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# OBSERVER-BASED INPUT-OUTPUT LINEARIZATION CONTROL OF A MULTIVARIABLE CONTINUOUS CHEMICAL REACTOR

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ABSTRACT. The goal of this paper is to develop a nonlinear observerbased control strategy for a multi-variables continuous stirred tank reactor (CSTR). A new robust nonlinear observer is constructed to estimate the whole process state variables. The observer is coupled with a nonlinear controller, designed based on the input-output linearization for controlling the concentration and reactor temperature. The closed loop system is shown to be globally asymptotically stable based on Lyapunov arguments. Finally, computer simulations are developed for showing the performance of the proposed controller.

#### 1. Introduction

Continuous stirred tank reactors (CSTR) are ones of the most important plants in chemical industry. In practice, however, the control of these reactors poses a number of challenging problems. These problems arise from the presence of severe nonlinearities of these chemical reactors, as well as for monitoring and control applications, only a few measurements are available, either because the measuring devices do not exist or are too expensive. Therefore, we can deduce that the main difficulties arising in the control of CSTR's reactors arrive from two main sources: the process complexity and the difficulty to have reliable measurements of state variables ([1], [16]). In recent years, a various design methods of nonlinear control strategies have been proposed. Most of these based on differential geometric concepts ([15]-[18], [23]). This method allows a certain class of systems to be linearized using state feedback and coordinate transformations ([12], [14]). Extensions of the method like adaptive linearization ([21], [25]), robust linearization [24], and asymptotically exact linearization [13] account for small model-plant-mismatch.

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Concerning the state estimation, there are many works dealing with the observation techniques to estimate the state variables in chemical reactors ([4]-[11], [26]).

The main purpose of this work is to develop an observer-based nonlinear control scheme for a multi-input multi-output continuous chemical reactor (CSTR). We apply a robust non-linear observer, as proposed by Daaou et al. [7] in order to estimate the concentrations. The controller is constructed through feedback linearization. Keeping in mind that no separation principle exists for nonlinear systems, the study of the asymptotical stability of the closed-loop system including the observer dynamics has been established, which is the main contribution of this work.

This article is arranged as follows. In Section 2, the reactor model is presented. In Section 3, a non-linear observer for estimating concentrations is constructed. Section 4 is devoted to closed loop control, where we use Lyapunov arguments to prove the closed loop stability. In Section 5, the computer simulations were developed to illustrate the effectiveness of the proposed approach. Finally, we will close the paper with some concluding remarks.

### 2. System description

The continuous stirred chemical reactor (CSTR) has been perhaps one of the most widely studied unit operation, from both dynamic analysis and control perspectives. The typical diagram of this reactor is shown in Figure 1 in which the series reactions,  $A \xrightarrow{k_1} B \xrightarrow{k_2} C$ , take place in the liquid phase.

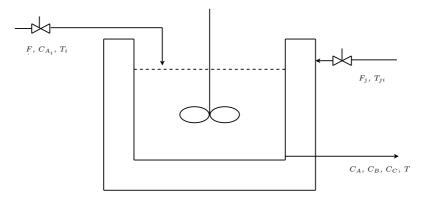


FIGURE 1. Schematic of the multivariable non-isothermal chemical reactor.

Here  $C_A$ ,  $C_B$  and  $C_C$  are the outlet concentrations of the reactant A, B and C respectively,  $C_{Ai}$  inlet concentration of the reactant A, T reactor outlet temperature,  $T_i$  reactor inlet temperature,  $T_j$  jacket temperature,  $T_{ji}$  jacket inlet temperature, F feed flow rate to reactor.

Parameter	value	Unit
α	-0.1914	—
$\beta_1$	0.0072	_
$\beta_2$	0.0120	—
$k_{01}$	$15.155 \times 10^{8}$	$\min^{-1}$
$E_1$	60	$kJmol^{-1}$
$k_{02}$	$38.22 \times 10^6$	$\min^{-1}$
$E_2$	55	$kJmol^{-1}$
V	0.009	$m^3$
$T_i$	293	K
$T_{ij}$	343	K
$\begin{array}{c} T_i \\ T_{ij} \\ C_{Ai} \end{array}$	100	$mol.m^{-3}$ $J.mol^{-1}$
R	08.314	$J.mol^{-1}$

TABLE 1. Parameter values of the reactor.

In this paper some assumptions have been introduced in the attempt to reduce the model complexity. In particular, we will assume the following:

- (i) There is a complete uniformity of concentration and temperature within the reactor and a complete uniformity of temperature inside the jacket.
- (ii) The reactor and jacket volumes are constant.
- (iii) The thermal exchange between the reactor and the jacket is expressed by a constant global heat exchange coefficient U and the amount of heat retained in the reactor walls is negligible.
- (iv) The reaction enthalpies  $\Delta H_i$  are independent of temperature.
- (v) The heat capacities of the process fluids are constant.

Under the above assumptions, the material and energy balances applied to the jacket reactor give the mathematical model [7].

(1)  $\dot{T} = -\frac{UA}{\rho C_p V} (T - T_j) + \frac{F}{V} (T_i - T) - \frac{\Delta H_1}{\rho C_p} K_1(T) C_A - \frac{\Delta H_2}{\rho C_p} K_2(T) C_B,$ 

(2) 
$$\dot{C}_A = -K_1(T)C_A + \frac{F}{V}(C_{Ai} - C_A),$$

(3) 
$$\dot{C}_B = K_1(T)C_A - K_2(T)C_B - \frac{r}{V}C_B$$

- (4)  $\dot{C}_C = K_2(T)C_B \frac{F}{V}C_C$ ,
- (5)  $y_1 = T$ ,
- (6)  $y_2 = C_C$ ,

with  $K_i(T) = k_{i0} \exp \frac{-E_i}{RT}$ , i = 1, 2, where U is the overall heat-transfer coefficient, A heat transfer surface area,  $C_p$  heat capacity of feed and product,  $C_{pj}$  heat capacity of jacket fluid,  $E_i$  (i=1,2) activation energy, R universal gas constant,  $k_{0i}$  (i = 1, 2) pre-exponential factor,  $\Delta H_i$  (i = 1, 2) heat of reaction  $\rho$  density of mixture in reactor and  $\rho_j$  density of jacket fluid. The model (1-6) has the following form:

(7) 
$$\begin{aligned} \dot{x} &= f(x) + g(x)u\\ y &= C_x x, \end{aligned}$$

where 
$$x = [T, C_A, C_B, C_C]^T$$
,  $u = [F_0, T_j]^T$  and  

$$f(x) = \begin{bmatrix} \alpha x_1 + \beta_1 K_1(x_1) x_2 + \beta_2 K_2(x_1) x_3 \\ -K_1(x_1) x_2 - K_2(x_1) x_3 \\ K_2(x_1) x_3 \end{bmatrix}$$
,  $g(x) = \begin{bmatrix} \frac{1}{V}(T_i - x_1) & -\alpha \\ \frac{1}{V}(C_{Ai} - x_2) & 0 \\ -\frac{1}{V} x_3 & 0 \\ -\frac{1}{V} x_4 & 0 \end{bmatrix}$ ,  $C_x = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$  with  $\alpha_1 = -\frac{UA}{\rho C_p V}$ ,  $\beta_1 = -\frac{\Delta H_1}{\rho C_p}$ ,  $\beta_2 = -\frac{\Delta H_2}{\rho C_p}$ .  
The reactor parameter values are given in (1) [7].

#### 3. Nonlinear observer design

Consider the nonlinear MIMO model of our chemical reactor: (8)

$$\dot{x} = \underbrace{\begin{bmatrix} \alpha x_1 + \beta_1 K_1(x_1) x_2 + \beta_2 K_2(x_1) x_3 \\ -K_1(x_1) x_2 \\ K_1(x_1) x_2 - K_2(x_1) x_3 \\ K_2(x_1) x_3 \end{bmatrix}}_{f(x)} + \underbrace{\begin{bmatrix} \frac{1}{V}(T_i - x_1) & \alpha_1 \\ \frac{1}{V}(C_{Ai} - x_3) & 0 \\ -\frac{1}{V} x_3 & 0 \\ -\frac{1}{V} x_4 & 0 \end{bmatrix}}_{g(x)} \\ y = \begin{bmatrix} h_1(x) \\ h_2(x) \end{bmatrix} = \begin{bmatrix} x_1 \\ x_4 \end{bmatrix}.$$

By using the usual variable change:

(9) 
$$x \to \Psi(x) = \begin{bmatrix} h(x) \\ L_f[h(x)] \end{bmatrix} = \begin{bmatrix} x_1 \\ x_4 \\ L_f[x_1] \\ L_f[x_4] \end{bmatrix} = \begin{bmatrix} z_1 \\ z_2 \end{bmatrix}$$

with  $z_1 = [x_1, x_4]^T$ ,  $z_2 = [L_f[x_1], L_f[x_4]]^T$ ,  $L_f(h(x)) = \sum \frac{\partial h}{\partial x_i} f_i$ . The change of coordinates gives the following system:

(10) 
$$\dot{z} = \begin{bmatrix} \dot{z_1} \\ \dot{z_2} \end{bmatrix} = \underbrace{\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}}_A \underbrace{\begin{bmatrix} z_1 \\ z_2 \end{bmatrix}}_z + \underbrace{\begin{bmatrix} 0 \\ \varphi(z) \end{bmatrix}}_{\Phi(z)} + \underbrace{\begin{bmatrix} B_1(z,u) \\ B_2(z,u) \end{bmatrix}}_{B(z,u)}$$

 $y = z_1,$ 

where  $\varphi(z) = \begin{bmatrix} L_f^2[x_1] \\ L_f^2[x_4] \end{bmatrix}$ ,  $B_1(z, u) = \begin{bmatrix} L_g[x_1] \\ L_g[x_4] \end{bmatrix}$ ,  $B_2(z, u) = \begin{bmatrix} L_f L_g[x_1] \\ L_f L_g[x_4] \end{bmatrix}$ . We now state the following assumptions:

**Assumption 1.** The function  $\varphi(z)$  is globally Lipschitz with respect to z.

**Assumption 2.** The functions  $B_1(z, u)$  and  $B_2(z, u)$  are globally Lipschitz with respect to z uniformly with respect to the inputs u.

 $\label{eq:theorem 1. Under the above assumptions, the nonlinear observer given by:$ 

(11) 
$$\hat{z} = A\hat{z} + \Phi(\hat{z}) + B(\hat{z}, u) - G_{\theta}(C\hat{z} - y)$$

is an exponential observer for the system (10) for  $\theta$  sufficiently large, with

$$A = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}, \Phi(\hat{z}) = \begin{bmatrix} 0 \\ \varphi(\hat{z}) \end{bmatrix}, B(\hat{z}, u) = \begin{bmatrix} B_1(\hat{z}_1, u) \\ B_2(\hat{z}_2, u) \end{bmatrix}, C = [1, 0],$$
$$G_{\theta} = S_{\theta}^{-1}G = \begin{bmatrix} \theta G_1 \\ \theta^2 G_2 \end{bmatrix}, \theta > 0,$$

where G is a constant matrix so that (A - GC) is stable and  $S_{\theta}$  is the solution of the Lyapunov equation:

(12) 
$$\dot{S}_{\theta} = -\theta S_{\theta} + A^T S_{\theta} - S_{\theta} A + C_z C_z^T = 0.$$

When the inverse variable change  $\Psi^{-1}$  is applied, the equation of the observer in the original x-coordinate system  $\hat{x} = \Psi^{-1}(\hat{z})$  is given by:

(13) 
$$\dot{\hat{x}} = f(\hat{x}) + g(\hat{x})u - \left[\frac{\partial\Psi(x)}{\partial x}\right]^{-1} G_{\theta}(C\hat{x} - y)$$
$$\hat{y} = C_x \hat{x}.$$

*Proof.* See Appendix A.

# 4. Input-output feedback linearization controller design

In this work we consider a multiple-input/multiple-output controller whose regulated outputs are the temperature and concentration of the constituent B, that is,  $x_1$  and  $x_3$ .

The linearization condition that permits to verify if a nonlinear system admits an input output linearization is the relative degree order of the system ([15], [24]).

The relative degree of an output is the number of times that it is necessary to derive the output to reveal the input u.

(14) 
$$\dot{y}_1 = L_f(x_1) + L_{g_{11}}(x_1)u_1 + L_{g_{12}}(x_1)u_2,$$

(15) 
$$\dot{y}_2 = L_f(x_3) + L_{g_{21}}(x_3)u_1$$

with

$$L_f(x_1) = \alpha x_1 + \beta_1 r_1(x) + \beta_2 r_2(x),$$
  

$$L_f(x_3) = r_1(x) - r_2(x),$$
  

$$L_{g_{11}}(x_1) = \frac{1}{V} (T_i - x_1),$$
  

$$L_{g_{12}}(x_1) = -\alpha,$$
  

$$L_{g_{21}}(x_1) = -\frac{1}{V} x_3,$$

where  $r_1(x) = K_1(x_1)x_2$  and  $r_2(x) = K_2(x_1)x_3$ .

Then, the total degree of the system is equal to 2. Indeed, we have:

(16) 
$$\begin{bmatrix} \dot{y}_1 \\ \dot{y}_2 \end{bmatrix} = \begin{bmatrix} L_f(x_1) \\ L_f(x_2) \end{bmatrix} + \begin{bmatrix} L_{g_{11}}(x_1) & L_{g_{12}}(x_1) \\ L_{g_{21}}(x_3) & 0 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

and we obtain the two feedbacks:

(17) 
$$u_1 = \frac{v_2 - L_f(x_3)}{L_{g_{21}}(x_3)},$$

(18) 
$$u_2 = \frac{v_1 - L_f(x_1) - L_{g_{11}}(x_1)u_1}{L_{g_{12}}(x_1)},$$

where  $v_1 = \hat{y}_1$  and  $v_2 = \hat{y}_2$ .

It should be noted that the state variables are estimated using an observer. Then the equations (17) and (18) become:

(19) 
$$u_1 = \frac{v_2 - \hat{L}_f(x_3)}{\hat{L}_{g_{21}}(x_3)},$$

(20) 
$$u_2 = \frac{v_1 - \hat{L}_f(x_1) - \hat{L}_{g_{11}}(x_1)u_1}{\hat{L}_{g_{12}}(x_1)}$$

with

$$\upsilon_{2} = \frac{\hat{L}_{g_{21}}(x_{3})}{L_{g_{21}}(x_{3})}\dot{y}_{2} - \frac{\hat{L}_{g_{21}}(x_{3})}{L_{g_{21}}(x_{3})}L_{f}(x_{3}) + \hat{L}_{f}(x_{3}) = \frac{\hat{x}_{3}}{x_{3}}\dot{y}_{2} - \frac{\hat{x}_{3}}{x_{3}}L_{f}(x_{3}) + \hat{L}_{f}(x_{3}),$$
$$\upsilon_{1} = \dot{y}_{1} - L_{f}(x_{1}) - L_{g_{11}}(x_{1})u_{1} + \hat{L}_{f}(x_{1}) + \hat{L}_{g_{11}}(x_{1})u_{1},$$

where

$$\hat{L}_{f}(x_{1}) = \alpha \hat{x}_{1} + \beta_{1} r_{1}(\hat{x}) + \beta_{2} r_{2}(\hat{x}) + \theta \tilde{x}_{1},$$

$$\hat{L}_{f}(x_{3}) = r_{1}(\hat{x}) - r_{2}(\hat{x}) + \gamma_{1} \tilde{x}_{1} + \gamma_{2} \tilde{x}_{4},$$

$$\hat{L}_{g_{11}}(x_{1}) = \frac{1}{V} (T_{i} - \hat{x}_{1}),$$

$$\hat{L}_{g_{21}}(x_{3}) = -\frac{1}{V} x_{3},$$

$$\gamma_{1} = \frac{\theta x_{2}}{\beta_{1} r_{1}(\hat{x})} \left( \alpha + \beta_{1} \frac{E_{1}}{R x_{1}^{2}} r_{1}(\hat{x}) + \theta \right),$$

$$\gamma_{2} = \frac{\beta_{2} x_{2}}{\beta_{1} r_{1}(\hat{x})} \theta^{2},$$

$$\tilde{x}_{i} = x_{i} - \hat{x}_{i} \ (i = 1, \dots, 4).$$

We choose  $v_1$  and  $v_2$  such that the system is closed loop stable and achieves a desired setpoint on temperature and concentration.

(21) 
$$v_1 = -\delta_1 e_{11} - \delta_2 e_{12} + \dot{x}_1^r + v_{11},$$

(22) 
$$v_2 = -\delta_3 e_{21} - \delta_4 e_{22} + \dot{x}_3^r + v_{22},$$

where  $v_{11}$  and  $v_{22}$  are the compensating terms to cope with the error caused by state estimate.  $e_{11} = \int (x_1 - x_1^r) dt$ ,  $e_{12} = x_1 - x_1^r$ ,  $e_{21} = \int (x_3 - x_3^r) dt$ ,  $e_{22} = x_3 - x_3^r x_1^r$  and  $x_3^r$  are respectively the desired reactor temperature and concentration.

The closed-loop error dynamics and observer are given by:

$$e_{11} = e_{12},$$
  

$$\dot{e}_{12} = -\delta_1 e_{11} - \delta_2 e_{12} + (L_f(x_1) - \hat{L}_f(x_1)) + (L_{g_{11}}(x_1) - \hat{L}_{g_{11}}(x_1))u_1 + v_{11},$$

(23)  $\dot{e}_{21} = e_{22},$ 

$$\dot{e}_{22} = -\delta_3 e_{21} - \delta_4 e_{22} + \frac{\tilde{x}_3}{x_3} \dot{y}_2 - \frac{\tilde{x}_3}{x_3} L_f(x_3) + L_f(x_3) - \hat{L}_f(x_3) + \upsilon_{22},$$
$$\dot{\hat{x}} = f(\hat{x}) + g(\hat{x})u - \left[\frac{\partial \Psi(x)}{\partial x}\right]^{-1} G_\theta(C\hat{x} - y).$$

Now consider the following assumptions:

**Assumption 3.** The functions  $r_1(x)$  and  $r_2(x)$  are globally Lipschitz with respect to x, i.e.,

$$\begin{aligned} \forall x \in \mathbb{R}^4, \ \exists \sigma_{r1} > 0, \ \|r_1(x) - r_1(\hat{x})\| &\leq \sigma_{r1} \|\tilde{x}\|, \\ \forall x \in \mathbb{R}^4, \ \exists \sigma_{r2} > 0, \ \|r_2(x) - r_2(\hat{x})\| &\leq \sigma_{r2} \|\tilde{x}\|. \end{aligned}$$

Assumption 4. The control inputs are bounded, i.e.,

$$\forall t \ge 0, \ 0 < U_{\min 1} \le u_1 \le U_{\max 1},$$
  
 $\forall t \ge 0, \ 0 < U_{\min 2} \le u_2 \le U_{\max 2}.$ 

**Theorem 2.** Consider the controls laws stated in (19)-(20) and the observer (13), if Assumptions 1-4 are satisfied and if the compensating terms  $v_{22}$  and  $v_{11}$  are chosen as

$$v_{11} = (\theta - \alpha)\tilde{x}_1 + \frac{1}{V}\tilde{x}_3 U_{\min 1},$$
$$v_{22} = (r_2(x) - r_2(\hat{x})) + \gamma_1 \tilde{x}_1 + \gamma_2 \tilde{x}_4$$

and by selecting  $\delta_1$ ,  $\delta_2$ ,  $\delta_3$  and  $\delta_4$  such that all roots of the two polynomial  $s^2 + \delta_2 s + \delta_1$  and  $s^2 + \delta_4 s + \delta_3$  lie in the open left-hand side of the complex plane then the closed loop system described by (23) is globally asymptotically stable

*Proof.* An original proof of Theorem 2 will be presented in the appendix B.  $\Box$ 

#### 5. Simulation results

Simulations, using MATLAB Software Package, have been carried out to verify the effectiveness of the proposed method.

The values of the model parameters used in simulation are given in Table 1. The states initial conditions were set to:

 $x(0) = [309.46; 4.49; 20.06; 0]^T$ ,  $\hat{x}(0) = [302.16; 2.49; 21.06; 0.3]^T$ .

First we investigate the transient response of the system for regulation control. For this case the desired temperature and concentration are 350K and  $20mol/m^3$  respectively.

The temperature and concentration transient responses and the tow controls actions are shown in Figures 2 and 3 respectively.

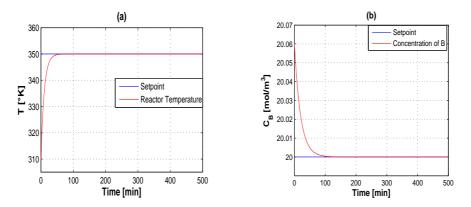


FIGURE 2. Time response for the: a) Reactor temperature, b) Concentration of the constituent B.

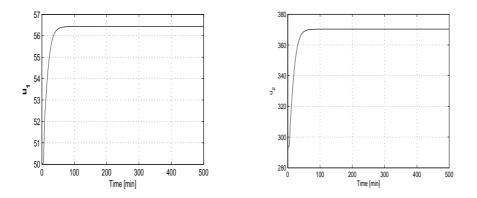


FIGURE 3. Control inputs.

Next, the proposed controller is tested for the set point tracking. Figure 4 show the measured temperature and concentration and their references trajectories. The manipulated signals  $u_1$  and  $u_2$  is also depicted in Figure 5. From the results, it can be seen that the proposed observer/controller structure shows good performance in achieving the output regulation.

Finally, we examine the robustness of the proposed controllers in the presence of the measurements noisy and model uncertainty.

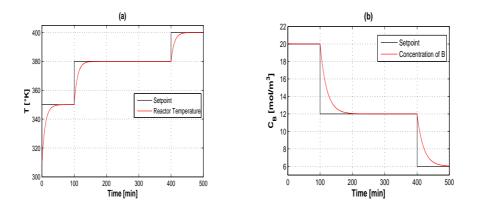


FIGURE 4. Time tracking responses for the: a) Reactor temperature, b) Concentration of the constituent B.

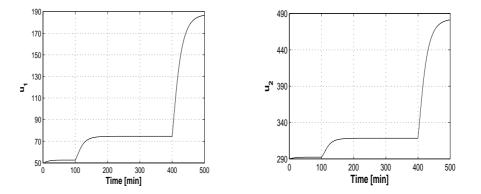


FIGURE 5. Control inputs for tracking responses.

Case 01: Performance analysis in the presence of measurements noise: In this case, white Gaussian noises with variances of 5/ are simultaneously 650

added to the outputs measurements. The temperature and concentration transient's responses for the controller are shown in Figure 6. The tow controls actions obtained in this case are depicted in Figure 7. It can be seen that the set-point tracking behaviour is very satisfactory. Note that the proposed controller maintains the temperature and concentration in a small neighborhood of the references value despite the noise on the measurement.

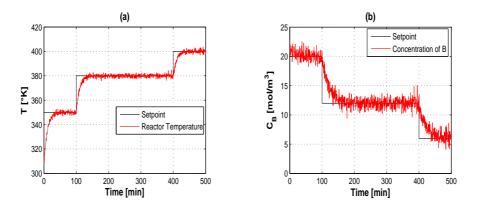


FIGURE 6. Time responses in presence of measure noises for the: a) Reactor temperature, b) Concentration of the constituent B.

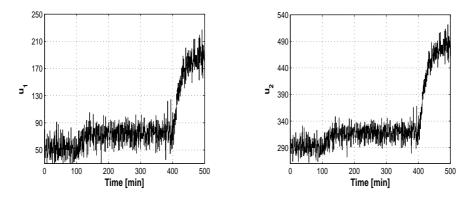


FIGURE 7. Control inputs in presence of measure noises.

Case 02: Performance analysis in the presence of model uncertainty: For this purpose, it was considered a mismatch between the real activation energy and its value in the model. In this work, a difference up to 2/ between the real parameter and its value in the model was considered. Simulation results are depicted in Figures 8 and 9. Again the proposed methodology is able to reject the dynamics mismatch adequately.

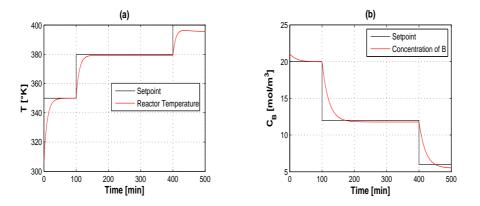


FIGURE 8. Time responses for 2/ increase in the activation energy for the: a) Reactor temperature, b) Concentration of the constituent B.

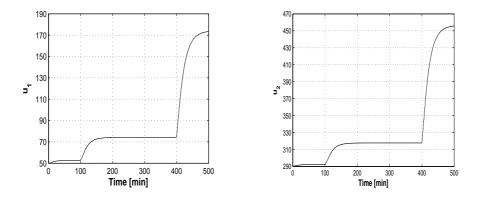


FIGURE 9. Control input for 2/ increase in the activation energy.

# 6. Conclusion

In this paper, we presented a robust observer based on a nonlinear control scheme for a multivariable chemical reactor. The observer proposed in this study offers the advantage of only one tuning parameter  $\theta$ . This observer is coupled with nonlinear controller. The controller is constructed through feedback linearization for concentration and temperature reactor control. The Lyapunov's stability technique is used to establish the asymptotical stability of closed-loop system including the observer dynamics. Through numerical simulations, we illustrated the feasibility of the designed control system. Moreover, the proposed control exhibits a satisfactory performance when used with noisy measurements and dynamics uncertainty.

## Appendix

### Appendix A. Proof of Theorem 1

Let  $\tilde{z} = z - \hat{z}$ . Then we have:

(24) 
$$\dot{\tilde{z}} = (A - G_{\theta}C_z)\tilde{z} + \begin{bmatrix} 0\\ \varphi(z) - \varphi(\hat{Z}) \end{bmatrix} + \begin{bmatrix} B_1(z,u) - B_1(\hat{z},u)\\ B_2(z,u) - B_2(\hat{z},u) \end{bmatrix}.$$

Consider the following variable change:  $\bar{z} = \Delta_{\theta} \tilde{z}$  with  $\Delta_{\theta} = \begin{bmatrix} \frac{1}{\theta} & 0\\ 0 & \frac{1}{\theta^2} \end{bmatrix}$ . Using the well known property of K. Busawon et al. [8]:  $\Delta_{\theta} A \Delta_{\theta}^{-1} = \theta A$ ,  $C_z \Delta_{\theta}^{-1} = \theta C_z$ . We obtain:

(25) 
$$\dot{\bar{z}} = \theta (A - G_{\theta}C_z)\bar{z} + \begin{bmatrix} 0\\ \frac{(\varphi(z) - \varphi(\hat{z}))}{\theta^2} \end{bmatrix} + \begin{bmatrix} \frac{(B_1(z,u) - B_1(\hat{z},u))}{\theta}\\ \frac{(B_2(z,u) - B_2(\hat{z},u))}{\theta^2} \end{bmatrix}.$$

Since  $(A - GC_z)$  is Hurwitz, there exists a symmetric positive definite matrix P such that:

(26) 
$$(A - GC_z)^T P + P(A - GC_z) = -I.$$

Define  $V_o(\bar{z}) = \bar{z}^T P \bar{z}$  as the Lyapunov candidate function. Then its time derivative is:

(27)

$$\begin{aligned} \dot{V}_o(\bar{z}) &= \dot{\bar{z}}^T P \bar{z} + \bar{z}^T P \dot{\bar{z}}, \\ (28) \\ \dot{V}_o(\bar{z}) &= \theta \bar{z}^T \left[ (A - GC_z)^T P + P(A - GC_z) \right] \bar{z} \\ &+ 2P \bar{z}^T \left[ \begin{array}{c} 0 \\ \frac{(\varphi(z) - \varphi(\hat{z}))}{\theta^2} \end{array} \right] + 2P \bar{z}^T \left[ \begin{array}{c} \frac{(B_1(z,u) - B_1(\hat{z},u))}{\theta} \\ \frac{(B_2(z,u) - B_2(\hat{z},u))}{\theta^2} \end{array} \right], \end{aligned}$$

(29)

$$\dot{V}_{o}(\bar{z}) = -\theta \|\bar{z}\|^{2} + 2P\bar{z}^{T} \begin{bmatrix} 0\\ \frac{(\varphi(z) - \varphi(\hat{z}))}{\theta^{2}} \end{bmatrix} + 2P\bar{z}^{T} \begin{bmatrix} \frac{(B_{1}(z, u) - B_{1}(\hat{z}, u))}{\theta}\\ \frac{(B_{2}(z, u) - B_{2}(\hat{z}, u))}{\theta^{2}} \end{bmatrix}.$$

Setting:

$$\Delta_{\theta_0} = \left[ \begin{array}{cc} \frac{1}{\theta_0} & 0\\ 0 & \frac{1}{\theta_0^2} \end{array} \right].$$

By introducing  $\Delta_{\theta_0}$  in (29), we obtain:

(30)  

$$\dot{V}_{o}(\bar{z}) = -\theta \|\bar{z}\|^{2} + 2\Delta_{\theta_{0}}P\bar{z}^{T} \begin{bmatrix} 0\\ \frac{\theta_{0}^{2}}{\theta^{2}}(\varphi(z) - \varphi(\hat{z})) \end{bmatrix} + 2\Delta_{\theta_{0}}P\bar{z}^{T} \begin{bmatrix} \frac{\theta_{0}}{\theta}(B_{1}(z, u) - B_{1}(\hat{z}, u))\\ \frac{\theta_{0}^{2}}{\theta^{2}}(B_{2}(z, u) - B_{2}(\hat{z}, u)) \end{bmatrix},$$

$$\dot{V}_{o}(\bar{z}) \leq -\theta \|\bar{z}\|^{2} + 2 \|\Delta_{\theta_{0}}\| \|P\bar{z}^{T}\| \left\langle \begin{bmatrix} 0\\ \frac{\theta_{0}^{2}}{\theta^{2}}(\varphi(z) - \varphi(\hat{z})) \end{bmatrix} \right\rangle$$

$$+ 2 \|\Delta_{\theta_{0}}\| \|P\bar{z}^{T}\| \left\langle \begin{bmatrix} \frac{\theta_{0}}{\theta}(B_{1}(z, u) - B_{1}(\hat{z}, u))\\ \frac{\theta_{0}^{2}}{\theta^{2}}(B_{2}(z, u) - B_{2}(\hat{z}, u)) \end{bmatrix} \right\rangle,$$

where  $\langle \cdot \rangle$  is the Euclidean scalar product on  $\mathbb{R}^n$ . Using the Cauchy-Schwarz inequality this gives for  $\theta > \theta_0$  sufficiently large:

$$\dot{V}_{o}(\bar{z}) \leq -\theta \|\bar{z}\|^{2} + 2 \|\Delta_{\theta_{0}}\| \|P\bar{z}^{T}\| \frac{\theta_{0}^{2}}{\theta^{2}} \|(\varphi(z) - \varphi(\hat{z}))\| + 2 \|\Delta_{\theta_{0}}\| \|P\bar{z}^{T}\|$$

$$(32) \qquad \times \sqrt{\left(\frac{\theta_{0}}{\theta}\right)^{2} \|B_{1}(z, u) - B_{1}(\hat{z}, u)\|^{2} + \left(\frac{\theta_{0}^{2}}{\theta^{2}}\right)^{2} \|B_{2}(z, u) - B_{2}(\hat{z}, u)\|}.$$

Using the following assumption (Assumptions 1 and 2):

$$\begin{aligned} \|(\varphi(z) - \varphi(\hat{z}))\| &\leq \lambda_{\varphi} \|\tilde{z}\| \\ &\Rightarrow \|(\varphi(z) - \varphi(\hat{z}))\| \leq \lambda_{\varphi} \sqrt{\theta^{2} \|\bar{z}_{1}\|^{2} + \theta^{4} \|\bar{z}_{2}\|^{2}}, \\ \|B_{1}(z, u) - B_{1}(\hat{z}, u)\| &\leq \lambda_{B_{1}} \|\tilde{z}\| \\ &\Rightarrow \|B_{1}(z, u) - B_{1}(\hat{z}, u)\| \leq \lambda_{B_{1}} \sqrt{\theta^{2} \|\bar{z}_{1}\|^{2} + \theta^{4} \|\bar{z}_{2}\|^{2}}, \\ \|B_{2}(z, u) - B_{2}(\hat{z}, u)\| &\leq \lambda_{B_{2}} \|\tilde{z}\| \\ &\Rightarrow \|B_{2}(z, u) - B_{2}(\hat{z}, u)\| \leq \lambda_{B_{2}} \sqrt{\theta^{2} \|\bar{z}_{1}\|^{2} + \theta^{4} \|\bar{z}_{2}\|^{2}}, \end{aligned}$$

where  $\lambda_{\varphi}$ ,  $\lambda_{B_1}$  and  $\lambda_{B_2}$  denote, respectively, the Lipschitz constants of  $\varphi(z)$ ,  $B_1(z, u)$  and  $B_2(z, u)$ .

Inequality (32) becomes:

$$\dot{V}_{o}(\bar{z}) \leq -\theta \|\bar{z}\|^{2} + 2\lambda_{\varphi} \frac{\theta_{0}^{2}}{\theta_{M}} \|\Delta_{\theta_{0}}\| \|P\bar{z}^{T}\| \sqrt{\|\bar{z}_{1}\|^{2} + \theta^{2} \|\bar{z}_{2}\|^{2}} + 2 \|\Delta_{\theta_{0}}\| \|P\bar{z}^{T}\| \times \sqrt{(\lambda_{B_{1}}^{2}\theta_{0}^{2} + \lambda_{B_{2}}^{2}\theta_{0}^{4})(\|\bar{z}_{1}\|^{2} + \|\bar{z}_{2}\|^{2})},$$
(34)
$$\dot{V}_{o}(\bar{z}) \leq -\theta \|\bar{z}\|^{2} + 2\theta_{0}\lambda_{\varphi} \|P\bar{z}^{T}\| \|\bar{z}\| + 2\theta_{0}\lambda_{B}\| \|P\bar{z}^{T}\| \|\bar{z}\|,$$

where  $\gamma_B = \sqrt{\lambda_{B_1}^2 + \lambda_{B_2}^2 \theta_0^2}$ . Thus, it results that:

(35) 
$$\dot{V}_{o}(\bar{z}) \leq -\theta \|\bar{z}\|^{2} + \xi \|\bar{z}\|^{2},$$

(36) 
$$\dot{V}_o(\bar{z}) \le -(\theta - \xi) \|\bar{z}\|^2,$$

where  $\xi = 2\theta_0(\lambda_{\varphi} + \lambda_B)\lambda_{\max}^P$  and  $\lambda_{\max}^P$  denotes the largest eigenvalue of P. Then, if  $\theta > \xi$  the estimation error converges exponentially to zero.

The system (8) is considered to be uniformly observable. Then:

$$z = \Psi(x)$$
 and  $x = \Psi^{-1}(z)$ .

If the transform  $\Psi(x)$  is nonsingular and  $\Psi^{-1}(z)$  is uniformly Lipschitz, we have:

(37) 
$$||x - \hat{x}|| \le \bar{\mu}_{\Psi} ||z - \hat{z}||$$

where  $\bar{\mu}_{\Psi}$  denote, the Lipschitz constants of  $\Psi^{-1}(z)$ .

Thus, the inequality (36) can be rewritten in the original coordinates as:

(38) 
$$\dot{V}_o(\tilde{x}) \le -\bar{\mu}_{\Psi}(\theta - \xi) \|\tilde{x}\|^2$$

If we chose  $\theta > \xi$ , then the norm of the estimation error goes to zero as  $t \to \infty$ . Consequently, the convergence of the algorithm is guaranteed. This completes the proof of the theorem.

### Appendix B. Proof of Theorem 2

Consider the following Lyapunov function candidate:

(39) 
$$V(\tilde{x}, e_1, e_2) = V_o(\tilde{x}) + V_{c1}(e_1) + V_{c2}(e_2),$$
  
where  $V_{c1}(e_1) = \frac{1}{2}(e_{11}^2 + e_{12}^2), V_{c2}(e_2) = \frac{1}{2}(e_{21}^2 + e_{22}^2).$ 

The time derivative of the Lyapunov  $\tilde{V}(\tilde{x}, e_1, e_2)$  function is:

(40) 
$$\dot{V}(\tilde{x}, e_1, e_2) = \dot{V}_o(\tilde{x}) + \dot{V}_{c1}(e_1) + \dot{V}_{c2}(e_2)$$

Where  $\dot{V}_o(\tilde{x})$  was defines in the preceding proof  $(\dot{V}_o(\tilde{x}) \leq -\bar{\mu}_{\Psi}(\theta - \xi) \|\tilde{x}\|^2)$ : (41)

$$\dot{V}_{c1}(e_1) = e_{11}\dot{e}_{11} + e_{12}\dot{e}_{12},$$
(42)
$$\dot{V}_{c1}(e_1) = e_{11}e_{12} + e_{12}(-\delta_1e_{11} - \delta_2e_{12}) + e_{12}((\alpha - \theta)\tilde{x}_1 + \beta_1(r_1(x) - r_1(\hat{x}))) + e_{12}\beta_2(r_2(x) - r_2(\hat{x})) - \frac{1}{V}\tilde{x}_3u_1e_{12} + e_{12}v_{11}$$
(43)

(43)

$$\begin{split} \dot{V}_{c1}(e_1) &= -e_1^T A_{c1} e_1 + e_{12}((\alpha - \theta)\tilde{x}_1 + \beta_1(r_1(x) - r_2(\hat{x}))) \\ &+ e_{12}\beta_2(r_2(x) - r_2(\hat{x})) - \frac{1}{V}\tilde{x}_3 u_1 e_{12} + e_{12}v_{11} \\ \text{with } A_{c1} &= \begin{bmatrix} 0 & -1\\ \delta_1 & \delta_2 \end{bmatrix} > 0, \ e_1 = \begin{bmatrix} e_{11}\\ e_{12} \end{bmatrix}. \end{split}$$

Using assumption (Assumption 3), inequality (43) become

(44)  
$$\dot{V}_{c1}(e_1) \leq -\lambda_{A_{c1}}^{\min} \|e_1\|^2 + e_{12}(\alpha - \theta)\tilde{x}_1 + \beta_1 \sigma_{r1} e_{12} \|\tilde{x}\| + \beta_2 \sigma_{r2} e_{12} \|\tilde{x}\| - \frac{1}{V} \tilde{x}_3 U_{\min 1} e_{12} + e_{12} v_{11},$$

where  $\lambda_{A_{c1}}^{\min}$  is the minimum eigenvalue of  $A_{c1}$ . Knowing that  $||e_{12}|| \leq ||e_1||$ ,  $||\tilde{x}_3|| \leq ||\tilde{x}||$  and  $||\tilde{x}_1|| \leq ||\tilde{x}||$  we obtain:

(45)  
$$\dot{V}_{c1}(e_1) \leq -\lambda_{A_{c1}}^{\min} \|e_1\|^2 + \beta_1 \sigma_{r1} \|e_1\| \|\tilde{x}\| + \beta_2 \sigma_{r2} \|e_1\| \|\tilde{x}\| + (\alpha - \theta) \tilde{x}_1 e_{12} - \frac{1}{V} \tilde{x}_3 U_{\min 1} e_{12} + e_{12} v_{11}.$$

If we choose  $v_{11}$  as,

(46) 
$$v_{11} = (\theta - \alpha)\tilde{x}_1 + \frac{1}{V}\tilde{x}_3 U_{\min 1}$$

inequality (45) becomes:

$$(47) \quad \dot{V}_{c1}(e_1) \leq -\lambda_{A_{c1}}^{\min} \|e_1\|^2 + (\beta_1 \sigma_{r1} + \beta_2 \sigma_{r2}) \|e_1\| \|\tilde{x}\|,$$

$$(48) \quad \dot{V}_{c2}(e_2) = e_{21}\dot{e}_{21} + e_{22}\dot{e}_{22},$$

$$\dot{V}_{c2}(e_2) = e_{21}e_{22} + e_{22}(-\delta_3 e_{21} - \delta_4 e_{22}) + e_{22}((r_1(x) - r_1(\hat{x})))$$

$$(49) \quad -e_{22}((r_2(x) - r_2(\hat{x})) + \gamma_1 \tilde{x}_1 + \gamma_2 \tilde{x}_4 - \frac{1}{17}\tilde{x}_3 u_1) + e_{22}u_1$$

$$\begin{array}{l} 49) & -e_{22}((r_{2}(x)-r_{2}(x))+\gamma_{1}x_{1}+\gamma_{2}x_{4}-\frac{1}{V}x_{3}u_{1})+e_{22}v_{22},\\ \dot{V}_{c2}(e_{2}) = -e_{2}^{T}A_{c2}e_{2}+e_{22}((r_{1}(x)-r_{1}(\hat{x}))) \end{array}$$

(50) 
$$-e_{22}((r_2(x) - r_2(\hat{x})) + \gamma_1 \tilde{x}_1 + \gamma_2 \tilde{x}_4 - \frac{1}{V} \tilde{x}_3 u_1) + e_{22} v_{22}$$

with  $A_{c2} = \begin{bmatrix} 0 & -1 \\ \delta_3 & \delta_4 \end{bmatrix} > 0$ ,  $e_2 = \begin{bmatrix} e_{21} \\ e_{22} \end{bmatrix}$ , where  $\lambda_{A_{c2}}^{\min}$  is the minimum eigenvalue of  $A_{c2}$ .

(51)  
$$V_{c2}(e_2) \leq -\lambda_{A_{c2}}^{\min} \|e_2\|^2 + \sigma_{r2} \|\tilde{x}\| e_{22} \\ - e_{22}((r_2(x) - r_2(\hat{x})) + \gamma_1 \tilde{x}_1 + \gamma_2 \tilde{x}_4 - \frac{1}{V} \tilde{x}_3 u_1) + e_{22} v_{22}.$$

We have:  $||e_{22}|| \le ||e_2||$  and  $||\tilde{x}_3|| \le ||\tilde{x}||$ . Then:

(52)  
$$\begin{aligned} \dot{V}_{c2}(e_2) &\leq -\lambda_{A_{c2}}^{\min} \|e_2\|^2 + \sigma_{r2} \|\tilde{x}\| \|e_2\| \\ &- e_{22}((r_2(x) - r_2(\hat{x})) + \gamma_1 \tilde{x}_1 + \gamma_2 \tilde{x}_4 + \frac{1}{V} U_{\min 1}) + e_{22} \upsilon_{22}. \end{aligned}$$

We choose  $v_{22} = (r_2(x) - r_2(\hat{x})) + \gamma_1 \tilde{x}_1 + \gamma_2 \tilde{x}_4 + \frac{1}{V} U_{\min 1}$ , inequality (52) becomes:

(53) 
$$\dot{V}_{c2}(e_2) \le -\lambda_{A_{c2}}^{\min} \|e_2\|^2 + \sigma_{r2} \|\tilde{x}\| \|e_2\|,$$

(54)  

$$\dot{V}(\tilde{x}, e_1, e_2) \leq -\mu_{\Psi}(\theta - \xi) \|\tilde{x}\|^2 - \lambda_{A_{c1}}^{\min} \|e_1\|^2 - \lambda_{A_{c2}}^{\min} \|e_2\|^2 + (\beta_1 \sigma_{r1} + \beta_2 \sigma_{r2}) \|e_1\| \|\tilde{x}\| + \sigma_{r2} \|\tilde{x}\| \|e_2\|.$$

Inequality (54) can be rewritten as:

(55) 
$$V(\tilde{x}, e_1, e_2) \leq -\Lambda^T \Gamma \Lambda$$

with

$$\Gamma = \begin{bmatrix} \mu_{\Psi}(\theta - \xi) & -(\beta_1 \sigma_{r1} + \beta_2 \sigma_{r2}) & -\sigma_{r2} \\ 0 & \lambda_{A_{c1}}^{\min} & 0 \\ 0 & 0 & \lambda_{A_{c2}}^{\min} \end{bmatrix}, \Lambda = \begin{bmatrix} \tilde{x} \\ e_1 \\ e_2 \end{bmatrix}.$$

If  $\theta > \xi$ ,  $\lambda_{A_{c1}}^{\min} > 0$  and  $\lambda_{A_{c2}}^{\min} > 0$ , then  $\Gamma > 0$  and  $V(\tilde{x}, e_1, e_2) \leq 0$ . Consequently, asymptotical stability of the closed-loop system is established.

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