\xi \not\equiv 0$ . Differentiating (2.9), we get

$$\begin{aligned} (2.10) \quad 2\xi\xi' &= \frac{1}{2} \frac{h'}{h} \left(\frac{h'}{h}\right)' - \frac{2z}{\lambda^2(m+n)^2} h^{m+n} - \frac{1}{\lambda^2(m+n)} z^2 h^{m+n-1} h' \\ &= \frac{1}{2} \frac{h'}{h} \left(\frac{h'}{h}\right)' - \frac{1}{\lambda^2(m+n)^2} h^{m+n-1} (2zh + (m+n)z^2 h'). \end{aligned}$$

Combining (2.9) and (2.11), we have

$$(2.11) \quad \frac{1}{\lambda^2(m+n)^2} h^{m+n} (2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi}) = \frac{1}{2} \frac{h'}{h} \left(\left(\frac{h'}{h}\right)' - \frac{h'}{h} \frac{\xi'}{\xi}\right).$$

If  $2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi} \equiv 0$ , then, we get from (2.12) that either  $\frac{h'}{h} \equiv 0$  or  $\left(\frac{h'}{h}\right)' - \frac{h'}{h} \frac{\xi'}{\xi} \equiv 0$ . If  $\frac{h'}{h} \equiv 0$ , then  $h$  is a constant, which leads to a contradiction. If  $\left(\frac{h'}{h}\right)' - \frac{h'}{h} \frac{\xi'}{\xi} \equiv 0$ , we get

$$(2.12) \quad \frac{h'}{h} = \frac{\xi}{d},$$

where  $d(\neq 0)$  is a constant. Thus we deduce from (2.9) and (2.13) that

$$(2.13) \quad \frac{z^2 h^{m+n}}{\lambda^2(m+n)^2} = \left(\frac{1}{4} - d^2\right) \left(\frac{h'}{h}\right)^2.$$

Hence  $(m+n)T(r, h) = S(r, h)$ , which is a contradiction too.

Assume that  $2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi} \not\equiv 0$ . Since  $h = e^{\gamma(z)}$  and  $\xi = \frac{g'}{g} + \frac{1}{2} \frac{h'}{h}$ , by (2.9) and (2.12), we obtain

$$N(r, \frac{h'}{h}) = S(r, h), \quad N(r, \xi) = S(r, h),$$

and

$$\begin{aligned}
 (m+n)T(r, h) &= (m+n)m(r, h) \leq m(r, \frac{1}{2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi}}) \\
 &\quad + m(r, \frac{h'}{h}((\frac{h'}{h})' - \frac{h'}{h} \frac{\xi'}{\xi})) + O(1) \\
 &\leq m(r, \frac{h'}{h}((\frac{h'}{h})' - \frac{h'}{h} \frac{\xi'}{\xi})) + m(r, 2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi}) \\
 &\quad + N(r, 2z + (m+n)z^2 \frac{h'}{h} - 2z^2 \frac{\xi'}{\xi}) \\
 &\leq N(r, \frac{\xi'}{\xi}) + S(r, h) + S(r, \xi) \\
 (2.14) \quad &\leq T(r, \xi) + S(r, h) + S(r, \xi).
 \end{aligned}$$

Observe that  $h = e^{\gamma(z)}$  is a transcendental entire function, we deduce from (2.10) that

$$\begin{aligned}
 2T(r, \xi) &= T(r, \xi^2) + S(r, \xi) = T(r, \frac{1}{4}(\frac{h'}{h})^2 - \frac{z^2 h^{m+n}}{\lambda^2}) + S(r, \xi) \\
 &= N(r, \frac{1}{4}(\frac{h'}{h})^2 - \frac{z^2 h^{m+n}}{\lambda^2}) + m(r, \frac{1}{4}(\frac{h'}{h})^2 - \frac{z^2 h^{m+n}}{\lambda^2}) + S(r, \xi) \\
 &\leq (m+n)m(r, h) + N(r, (\frac{h'}{h})^2) + S(r, h) + S(r, \xi) \\
 (2.15) \quad &\leq (m+n)T(r, h) + S(r, h) + S(r, \xi).
 \end{aligned}$$

Combining with (2.15), we obtain

$$\frac{(m+n)}{2}T(r, h) = S(r, h),$$

which leads to a contradiction. Therefore,  $\gamma(z)$  is a constant, and so  $h(z) = e^{\gamma(z)}$  is also a constant. By (2.6), we obtain

$$(2.16) \quad f(z)g(z) = e^{\alpha(z)}e^{\beta(z)} = C,$$

where  $C(\neq 0)$  is a constant. So we have

$$(2.17) \quad \beta(z) = -\alpha(z) + c_1,$$

for a constant  $c_1$ . Substituting  $f = e^{\alpha(z)}$ ,  $g = e^{\beta(z)}$  into (2.8), we deduce from (2.17) and (2.18) that

$$f(z) = b_1 e^{bz^2}, \quad g(z) = b_2 e^{-bz^2},$$

where  $b_1, b_2$  and  $b$  are three constants that satisfy  $4\lambda^2(b_1 b_2)^{n+m}((m+n)b)^2 = -1$ . This completes the proof of Lemma 11. □

### 3. Proof of Theorems

*Proof of Theorem 1.* We distinguish two cases.

(i)  $\lambda\mu \neq 0$ . Set

$$(3.1) \quad F = \frac{(f^n(z)(\lambda f^m(z) + \mu))^{(k)}}{z}, \quad G = \frac{(g^n(z)(\lambda g^m(z) + \mu))^{(k)}}{z}.$$

Then  $F$  and  $G$  are transcendental meromorphic functions that share 1 IM. Let  $H$  be given by (2.3). If  $H \not\equiv 0$ , from Lemma 3 we know that (2.4) holds. By Lemma 1 and (2.1), we get

$$(3.2) \quad \begin{aligned} N_2(r, \frac{1}{F}) &\leq N_2(r, \frac{1}{(f^n(z)(\lambda f^m(z) + \mu))^{(k)}}) + S(r, f) \\ &\leq T(r, (f^n(z)(\lambda f^m(z) + \mu))^{(k)}) - (m+n)T(r, f) \\ &\quad + N_{k+2}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + S(r, f) \\ &= T(r, F) - (m+n)T(r, f) \\ &\quad + N_{k+2}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + S(r, f). \end{aligned}$$

Similarly,

$$(3.3) \quad N_2(r, \frac{1}{G}) \leq T(r, G) - (m+n)T(r, g) + N_{k+2}(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}) + S(r, g).$$

From (3.2) and (3.3), we obtain

$$(3.4) \quad N_2(r, \frac{1}{F}) \leq N_{k+2}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + S(r, f),$$

and

$$(3.5) \quad N_2(r, \frac{1}{G}) \leq N_{k+2}(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}) + S(r, g).$$

Moreover, from (3.2) and (3.3), we have

$$\begin{aligned} (m+n)(T(r, f) + T(r, g)) &\leq T(r, F) + T(r, G) - N_2(r, \frac{1}{F}) - N_2(r, \frac{1}{G}) \\ &\quad + N_{k+2}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) \\ &\quad + N_{k+2}(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)}) + S(r, f) + S(r, g). \end{aligned}$$

We know that

$$\bar{N}(r, \frac{1}{F}) \leq \bar{N}(r, \frac{1}{(f^n(z)(\lambda f^m(z) + \mu))^{(k)}}) + S(r, f)$$



Combining with (2.2), we have

$$\begin{aligned}
 \overline{N}(r, \frac{1}{F}) &\leq N_1(r, \frac{1}{(f^n(z)(\lambda f^m(z) + \mu))^{(k)}}) + S(r, f) \\
 &\leq k\overline{N}(r, f^n(z)(\lambda f^m(z) + \mu)) + N_{k+1}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + S(r, f) \\
 &\leq k\overline{N}(r, f(z)) + N_{k+1}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + S(r, f) \\
 &\leq k\overline{N}(r, f(z)) + N_{k+1}(r, \frac{1}{f^n(z)}) + N_{k+1}(r, \frac{1}{\lambda f^m(z) + \mu}) + S(r, f) \\
 (3.6) \quad &\leq k\overline{N}(r, f(z)) + (k+1)\overline{N}(r, \frac{1}{f(z)}) + N_{k+1}(r, \frac{1}{\lambda f^m(z) + \mu}) \\
 &\quad + S(r, f)
 \end{aligned}$$

From the definition of  $\overline{N}_L(r, \frac{1}{F-1})$  and (3.6),

$$\begin{aligned}
 \overline{N}_L(r, \frac{1}{F-1}) &\leq N(r, \frac{1}{F-1}) - \overline{N}(r, \frac{1}{F-1}) \leq N(r, \frac{F}{F'}) + S(r, f) \\
 &\leq \overline{N}(r, \frac{F'}{F}) + S(r, f) \leq \overline{N}(r, \frac{1}{F}) + \overline{N}(r, F) + S(r, f) \\
 (3.7) \quad &\leq (k+1)(\overline{N}(r, f) + \overline{N}(r, \frac{1}{f})) + N_{k+1}(r, \frac{1}{\lambda f^m(z) + \mu}) \\
 &\quad + S(r, f)
 \end{aligned}$$

Similarly,

$$\begin{aligned}
 (3.8) \quad \overline{N}_L(r, \frac{1}{G-1}) &\leq (k+1)(\overline{N}(r, g) + \overline{N}(r, \frac{1}{g})) \\
 &\quad + N_{k+1}(r, \frac{1}{\lambda g^m(z) + \mu}) + S(r, g)
 \end{aligned}$$

Combining (2.4) and (3.4)-(3.8), we get

$$\begin{aligned}
 &(m+n)(T(r, f) + T(r, g)) \\
 &\leq 2(N_{k+2}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + N_{k+2}(r, \frac{1}{g^n(z)(\lambda g^m(z) + \mu)})) \\
 &\quad + 3(\overline{N}_L(r, \frac{1}{F-1}) + \overline{N}_L(r, \frac{1}{G-1})) + S(r, f) + S(r, g) \\
 &\leq (2k+4)(\overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g})) + 2N_{k+2}(r, \frac{1}{\lambda f^m(z) + \mu}) \\
 &\quad + 2N_{k+2}(r, \frac{1}{\lambda g^m(z) + \mu}) + 3(k+1)(\overline{N}(r, \frac{1}{f}) + \overline{N}(r, \frac{1}{g})) \\
 &\quad + 3(N_{k+1}(r, \frac{1}{\lambda f^m(z) + \mu}) + N_{k+1}(r, \frac{1}{\lambda g^m(z) + \mu})) \\
 &\quad + S(r, f) + S(r, g).
 \end{aligned}$$

That is

$$(m+n)(T(r, f) + T(r, g)) \leq (5k + 5m + 7)(T(r, f) + T(r, g)) + S(r, f) + S(r, g).$$

Thus,

$$(n - (5k + 4m + 7))(T(r, f) + T(r, g)) \leq S(r, f) + S(r, g),$$

which leads to a contradiction as  $n > 5k + 4m + 7$ . Therefore  $H \equiv 0$ . Integrating twice, from (2.3) we obtain that

$$(3.9) \quad \frac{1}{F-1} = \frac{A}{G-1} + B,$$

where  $A(\neq 0)$  and  $B$  are constants. From (3.9), we have

$$(3.10) \quad F = \frac{(B+1)G + (A-B-1)}{BG + (A-B)}, \quad G = \frac{(B-A)F + (A-B-1)}{BF - (B+1)}.$$

We consider the following three cases.

Case 1. ( $B \neq 0, -1$ ). From (3.10) we have  $\bar{N}(r, \frac{1}{F-\frac{1}{B+1}}) = \bar{N}(r, G)$ . From the second fundamental theorem, we have

$$(3.11) \quad \begin{aligned} T(r, F) &\leq \bar{N}(r, \frac{1}{F}) + \bar{N}(r, \frac{1}{F-\frac{1}{B+1}}) + S(r, F) \\ &= \bar{N}(r, \frac{1}{F}) + \bar{N}(r, G) + S(r, F) \leq \bar{N}(r, \frac{1}{F}) + S(r, F). \end{aligned}$$

From the above inequality and (3.2) applied to  $F$ , we obtain

$$\begin{aligned} T(r, F) &\leq N_1(r, \frac{1}{F}) + S(r, f) \\ &\leq T(r, F) - (m+n)T(r, f) + N_{k+1}(r, \frac{1}{f^n(z)(\lambda f^m(z) + \mu)}) + S(r, f). \end{aligned}$$

Thus

$$\begin{aligned} (m+n)T(r, f) &\leq (k+1)\bar{N}(r, \frac{1}{f}) + N_{k+1}(r, \frac{1}{\lambda f^m(z) + \mu}) + S(r, f) \\ &\leq (k+m+1)T(r, f) + S(r, f), \end{aligned}$$

which contradicts the assumption  $n > 5k + 4m + 7$ .

Case 2. ( $B = 0$ ). From (3.10), we obtain

$$(3.12) \quad F = \frac{G + (A-1)}{A}, \quad G = AF - (A-1).$$

If  $A \neq 1$ , we get by (3.12) that  $\overline{N}(r, \frac{1}{F-\frac{A}{A-1}}) = \overline{N}(r, \frac{1}{G})$  and  $\overline{N}(r, \frac{1}{F}) = \overline{N}(r, \frac{1}{G+(A-1)})$ . By Lemma 5, we have  $n \leq 2k + 2 + m$ . This contradicts  $n > 5k + 4m + 7$ . Thus  $A = 1$  and  $F = G$ . From Lemma 6, we have  $f^d(z) \equiv g^d(z)$ , where  $d = GCD(n, m)$ .

Case 3. ( $B = -1$ ). From (3.10), we get

$$(3.13) \quad F = \frac{A}{-G + (A + 1)}, \quad G = \frac{(A + 1)F - A}{F}.$$

If  $A \neq -1$ , we obtain from (3.13) that  $\overline{N}(r, \frac{1}{F-\frac{1}{A+1}}) = \overline{N}(r, \frac{1}{G})$ ,  $\overline{N}(r, F) = \overline{N}(r, \frac{1}{G-A-1})$ . From the same reasoning mentioned in Case 1 and Case 2, we also get a contradiction. Thus  $A = -1$ . From (3.13), we have  $FG = 1$ , That is

$$(f^n(z)(\lambda f^m(z) + \mu))^{(k)}(g^n(z)(\lambda g^m(z) + \mu))^{(k)} = z^2,$$

from Lemma 7, this is impossible.

(ii)  $\lambda\mu = 0$ . Since  $|\lambda| + |\mu| \neq 0$ , we distinguish two cases.

Case A.  $\mu = 0, \lambda \neq 0$ . In this case, we have  $F = (\lambda f^{n+m}(z))^{(k)}$  and  $G = (\lambda g^{n+m}(z))^{(k)}$ . Let

$$F_1 = \frac{(\lambda f^{n+m}(z))^{(k)}}{z}, \quad G_1 = \frac{(\lambda g^{n+m}(z))^{(k)}}{z}.$$

Then  $F_1$  and  $G_1$  share 1 IM. By the similar arguments mentioned in the proof of (i), we have  $F_1 \equiv G_1$  or  $F_1G_1 \equiv 1$ . If  $F_1 \equiv G_1$ , we obtain from Lemma 9 that  $f \equiv cg$ , where  $c$  is a constant that satisfies  $c^{n+m} = 1$ . Now we assume that  $F_1G_1 = 1$ .

If  $k = 1$ , from Lemma 11 we get that  $f(z) = b_1e^{bz^2}, g(z) = b_2e^{-bz^2}$  for three constants  $b_1, b_2$  and  $b$  that satisfy  $4\lambda^2(b_1b_2)^{n+m^*}((n + m^*)b)^2 = -1$ .

If  $k \geq 2$ , then

$$(3.14) \quad \lambda^2(f^{n+m})^{(k)}(g^{n+m})^{(k)} = z^2.$$

Since  $f$  and  $g$  are entire functions and  $n > 5k + 4m + 7$ , by using the arguments similar to the proof of Lemma 7 in [10], we know from (2.6) that  $f$  and  $g$  have no zeros. Set

$$(3.15) \quad f = e^{\alpha(z)}, \quad g = e^{\beta(z)},$$

where  $\alpha(z), \beta(z)$  are nonconstant entire functions. From (3.14), we obtain

$$(3.16) \quad N(r, \frac{1}{(f^{n+m})^{(k)}}) = N(r, \frac{1}{z^2}) = O(\log r).$$

Combining with (3.15) and (3.16), we get

$$N(r, f^{m+n}) + N(r, \frac{1}{f^{m+n}}) + N(r, \frac{1}{(f^{m+n})^{(k)}}) = O(\log r).$$

From (3.15),  $T(r, \frac{(f^{m+n})'}{f^{m+n}}) = T(r, (m+n)\alpha')$ . If  $\alpha$  is transcendental, we get from Lemma 10 that  $f = e^\alpha = e^{az+b}$  for some constants  $a \neq 0$  and  $b$ . This is impossible. Therefore  $\alpha$  must be a polynomial, and  $\beta$  is also a polynomial. We assume that  $\deg(\alpha) = p$  and  $\deg(\beta) = p$ . If  $p = q = 1$ , we get

$$(3.17) \quad f = e^{Az+B}, \quad g = e^{Cz+D},$$

where  $A, B, C$  and  $D$  are constants that satisfy  $AC \neq 0$ . Substituting (3.17) into (3.14), we get

$$\lambda^2(m+n)^{2k}(AC)^k e^{(m+n)(A+C)z+(m+n)(B+D)} = z^2,$$

which is impossible. Therefore  $\max\{p, q\} > 1$ . Without loss of generality, we assume that  $p > 1$ . Then  $(f^{m+n})^{(k)} = Pe^{(m+n)\alpha}$ , where  $P$  is a polynomial of degree  $kp - k \geq k \geq 2$ . From (3.14), we have  $p = k = 2$  and  $q = 1$ . Assume that

$$f^{m+n} = e^{(m+n)(A_1z^2+B_1z+C_1)}, \quad g^{m+n} = e^{(m+n)(D_1z+E_1)},$$

where  $A_1, B_1, C_1, D_1, E_1$  are constants such that  $A_1D_1 \neq 0$ . Then we obtain

$$(3.18) \quad (f^{m+n})'' = (m+n)(4(m+n)A_1^2z^2 + 4(m+n)A_1B_1z + (m+n)B_1^2 + 2A_1)e^{(m+n)(A_1z^2+B_1z+C_1)},$$

$$(3.19) \quad (g^{m+n})'' = (m+n)^2D_1^2e^{(m+n)(D_1z+E_1)}.$$

Substituting (3.18) and (3.19) into (3.14), we get

$$Q(z)e^{(m+n)(A_1z^2+(B_1+D_1)z+C_1+E_1)} = z^2,$$

where  $Q(z)$  is a polynomial of degree 2. Since  $A_1 \neq 0$ , we get a contradiction.

*Case B.*  $\lambda = 0, \mu \neq 0$ . In this case, by the similar arguments mentioned in the *Case A*,  $f$  and  $g$  must satisfy  $f(z) = b_1e^{bz^2}, g(z) = b_2e^{-bz^2}$  or  $f = cg$ , where  $b_1, b_2, b$  and  $c$  are constants that satisfy  $4\mu^2(b_1b_2)^n(nb)^2 = -1$  and  $c^n = 1$ . This completes the proof of Theorem 1.  $\square$

**Proof of Theorem 2.** By using the similar arguments to those in the proof of Theorem 1, and Lemma 6–Lemma 9 in [1], we can prove Theorem 2.  $\square$

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