

# Interference Resolving Radio Resource Allocation Scheme in a TDD-OFDMA/FDD-CDMA Hierarchical Overlay Cellular System

Yeonwoo Lee<sup>†</sup>, Kyung-Ho Kim<sup>\*\*</sup>

## ABSTRACT

In order to support a cell-independent traffic asymmetry, the conventional TDD system cannot avoid crossed time slot (CTS) interference. Moreover, the TDD/FDD hierarchical overlay cellular systems is taken into account as a generally accepted cell model in a heterogeneous radio environment. In this paper, we propose an interference resolving radio resource allocation technique in a TDD-OFDMA cellular system that overlays a FDD-CDMA cell. In our proposed scheme, we exploit under-used FDD-CDMA uplink resource by TDD mobile abiding by a region based time slot(TS) allocation which in turn mitigates CTS interference considerably. It is demonstrated that combined with under-used resource utilization scheme based on mobile's location, the proposed technique can reduce CTS interference considerably and support the asymmetric traffic in TDD system.

**Key words:** Hierarchical overlay cellular, interference, TDD-OFDMA, FDD-CDMA, radio resource allocation

## 1. INTRODUCTION

In the latest mobile communication systems, the demand for multimedia services to support a high data rate and asymmetric traffic service has accelerated the need for interference robust transmission technique and resource management algorithm. Thus, an efficient and flexible resource allocation technique is key enabling technologies as

regards enabling the flexible management of the various traffic requirements and maximal utilization of the radio resources in a system. Such technologies are very relevant to duplexing methods, i.e. time division duplex (TDD) and frequency division duplex (FDD) in 3G or 4G systems.

In TDD-based systems, the uplink (UL) and downlink (DL) signals are transmitted over the same frequency band, yet separate (multiple) time slots are assigned for the UL and DL transmissions, respectively. By adjusting the number of time slots assigned to each link, the TDD scheme can support asymmetric traffic demand between the UL and DL. Another major feature of the TDD system is channel reciprocity, which allows the system to adopt adaptive modulation and coding (AMC) and multi-input multi-output (MIMO) technology without an increase in the feedback information. In general, the TDD system can provide a higher trunk efficiency since the system utilizes entire bandwidth. In the TDD scheme, however, a guard time is required between the UL and

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※ Corresponding Author : Yeonwoo Lee, Address : (534-729) Mokpo National Univ. Dept. of Inform. & Comm. Eng., 61, Dorim-Ri, Chunggye-Myon, Mjuan-Gun, Chonnam, TEL : +82-61-450-2745, FAX : +82-61-450-6470, E-mail : ylee@mokpo.ac.kr

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<sup>†</sup> Dept. of Inform. & Comm. Eng., Mokpo National Univ.  
(E-mail: ylee@mokpo.ac.kr)

<sup>\*\*</sup> Dept. of Inform. & Comm. Eng., Mokpo National Univ.  
(E-mail: khkim@mokpo.ac.kr)

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DL intervals to prevent interference caused by the different round-trip delays among users distributed throughout the cell. In fact, more guard time is required as the cell size increases, reducing bandwidth efficiency. Therefore, the TDD system is more suited to data services in the short-range communication systems (micro or pico cell). Meanwhile, with the frequency division duplex (FDD) scheme, the UL and DL signals are transmitted using the different frequency bands separated by a guard band. However, since the frequency bandwidth is fixed, the FDD system is basically unable to support the traffic asymmetry between UL and DL. Nonetheless, the FDD scheme has no round-trip delay problem, in contrast to the TDD scheme. For these reasons, it would seem evident that the FDD should cover the macro-cell range, while the TDD should cover the pico-/micro-cell range, which was discussed as a topical issue in the 3G, LTE-advanced, 4G hierarchical or overlay cellular networks [1-4].

A widely-used hierarchical TDD/FDD cell structure consists of an FDD underlay macro-cell and a TDD overlay micro-cell. In such a system, most of previous works focused on adjacent channel interference (ACI) effect and its trade-off depending on the optimal location deployment of TDD BS and FDD BS of the universal mobile telecommunications system (UMTS) [5]. Another interesting proposed work is a TDD/FDD underlay system proposed by [6] which exploits underused FDD-CDMA resource for TDD-CDMA pico-cellular users, increasing the flexibility of CDMA based TDD/FDD hierarchical cell structure. However, these previous works are constrained to CDMA based system with careful positioning of TDD-BS and FDD-BS.

Combined with the TDD providing a cell independent traffic asymmetry of uplink and downlink, OFDMA system that can support high-speed data rate service gains momentum for a future multimedia mobile communication system. How-

ever, when the TDD scheme is used for a multi-cell environment, it suffers from severe co-channel interferences (CCIs) between mobile stations (MSs) and base stations (BSs) when the frames are not synchronized with one another and/or each cell has a different UL/DL transmission timing. Such co-channel interference appears in crossed time slots (CTS) where some cells are active in the downlink and other cells are in the uplink [5], especially at the cell boundary. Clearly, this CTS interference turns out major performance degradation factor has to be solved for achieving the full benefit of the TDD based system, i.e. traffic asymmetry.

Therefore, in order to fully exploit TDD system's feature and efficient spectrum utilization functionality in a TDD-OFDMA cellular system overlaid a FDD-CDMA cellular system, we propose an efficient resource utilization technique using a region based TS allocation algorithm. Thus, we partition a cell into two regions (i.e. inner- and outer-cell region) where TDD-OFDMA system is utilized overlaying FDD-CDMA system. In particular, the outer-region users located at cell boundaries use both FDD-CDMA uplink and TDD-OFDMA downlink. Moreover, we exploit underused FDD-CDMA uplink resource by TDD mobile abiding by a region based TS allocation which in turn mitigates CTS interference considerably. As such, the CTS interference is dramatically reduced and the outage performance significantly is improved with a simple TS allocation algorithm, which in result, providing asymmetric traffic service. This paper is organized as follows. Section II describes the proposed interference resolving radio resource allocation scheme in a TDD-OFDMA/FDD-CDMA hierarchical overlay cellular system. System level simulation with interference scenarios and discussion are presented in Section III, following by conclusion.

## 2. Proposed Interference Resolving Radio Resource Allocation Scheme

### 2.1 Interference Scenario in a Time Division Duplex(TDD) based Cellular System

While the merits of a TDD based cellular system, i.e. an asymmetric traffic service supported by adopting different UL/DL time slot ratios between neighbor cells, should be fully utilized, this inherently entails TDD interferences. Fig. 1 depicts how these interferences occur in the TDD system. When cell 1 is in the downlink transmission period while the adjacent cell 2 is in the uplink period, each cell must transmit and receive the signal through an asynchronous time slot, i.e. crossed time slot (CTS). In such a case, a major interference can occur in the TDD system, i.e. a CTS interference, such as an MS-to-MS interference, BS-to-BS interference (i.e., same entity interference), or MS-to-BS interference (i.e., other entity interference), that degrade the system performance. As one of the solutions to mitigate its effect, the dynamic allocation of time-slots can be employed in a centralized or a distributed manner.

### 2.2 Proposed Interference Resolving Time Slot Allocation Scheme

The aim of the proposed radio resource allocation scheme for a TDD-OFDMA overlay cellular system is to provide asymmetric traffic services with resolving TDD inherent interference problem,

i.e. CTS interference as shown in Figure 1, and thus, it provides more radio resources to mobile users. In order to resolve this CTS interference and utilize radio resource as well, we proposed the radio resource allocation scheme which is based on a mobile user's location in a TDD-OFDMA cell and a pre-defined frequency-time planning of a overall hierarchical cellular model. The proposed scheme is to prevent major interference-makers located at the cell boundary from using the same frequency band (TDD). That is, the proposed system selectively allocates under-used FDD-CDMA uplink resource (codes) to users located at the cell boundary based on FDD-CDMA uplink codes availability, simultaneously accomplishing cell independent asymmetry in the TDD system. Note that it is assumed that a TDD-OFDMA mobile station set has dual-mode operation in a TDD/FDD overlay cellular system.

The idea of exploiting under-used FDD resource by TDD users is the same as in [5]. However, the main difference of the proposed system is to allow asynchronous TS overlap and to utilize cell independent traffic asymmetry, achieving the full flexibility of TDD feature. Note that ref. [5] does not allow asynchronous TS overlap and may not provide the full traffic asymmetry capability.

In the proposed overlay cellular system as shown in Fig. 2, cell coverage in the TDD-OFDMA system is partitioned into two (inner-and outer-region). For users at outer-region near cell boundaries, FDD-CDMA system and TDD-OFDMA

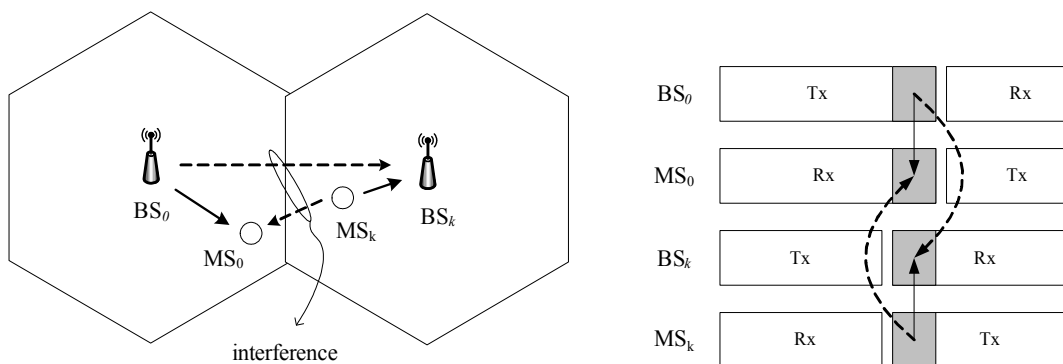


Fig. 1. Cross time slot (CTS) interference in a TDD based cellular system.

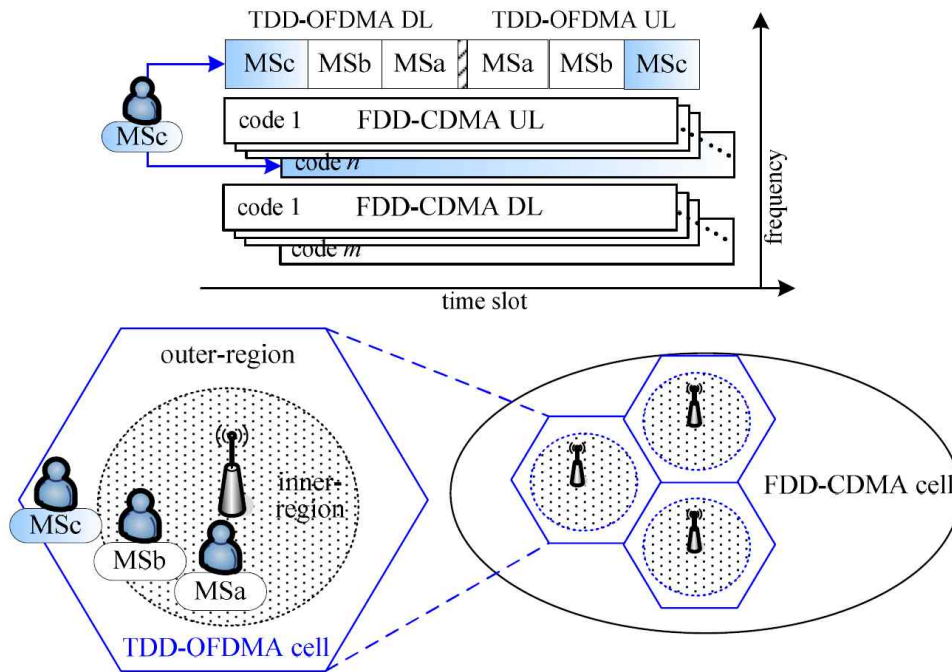


Fig. 2. Illustration of the proposed interference resolving TS allocation mechanism over a proposed TDD-OFDMA/FDD-CDMA hierarchical overlay cellular system.

system is used for uplink and downlink, respectively. Combined with the TS allocation algorithm based on region, this proposed system can achieve better performance improvement. This mitigates the severe cell-boundary inter-cell interference by combining the time slot allocation mechanism with the frequency allocation and the cell structure.

Although the proposed interference resolving TS allocation scheme over TDD/FDD overlay cellular system can also experience the same types of interference as in the TDD system, the amount of interference is different. In the proposed cellular system, the MS-to-MS interferences can be considerably reduced by employing FDD-UL codes at TDD outer zone, which enables most of the CTS interference victims to be isolated from interference coming from the MSs in the adjacent cells.

As shown in Fig. 2, for downlink of MS<sub>a</sub>, MS<sub>b</sub> at inner-region and MS<sub>c</sub> at outer-region (in the order of closest to BS), TDD time slots closest to TS switching point are allocated to mobile users located at closer to BS, i.e. MS<sub>a</sub> and MS<sub>b</sub>. With

this approach, the probability of CTS interference can be reduced since MS<sub>c</sub> (which causes most of CTS interference) are not allocated into crossed time slots. For the uplink the algorithm follows the same principle, but it is applied only to MS<sub>a</sub> and MS<sub>b</sub> since MS<sub>c</sub> located at outer-region are allocated to use FDD-CDMA uplink codes. Therefore, the proposed TS allocation algorithm can reduce inter-cell interferences and achieve the TDD flexibility. As shown in Fig. 2, TDD-OFDMA MS<sub>c</sub> user can exploit FDD-CDMA uplink codes if these code-resources are available for borrowing. This simple procedure can resolve CTS interference caused by these TDD-OFDMA MS<sub>c</sub> user.

More detailed structure of the proposed interference resolving TS allocation mechanism is shown in Fig. 3, where TDD-OFDMA MS<sub>c</sub> user (i.e. TDD mobile user locating at TDD cell boundary) decided by a region based TS allocation is allowed to borrow a resource from FDD-CDMA UL resource (i.e. codes) if these codes are not occupied by other FDD users.

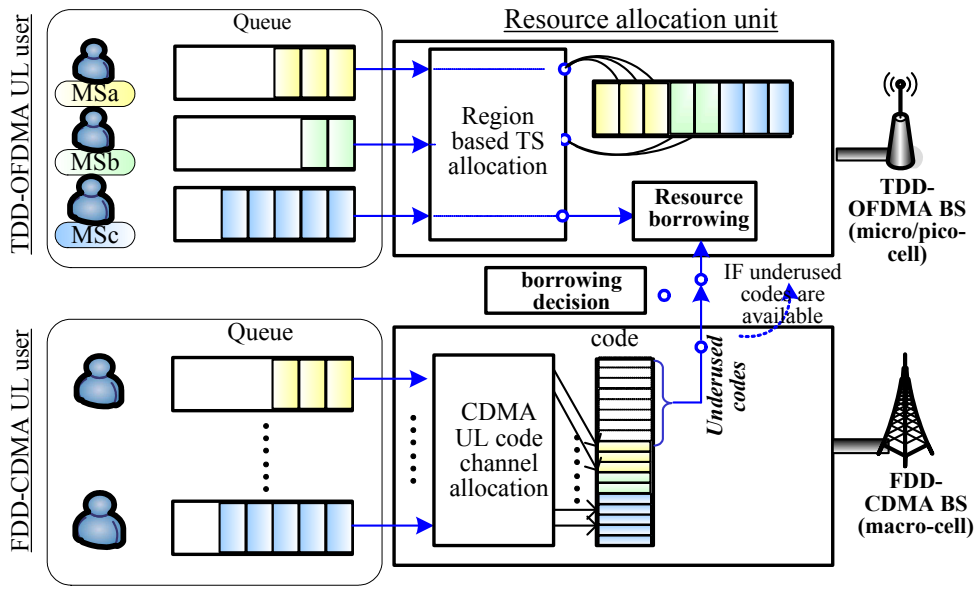


Fig. 3. Structure of the proposed interference resolving TS allocation mechanism projected to overlay cell system considering borrowing undersused codes.

### 3. Simulation Results of Interference and Outage Probability Performance

#### 3.1 CTS Interference Model and Interference Resolving Mechanism

In Fig. 4(b),  $MS_0^{in}(i)$ ,  $MS_0^{out}(i)$ ,  $MS_0^{out}(i')$ ,  $MS_k^{in}(j)$  and  $MS_k^{out}(j')$  denote the  $i^{th}$  MS in the inner zone and the outer zone of the reference cell and the  $j^{th}$  MS in the inner zone and the outer zone of  $k^{th}$  cell, respectively. In the DL from  $BS_0$  to  $MS_0$  s during the CTS period,  $MS_0$  suffer from interference

caused by both  $MS_k^{in}(j)$  and  $MS_k^{out}(j')$  in the conventional TDD scheme. In the proposed TDD-OFDMA/FDD-CDMA overlay cellular scheme, however,  $MS_0^{in}(i)$  only suffers interference caused by  $MS_k^{in}(j)$ . For an UL case from  $MS_k$  to  $BS_k$  during the CTS period,  $MS_0$  causes interference to  $BS_k$  in both TDD scheme and HDD scheme. This implies that the proposed overlay cellular system can avoid the worst CTS interference while creating the TDD interference-free area by employing an FDD-UL region in the outer-cell area. Therefore,

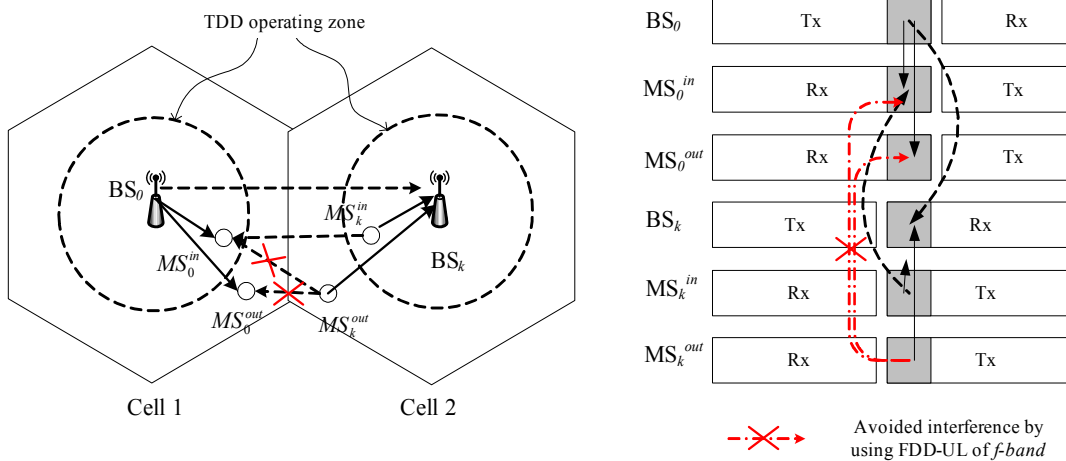


Fig. 4. Illustration of CTS interference in the considered overlay cellular system model.

this structural concept significantly reduces the CCI between MSs. As a consequence, it enables each BS in the TDD system to operate with a variable asymmetric traffic ratio without suffering outage performance degradation at the outer-cell area.

### 3.2 Simulation Parameters and Results

In order to show the efficiency of the proposed method in terms of interference and outage probability performance of TDD-OFDMA overlay system, we evaluated the cumulative distributed function (CDF) versus signal-to-interference plus noise ratio (SINR) of the proposed system. We assume frequency-hopping (FH) TDD-OFDMA system with 2048 sub-carriers and it has 10MHz system bandwidth at 2.3 GHz. The FDD-CDMA is assumed as having 1.25MHz bandwidth at 2.0 GHz with processing gain of 64 and covers macro-cell. The cell-radius of TDD-OFDMA system is 1 Km with inner-region of 700 m. The simulated TDD cells are wrap-around 3-sectored 27 cells (3-tier) and 3-sectored FDD cells are assumed to be un-

delay on TDD cells. The transmitting power from the BS and MS is set to 33 dBm with 15 dBi three-sector antenna gain and 23 dBm with omnidirectional antenna, respectively. The path-loss is considered using Log-distance model with the path-loss exponent 3.74 and the log-normal fading with 10 dB standard deviation. For a frequency selective fading, ITU Veh-A channel model is considered [6],[7]. The generated number of users per cell is set to 48. System configuration and simulation parameters are shown in Table 1.

For CTS scenario, we randomly generate DL cells or UL cells according to the CTS cell ratio, i.e.  $\{(no. \text{ of DL cell}) / (no. \text{ of DL cell} + no. \text{ of UL cell})\}$ , and allocate CTS into inner-region user. We consider three CTS cell ratios such as 1/3, 1/2 and 2/3. Fig. 2 plots the downlink CDF versus SINR for the proposed system and conventional TDD system. As shown in Fig. 5, the proposed TDD-OFDMA overlay system outperforms the conventional TDD-OFDMA system for various CTS cell ratios, 1/3, 1/2 and 2/3.

We can observe that severe CTS interference is

Table 1. System configurations and simulation parameters

Parameter	TDD	
Carrier Frequency	5 GHz	
System Bandwidth	10 MHz	
Modulation Scheme	AMC	
Multiple access	OFDMA	
Frame Length	5 ms	
Number of slots per frame	20 slots	
OFDM	FFT Size	2048
	Sampling Frequency	28.57MHz
	Subcarrier Spacing	13.95 kHz
	OFDM symbol length	71.6835 us
	Guard interval	4.4802 us
	Number of subchannels	97 (= 1552/16)
	Allocation Granularity (symbol*subchannel/slot)	DL: (3*48) UL: (3*16)
	Transmit power at BS	5W/25MHz
	Max. Transmit Power at MS	250mW/25MHz
CDMA	Chip Rate	3.84Mcps
	Spreading Factor	32 (Fixed)
	Maximum No. of Codes/slot	32
	Transmit power at BS	1W/5MHz
	Maximum Transmit power at MS	200mW/5MHz

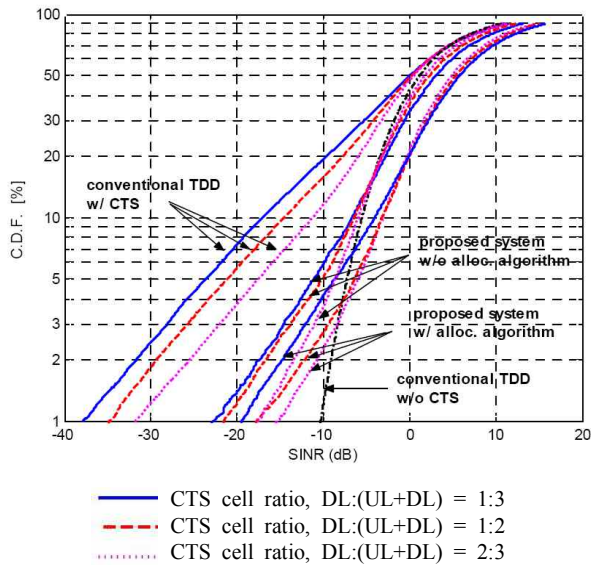


Fig. 5. Downlink CDF performance versus SINR as a function of CTS cell ratio.

considerably resolved. When the outage SINR level is set to about -5 dB, the proposed system without TS allocation algorithm can guarantee similar performance with conventional TDD system without CTS, but with allocation algorithm, it shows even further improvement. The uplink CDF performance is shown in Fig. 6 where the proposed overlay system shows dramatic performance improvement. Whereas the conventional TDD-OFDMA system

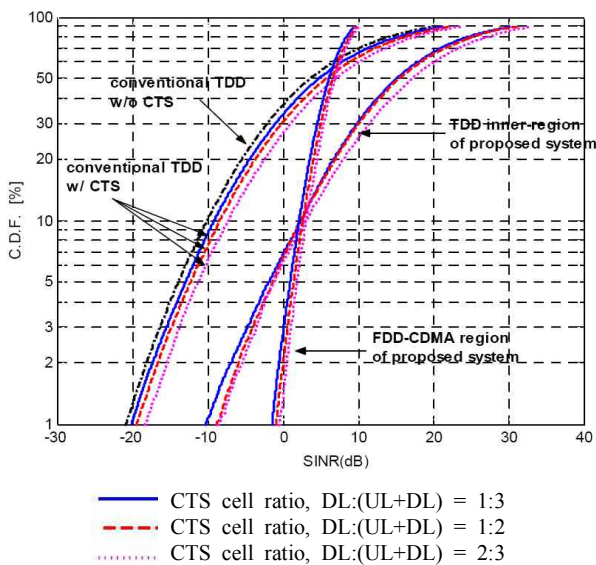


Fig. 6. Uplink CDF performance versus SINR as a function of CTS cell ratio.

suffers severe CTS interference, the TDD-OFDMA uplink inner-region (MS\_a and MS\_b) and FDD-CDMA uplink outer-region (MS\_c in Fig. 1) are free from CTS interference. Note that in the uplink system, the allocation algorithm effect is minimal because MS\_c is assigned to FDD-CDMA resource plane.

Moreover, we observe that in the conventional TDD uplink with CTS can show better performance than that without CTS, because the dominant interference in the uplink is caused by uplink mobile users (MS) rather than by downlink (BS). From both results, the proposed TDD-OFDMA overlay system with allocation algorithm can provide CTS (inter-cell) interference resolved TDD system.

#### 4. CONCLUSION

In this paper, we propose an interference resolving radio resource allocation technique in a TDD-OFDMA/FDD-CDMA hierarchical overlay cellular system. The proposed scheme exploits under-used FDD-CDMA uplink resource as an interference resolving mechanism by allocating TS based on TDD mobile users' region. It is demonstrated that when the overlay concept combined with CTS interference resolving technique is applied to TDD-OFDMA cellular systems, then a considerable CTS interference suppression and flexible traffic asymmetry can be obtained. With a simple TS allocation algorithm, we can expect further outage performance improvement.

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Yeonwoo Lee

is currently a Professor with the Dept. of Information and Communications Eng. at the Mokpo National University, Mokpo, Chonnam, Korea, since Sep. 2005. He has been a senior researcher with 4G mobile communication team at the Samsung Advanced Institute of Technology(SAIT) from Jan. 2004 to Aug. 2005. From Oct. 2000 to Dec. 2003, he has been a Research fellow with the School of Electronics and Engineering at the University of Edinburgh, UK. He received a MS and Ph. D. in Dept. of Electronics Eng. from Korea University, Seoul, Korea, in 1994 and 2000, respectively. His research interests are wireless multimedia communications, cognitive radio, IT convergence technologies.



Kyung-Ho Kim

is currently a Professor with the Dept. of Information and Communications Eng. at the Mokpo National University, Mokpo, Chonnam, Korea, since Mar. 1987. He received MS and Ph.D. in Dept. of Electronics Eng. from Chosun University in 1983 and 1993, respectively. He also has a Ph.D. from Howard University, USA in May 2000. His research interests are communication networks, data networks, system integration, next generation wireless communication network.