

PERFECT IDEALS OF GRADE THREE DEFINED BY SKEW-SYMMETRIZABLE MATRICES

YONG SUNG CHO, OH-JIN KANG, AND HYOUNG JUNE KO*

ABSTRACT. Brown provided a structure theorem for a class of perfect ideals of grade 3 with type 2 and $\lambda > 0$. We introduced a skew-symmetrizable matrix to describe a structure theorem for complete intersections of grade 4 in a Noetherian local ring. We construct a class of perfect ideals I of grade 3 with type 2 defined by a certain skew-symmetrizable matrix. We present the Hilbert function of the standard k -algebras R/I , where R is the polynomial ring $R = k[v_0, v_1, \dots, v_m]$ over a field k with indeterminates v_i and $\deg v_i = 1$.

1. Introduction

Let R be a commutative Noetherian local ring with maximal ideal \mathfrak{m} , and let I be a proper ideal of R with finite projective dimension. The type of a perfect ideal I of grade g is defined to be the dimension of R/\mathfrak{m} -vector space $\text{Ext}_R^g(R/\mathfrak{m}, R/I)$. We denote it by type I . Equivalently, if

$$\mathbb{F} : 0 \longrightarrow F_g \longrightarrow F_{g-1} \longrightarrow \cdots \longrightarrow F_1 \longrightarrow R$$

is the minimal free resolution of R/I , then $\text{type } I = \text{rank } F_g$. A perfect ideal I of grade g is a complete intersection if I is generated by a regular sequence x_1, x_2, \dots, x_g , an almost complete intersection if it is minimally generated by $g + 1$ elements, and a Gorenstein ideal if I has type 1.

In 1977, Buchsbaum and Eisenbud [4] provided structure theorems for Gorenstein ideals of grade 3 and for almost complete intersections of grade 3. They also showed that every perfect ideal of grade 3 has a differential, graded commutative algebra structure. In 1987, Brown [2] provided a structure theorem for a class of perfect ideals of grade 3 with type 2 and $\lambda(I) > 0$, where $\lambda(I)$ is the numerical invariant introduced by Kustin and Miller [12] to distinguish classes of Gorenstein ideals I of grade 4 in terms of free resolutions of R/I . In 1989, Sanchez [14] provided a structure theorem for a class of type 3 perfect

Received March 26, 2011.

2010 *Mathematics Subject Classification*. 13C05, 13H10, 13C02, 13C40.

Key words and phrases. almost complete intersection of grade 3, perfect ideal of grade 3, minimal free resolution, linkage.

* This work was supported by the Yonsei University Research Fund of 2012 Global Yonsei.

ideals of grade 3 and $\lambda(I) \geq 2$. In 2005, Kang and Ko [9] provided a structure theorem for complete intersections of grade 4. In 2009, Kang, Cho, and Ko [8] provided a structure theorem for some classes of perfect ideals of grade 3 which are algebraically linked to an almost complete intersection of grade 3 by a regular sequence. This contains not only three classes of perfect ideals of grade 3 which are known by Buchsbaum and Eisenbud, Brown, and Sanchez, but also a class of perfect ideals of grade 3 with type 4 which are algebraically linked to an almost complete intersection of grade 3 by a regular sequence.

In [5, 10] we introduced some skew-symmetrizable matrices $G_i (i = 1, 2)$ and the ideals associated with G_i . We gave classes of ideals given by the quotient of the submaximal order Pfaffians of the alternating matrix induced by G_i . G_1 and G_2 define classes of perfect ideals of grade 3 with type 2, $\lambda(I) > 0$ and type 3, $\lambda(I) \geq 2$, respectively. These perfect ideals are minimally generated by n elements which are algebraically linked to almost complete intersections of grade 3 with even type by a regular sequence, where n is odd and $n > 3$.

This shows that the skew-symmetrizable matrices and the ideals associated with them play a key role in distinguishing some classes of perfect ideals of grade 3 with type $2 \leq r \leq 4$ minimally generated by n elements, where n is odd and $n > 3$. In this paper, we define a certain skew-symmetrizable matrix G_3 determined by an $r \times 4$ matrix A , an $r \times r$ alternating matrix Y and a 4×4 alternating matrix U [see (3.3)], and the ideal $\overline{Pf}_{r+3}(G_3)$ associated with G_3 . The main purpose of this paper is to construct a class of perfect ideals of grade 3 with type 2, which are minimally generated by the quotients of the submaximal order Pfaffians of the alternating matrix induced by G_3 . These ideals contain some perfect ideals of grade 3 with type 2 and $\lambda(I) = 0$. Now we describe the contents of this paper.

In Section 2, we review linkage theory and some structure theorems for perfect ideals of grade 3.

In Section 3, we provide useful properties of the skew-symmetrizable matrix $G_i (i = 1, 2)$ and define the ideal $I = \overline{Pf}_*(G_i)$ associated with G_i . We introduce an other skew-symmetrizable matrix G_3 determined by A , Y and U . We construct a class of perfect ideals I of grade 3 with type 2 defined by G_3 , and the minimal free resolution \mathbb{F} of R/I .

In Section 4, we compute the Hilbert function of homogeneous perfect ideals I of grade 3 with type 2, which are minimally generated by the quotients of the submaximal order Pfaffians of the alternating matrix induced by a skew-symmetrizable matrix G_3 .

2. Preliminaries

In this section, we review linkage theory and the structure theorems for three classes of perfect ideals of grade 3, which are given by Buchsbaum and Eisenbud, Brown, and Sanchez. To review these structure theorems, first we need some properties of an alternating matrix. Let $T = (t_{ij})$ be an $n \times n$

alternating matrix with entries in a commutative ring R . It is well known that if n is odd, the determinant of an alternating matrix T is zero, and if n is even, it is a square of a homogeneous polynomial of degree $\frac{n}{2}$ in the entries of T , which is called the pfaffian of T . We will write $\det T = Pf(T)^2$. Denote by $Pf_s(T)$ the ideal generated by the s th order Pfaffians of T . Let $s < n$ and $(i) = i_1, i_2, \dots, i_s$ denote an index of integers. Let $\theta(i)$ denote the sign of the permutation that rearranges (i) in increasing order. If (i) has a repeated index, then we set $\theta(i) = 0$. Let $\tau(i)$ be the sum of the entries of (i) and $T(i_1, i_2, \dots, i_s)$ an alternating submatrix of T formed by deleting rows and columns i_1, i_2, \dots, i_s from T . Define

$$T_{(i)} = (-1)^{\tau(i)+1}\theta(i)Pf(T(i_1, i_2, \dots, i_s)).$$

If $s = n$, we let $T_{(i)} = (-1)^{\tau(i)+1}\theta(i)$ and if $s > n$, we let $T_{(i)} = 0$. Let $\mathbf{t} = [T_1 \ T_2 \ \dots \ T_n]$ be the row vector of the maximal order Pfaffians of T , signed appropriately according to the conventions described above. Pfaffians can be developed along a row in the same manner as the determinants. There is a ‘‘Laplace expansion’’ for developing Pfaffians in terms of those of lower order.

Lemma 2.1 ([Lemma 1.1, 12]). *Let T be an $n \times n$ alternating matrix and j a fixed integer, $1 \leq j \leq n$. Then*

- (1) $Pf(T) = \sum_{i=1}^n t_{ij}T_{ij}$, and
- (2) $\mathbf{t}T = 0$.

Lemma 2.2 follows from Lemma 2.1.

Lemma 2.2 ([Lemma 1.1, 14]). *Let T be an $n \times n$ alternating matrix. Let a, b, c, d , and e be distinct integers between 1 and n . Then*

- (1) $\sum_{i=1}^n t_{ik}T_{iab} = -\delta_{ka}T_b + \delta_{kb}T_a$,
- (2) $\sum_{i=1}^n t_{ik}T_{iabc} = \delta_{ka}T_{bc} - \delta_{kb}T_{ac} + \delta_{kc}T_{ab}$,
- (3) $\sum_{i=1}^n t_{ik}T_{iabcd} = -\delta_{ka}T_{bcd} + \delta_{kb}T_{acd} - \delta_{kc}T_{abd} + \delta_{kd}T_{abc}$, and
- (4) $\sum_{i=1}^n t_{ik}T_{iabcde} = \delta_{ka}T_{bcde} - \delta_{kb}T_{acde} + \delta_{kc}T_{abde} - \delta_{kd}T_{abce} + \delta_{ke}T_{abcd}$,

where δ_{ij} is the Kronecker’s delta.

For further analysis, we have the following lemma from Lemmas 2.1 and 2.2.

Lemma 2.3 ([Corollary 2.1, 11]). *Let n be a positive integer and T an $n \times n$ alternating matrix. Assume that i, j, k , and l are elements of a set $\{1, 2, \dots, n\}$.*

Then

$$T_i T_{jkl} - T_j T_{ikl} + T_k T_{ijl} - T_l T_{ijk} = 0.$$

Let F be a free R -module with rank $F = n$. We denote by F^* the dual module of F .

The Buchsbaum and Eisenbud structure theorem identifies Gorenstein ideals of grade 3 as the ideals $Pf_{n-1}(T) = (T_1, T_2, \dots, T_n)$ of a certain $n \times n$ alternating matrix T .

Theorem 2.4 ([Theorem 2.1, 4]). *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} .*

(1) *Let $n \geq 3$ be an odd integer. Let F be a free R -module with rank $F = n$. Let $f : F^* \rightarrow F$ be an alternating map whose image is contained in $\mathfrak{m}F$. Suppose that $Pf_{n-1}(f)$ has grade 3. Then $Pf_{n-1}(f)$ is a Gorenstein ideal minimally generated by n elements.*

(2) *Every Gorenstein ideal of grade 3 arises as in this way.*

We noticed that, as in [4] or [13], in most cases, linkage is used in the case of perfect ideals in Gorenstein or Cohen-Macaulay local rings. However the results we will use are true for perfect ideals in any commutative ring, as shown by Golod [6].

Definition 2.5. Let I and J be perfect ideals of grade g . An ideal I is linked to J , $I \sim J$ if there exists a regular sequence $\mathbf{x} = x_1, x_2, \dots, x_g$ in $I \cap J$ such that $J = (\mathbf{x}) : I$ and $I = (\mathbf{x}) : J$, and I is *geometrically* linked to J if $I \sim J$ and $I \cap J = (\mathbf{x})$.

A fundamental result is that linkage is a symmetric relation on the set of perfect ideals in a Noetherian ring R .

Theorem 2.6 ([Proposition 1.3, 13]). *Let R be a Noetherian ring. If I is a perfect ideal of grade g and $\mathbf{x} = x_1, x_2, \dots, x_g$ is a regular sequence in I , then $J = (\mathbf{x}) : I$ is a perfect ideal of grade g and $I = (\mathbf{x}) : J$.*

An almost complete intersection of grade g is linked to a Gorenstein ideal of grade g by a regular sequence \mathbf{x} .

Proposition 2.7 ([Proposition 5.2, 4]). *Let I and J be perfect ideals of the same grade g in a Noetherian local ring R and suppose that I is linked to J by a regular sequence $\mathbf{x} = x_1, x_2, \dots, x_g$. Then*

- (1) *If I is Gorenstein, then $J = (\mathbf{x}, w)$ for some $w \in R$ and*
- (2) *If J is minimally generated by \mathbf{x} and w , then I is Gorenstein.*

Now we review the structure theorems for a class of perfect ideals I of grade 3 with type 2, $\lambda(I) > 0$ and for a class of perfect ideals I of grade 3 with type 3, $\lambda(I) \geq 2$ given by Brown [2] and Sanchez [14]. Kustin and Miller introduced the numerical invariant $\lambda(I)$ defined in [12] to distinguish Gorenstein ideals I of grade 4 in terms of a resolution of R/I . Brown provided a structure theorem

for a class of perfect ideals I of grade 3 with type 2, $\lambda(I) > 0$. The minimal free resolution \mathbb{F} of R/I is described in [2].

Theorem 2.8 ([Theorem 4.4, 2]). *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} . Let $n > 4$ be an integer. Let I be a type 2 perfect ideal of grade 3 minimally generated by n elements. If $\lambda(I) > 0$, then there is an $n \times n$ alternating matrix $T = (t_{ij})$ with $t_{12} = 0$ and t_{ij} in \mathfrak{m} such that*

- (1) *if n is odd, then $I = (T_1, T_2, z_1 T_{12j} + z_2 T_j : 3 \leq j \leq n)$ for some z_1, z_2 in \mathfrak{m} ,*
- (2) *if n is even, then $I = (Pf(T), T_{12}, z_1 T_{1j} + z_2 T_{2j} : 3 \leq j \leq n)$ for some z_1, z_2 in \mathfrak{m} .*

Sanchez provided a structure theorem for a class of perfect ideals I of grade 3 with type 3, $\lambda(I) \geq 2$. The minimal free resolution \mathbb{F} of R/I is described in [14].

Theorem 2.9 ([Theorem 2.1, 14]). *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} . Let I be a perfect ideal of grade 3 minimally generated by $n > 4$ elements. If I has type 3 and $\lambda(I) \geq 2$, then there exists an $n \times n$ alternating matrix $T = (t_{ij})$ and a 2×3 matrix $X = (x_{ij})$ with t_{ij}, x_{ij} in \mathfrak{m} such that*

- (1) *if $n > 3$ is odd, then either*

$$I = (T_1, x_{11}T_2 + x_{12}T_3 + x_{13}T_{123}, x_{21}T_2 + x_{22}T_3 + x_{23}T_{123}, \\ \Delta_3 T_j + \Delta_2 T_{12j} + \Delta_1 T_{13j} : 4 \leq j \leq n)$$

or

$$I = (T_{123}, x_{11}T_1 + x_{12}T_2 + x_{13}T_3, x_{21}T_1 + x_{22}T_2 + x_{23}T_3, \\ \Delta_3 T_{12j} + \Delta_2 T_{13j} + \Delta_1 T_{23j} : 4 \leq j \leq n),$$

where Δ_i is the determinant of the 2×2 submatrix of X obtained by deleting the i th column;

- (2) *if $n > 3$ is even, then either*

$$I = (Pf(T), x_{11}T_{12} + x_{12}T_{13} + x_{13}T_{23}, x_{21}T_{12} + x_{22}T_{13} + x_{23}T_{23}, \\ \Delta_3 T_{1j} + \Delta_2 T_{2j} + \Delta_1 T_{123j} : 4 \leq j \leq n)$$

or

$$I = (T_{12}, x_{11}Pf(T) + x_{12}T_{13} + x_{13}T_{23}, x_{21}Pf(T) + x_{22}T_{13} + x_{23}T_{23}, \\ \Delta_3 T_{1j} + \Delta_2 T_{2j} + \Delta_1 T_{123j} : 4 \leq j \leq n).$$

We introduced an almost complete matrix f of grade 3 determined by an $r \times 3$ matrix A and an $r \times r$ alternating matrix Y , the extracted matrix from f , and an ideal $\mathcal{K}_3(f)$ associated with f to give the structure theorem and characterizations of almost complete intersections of grade 3 with type r [see Theorems 3.2 and 4.8, and Definitions 3.3 and 3.5, 8]. First we show that if $\mathcal{K}_3(f)$ has grade 3, then the minimal free resolution \mathbb{F} of $R/\mathcal{K}_3(f)$ has the following form.

Theorem 2.10 ([Theorem 4.1, 8]). *Let $A, Y, C, E, F, S, Z, \mathbf{z}, w$ be notations defined in Section 3 of [8] over the Noetherian local ring R with maximal ideal \mathfrak{m} . Let f be an almost complete matrix of grade 3 determined by A and Y . Let \tilde{f} be a $4 \times (r+3)$ matrix extracted from f .*

(1) *If r is even and if $\mathcal{K}_3(f)$ has grade 3, then a minimal free resolution of $R/\mathcal{K}_3(f)$ has the form:*

$$\mathbb{F} : 0 \longrightarrow R^r \xrightarrow{f_3} R^{r+3} \xrightarrow{f_2} R^4 \xrightarrow{f_1} R,$$

where

$$f_1 = [C \quad w], \quad f_2 = \tilde{f} = \left[\begin{array}{c|c} Z & S \\ \hline C & E \end{array} \right], \quad f_3 = \begin{bmatrix} F \\ Y \end{bmatrix}.$$

(2) *If r is odd and if $\mathcal{K}_3(f)$ has grade 3, then a minimal free resolution of $R/\mathcal{K}_3(f)$ has the form:*

$$\mathbb{F} : 0 \longrightarrow R^r \xrightarrow{f_3} R^{3+r} \xrightarrow{f_2} R^4 \xrightarrow{f_1} R,$$

where

$$f_1 = [\mathbf{z} \quad w], \quad f_2 = \tilde{f} = \left[\begin{array}{c|c} Z & S \\ \hline C & E \end{array} \right], \quad f_3 = [A \quad Y]^t.$$

We also described the structure theorem for some classes of perfect ideals of grade 3 that are algebraically linked to an almost complete intersection of grade 3 by a regular sequence $\mathbf{x} = x_1, x_2, x_3$.

Theorem 2.11 ([Theorem 5.5, 8]). *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} .*

(1) *Let J be an almost complete intersection of grade 3 and let B a matrix defined in (5.1) of [8]. Let $\mathbf{x} = x_1, x_2, x_3$ be a regular sequence in J defined in (5.1) of [8]. Let r be the type of J .*

(i) *Let r be even. Let A, E, S , and Y be matrices defined in (3.2), (3.3) of [8] and p_{k1} an element defined in (5.3) of [8] for $k = 1, 2, \dots, r$.*

(ii) *Let r be odd. Let A, S, Y , and Z be matrices defined in (3.3), (3.4) of [8] and p_{k1} an element defined in (5.4) of [8] for $k = 1, 2, \dots, r$.*

If I is an ideal generated by $x_1, x_2, x_3, p_{11}, p_{21}, \dots, p_{r1}$, then I is a perfect ideal of grade 3 linked to J by a regular sequence \mathbf{x} and has type $\mu(J/(\mathbf{x}))$.

(2) *Every perfect ideal of grade 3 linked to an almost complete intersection J of grade 3 by a regular sequence $\mathbf{x} = x_1, x_2, x_3$ arises as in the way of (1).*

The structure theorems for some classes of perfect ideals of grade 3 determined by Buchsbaum and Eisenbud, Brown, and Sanchez can be regarded as a special case of Theorem 2.11.

3. Perfect ideals defined by skew-symmetrizable matrices

We introduced a skew-symmetrizable matrix in [9] to define a complete matrix of grade 4 which plays a key role in describing a structure theorem for complete intersections of grade 4. In this section, we study the ideal I associated with a skew-symmetrizable matrix G_3 of grade 3 determined by an $r \times 4$ matrix A and an $r \times r$ alternating matrix Y and a 4×4 alternating matrix U with entries in the maximal ideal \mathfrak{m} of a Noetherian local ring R . We begin this section with the definition of a skew-symmetrizable matrix.

Definition 3.1. Let R be a commutative ring with identity. An $n \times n$ matrix X over R is said to be *skew-symmetrizable* or *generalized alternating* if there exist nonzero diagonal matrices $D' = \text{diag}\{u_1, u_2, \dots, u_n\}$ and $D = \text{diag}\{w_1, w_2, \dots, w_n\}$ with entries in R such that $D'XD$ is an alternating matrix.

Let X be an $n \times n$ skew-symmetrizable matrix. Then $\tilde{X} = D'XD$ is an alternating matrix for some diagonal matrices D' and D . We set $\mathcal{A}(X)$ to be an alternating matrix given by

$$\mathcal{A}(X) = \begin{cases} X & \text{if } X \text{ is alternating,} \\ \tilde{X} & \text{if } X \text{ is not alternating.} \end{cases}$$

Example 3.2. Let R be a commutative Noetherian local ring with maximal ideal \mathfrak{m} , and let n be an odd integer with $n > 3$. Let $Y = (y_{ij})$ be an $n \times n$ alternating matrix with $y_{12} = 0$ and entries in \mathfrak{m} , and let A be the submatrix of Y obtained by deleting the first two columns and the last $(n - 2)$ rows of Y . For two elements v and w in \mathfrak{m} , we define the $n \times n$ skew-symmetrizable matrix G_1 by

$$(3.1) \quad G_1 = \left[\begin{array}{c|c} B & vA \\ \hline -A^t & Y(1,2) \end{array} \right], \text{ where } B = \begin{bmatrix} 0 & w \\ -w & 0 \end{bmatrix}$$

and $Y(1, 2)$ is the $(n-2) \times (n-2)$ alternating submatrix Y obtained by removing the first, second rows and columns from Y . The alternating matrix $\mathcal{A}(G_1)$ is obtained by multiplying the first two columns of G_1 by v . Let x_i be an element defined by

$$x_i = \mathcal{A}(G_1)_i/v \text{ for } i = 1, 2, 3, \dots, n.$$

We define $\overline{Pf_{n-1}(G_1)}$ as the ideal generated by n elements x_1, x_2, \dots, x_n . The next theorem states that $\overline{Pf_{n-1}(G_1)}$ characterizes a perfect ideal I of grade 3 satisfying the following properties: (1) I has type 2, (2) the number of generators for I is odd, and (3) $\lambda(I) > 0$.

Theorem 3.3 ([Theorem 4.3, 10]). *Let R be a commutative Noetherian local ring with maximal ideal \mathfrak{m} . Let n be an odd integer with $n > 3$ and v, w elements in \mathfrak{m} . Let G_1 be the $n \times n$ skew-symmetrizable matrix as defined in (3.1).*

(1) If $I = \overline{Pf_{n-1}(G_1)}$ is an ideal of grade 3 with $\lambda(I) > 0$, then I is a perfect ideal of type 2.

(2) Every perfect ideal of grade 3 with type 2 and $\lambda(I) > 0$ minimally generated by n elements arises as in the way of (1).

Next we construct a skew-symmetrizable matrix which defines a class of perfect ideals of grade 3 with type 3, $\lambda(I) \geq 2$.

Definition 3.4. Let R be a commutative Noetherian local ring with maximal ideal \mathfrak{m} , and let $A = (a_{ij})$ and $Y = (y_{ij})$ be an $r \times 3$ matrix and an $r \times r$ alternating matrix with entries in \mathfrak{m} , respectively. Set F to be the $3 \times r$ matrix defined by

$$F = \begin{bmatrix} a_{11} & a_{21} & \cdots & a_{r1} \\ -a_{12} & -a_{22} & \cdots & -a_{r2} \\ a_{13} & a_{23} & \cdots & a_{r3} \end{bmatrix},$$

where r is an even integer and $r \geq 4$. Define an $(r + 3) \times (r + 3)$ skew-symmetrizable matrix G_2 by

$$(3.2) \quad G_2 = \left[\begin{array}{c|c} \mathbf{0} & \overline{F} \\ \hline -F^t & Y \end{array} \right], \text{ where } \overline{F} = \begin{bmatrix} va_{11} & va_{21} & \cdots & va_{r1} \\ -ua_{12} & -ua_{22} & \cdots & -ua_{r2} \\ uva_{13} & uva_{23} & \cdots & uva_{r3} \end{bmatrix}$$

with $u, v \in \mathfrak{m} \setminus \{0\}$. The alternating matrix $\mathcal{A}(G_2)$ is obtained by multiplying the first column of G_2 by v , the second column by u , and the third column by uv . To describe some of the non-Gorenstein perfect ideals of grade 3, we need the ideal $\overline{Pf_{r+2}(G_2)}$ induced from the submaximal order Pfaffians of $\mathcal{A}(G_2)$ as follows. Let

$$\mathcal{A}(G_2) = \left[\begin{array}{c|c} \mathbf{0} & \overline{F} \\ \hline -\overline{F}^t & Y \end{array} \right]$$

be the alternating matrix induced by G_2 . We define $\overline{Pf_{r+2}(G_2)}$ to be the ideal generated by the quotients of the submaximal order Pfaffians of $\mathcal{A}(G_2)$ by uv ,

$$\overline{Pf_{r+2}(G_2)} = (\mathcal{A}(G_2)_1/uv, \mathcal{A}(G_2)_2/uv, \dots, \mathcal{A}(G_2)_{r+3}/uv).$$

Theorem 3.5 ([Theorem 3.6, 5]). *Let R be a commutative Noetherian local ring with maximal ideal \mathfrak{m} . Let $x_1 = \mathcal{A}(G_2)_1/uv, x_2 = \mathcal{A}(G_2)_2/uv$, and $x_3 = \mathcal{A}(G_2)_3/uv$. If $\mathbf{x} = x_1, x_2, x_3$ is a regular sequence in $\overline{Pf_{r+2}(G_2)}$, then (1) $(\mathbf{x}) : \overline{Pf_{r+2}(G_2)}$ is a type r , grade 3 almost complete intersection, and (2) $\overline{Pf_{r+2}(G_2)}$ is a type 3, grade 3 perfect ideal.*

Now we introduce the other skew-symmetrizable matrix to define a class of type 2 perfect ideals of grade 3.

Definition 3.6. Let S be a regular element in a commutative ring R with identity, and let r be an odd integer with $r > 1$. Let $A = (a_{ij})$, $U = (u_{ij})$ and $Y = (y_{ij})$ be an $r \times 4$ matrix, a 4×4 alternating matrix, and an $r \times r$ alternating matrix, respectively. Now we define G_3 to be an $(r + 4) \times (r + 4)$ skew-symmetrizable matrix as follows:

$$(3.3) \quad G_3 = \left[\begin{array}{c|c} U & sA^t \\ \hline -A & Y \end{array} \right].$$

The following lemma gives us a property to define ideals associated with a skew-symmetrizable matrix G_3 .

Lemma 3.7. *Using the notation given above, let G_{3i} be the $(r + 3) \times (r + 3)$ submatrix of G obtained by deleting the i th column and row from G_3 .*

- (1) $\det G_{3i}$ is divisible by s for $i = 1, 2, 3, 4$.
- (2) $s^3 \det G_{3i} = \mathcal{A}(G_3)_i^2$ for $i = 1, 2, 3, 4$.
- (3) $s^4 \det G_{3i} = \mathcal{A}(G_3)_i^2$ for $i = 5, 6, \dots, r + 4$.

Proof. This follows from direct computations. □

We need the following lemma for further use.

Lemma 3.8. *Using the notation given above, let $T = (t_{ij}) = \mathcal{A}(G_3)$ be the $(r + 4) \times (r + 4)$ alternating matrix induced by a skew-symmetrizable matrix G_3 .*

- (1) *If i, j, k are integers with $1 \leq i, j, k \leq 4$, then T_{ijk} is divisible by s ;*
- (2) *If i, j, k are integers with $1 \leq i, j \leq 4$ and $5 \leq k \leq r + 4$, then T_{ijk} is divisible by s ;*
- (3) *If i, j, k are integers with $5 \leq i, j, k \leq r + 4$, then T_{ijk} is divisible by s^2 ;*
- (4) *If i, j, k are integers with $1 \leq i \leq 4$ and $5 \leq j, k \leq r + 4$, then T_{ijk} is divisible by s^2 ;*
- (5) *T_i is divisible by s^2 for every i .*

Proof. The first four parts follow from direct computations and the last part follows from Lemma 3.7. □

Notations 3.9. Using the notation given in Lemmas 3.7 and 3.8, we let $T = \mathcal{A}(G_3)$. Then from Lemma 3.7 we define \bar{T}_i as the element given by

$$T_i = s^2 \bar{T}_i.$$

If i, j, k are integers in parts (1) or (2) of Lemma 3.8, then we define $\bar{\bar{T}}_{ijk}$ as the element given by

$$T_{ijk} = s \bar{\bar{T}}_{ijk}$$

and if i, j, k are integers in parts (3) or (4) of Lemma 3.8, then we define $\bar{\bar{T}}_{ijk}$ as the element given by

$$T_{ijk} = s^2 \bar{\bar{T}}_{ijk}.$$

In this way, if (i) is the multi-index i_1, i_2, \dots, i_n and $T_{(i)}$ is divisible by s or s^2 , then we define $\bar{T}_{(i)}$ as the element given by

$$T_{(i)} = s\bar{T}_{(i)} \quad \text{or} \quad T_{(i)} = s^2\bar{T}_{(i)}.$$

To avoid the confusion of multi indexes we will denote T_{i-jk} by $T_{i-j,k}$. The following lemma is also a consequence of Lemmas 2.2, 3.7 and 3.8.

Lemma 3.10. *Let G_3 be an $(r+4) \times (r+4)$ skew-symmetrizable matrix and $T = \mathcal{A}(G_3)$ be the alternating matrix induced by G_3 . Let a, b, c, d , and e be distinct integers between 1 and $r+4$.*

(1) *Let k be an integer with $1 \leq k \leq 4$.*

(a) *If $1 \leq a, b \leq 4$, then we have*

$$\sum_{i=1}^4 u_{ik}\bar{T}_{iab} + \sum_{i=5}^{r+4} -a_{i-4,k}\bar{T}_{iab} = -\delta_{ka}\bar{T}_b + \delta_{kb}\bar{T}_a.$$

(b) *If $1 \leq a \leq 4$ and $5 \leq b \leq r+4$ or $5 \leq a \leq r+4$ and $1 \leq b \leq 4$, then we have*

$$\sum_{i=1}^4 u_{ik}\bar{T}_{iab} + \sum_{i=5}^{r+4} -sa_{i-4,k}\bar{T}_{iab} = -\delta_{ka}\bar{T}_b + \delta_{kb}\bar{T}_a.$$

(2) *Let k be an integer with $5 \leq k \leq r+4$.*

(a) *If $1 \leq a \leq 4$ and $5 \leq b \leq r+4$ or $5 \leq a \leq r+4$ and $1 \leq b \leq 4$, then we have*

$$\sum_{i=1}^4 a_{k-4,i}\bar{T}_{iab} + \sum_{i=5}^{r+4} y_{i-4,k-4}\bar{T}_{iab} = -\delta_{ka}\bar{T}_b + \delta_{kb}\bar{T}_a.$$

(b) *If $5 \leq a, b \leq r+4$, then we have*

$$\sum_{i=1}^4 sa_{k-4,i}\bar{T}_{iab} + \sum_{i=5}^{r+4} y_{i-4,k-4}\bar{T}_{iab} = -\delta_{ka}\bar{T}_b + \delta_{kb}\bar{T}_a.$$

The following corollary is a consequence of Lemma 3.10.

Corollary 3.11. *Using the notation given in Lemma 3.10, we have the following:*

(1) *Let k be an integer with $1 \leq k \leq 4$.*

(a) *If $1 \leq a, b \leq 4$, then we have*

$$\sum_{i=1}^4 u_{ik}\bar{T}_{iab} + \sum_{i=5}^{r+4} -a_{i-4,k}\bar{T}_{iab} = 0 \quad \text{for } k \neq a, b.$$

(b) *If $1 \leq a \leq 4$ and $5 \leq b \leq r+4$ or $5 \leq a \leq r+4$ and $1 \leq b \leq 4$, then we have*

$$\sum_{i=1}^4 u_{ik}\bar{T}_{iab} + \sum_{i=5}^{r+4} -sa_{i-4,k}\bar{T}_{iab} = 0 \quad \text{for } k \neq a, b.$$

(2) Let k be an integer with $5 \leq k \leq r + 4$.

(a) If $1 \leq a \leq 4$ and $5 \leq b \leq r + 4$ or $5 \leq a \leq r + 4$ and $1 \leq b \leq 4$, then we have

$$\sum_{i=1}^4 a_{k-4,i} \bar{T}_{iab} + \sum_{i=5}^{r+4} y_{i-4,k-4} \bar{T}_{iab} = 0 \quad \text{for } k \neq a, b.$$

(b) If $5 \leq a, b \leq r + 4$, then we have

$$\sum_{i=1}^4 sa_{k-4,i} \bar{T}_{iab} + \sum_{i=5}^{r+4} y_{i-4,k-4} \bar{T}_{iab} = 0 \quad \text{for } k \neq a, b.$$

Proof. The proof follows from the fact that $\delta_{ka} = 0$ and $\delta_{kb} = 0$ for $k \neq p, q$. \square

Lemmas 3.7 and 3.8 enable us to define ideals associated with a skew-symmetrizable matrix G_3 . These ideals contain a class of perfect ideals of grade 3 with type 2, $\lambda = 0$.

Definition 3.12. Using the notation given above, the alternating matrix $T = A(G_3)$ is obtained by multiplying the first four columns of G_3 by s . Let x_i be the element defined by

$$(3.4) \quad x_i = \bar{T}_i \quad \text{for } i = 1, 2, 3, \dots, r + 4.$$

We define $\overline{Pf_{r+3}(G_3)}$ as the ideal generated by $r + 4$ elements x_i .

Remark 3.13. Let G_1 and G_2 be the $n \times n$ skew-symmetrizable matrices defined in (3.1) and (3.2), respectively. As we have shown that for $i = 1, 2$, the ideals $\overline{Pf_{n-1}(G_i)}$ are linked to an almost complete intersection of grade 3 by a regular sequence $\mathbf{x} = x_1, x_2, x_3$. However we will see that the ideal $\overline{Pf_{r+3}(G_3)}$ is not always linked to it by a regular sequence \mathbf{x} .

First we construct the minimal free resolution \mathbb{F} of $R/\overline{Pf_{r+3}(G_3)}$ such that the quotients of the maximal order Pfaffians of the submatrix G_3 of the second differential map in \mathbb{F} generates a class of perfect ideals of grade 3 with type 2, $\lambda = 0$. Let D_{nmuv} be the determinant of the 4×4 matrix formed by the four rows n, m, u, v and columns 1, 2, 3, 4 of A . Define $W = (w_i)$ to be an $r \times 1$ matrix given by

$$w_i = \sum_{1 \leq p < q \leq 4} \sum_{1 \leq u < v \leq r} \begin{vmatrix} a_{up} & a_{uq} \\ a_{vp} & a_{vq} \end{vmatrix} Y_{iuv} U_{pq} + s \sum_{1 \leq a < b < c < d \leq r} Y_{abcd} D_{abcd}.$$

Now we construct the minimal free resolution \mathbb{F} of R/I such that I is minimally generated by the quotients of the maximal order Pfaffians of the alternating matrix induced by the skew-symmetrizable submatrix G_3 of the second differential map in \mathbb{F} . Let Z be 4×1 matrix given by

$$Z = [z_1 \quad -z_2 \quad z_3 \quad -z_4]^t, \quad \text{where } z_i = (-1)^i \sum_{l=1}^r Y_l a_{li}.$$

That is, Z is the scalar multiplication of a matrix product of A^t and the column vector $[Y_1 \ Y_2 \ \cdots \ Y_r]^t$ by -1 . Let \mathbb{F} be the sequence of free R -modules and theirs maps defined by

$$(3.5) \quad \mathbb{F} : 0 \longrightarrow R^2 \xrightarrow{f_3} R^{r+5} \xrightarrow{f_2} R^{r+4} \xrightarrow{f_1} R,$$

where

$$f_1 = [x_1 \ x_2 \ x_3 \ x_4 \ x_5 \ x_6 \ x_7 \ \cdots \ x_{r+4}],$$

$$f_2 = \left[\begin{array}{ccc|c} U & sA^t & Z & \\ \hline -A & Y & \mathbf{0} & \end{array} \right],$$

$$f_3 = \left[\begin{array}{cccc|cccc|c} 0 & 0 & 0 & 0 & Y_1 & Y_2 & Y_3 & \cdots & Y_r & s \\ \hline x_1 & x_2 & x_3 & x_4 & w_1 & w_2 & w_3 & \cdots & w_r & -Pf(U) \end{array} \right]^t.$$

First we show that \mathbb{F} is a complex.

Lemma 3.14. *Using the notation given above, let G_{3i} be the $(r+3) \times (r+3)$ submatrix of G_3 obtained by deleting the i th column and row from G_3 . Then we have $f_1f_2 = 0$ and $f_2f_3 = 0$.*

Proof. First we show that $f_1f_2 = 0$. It follows from part (2) of Lemma 2.1 that $f_1G_3 = 0$. Let $D_{ghl}^{(i)}$ is the determinant of the 3×3 matrix of A formed by rows g, h, l and columns α, β, γ of A in this order and $\{i, \alpha, \beta, \gamma\} = \{1, 2, 3, 4\}$. Simple computation shows that for $k = 1, 2, 3, 4$, we have

$$\begin{aligned} \sum_{k=1}^4 (-1)^{k+1} x_k z_k &= \sum_{k=1}^4 \sum_{1 \leq g < h < l \leq r} s D_{ghl}^{(k)} Y_{ghl} z_k + \sum_{k=1}^4 \sum_{j=1}^4 (-1)^{k+j+1} z_k z_j U_{kj} \\ &= \sum_{k=1}^4 \sum_{1 \leq g < h < l \leq r} -s D_{ghl}^{(k)} Y_{ghl} (-1)^{k+1} \sum_{q=1}^r Y_q a_{qk} \\ &= \sum_{q=1}^r \sum_{1 \leq g < h < l \leq r} -s Y_{ghl} \left(\sum_{k=1}^4 (-1)^{k+1} Y_q a_{qk} D_{ghl}^{(k)} \right) \\ &= \sum_{q=1}^r \sum_{1 \leq g < h < l \leq r} -s Y_{ghl} Y_q D_{qghl} \\ &= \sum_{1 \leq a < b < c < d \leq r} -s (Y_a Y_{bcd} - Y_b Y_{acd} + Y_c Y_{abd} - Y_d Y_{abc}) D_{abcd} = 0. \end{aligned}$$

The last identity follows from Lemma 2.3 and this says that $f_1f_2 = 0$. Now we prove that $f_2f_3 = 0$. It is sufficient to show that

- (a) $sA^t \mathbf{y}^t + sZ = 0$, where $\mathbf{y} = [Y_1 \ Y_2 \ \cdots \ Y_r]$,
- (b) $U \mathbf{x}^t + sA^t W - Pf(U)Z = 0$, where $\mathbf{x} = [x_1 \ x_2 \ x_3 \ x_4]$,

(c) $-A\mathbf{x}^t + YW = 0$.

It is easy to show part (a). We prove part (b). The following computation gives us the proof:

$$\begin{aligned} \sum_{k=1}^r sa_{ki}w_k &= \sum_{k=1}^r \sum_{1 \leq p < q \leq 4} \sum_{1 \leq u < v \leq r} sa_{ki} \begin{vmatrix} a_{up} & a_{uq} \\ a_{vp} & a_{vq} \end{vmatrix} Y_{kuv}U_{pq} \\ &\quad + \sum_{k=1}^r \sum_{1 \leq a < b < c < d \leq r} s^2 a_{ki} Y_{kabcd} D_{abcd} \\ &= \sum_{1 \leq p < q \leq 4} \sum_{1 \leq m < n < t \leq r} sD_{mnt}^{ipq} Y_{mnt} U_{pq} \\ &\quad + \sum_{1 \leq e < f < g < h < l \leq r} s^2 D_{efghl} Y_{efghl}, \end{aligned}$$

where D_{mnt}^{ipq} is the determinant of the 3×3 matrix of A formed by rows m, n, t and columns i, p, q of A in this order and D_{efghl} is the determinant of the 5×5 matrix formed by the five rows e, f, g, h, l and columns $1, 2, 3, 4, i$ of A . Since A is an $r \times 4$ matrix,

$$\begin{aligned} \sum_{k=1}^r sa_{ki}w_k &= \sum_{1 \leq p < q \leq 4} \sum_{1 \leq m < n < t \leq r} sD_{mnt}^{ipq} Y_{mnt} U_{pq} \\ &= \sum_{h=1}^4 \sum_{1 \leq u < v \leq 4} \sum_{1 \leq m < n < t \leq r} sD_{mnt}^{iuv} Y_{mnt} u_{hi} U_{hiuv}, \end{aligned}$$

where $u < v$ in $\{h, i, u, v\} = \{1, 2, 3, 4\}$. Hence for each i , we have

$$\begin{aligned} &\sum_{k=1}^4 u_{ik}x_k + \sum_{k=1}^r sa_{ki}w_k + (-1)^{i+1}(-Pf(U))z_i \\ &= \sum_{k=1}^4 \sum_{1 \leq g < h < l \leq r} (-1)^{k+1} sD_{ghl}^{(k)} Y_{ghl} u_{ik} \\ &\quad - \sum_{k=1}^4 \sum_{j=1}^4 (-1)^{j+1} z_j u_{ik} U_{kj} + \sum_{k=1}^r sa_{ki}w_k + (-1)^{i+1}(-Pf(U))z_i \\ &= \sum_{k=1}^4 \sum_{1 \leq g < h < l \leq r} (-1)^{k+1} sD_{ghl}^{(k)} Y_{ghl} u_{ik} + \sum_{k=1}^r sa_{ki}w_k \\ &= \sum_{k=1}^4 \sum_{1 \leq g < h < l \leq r} (-1)^{k+1} sD_{ghl}^{(k)} Y_{ghl} u_{ik} \\ &\quad + \sum_{h=1}^4 \sum_{1 \leq u < v \leq 4} \sum_{1 \leq m < n < t \leq r} sD_{mnt}^{iuv} Y_{mnt} u_{hi} U_{hiuv} = 0. \end{aligned}$$

The second identity follows from part (1) of Lemma 2.1. Finally we show part (c).

For each i ,

$$\begin{aligned} & \sum_{k=1}^4 -a_{ik}x_k + \sum_{k=1}^r y_{ik}w_k \\ &= \sum_{k=1}^4 \sum_{1 \leq g < h < l \leq r} (-1)^k s a_{ik} D_{ghl}^{(k)} Y_{ghl} - \sum_{k=1}^4 \sum_{j=1}^4 \sum_{l=1}^r a_{ik} a_{lj} Y_l U_{kj} \\ & \quad + \sum_{k=1}^r \sum_{1 \leq p < q \leq 4} \sum_{1 \leq u < v \leq r} y_{ik} \begin{vmatrix} a_{up} & a_{uq} \\ a_{vp} & a_{vq} \end{vmatrix} Y_{kuv} U_{pq} \\ & \quad + \sum_{k=1}^r \sum_{1 \leq a < b < c < d \leq r} s y_{ik} Y_{abcd} D_{abcd} \\ &= - \sum_{k=1}^4 \sum_{j=1}^4 \sum_{l=1}^r a_{ik} a_{lj} Y_l U_{kj} + \sum_{k=1}^r \sum_{1 \leq p < q \leq 4} \sum_{1 \leq u < v \leq r} y_{ik} \begin{vmatrix} a_{up} & a_{uq} \\ a_{vp} & a_{vq} \end{vmatrix} Y_{kuv} U_{pq} = 0. \end{aligned}$$

The second identity follows from part (3) of Lemma 2.2. The last identity follows from part (1) of Lemma 2.2 and the following identities:

$$\begin{aligned} \sum_{k=1}^4 \sum_{j=1}^4 \sum_{l=1}^r a_{ik} a_{lj} Y_l U_{kj} &= \sum_{l=1}^r \sum_{1 \leq p < q \leq 4} \begin{vmatrix} a_{ip} & a_{iq} \\ a_{lp} & a_{lq} \end{vmatrix} Y_l U_{pq} \quad \text{and} \\ \sum_{k=1}^r \sum_{1 \leq p < q \leq 4} \sum_{1 \leq u < v \leq r} y_{ik} \begin{vmatrix} a_{up} & a_{uq} \\ a_{vp} & a_{vq} \end{vmatrix} Y_{kuv} U_{pq} &= \sum_{h=1}^r \sum_{1 \leq p < q \leq 4} \begin{vmatrix} a_{ip} & a_{iq} \\ a_{hp} & a_{hq} \end{vmatrix} Y_h U_{pq}. \quad \square \end{aligned}$$

The following proposition gives us a criterion which tests whether or not a perfect ideal I of grade 3 with 2 has a property that $\lambda(I) = 0$.

Proposition 3.15 ([Proposition 2.5, 2]). *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} . Let I be a grade 3, type 2 perfect ideal. If I is minimally generated by at least 5 elements, then the following are equivalent:*

- (1) $\lambda(I) > 0$.
- (2) *There is a minimal set of generators x_1, \dots, x_n for I such that $\mathbf{x} = x_1, x_2, x_3$ is a regular sequence and $(\mathbf{x}) : I$ is an almost complete intersection.*

Example 3.16. Let $R = \mathbb{C}[[x, y, z]]$ be a formal power series ring over the field \mathbb{C} of complex numbers with indeterminates x, y, z . If $I = (x^2, y^2, yz^2, xz^2, z^3)$ is an ideal, then I has grade 3 and x^2, y^2 and z^3 are a regular sequence in I . Also we can easily show that type $I = 2$ by finding the minimal free resolution \mathbb{F} of R/I . The minimal free resolution \mathbb{F} of R/I is

$$\mathbb{F} : 0 \longrightarrow R^2 \xrightarrow{d_3} R^6 \xrightarrow{d_2} R^5 \xrightarrow{d_1} R,$$

where

$$d_1 = [x^2 \quad y^2 \quad yz^2 \quad xz^2 \quad z^3],$$

$$d_2 = \begin{bmatrix} 0 & 0 & 0 & 0 & z^2 & y^2 \\ 0 & 0 & z^2 & 0 & 0 & -x^2 \\ z & 0 & -y & x & 0 & 0 \\ 0 & z & 0 & -y & -x & 0 \\ -y & -x & 0 & 0 & 0 & 0 \end{bmatrix}, \quad d_3 = \begin{bmatrix} x & 0 \\ -y & 0 \\ 0 & -x^2 \\ -z & -xy \\ 0 & y^2 \\ 0 & -z^2 \end{bmatrix}.$$

And $L = K : I = (z, xy, y^2, x^2)$, where $K = (x^2, y^2, z^3)$. Since x^2, y^2 and z are a regular sequence in L , it is an almost complete intersection of grade 3. By Proposition 3.15, $\lambda(I) > 0$.

The following theorem says that if $\overline{Pf_{r+3}(G_3)}$ has grade 3, then it has type 2.

Theorem 3.17. *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} . Let r be an odd integer with $r > 1$ and let s be a regular element in R . With the notations above, we assume that the entries of A, U , and Y are contained in \mathfrak{m} . Let G_3 be the $(r + 4) \times (r + 4)$ skew-symmetrizable matrix defined in (3.3). If $I = \overline{Pf_{r+3}(G_3)}$ has grade 3, then it is a perfect ideal of type 2.*

Proof. Let \mathbb{F} be the complex of free R -modules and R -maps defined in (3.5). We prove that \mathbb{F} is the minimal free resolution of R/I . We use the Buchsbaum-Eisenbud acyclicity criterion [3] to show that \mathbb{F} is exact. It is easy to show that f_2 and f_3 have ranks $r + 3$ and 2, respectively. Hence the first condition of the criterion is satisfied. Now we prove that the second condition of it is also satisfied. Let G_{3i} be the $(r + 3) \times (r + 3)$ submatrix of G_3 obtained by deleting the i th column and row from G_3 . It follows from parts (1) and (2) of Lemma 3.7 that $\det(G_{3i}) = sx_i^2$ for $i = 1, 2, 3, 4$. More precisely,

$$\begin{aligned} x_i^3 &= \left(-\sum_{j=1}^4 (-1)^{j+1} z_j U_{ij} + s \sum_{1 \leq g < h < l \leq r} (-1)^{i+1} D_{ghl}^{(i)} Y_{ghl} \right)^3 \\ &= \left(-\sum_{j=1}^4 (-1)^{j+1} z_j U_{ij} + s \sum_{1 \leq g < h < l \leq r} (-1)^{i+1} D_{ghl}^{(i)} Y_{ghl} \right) x_i^2 \\ &= \sum_{j=1}^4 \sum_{l=1}^r Y_l a_{lj} U_{ij} \times x_i^2 + \sum_{1 \leq g < h < l \leq r} (-1)^{i+1} D_{ghl}^{(i)} Y_{ghl} \times sx_i^2 \\ &= \sum_{j=1}^4 \sum_{l=1}^r a_{lj} U_{ij} Y_l \times x_i^2 + \sum_{1 \leq g < h < l \leq r} (-1)^{i+1} D_{ghl}^{(i)} Y_{ghl} \times \det(G_{3i}). \end{aligned}$$

Let $G_i^{i,l+4}$ be the $(r+3) \times (r+3)$ submatrix of f_2 obtained by deleting the row i and two columns $i, l+4$ from f_2 . Since

$$\begin{aligned} & s \times \left(\sum_{j=1}^4 \sum_{l=1}^r a_{lj} U_{ij} Y_l x_i^2 \right) \\ &= \sum_{j=1}^4 \sum_{l=1}^r a_{lj} U_{ij} Y_l \det(G_{3i}) \\ &= \sum_{j=1}^4 a_{1j} U_{ij} Y_1 \det(G_{3i}) + \sum_{j=1}^4 a_{2j} U_{ij} Y_2 \det(G_{3i}) + \cdots + \sum_{j=1}^4 a_{rj} U_{ij} Y_r \det(G_{3i}) \end{aligned}$$

and

$$Y_l \det(G_{3i}) = (-1)^l s \det(G_i^{i,l+4}),$$

we have

$$\sum_{j=1}^4 \sum_{l=1}^r a_{lj} U_{ij} Y_l x_i^2 \in (\det(G_i^{i,5}), \det(G_i^{i,6}), \det(G_i^{i,7}), \dots, \det(G_i^{i,r+4}))$$

for $i = 1, 2, 3, 4$.

Thus

$$\sum_{j=1}^4 \sum_{l=1}^r a_{lj} U_{ij} Y_l x_i^2 \in I_{r+3}(f_2).$$

Hence $x_i^3 \in I_{r+3}(f_2)$ for $i = 1, 2, 3, 4$. Part (3) of Lemma 3.7 says that for $i = 5, 6, \dots, r+4$,

$$\det G_{3i} = \mathcal{A}(G_3)_i^2 / s^4 = x_i^2 \text{ implies } x_i^2 \text{ is in } I_{r+3}(f_2).$$

The fact that x_i^2 is contained in $I_2(f_3)$ can be proved as follows: For $i = 1, 2, 3, 4$,

$$\begin{aligned} x_i^2 &= \left(- \sum_{j=1}^4 (-1)^{j+1} (-1)^j \sum_{l=1}^r Y_l a_{lj} U_{ij} + s \sum_{1 \leq g < h < l \leq r} (-1)^{i+1} D_{ghl}^{(i)} Y_{ghl} \right) x_i \\ &= \sum_{j=1}^4 \sum_{l=1}^r Y_l x_i a_{lj} U_{ij} + s x_i \sum_{1 \leq g < h < l \leq r} (-1)^{i+1} D_{ghl}^{(i)} Y_{ghl} \in I_2(f_3). \end{aligned}$$

And for $i = 5, 6, \dots, r+4$,

$$x_i = -(Y_{i-4}(-Pf(U)) - s w_{i-4}) \text{ implies that } x_i \text{ is in } I_2(f_3).$$

Thus if I has grade 3, then it has type 2. □

Example 3.18. Let $R = \mathbb{Q}[x, y, z]$ be the polynomial ring over the field \mathbb{Q} of rationals with indeterminates x, y, z and $\deg x = \deg y = \deg z = 1$. Let $A, Y,$

and U be a 3×4 matrix, a 3×3 alternating matrix, and 4×4 matrix given by

$$A = \begin{bmatrix} 0 & y & x & x \\ y & 0 & 0 & 0 \\ z & y & 0 & z \end{bmatrix}, Y = \begin{bmatrix} 0 & z^2 & y^2 \\ -z^2 & 0 & x^2 \\ -y^2 & -x^2 & 0 \end{bmatrix}, \text{ and } U = \begin{bmatrix} 0 & x & z & 0 \\ -x & 0 & z & x \\ -z & -z & 0 & y \\ 0 & -x & -y & 0 \end{bmatrix},$$

respectively. Let $s = y$. Let G_3 be the matrix defined in (3.3). Then the 7×7 alternating matrix $\mathcal{A}(G_3)$ has following form

$$\mathcal{A}(G_3) = \left[\begin{array}{c|c} sU & sA^t \\ \hline -sA & Y \end{array} \right]$$

$$= \left[\begin{array}{cccc|cccc} 0 & xy & yz & 0 & 0 & y^2 & yz & \\ -xy & 0 & yz & xy & y^2 & 0 & y^2 & \\ -yz & -yz & 0 & y^2 & xy & 0 & 0 & \\ 0 & -xy & -y^2 & 0 & xy & 0 & yz & \\ \hline 0 & -y^2 & -xy & -xy & 0 & z^2 & y^2 & \\ -y^2 & 0 & 0 & 0 & -z^2 & 0 & x^2 & \\ -yz & -y^2 & 0 & -yz & -y^2 & -x^2 & 0 & \end{array} \right]$$

and then $I = \overline{Pf_6(G_3)}$ is an ideal generated by the following seven elements

$$\begin{aligned} x_1 &= -x^4 + x^2y^2 + x^3z + y^2z^2 + z^4, \\ x_2 &= y^4 - x^3z - xy^2z - yz^3 - z^4, \\ x_3 &= x^4 - 2xy^3 + y^3z + 2xz^3, \\ x_4 &= -x^4 + xy^3 + x^2yz + y^3z + yz^3 - z^4, \\ x_5 &= x^3y - y^4 - x^3z - y^2z^2, \\ x_6 &= -xy^3 + 2x^2yz + 2xy^2z - y^3z - xyz^2 - y^2z^2, \\ x_7 &= -x^2y^2 + y^4 + xy^2z + xyz^2 - xz^3. \end{aligned}$$

Now we will show that I is a perfect ideal of grade 3 with type 2 and $\lambda = 0$. First we show that I has grade 3. Using CoCoA 4.7.4, we can easily check that the radical of I is the maximal ideal $\mathfrak{m} = (x, y, z)$. Since \mathfrak{m} is the prime ideal of grade 3, it follows that I has grade 3. Easy computations by CoCoA 4.7.4 gives us that

$$\begin{aligned} z_1 &= y^3 - z^3, \quad z_2 = x^2y + yz^2, \quad z_3 = -x^3, \quad z_4 = x^3 + z^3, \\ w_1 &= y^3 + yz^2, \quad w_2 = -2x^2z - xyz + y^2z + xz^2 + yz^2, \quad w_3 = x^2y - y^3 - xyz. \end{aligned}$$

The minimal free resolution \mathbb{F} of $R/\overline{Pf_6(G_3)}$ has the form:

$$\mathbb{F} : 0 \longrightarrow R^2 \xrightarrow{f_3} R^8 \xrightarrow{f_2} R^7 \xrightarrow{f_1} R,$$

where

$$f_1 = [x_1 \quad x_2 \quad x_3 \quad x_4 \quad x_5 \quad x_6 \quad x_7],$$

$$\begin{aligned}
 f_2 &= \left[\begin{array}{c|c|c} U & sA^t & Z \\ \hline -A & Y & \mathbf{0} \end{array} \right] \\
 &= \left[\begin{array}{cccc|ccc|c} 0 & x & z & 0 & 0 & y^2 & yz & z_1 \\ -x & 0 & z & x & y^2 & 0 & y^2 & -z_2 \\ -z & -z & 0 & y & xy & 0 & 0 & z_3 \\ \hline 0 & -x & -y & 0 & xy & 0 & yz & -z_4 \\ 0 & -y & -x & -x & 0 & z^2 & y^2 & 0 \\ -y & 0 & 0 & 0 & -z^2 & 0 & x^2 & 0 \\ -z & -y & 0 & -z & -y^2 & -x^2 & 0 & 0 \end{array} \right], \\
 f_3 &= \left[\begin{array}{c|c} 0 & \mathbf{q}^t \\ \hline \mathbf{y}^t & W \\ \hline s & -Pf(U) \end{array} \right] \\
 &= \left[\begin{array}{cccc|ccc|c} 0 & 0 & 0 & 0 & Y_1 & Y_2 & Y_3 & x \\ \hline x_1 & x_2 & x_3 & x_4 & w_1 & w_2 & w_3 & -y^2 \end{array} \right]^t, \\
 \mathbf{q} &= [x_1 \ x_2 \ x_3 \ x_4].
 \end{aligned}$$

This shows that I has type 2. Finally we prove that $\lambda(I) = 0$. We can prove this by Proposition 3.15 as follows. Easy computation by CoCoA 4.7.4 shows that $\mathbf{x} = x_1, x_2, x_3$ is a regular sequence. Let $J = (\mathbf{x}) : I$. Then by Theorem 2.6 J is a perfect ideal of grade 3. The minimal free resolution \mathbb{G} of R/J is

$$(3.6) \quad \mathbb{G} : 0 \longrightarrow R^4 \longrightarrow R^8 \longrightarrow R^5 \longrightarrow R.$$

Hence J is a perfect ideal of grade 3 minimally generated by five elements. Since I is minimally generated by $r + 4$ elements, it follows from the Bass’s result [1] that the type of J is $r + 1$. Let $\mathbf{x} = x_i, x_j, x_k$ be any regular sequence in I and $J = (\mathbf{x}) : I$. Then we can easily check by CoCoA 4.7.4 that by finding the minimal free resolution of R/J , J is a perfect ideal of grade 3 minimally generated by five elements. Actually the minimal free resolution of R/J has the form defined in (3.6). Proposition 3.15 gives us that $\lambda(I) = 0$.

4. Hilbert functions of some classes of perfect ideals of grade 3

Let $R = k[v_0, v_1, \dots, v_m]$ be the polynomial ring over the field k with indeterminates v_i and $\deg v_i = 1$. Let I be a homogeneous Gorenstein ideal of grade 3. For this purpose, we use the explicit description of minimal free resolution for R/I in [2] to describe the Hilbert function of R/I . Consider the minimal free resolution \mathbb{F} in the form

$$(4.1) \quad \mathbb{F}_{hom} : 0 \longrightarrow R(-s) \xrightarrow{f_1^*} \bigoplus_{i=1}^n R(-p_i) \xrightarrow{f_2} \bigoplus_{i=1}^n R(-q_i) \xrightarrow{f_1} R(0),$$

where f_i is a homogeneous map of degree 0 for $i = 1, 2, 3$, and $f_1 = (y_i)$, $f_2 = (f_{ij})$,

$$q_i = \deg y_i, \quad p_j = \deg f_{ij} + q_i, \quad s = p_i + q_i.$$

Of course, we may also have $f_{ij} = 0$ when $i = j$. If we define $r_i = p_i - q_i$ and use the fact that y_i is a certain pfaffian of f_2 , we get

$$\deg y_i = \frac{s - r_i}{2}, \quad \deg f_{ij} = \frac{r_i + r_j}{2}, \quad \text{and } s = \sum_{i=1}^n r_i.$$

Using the original method for computing the Hilbert function of R/I [7], we get the following proposition.

Proposition 4.1 ([Proposition 3.3, 4]). *Using the notation given above we have*

$$H(R/I, t) = \binom{m+t}{m} - \sum_{i=1}^n \binom{m+t-q_i}{m} + \sum_{i=1}^n \binom{m+t-p_i}{m} - \binom{m+t-s}{m}.$$

Let I be the homogeneous perfect ideal of grade 3 with type 2 defined in section 3. Now we describe the Hilbert function of R/I . We can rewrite the minimal free resolution of R/I in (3.5) in the following form

$$\mathbb{F}_{\text{hom}} : 0 \longrightarrow \bigoplus_{i=1}^2 R(s_i) \xrightarrow{f_3} \bigoplus_{i=1}^{r+5} R(-p_i) \xrightarrow{f_2} \bigoplus_{i=1}^{r+4} R(-q_i) \xrightarrow{f_1} R(0),$$

where f_i are defined in Section 3. The degrees q_i of the homogeneous generators for the ideal and the shifted degrees p_i are given by

- $q_i = \deg x_i$ for $i = 1, 2, \dots, r + 4$,
- $p_1 = \deg u_{21} + q_2$ or $p_1 = \deg u_{31} + q_3$ or $p_1 = \deg u_{41} + q_4$ or
- $p_1 = \deg a_{l1} + q_{l+4}$ for some $l(1 \leq l \leq r)$,
- $p_2 = \deg u_{12} + q_1$ or $p_2 = \deg u_{32} + q_3$ or $p_2 = \deg u_{42} + q_4$ or
- $p_2 = \deg a_{l2} + q_{l+4}$ for some $l(1 \leq l \leq r)$,
- $p_3 = \deg u_{13} + q_1$ or $p_3 = \deg u_{23} + q_2$ or $p_3 = \deg u_{43} + q_4$ or
- $p_3 = \deg a_{l3} + q_{l+4}$ for some $l(1 \leq l \leq r)$,
- $p_4 = \deg u_{14} + q_1$ or $p_4 = \deg u_{24} + q_2$ or $p_4 = \deg u_{34} + q_3$ or
- $p_4 = \deg a_{l4} + q_{l+4}$ for some $l(1 \leq l \leq r)$,
- $p_i = \deg s + \deg a_{i-4,l} + q_l$ or $p_i = \deg y_{c-4,i-4} + q_c$ for $i = 5, 6, \dots, r + 4$,
- for some $l(1 \leq l \leq 4)$ and c is an integer with $5 \leq c \leq r + 4$,
- $p_t = \deg z_i + q_i$ for some $i(1 \leq i \leq 4)$ and $t = r + 5$,
- $s_1 = \deg Y_k + p_{k+4}$ for some $k(1 \leq k \leq r)$ or $s_1 = \deg s + p_{r+5}$,
- $s_2 = \deg x_l + p_l$ for some $l(1 \leq l \leq 4)$ or $s_2 = \deg w_k + p_{k+4}$
- for some $k(1 \leq k \leq r)$ or $s_2 = \deg Pf(U) + p_{r+5}$.

In the same way mentioned above we can compute the Hilbert function of R/I as follows.

Proposition 4.2. *With notations as above we have*

$$H(R/I, t) = \binom{m+t}{m} - \sum_{i=1}^{r+4} \binom{m+t-q_i}{m} + \sum_{i=1}^{r+5} \binom{m+t-p_i}{m} - \sum_{i=1}^2 \binom{m+t-s_i}{m}.$$

Here is an example.

Example 4.3. Let $R = \mathbb{C}[x, y, z]$ be the polynomial ring over the field \mathbb{C} of complex numbers with indeterminates x, y, z and $\deg x = \deg y = \deg z = 1$. Let

$$A = \begin{bmatrix} -y & 0 & -x & 0 \\ 0 & -z & 0 & 0 \\ -z & 0 & -y & 0 \end{bmatrix}, Y = \begin{bmatrix} 0 & y^2 & z^2 \\ -y^2 & 0 & x^2 \\ -z^2 & -x^2 & 0 \end{bmatrix}, U = \begin{bmatrix} 0 & x & z & y \\ -x & 0 & y & x \\ -z & -y & 0 & z \\ -y & -x & -z & 0 \end{bmatrix}.$$

Let $s = z$. Then the 7×7 skew-symmetrizable matrix G_3 is of the form

$$G_3 = \left[\begin{array}{c|c} U & sA^t \\ \hline -A & Y \end{array} \right]$$

and the alternating matrix induced by G_3 is

$$\begin{aligned} \mathcal{A}(G_3) &= \left[\begin{array}{c|c} sU & sA^t \\ \hline -sA & Y \end{array} \right] \\ &= \left[\begin{array}{cccc|ccc} 0 & xz & z^2 & yz & -yz & 0 & -z^2 \\ -xz & 0 & yz & xz & 0 & -z^2 & 0 \\ -z^2 & -yz & 0 & z^2 & -xz & 0 & -yz \\ -yz & -xz & -z^2 & 0 & 0 & 0 & 0 \\ \hline yz & 0 & xz & 0 & 0 & y^2 & z^2 \\ 0 & z^2 & 0 & 0 & -y^2 & 0 & x^2 \\ z^2 & 0 & yz & 0 & -z^2 & -x^2 & 0 \end{array} \right] \end{aligned}$$

and thus $I = \overline{Pf_6(\mathcal{A}(G_3))}$ is the ideal generated by seven homogeneous elements

$$\begin{aligned} x_1 &= x^4 + xy^3 + z^4, \\ x_2 &= -x^3y - y^4 + x^2yz + y^2z^2, \\ x_3 &= -x^3y - xy^2z - yz^3, \\ x_4 &= x^4 + x^2y^2 + xy^3 + y^3z - y^2z^2 + xz^3 + z^4, \\ x_5 &= x^2y^2 - y^2z^2 + z^4, \\ x_6 &= -xy^2z + x^2z^2 - y^2z^2, \\ x_7 &= y^4 + xyz^2 - yz^3. \end{aligned}$$

Now we show that I is a homogeneous perfect ideal of grade 3 with type 2. Easy computation by CoCoA 4.7.4 says that the radical of I is the maximal

ideal $\mathfrak{m} = (x, y, z)$. Since \mathfrak{m} has grade 3, I has grade 3. The minimal free resolution \mathbb{F} of R/I is given by (3.5).

$$\mathbb{F} : 0 \longrightarrow \bigoplus_{i=i}^2 R(s_i) \xrightarrow{f_3} \bigoplus_{i=1}^8 R(-p_i) \xrightarrow{f_2} \bigoplus_{i=1}^7 R(-q_i) \xrightarrow{f_1} R(0),$$

where

$$\begin{aligned} f_1 &= [x_1 \ x_2 \ x_3 \ x_4 \ x_5 \ x_6 \ x_7], \\ f_2 &= \left[\begin{array}{ccc|ccc} U & sA^t & Z & & & \\ -A & Y & \mathbf{0} & & & \end{array} \right] \\ &= \left[\begin{array}{cccc|ccc|c} 0 & x & z & y & -yz & 0 & -z^2 & x^2y + y^2z \\ -x & 0 & y & x & 0 & -z^2 & 0 & -z^3 \\ -z & -y & 0 & z & -xz & 0 & -yz & x^3 + y^3 \\ -y & -x & -z & 0 & 0 & 0 & 0 & 0 \\ \hline y & 0 & x & 0 & 0 & y^2 & z^2 & 0 \\ 0 & z & 0 & 0 & -y^2 & 0 & x^2 & 0 \\ z & 0 & y & 0 & -z^2 & -x^2 & 0 & 0 \end{array} \right], \\ f_3 &= \left[\begin{array}{c|c} 0 & \mathbf{q}^t \\ \hline \mathbf{y}^t & W \\ \hline s & -Pf(U) \end{array} \right] \\ &= \left[\begin{array}{cccc|ccc|c} 0 & 0 & 0 & 0 & Y_1 & Y_2 & Y_3 & z \\ \hline x_1 & x_2 & x_3 & x_4 & w_1 & w_2 & w_3 & -y^2 \end{array} \right]^t, \\ \mathbf{q} &= \begin{bmatrix} x_1 \\ x_2 \\ x_3 \\ x_4 \end{bmatrix}^t, \end{aligned}$$

and

$$Y_1 = x^2, Y_2 = -z^2, Y_3 = y^2, w_1 = z^3 - y^2z, w_2 = x^2z - xy^2, w_3 = xyz - yz^2.$$

Hence I is a homogeneous perfect ideal of grade 3 with type 2. Now we compute the Hilbert function R/I by using Proposition 4.2. The values of the p_i, q_i and s_i are given as follows

$$\begin{aligned} q_i &= 4 \text{ for } i = 1, 2, \dots, 7, \\ p_i &= 5 \text{ for } i = 1, 2, 3, 4, \\ p_j &= 6 \text{ for } j = 5, 6, 7, \\ p_8 &= 7, \quad s_1 = 8, \quad s_2 = 9. \end{aligned}$$

Hence the Hilbert function $H(R/I, t)$ is as follows:

$$\begin{aligned} H(R/I, 0) &= 1, \quad H(R/I, 1) = 3, \quad H(R/I, 2) = 6, \quad H(R/I, 3) = 10, \\ H(R/I, 4) &= 8, \quad H(R/I, 5) = 4, \quad H(R/I, 6) = 1, \quad H(R/I, 7) = 0 \text{ for } t \geq 7. \end{aligned}$$

This agrees with the computation by CoCoA 4.7.4.

Acknowledgements. We would like to thank anonymous referees for giving us valuable suggestions and constructive criticism.

References

- [1] H. Bass, *On the ubiquity of Gorenstein rings*, Math. Z. **82** (1963), 8–28.
- [2] A. Brown, *A structure theorem for a class of grade three perfect ideals*, J. Algebra **105** (1987), no. 2, 308–327.
- [3] D. A. Buchsbaum and D. Eisenbud, *What makes a complex exact?*, J. Algebra **25** (1973), 259–268.
- [4] ———, *Algebra structures for finite free resolutions and some structure theorems for ideals of codimension 3*, Amer. J. Math. **99** (1977), no. 3, 447–485.
- [5] E. J. Choi, O.-J. Kang, and H. J. Ko, *On the structure of the grade three perfect ideals of type three*, Commun. Korean Math. Soc. **23** (2008), no. 4, 487–497.
- [6] E. S. Golod, *A note on perfect ideals*, From the collection “Algebra” (A. I. Kostrikin, Ed), Moscow State Univ. Publishing House (1980), 37–39.
- [7] D. Hilbert, *Ueber die Theorie der Algebraischen Formen*, Math. Ann. **36** (1890), no. 4, 473–534.
- [8] O.-J. Kang, Y. S. Cho, and H. J. Ko, *Structure theory for some classes of grade three perfect ideals*, J. Algebra **322** (2009), no. 8, 2680–2708.
- [9] O.-J. Kang and H. J. Ko, *The structure theorem for complete intersections of grade 4*, Algebra Colloq. **12** (2005), no. 2, 181–197.
- [10] ———, *Structure theorems for perfect ideals of grade g* , Commun. Korean Math. Soc. **21** (2006), no. 4, 613–630.
- [11] A. Kustin and M. Miller, *A general resolution for grade four Gorenstein ideals*, Manuscripta Math. **35** (1981), no. 1-2, 221–269.
- [12] ———, *Structure theory for a class of grade four Gorenstein ideals*, Trans. Amer. Math. Soc. **270** (1982), no. 1, 287–307.
- [13] C. Peskine and L. Szpiro, *Liaison des variétés algébriques*, Invent. Math. **26** (1974), 271–302.
- [14] R. Sanchez, *Structure theorem for type 3, grade 3 perfect ideals*, J. Algebra **123** (1989), no. 2, 263–288.

YONG SUNG CHO
 DEPARTMENT OF MATHEMATICS
 YONSEI UNIVERSITY
 SEOUL 120-749, KOREA
E-mail address: yongsung@yonsei.ac.kr

OH-JIN KANG
 DEPARTMENT OF MATHEMATICS
 UNIVERSITY OF INCHEON
 INCHEON 402-749, KOREA
E-mail address: ohkang@incheon.ac.kr

HYOUNG JUNE KO
 DEPARTMENT OF MATHEMATICS
 YONSEI UNIVERSITY
 SEOUL 120-749, KOREA
E-mail address: hjko@yonsei.ac.kr