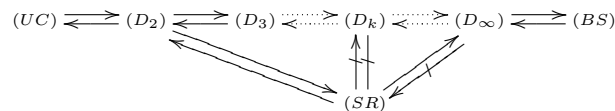


SUPERREFLEXIVITY AND PROPERTY (D_k) IN BANACH SPACES[†]

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ABSTRACT. In this paper, we study the relation between superreflexivity (SR) and property (D_k) in Banach spaces and get the following diagrams.



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1. INTRODUCTION

Let $(X, \|\cdot\|)$ be a real Banach space and X^* the dual space of X . By B_X , we denote the closed unit ball of X .

$(X, \|\cdot\|)$ is said to be uniformly convex (UC) if for all $\epsilon > 0$, there exists a $\delta > 0$ such that for $x, y \in B_X$ with $\|x - y\| \geq \epsilon$,

$$\left\| \frac{1}{2}(x + y) \right\| \leq 1 - \delta.$$

A Banach space is said to have Banach-Saks property (BS) if any bounded sequence in the space admits a subsequence whose arithmetic means converges in norm. S. Kakutani [5] showed that uniform convexity implies Banach-Saks property. T. Nishiura and D. Waterman [6] proved that Banach-Saks property implies reflexivity in Banach spaces.

For each positive integer $k \geq 2$, a Banach space $(X, \|\cdot\|)$ is said to have property (D_k) , where $k \geq 2$ if it is reflexive and there exists a number $\alpha, 0 <$

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$\alpha < 1$, such that for a weakly null sequence (x_n) in B_X , there exist $n_1 < n_2 < \dots < n_k$ with

$$\left\| \frac{1}{k} \sum_{i=1}^k (-1)^{i+1} x_{n_i} \right\| < \alpha.$$

We say that a Banach space X has property (D_∞) if it has (D_k) for some $k \in \mathbb{N}$. We can find the following strict implications in [3].

$$(UC) \Rightarrow (D_2) \Rightarrow (D_3) \Rightarrow \dots \Rightarrow (D_\infty) \Rightarrow (BS).$$

A Banach space Y is said to be finitely representable in a Banach space X if for every $\epsilon > 0$ and for every finite-dimensional subspace F of Y there exists an isomorphism T from F into X satisfying

$$(1 - \epsilon)\|y\| \leq \|Ty\| \leq (1 + \epsilon)\|y\|.$$

A Banach space X is said to be superreflexive (SR) if every Banach space Y finitely representable in X is reflexive. We shall say that a Banach space is uniformly convexifiable if it is isomorphic to a uniformly convex space, that is, if it can be endowed with an equivalent uniformly convex norm. It is well known that superreflexivity and uniform convexifiability are equivalent [1].

2. MAIN RESULTS

The following is well known and found in [5].

Lemma 1. *If a Banach space X is uniformly convex, then there exists $0 < \theta < 1$ such that for a weakly null sequence (x_n) and $\|x_n\| \leq K$,*

$$\left\| \frac{x_{m_{2n-1}} + x_{m_{2n}}}{2} \right\| < \theta K,$$

for some subsequence (x_{m_n}) of (x_n) .

Using Lemma 1, we get the following proposition.

Proposition 2. *Superreflexive Banach spaces have property (D_∞) .*

Proof. Let $(X, \|\cdot\|)$ be a superreflexive Banach space. Then there exists uniformly convex norm $|\cdot|$ such that $m\|x\| \leq |x| \leq M\|x\|$, for all $x \in X$. Suppose that (x_n) is a weakly null sequence in $B_{(X, \|\cdot\|)}$. Then (x_n) is weakly null sequence in $(X, |\cdot|)$ and $|x_n| \leq M$. Since uniform convexity implies property D_2 [2,3], there exists $0 < \alpha < 1$ such that for a weakly null sequence $(\frac{x_n}{M})_{n \geq 1}$ in $B_{(X, |\cdot|)}$, there exist $n_1 < n_2$ with

$$\left| \frac{1}{2} \left(\frac{x_{n_1}}{M} - \frac{x_{n_2}}{M} \right) \right| \leq \alpha,$$

for a weakly null sequence $(\frac{x_n}{M})_{n \geq n_2+1}$ in $B_{(X, |\cdot|)}$, there exist $n_3 < n_4$ with

$$\left| \frac{1}{2} \left(\frac{x_{n_3}}{M} - \frac{x_{n_4}}{M} \right) \right| \leq \alpha,$$

⋮

Continuing this process, we get a subsequence (x_{n_i}) of (x_n) with

$$|x_{n_{2i-1}} - x_{n_{2i}}| \leq 2M\alpha, \text{ for all } i \in \mathbb{N}.$$

Let (x_m^1) be the sequence defined by

$$2x_m^1 = x_{n_{2m-1}} - x_{n_{2m}}.$$

Then (x_m^1) is weakly null and $|x_m^1| \leq M\alpha$. By Lemma 1, there exists a subsequence of (x_m^1) (which we still call (x_m^1)) such that

$$|x_{2m-1}^1 + x_{2m}^1| \leq 2\theta M\alpha,$$

for some $0 < \theta < 1$ (which is not dependent on (x_m^1)).

Let (x_m^2) be the sequence given by

$$2x_m^2 = x_{2m-1}^1 + x_{2m}^1.$$

Then (x_m^2) is weakly null and $|x_m^2| \leq \theta M\alpha$. By Lemma 1, there exists a subsequence of (x_m^2) (which we still call (x_m^2)) such that

$$|x_{2m-1}^2 + x_{2m}^2| \leq 2\theta^2 M\alpha,$$

for some $0 < \theta < 1$ (which is not dependent on (x_m^2)). Continue this process, we get a subsequence (x_m^k) of (x_n) such that

$$|x_{2m-1}^k + x_{2m}^k| \leq 2\theta^k M\alpha,$$

for all $k \in \mathbb{N}$. For a sufficiently large $N \in \mathbb{N}$, choose $\delta > 0$ such that

$$2\theta^N \frac{M}{m} \alpha < 1 - \delta.$$

Since

$$|x_1^N + x_2^N| \leq 2\theta^N M\alpha < 2m(1 - \delta)$$

and

$$\begin{aligned} x_1^N + x_2^N &= \frac{1}{2}(x_1^{N-1} + x_2^{N-1}) + \frac{1}{2}(x_3^{N-1} + x_4^{N-1}) \\ &= \frac{1}{4}(x_1^{N-2} + x_2^{N-2} + \dots + x_8^{N-2}) \\ &= \frac{1}{2^{N-1}}(x_1^1 + x_2^1 + \dots + x_{2^N}^1) \\ &\vdots \\ &= \frac{1}{2^N}(x_{n_1} - x_{n_2} + x_{n_3} - x_{n_4} + \dots + x_{2^{N+1}-1} - x_{2^{N+1}}), \\ &\left| \frac{1}{2^{N+1}} \sum_{k=1}^{2^{N+1}} (-1)^{k+1} x_{n_k} \right| < (1 - \delta)m \end{aligned}$$

and

$$\left\| \frac{1}{2^{N+1}} \sum_{k=1}^{2^{N+1}} (-1)^{k+1} x_{n_k} \right\| < 1 - \delta.$$

Since N and δ depend only on X , it follows that X has property $(D_{2^{N+1}})$, hence (D_∞) . \square

The following is the example with property (D_{k+1}) which dose not have property (D_k) [3].

Example 3. For $x = (a_n) \in l_2$, we define a norm $\|x\|_{(k)}$ by

$$\|x\|_{(k)} = \left(\sup_{n_1 < n_2 < \dots < n_k} \left(\sum_{i=1}^k |a_{n_i}| \right)^2 + \sum_{n \neq n_1, n_2, \dots, n_k} |a_n|^2 \right)^{\frac{1}{2}}.$$

Then $\|x\|_2 \leq \|x\|_{(k)} \leq \sqrt{k} \|x\|_2$. Let $X_k = (l_2, \|\cdot\|_{(k)})$.

Since X_k is isomorphic to l_2 , it is clear that X_k is superreflexive. Since X_k has no property (D_k) , we get the following proposition.

Proposition 4. *Superreflexivity dose not implies property (D_k) .*

It is natural to consider the converse of Proposition 2 and 4. We investigate the question whether property D_k or D_∞ are superreflexive or not.

Proposition 5. *Let Y be a Banach space with basis (e_n) and with norm such that for $0 \leq |a_n| \leq |b_n|$,*

$$\left\| \sum_{n=1}^{\infty} a_n e_n \right\| \leq \left\| \sum_{n=1}^{\infty} b_n e_n \right\|.$$

Let (X_n) be a family of finite dimensional spaces, let

$$Z = \left\{ x = (x_n) \in \prod_{n=1}^{\infty} X_n : \sum_{n=1}^{\infty} \|x_n\| e_n \in Y \right\},$$

and let Z have the norm

$$\|x\| = \left\| \sum_{n=1}^{\infty} \|x_n\| e_n \right\|.$$

If Y is uniformly convex, then Z has property (D_2) .

Proof. Let $(z^{(i)}) = ((z_n^{(i)}))$ be a weakly null sequence in B_Z . Then $(z_n^{(i)})$ is weakly null in X_n as $i \rightarrow \infty$, for each $n \in \mathbb{N}$. Since X_n is finite dimensional, $(z_n^{(i)})$ is norm null in X_n as $i \rightarrow \infty$, for each $n \in \mathbb{N}$.

Let $x_i = \sum_{n=1}^{\infty} \|z_n^{(i)}\| e_n$. Then $\|x_i\| = \|z^{(i)}\| \leq 1$. Since uniform convexity implies reflexivity, there exists a weakly convergent subsequence of (x_i) (which we still call (x_i)), say $x_i \rightarrow x = \sum a_n e_n$ weakly in Y .

For $n \in \mathbb{N}$, $a_n = e_n^*(x) = \lim_{i \rightarrow \infty} e_n^*(x_i) = \lim_{i \rightarrow \infty} \|z_n^{(i)}\| = 0$. This implies that (x_i) is weakly null in Y . By Lemma 1, there exists $\alpha < 1$ such that

$$2\alpha \geq \|x_{i_{m_1}} + x_{i_{m_2}}\| = \left\| \sum_{n=1}^{\infty} \left(\|z_n^{(i_{m_1})}\| + \|z_n^{(i_{m_2})}\| \right) e_n \right\|$$

for some $i_{m_1} < i_{m_2}$. Thus,

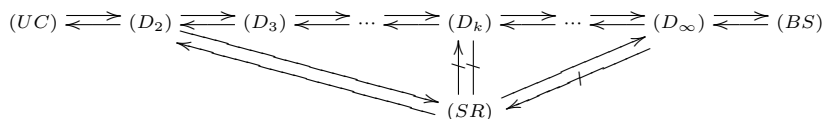
$$\begin{aligned} \|z^{(i_{m_1})} - z^{(i_{m_2})}\| &= \left\| \sum_{n=1}^{\infty} \|z_n^{(i_{m_1})} - z_n^{(i_{m_2})}\| e_n \right\| \\ &\leq \left\| \sum_{n=1}^{\infty} \left(\|z_n^{(i_{m_1})}\| + \|z_n^{(i_{m_2})}\| \right) e_n \right\| \\ &\leq 2\alpha. \end{aligned}$$

This means that Z has property (D_2) . □

It is well known that $(\prod_{n \geq 1} l_{\infty}^n)_{l_2}$ is not superreflexive but reflexive [1]. $(\prod_{n \geq 1} l_{\infty}^n)_{l_2}$ has property (D_2) , by Proposition 5. We then get the following corollary.

Corollary 6. *Property (D_2) does not imply superreflexivity.*

By Proposition 2, Proposition 4, Corollary 6 and [3], we get the following diagrams;



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