

## CHARACTERIZATIONS OF ELEMENTS IN PRIME RADICALS OF SKEW POLYNOMIAL RINGS AND SKEW LAURENT POLYNOMIAL RINGS

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ABSTRACT. We show that the  $\theta$ -prime radical of a ring  $R$  is the set of all strongly  $\theta$ -nilpotent elements in  $R$ , where  $\theta$  is an automorphism of  $R$ . We observe some conditions under which the  $\theta$ -prime radical of  $R$  coincides with the prime radical of  $R$ . Moreover we characterize elements in prime radicals of skew Laurent polynomial rings, studying  $(\theta, \theta^{-1})$ -(semi)primeness of ideals of  $R$ .

### 1. Introduction

Throughout  $R$  denotes a ring with identity and  $\theta : R \rightarrow R$  is an automorphism of  $R$ . We use  $\mathbb{Z}$  to denote the ring of integers. An ideal  $I$  of  $R$  is called a  $\theta$ -ideal if  $\theta(I) \subseteq I$ , and is called  $\theta$ -invariant if  $\theta(I) = I$ . There are some examples of  $\theta$ -ideals which are not  $\theta$ -invariant.

**Example 1.1.** Let  $K$  be any ring and  $T = K[x_i \mid i \in \mathbb{Z}]$  be the free algebra over  $K$  in the commuting indeterminates  $x_i, i \in \mathbb{Z}$ . Define a  $K$ -homomorphism  $\theta : T \rightarrow T$  by  $\theta(x_i) = x_{i+1}, i \in \mathbb{Z}$ .

(1) Put  $I_1 = \sum_{i \leq -1} Tx_i^2 \oplus \sum_{i \geq 0} Tx_i$ . Then it is a  $\theta$ -ideal of  $T$ . However, it is not  $\theta$ -invariant, since  $x_0 \in I_1 \setminus \theta(I_1)$ .

(2) Consider the ideal  $N$  of  $T$  generated by the monomials  $x_{i_1} \cdots x_{i_n}$ , where  $n \geq 2$ , then it is a  $\theta$ -invariant ideal of  $T$ . Thus,  $\theta$  induces an automorphism of  $R = T/N \cong K \oplus \sum_{i \in \mathbb{Z}} K\bar{x}_i$ , where  $\bar{x}_i = x_i + N$ . Put  $I_2 = \sum_{i \geq 1} K\bar{x}_i$ . Then it is a  $\theta$ -ideal of  $R$ . However, it is not  $\theta$ -invariant since  $\theta(I) = \sum_{i \geq 2} K\bar{x}_i$ .

According to Pearson and Stephenson [4], a proper  $\theta$ -ideal  $I$  of  $R$  is called  $\theta$ -prime provided that if  $AB \subseteq I$  for an ideal  $A$  and a  $\theta$ -ideal  $B$  in  $R$ , then  $A \subseteq I$  or  $B \subseteq I$ ; a proper  $\theta$ -ideal  $I$  of  $R$  is called  $\theta$ -semiprime provided that whenever  $A$  is an ideal of  $R$  and  $m$  is an integer such that  $A\theta^k(A) \subseteq I$  for all

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Received June 2, 2009.

2010 *Mathematics Subject Classification.* 16N40, 16N60, 16S36.

*Key words and phrases.*  $\theta$ -ideal,  $\theta$ -prime ideal,  $\theta$ -semiprime ideal, strongly  $\theta$ -nilpotent element,  $\theta$ -prime radical, prime radical, skew polynomial ring, skew Laurent polynomial ring.

$k \geq m$  we have  $A \subseteq I$ . It is not difficult to check that a  $\theta$ -invariant ideal  $I$  of  $R$  is  $\theta$ -prime if and only if  $A \subseteq I$  or  $B \subseteq I$  whenever  $A, B$  are ideals in  $R$  and  $m$  is an integer such that  $A\theta^k(B) \subseteq I$  for all integers  $k \geq m$ . Thus  $\theta$ -prime ideals are  $\theta$ -semiprime.

A ring is called  $\theta$ -prime ( $\theta$ -semiprime) if the zero ideal is  $\theta$ -prime ( $\theta$ -semiprime). The (left) skew polynomial ring by  $\theta$  over  $R$  is denoted by  $R[x; \theta]$ . Note that  $\theta$  extends to an automorphism  $\theta^* : R[x; \theta] \rightarrow R[x; \theta]$  defined by  $\theta^*(\sum_{i \geq 0} a_i x^i) = \sum_{i \geq 0} \theta(a_i) x^i$  for all  $\sum_{i \geq 0} a_i x^i \in R[x; \theta]$ .

We first recall the following result proved by Pearson and Stephenson.

**Lemma 1.2** ([4, Proposition 1.1]). (1)  $R[x; \theta]$  is a prime ring if and only if  $R$  is  $\theta$ -prime.

(2)  $R[x; \theta]$  is a semiprime ring if and only if  $R$  is  $\theta$ -semiprime.

$P(R)$  denotes the prime radical of  $R$  (i.e., the intersection of all prime ideals in  $R$ ). Analogously we define the  $\theta$ -prime radical of  $R$  by

$$\bigcap \{P \mid P \text{ is a } \theta\text{-invariant prime ideal of } R\},$$

which is written by  $P_\theta(R)$ .

The prime radical of  $R[x; \theta]$  had been completely described as follows.

**Lemma 1.3** ([4, Theorem 1.3]). The prime radical of  $R[x; \theta]$  is

$$\begin{aligned} P(R[x; \theta]) &= \left\{ \sum_{i \geq 0} a_i x^i \mid a_0 \in P(R) \cap P_\theta(R) \text{ and } a_i \in P_\theta(R) \text{ for } i \geq 1 \right\} \\ &= (P(R) \cap P_\theta(R)) + \sum_{i \geq 1} P_\theta(R) x^i. \end{aligned}$$

**Corollary 1.4.**  $R[x; \theta]$  is semiprime if and only if  $R$  is  $\theta$ -semiprime if and only if  $P_\theta(R) = 0$ .

*Remark 1.5.* For a proper  $\theta$ -invariant ideal  $I$  of  $R$ , the map  $\bar{\theta} : R/I \rightarrow R/I$ , defined by  $\bar{\theta}(a + I) = \theta(a) + I$  for  $a \in R$ , is an automorphism. Moreover for an ideal  $P$  of  $R$  with  $I \subseteq P$ ,  $P$  is  $\theta$ -prime ( $\theta$ -semiprime) if and only if  $P/I$  is  $\bar{\theta}$ -prime ( $\bar{\theta}$ -semiprime). Thus we have  $P_{\bar{\theta}}(R/I) = Q/I$  where  $Q = \bigcap \{P \mid P \text{ is a } \theta\text{-invariant prime ideal of } R \text{ and } I \subseteq P\}$ .

The following lemma is an immediate consequence of Remark 1.4.

**Lemma 1.6.** A proper  $\theta$ -invariant ideal  $I$  of  $R$  is  $\theta$ -prime ( $\theta$ -semiprime) if and only if  $R/I$  is  $\bar{\theta}$ -prime ( $\bar{\theta}$ -semiprime).

**Proposition 1.7.** Let  $I$  be a proper  $\theta$ -invariant ideal of  $R$  and  $\bar{\theta}$  be the automorphism of  $R/I$  defined as above. Then the following conditions are equivalent:

- (1)  $I$  is a  $\theta$ -semiprime ideal of  $R$ ;
- (2)  $R/I$  is a  $\bar{\theta}$ -semiprime ring;
- (3)  $P_{\bar{\theta}}(R/I) = 0$ ;

- (4)  $I$  is the intersection of some  $\theta$ -invariant prime ideals of  $R$ ;
- (5)  $(R/I)[x; \bar{\theta}]$  is a semiprime ring;
- (6)  $I[x; \theta] = \{\sum_{i \geq 0} a_i x^i \mid a_i \in I\}$  is a semiprime ideal of  $R[x; \theta]$ .

*Proof.* (1) $\Leftrightarrow$ (2) is obtained by Lemma 1.5. Corollary 1.3 gives (2) $\Leftrightarrow$ (3) $\Leftrightarrow$ (5). (3) $\Leftrightarrow$ (4) is proved by the argument in Remark 1.4. (5) $\Leftrightarrow$ (6) follows from the fact that  $\frac{R}{I}[x; \bar{\theta}] \cong \frac{R[x; \theta]}{I[x; \theta]}$ .  $\square$

Recall that  $P(R)$  is the smallest semiprime ideal of  $R$ . The following is a similar result for  $P_\theta(R)$ , obtained from Proposition 1.6.

**Corollary 1.8.**  $P_\theta(R)$  is the smallest  $\theta$ -invariant semiprime ideal, i.e.,  $P_\theta(R)$  is contained in every  $\theta$ -invariant semiprime ideal of  $R$ .

Note that  $P(R)$  is the set of all strongly nilpotent elements in  $R$  [2, Proposition 3.2.1]. Similarly we can characterize elements in  $P_\theta(R)$  as follows.

An element  $a$  in  $R$  shall be called *strongly  $\theta$ -nilpotent* provided that for any sequence  $(t_n)_{n=0}^\infty$  of positive integers such that  $t_{n+1} \geq 1 + \sum_{i=0}^n t_i$ , and for any sequence  $(a_n)_{n=0}^\infty$  in  $R$  such that  $a_0 = a$  and  $a_{n+1} \in a_n R \theta^{t_n}(a_n)$  for all  $n \geq 0$ , there is an integer  $m$  such that  $a_m = 0$ . We will prove that  $P_\theta(R)$  is the set of all strongly  $\theta$ -nilpotent elements in  $R$ .

**Lemma 1.9.** Let  $P$  be a  $\theta$ -prime ideal of  $R$ . If  $a \in R \setminus P$ , then for any integer  $n$  there exists an integer  $t_n \geq n$  such that  $aR\theta^{t_n}(a) \not\subseteq P$ .

*Proof.* Since  $P$  is  $\theta$ -invariant and  $a \notin P$ , we have  $\theta^m(a) \notin P$  for each integer  $m$ . For a fixed integer  $n$ , let  $A_n = \sum_{k=n}^\infty R\theta^k(a)R$ , then  $A_n$  is a  $\theta$ -ideal of  $R$  and  $(RaR)A_n \not\subseteq P$  since  $P$  is  $\theta$ -prime. Now we get  $(RaR)A_n = \sum_{k=n}^\infty RaR\theta^k(a)R$ , hence  $aR\theta^{t_n}(a) \not\subseteq P$  for some  $t_n \geq n$ .  $\square$

**Theorem 1.10.**  $P_\theta(R)$  is the set of all strongly  $\theta$ -nilpotent elements in  $R$ .

*Proof.* Suppose  $a \in P_\theta(R)$ , then  $ax \in P(R[x; \theta])$  by Lemma 1.2. So  $ax$  is strongly nilpotent in  $R[x; \theta]$  by [2, Proposition 3.2.1]. Let  $(a_n)_{n=0}^\infty$  be a sequence in  $R$  such that  $a_0 = a$ ,  $a_{n+1} = a_n r_n \theta^{t_n}(a_n)$ , where  $r_n \in R$  and  $t_n$  is a positive integer satisfying  $t_{n+1} \geq 1 + \sum_{i=0}^n t_i$  for all  $n \geq 0$ . For convenience, let  $s_0 = 1$ ,  $s_n = 1 + \sum_{i=0}^{n-1} t_i$ ,  $y_0 = ax$  and  $y_n = a_n x^{s_n}$  for all  $n \geq 1$ . Then  $s_{n+1} = s_n + t_n$ ,  $s_n \leq t_n$  and hence we have

$$\begin{aligned} y_{n+1} &= a_{n+1} x^{s_{n+1}} = a_n r_n \theta^{t_n}(a_n) x^{t_n} x^{s_n} = a_n x^{s_n} \theta^{-s_n}(r_n) x^{t_n - s_n} a_n x^{s_n} \\ &= y_n z_n y_n \in y_n R[x; \theta] y_n, \end{aligned}$$

where  $z_n = \theta^{-s_n}(r_n) x^{t_n - s_n}$  for all  $n \geq 0$ . Since  $y_0 = ax$  is strongly nilpotent in  $R[x; \theta]$ ,  $y_n = 0$  eventually and so does  $a_n = 0$ , proving that  $a$  is strongly  $\theta$ -nilpotent.

Conversely let  $a \notin P_\theta(R)$ , then  $a \notin P$  for some  $\theta$ -prime ideal  $P$  of  $R$ . Thus by Lemma 1.8 there is an integer  $t_0 \geq 1$  and  $r_0 \in R$  with  $a_0 r_0 \theta^{t_0}(a_0) \notin P$ . Let  $a_1 = a_0 r_0 \theta^{t_0}(a_0)$ , then we get  $a_2 = a_1 r_1 \theta^{t_1}(a_1) \notin P$  for  $r_1 \in R$  and

$t_1 \geq 1 + t_0$  by applying Lemma 1.8 to  $a_1$ . Repeating this process, we obtain sequences  $(r_n)_{n=0}^\infty$ ,  $(a_n)_{n=0}^\infty$  in  $R$  and  $(t_n)_{n=0}^\infty$  of positive integers such that  $t_{n+1} \geq 1 + \sum_{i=0}^n t_i$  and  $a_0 = a$ ,  $a_{n+1} = a_n r_n \theta^{t_n}(a_n)$  with  $a_n \notin P$  for all  $n \geq 0$ . This shows that  $a$  is not strongly  $\theta$ -nilpotent.  $\square$

Since  $\theta^{-1}$  is also an automorphism of  $R$ , we can define  $\theta^{-1}$ -primeness and  $\theta^{-1}$ -semiprimeness analogously. In general  $P_{\theta^{-1}}(R)$  need not coincide with  $P_\theta(R)$  by Example 3.13 below. But Pearson, Stephenson, and Watters [5] gave an affirmative answer for positive powers of  $\theta$  as in the following.

**Lemma 1.11** ([5, Proposition 4.9]).  *$P_{\theta^n}(R) = P_\theta(R)$  for any positive integer  $n$ ; that is,  $a \in R$  is strongly  $\theta$ -nilpotent if and only if  $a$  is strongly  $\theta^n$ -nilpotent.*

*Remark 1.12.* In [3] Lam, Leroy, and Matczuk defined the notion of strongly  $\theta$ -nilpotency and  $\theta$ -prime radical  $\text{rad}(R; \theta)$  to discuss the prime radicals of  $R[x; \theta]$  and  $R[x, x^{-1}; \theta]$ . But the notions in [3] are different from ours. In Section 3 we will prove that

$$\text{rad}(R; \theta) = P(R) \cap P_\theta(R) \cap P_{\theta^{-1}}(R).$$

Lam, Leroy and Matczuk [3, Definition 3.1(b)] introduce the notion of  $\theta$ -nilpotency as follows. An element  $a$  in  $R$  is  $\theta$ -nilpotent if for each integer  $k \geq 1$  there exists an integer  $n = n(k) \geq 1$  such that  $a\theta^k(a)\theta^{2k}(a) \cdots \theta^{nk}(a) = 0$ . A  $\theta$ -invariant ideal  $I$  of  $R$  is said to be  $\theta$ -nil if every element in  $I$  is  $\theta$ -nilpotent.

**Proposition 1.13.**  *$P_\theta(R)$  is  $\theta$ -nil.*

*Proof.* It is obvious that  $P_\theta(R)$  is  $\theta$ -invariant. Thus it suffices to prove that every strongly  $\theta$ -nilpotent element is  $\theta$ -nilpotent. Let  $a$  be strongly  $\theta$ -nilpotent and  $k \geq 1$ . Put  $t_n = 2^n k$ . Then  $t_0 = k \geq 1$  and  $t_{n+1} = 2^{n+1} k \geq 1 + (1 + 2 + \cdots + 2^n)k = 1 + \sum_{i=0}^n t_i$  for all  $n \geq 0$ . Also let  $a_0 = a$  and  $a_{n+1} = a_n \theta^{t_n}(a_n)$ ; then  $a_{n+1} \in a_n R \theta^{t_n}(a_n)$ . Thus  $a_n = 0$  for some  $n \geq 1$  because  $a_0 = a$  is strongly  $\theta$ -nilpotent. Consequently  $0 = a_n = a\theta^k(a)\theta^{2k}(a) \cdots \theta^{(2^n - 1)k}(a)$ , entailing that  $a$  is  $\theta$ -nilpotent.  $\square$

**Lemma 1.14** ([3, Theorem 3.5]). *Every ring  $R$  contains the largest  $\theta$ -nil ideal, written by  $N_\theta(R)$ , such that  $N_{\bar{\theta}}(R/N_\theta(R)) = 0$ , where  $\bar{\theta}$  is the induced automorphism of  $R/N_\theta(R)$  defined as in Remark 1.4.*

The ideal  $N_\theta(R)$  in Lemma 1.13 is called the  $\theta$ -nil radical of  $R$ .

## 2. Relations between $P(R)$ and $P_\theta(R)$

In this section we first give some examples of  $\theta$  and  $R$  and next consider some conditions under which  $P(R)$  and  $P_\theta(R)$  are equal.

**Example 2.1.** Let  $F$  be a field and  $A = F\{x_i \mid i \in \mathbb{Z}\}$  be the free algebra with noncommuting indeterminates  $\{x_i \mid i \in \mathbb{Z}\}$  over  $F$ . Let  $I$  be the ideal of  $A$  generated by the subset  $\{u^2 \mid u \in \sum_{i \in \mathbb{Z}} Fx_i\}$  and set  $R = A/I$ . Then  $R$  is the exterior algebra on the set  $\{\bar{x}_i \mid i \in \mathbb{Z}\}$ , where  $\bar{x}_i = x_i + I$ . Let  $\theta : R \rightarrow R$  be

the  $F$ -automorphism of  $R$  induced by the assignment  $\bar{x}_i \rightarrow \bar{x}_{i+1}$  for all  $i \in \mathbb{Z}$ . Then clearly  $P(R) = \sum_{i \in \mathbb{Z}} R\bar{x}_i$ . However  $P_\theta(R) = 0$  since  $R$  has no nonzero strongly  $\theta$ -nilpotent elements. In this case  $P_\theta(R) \subsetneq P(R)$ .

**Example 2.2.** Let  $F$  be a field and  $B = \prod_{i \in \mathbb{Z}} F_i$  with  $F_i = F$  for all  $i$ . Let  $R$  be the  $F$ -subalgebra of  $B$  generated by  $\bigoplus_{i \in \mathbb{Z}} F_i$  and  $1_B$ . For each  $i$  set  $e_i$  to be the idempotent of  $B$  such that  $e_i(j) = \delta_{ij}1_F$ , where  $\delta_{ij}$  is the Kronecker delta. Let  $\theta : R \rightarrow R$  be the automorphism of  $R$  induced by the assignment  $e_i \mapsto e_{i+1}$  for each  $i$ . Since  $R$  is a reduced ring, we have  $P(R) = 0$ . But each  $e_i$  is strongly  $\theta$ -nilpotent (also  $\theta^{-1}$ -nilpotent); hence  $P_\theta(R) = P_{\theta^{-1}}(R) = \bigoplus_{i \in \mathbb{Z}} F_i$ . In this case  $P(R) \subsetneq P_\theta(R)$ .

**Example 2.3.** Let  $R_1, \theta_1$  be the ring and automorphism respectively as in Example 2.1; and  $R_2$  and  $\theta_2$  be the ring and automorphism respectively as in Example 2.2. Set  $R = R_1 \oplus R_2$  and define  $\theta = \theta_1 \oplus \theta_2$  by  $\theta(a_1, a_2) = (\theta_1(a_1), \theta_2(a_2))$ . Then clearly  $\theta$  is an automorphism of  $R$ , and we have  $P(R) = P(R_1) \oplus P(R_2) = P(R_1)$  and  $P_\theta(R) = P_{\theta_1}(R_1) \oplus P_{\theta_2}(R_2) = P_{\theta_2}(R_2)$  by Examples 2.1 and 2.2. Thus  $P(R)$  and  $P_\theta(R)$  are not comparable.

In Examples 2.1, 2.2, and 2.3, we have  $P(R) \neq P_\theta(R)$ . But  $P(R)$  and  $P_\theta(R)$  are equal under some ascending chain condition as follows.

**Note.** If  $P$  is a  $\theta$ -semiprime ideal and  $A$  is a  $\theta^{-1}$ -ideal of  $R$  such that  $A^2 \subseteq P$ , then  $A \subseteq P$ . In fact, note that  $A\theta^k(A) = \theta^k(\theta^{-k}(A)A) \subseteq \theta^k(A^2) \subseteq \theta^k(P) = P$  for any integer  $k \geq 0$ . Since  $P$  is  $\theta$ -semiprime, we have  $A \subseteq P$ .

**Proposition 2.4.** *If  $R$  satisfies the ascending chain condition on  $\theta$ -ideals, then  $P(R) = P_\theta(R)$  and especially  $P(R[x; \theta]) = P(R)[x; \theta]$ .*

*Proof.* First note that if  $R$  satisfies the ascending chain condition on  $\theta$ -ideals, then every  $\theta$ -ideal is  $\theta$ -invariant. Suppose that  $A$  is an ideal of  $R$  and  $m$  is an integer such that  $A\theta^k(A) \subseteq P(R)$  for all  $k \geq m$ . Then  $AB \subseteq P(R)$  with  $B = \sum_{k=m}^\infty \theta^k(A)$ . Since  $B$  is a  $\theta$ -ideal,  $B$  is  $\theta$ -invariant. If  $P$  is any prime ideal of  $R$ , then  $AB \subseteq P(R) \subseteq P$  and so  $A \subseteq P$  or  $B \subseteq P$ . If  $B \subseteq P$  then  $A \subseteq \theta^{-m}(B) = B \subseteq P$ . In any case  $A \subseteq P$ , entailing  $A \subseteq P(R)$ . This proves  $P_\theta(R) \subseteq P(R)$  by Corollary 1.7.

For the converse inclusion, it is enough to show that  $P_\theta(R)$  is a semiprime ideal of  $R$ . Suppose that  $I$  is an ideal of  $R$  such that  $I^2 \subseteq P_\theta(R)$ . Then clearly  $(\theta^k(I))^2 = \theta^k(I^2) \subseteq \theta^k(P_\theta(R)) = P_\theta(R)$  for any integer  $k$ . Choose any element  $a \in I$  and let  $C = \sum_{k=0}^\infty R\theta^k(a)R$ . Then  $C$  is a  $\theta$ -ideal of  $R$  and hence is  $\theta$ -invariant, entailing  $a \in C = \theta(C) = \sum_{k=1}^\infty R\theta^k(a)R$ . This implies that  $a \in R\theta(a)R + \dots + R\theta^m(a)R$  for some  $m \geq 1$ . Let  $D = \sum_{k=1}^m R\theta^k(a)R$ ; then  $D$  is a  $\theta^{-1}$ -ideal such that

$$D^{m+1} = \left(\sum_{k=1}^m R\theta^k(a)R\right)^{m+1} \subseteq \sum_{k=1}^m (R\theta^k(a)R)^2 \subseteq \sum_{k=1}^m (\theta^k(I))^2 \subseteq P_\theta(R).$$

By the preceding Note combined with induction on  $m \geq 1$ , we have  $D \subseteq P_\theta(R)$ . Since  $a \in D$ ,  $a \in P_\theta(R)$  and hence  $I \subseteq P_\theta(R)$ . □

**Corollary 2.5.** *If  $R$  is left or right Noetherian, then  $P_\theta(R) = P_{\theta^{-1}}(R) = P(R)$  for any automorphism  $\theta$  of  $R$ .*

An automorphism  $\theta$  of  $R$  is called *of locally finite order* if for any  $a \in R$  there is an integer  $n = n(a) \geq 1$  such that  $\theta^n(a) = a$ . Bedi and Ram proved that if  $\theta$  is of locally finite order, then the Jacobson radicals of  $R[x; \theta]$  and  $R[x, x^{-1}; \theta]$  have much nicer forms [1, Corollary 3.3 and Theorem 3.7]. We also prove that if  $\theta$  is of locally finite order, then  $P_\theta(R) = P(R)$  in the following.

**Proposition 2.6.** *If  $\theta$  is of locally finite order, then  $P(R) = P_\theta(R) = P_{\theta^{-1}}(R)$ .*

*Proof.* It suffices to prove that  $P(R)$  is  $\theta$ -semiprime and  $P_\theta(R)$  is semiprime. Let  $I$  be an ideal of  $R$  and  $m$  be an integer such that  $I\theta^k(I) \subseteq P(R)$  for all  $k \geq m$ . Set  $A = RaR$  for  $a \in I$ . Since  $\theta$  is of locally finite order there is an integer  $n \geq 1$  such that  $\theta^n(a) = a$ . Thus  $\theta^{nk}(a) = a$  for any integer  $k$ . Choose a positive integer  $k$  such that  $k \geq m$ , then  $nk \geq m$  and hence we have  $A^2 = A\theta^{nk}(A) \subseteq I\theta^{nk}(I) \subseteq P(R)$ . Thus we obtain  $A \subseteq P(R)$  and  $a \in P(R)$ , proving that  $I \subseteq P(R)$  and  $P(R)$  is  $\theta$ -semiprime.

To show that  $P_\theta(R)$  is semiprime, let  $J$  be an ideal of  $R$  with  $J^2 \subseteq P_\theta(R)$ . Since  $P_\theta(R)$  is  $\theta$ -invariant,  $\theta^k(J^2) \subseteq \theta^k(P_\theta(R)) = P_\theta(R)$  for each integer  $k$ . Let  $b \in J$  and choose an integer  $n \geq 1$  such that  $\theta^n(b) = b$ . Set  $B = \sum_{k=0}^{n-1} R\theta^k(b)R$ . Then  $B$  is a  $\theta$ -ideal of  $R$  and  $B^{n+1} \subseteq \sum_{k=0}^{n-1} (R\theta^k(b)R)^2 \subseteq \sum_{k=0}^{n-1} \theta^k(J^2) \subseteq P_\theta(R)$ . Since  $P_\theta(R)$  is  $\theta$ -semiprime and  $B$  is a  $\theta$ -ideal in  $R$  we have  $b \in B \subseteq P_\theta(R)$ , entailing  $J \subseteq P_\theta(R)$ . Thus  $P_\theta(R)$  is a semiprime ideal of  $R$ .  $\square$

An important class of automorphisms is the class of power-quasi-inner ones. According to Pearson et al. [5], an automorphism  $\theta$  of  $R$  is called *quasi-inner* (QI for short) if there exists a regular element (i.e., neither left nor right zero-divisor)  $u \in R$  such that  $ur = \theta(r)u$  for all  $r \in R$ , and  $\theta$  is called *power-quasi-inner* (PQI for short) if  $\theta^n$  is QI for some positive integer  $n$ .

*Remark 2.7.* Let  $\theta$  be a PQI automorphism of  $R$ . Then there are an integer  $n \geq 1$  and a regular element  $u \in R$  such that  $ur = \theta^n(r)u$  for all  $r \in R$ . We call such a regular element  $u$  an *axis* for  $\theta$ . If  $u$  is an axis for  $\theta$ , then  $Ru = uR$  (hence this is a two-sided ideal of  $R$ ). Moreover if  $u$  is an axis for  $\theta$ , then  $u^n$  is also an axis for  $\theta$  for all  $n \geq 1$ . Pearson, Stephenson and Watters proved that if  $\theta$  is PQI, then there is an axis  $u$  for  $\theta$  such that  $\theta(u) = u$  [5, Proposition 4.7].

We will find relations among  $P(R)$ ,  $P_\theta(R)$  and  $P_{\theta^{-1}}(R)$  for a PQI automorphism  $\theta$  of  $R$ . First notice the following lemma, obtained from [5, Lemma 4.11].

**Lemma 2.8.** *Suppose that  $\theta$  is a PQI automorphism of  $R$  and  $u \in R$  is an axis for  $\theta$ . Then  $P(R)u \subseteq P_\theta(R)$  and  $P_\theta(R)u \subseteq P(R)$ .*

**Lemma 2.9.** *Let  $\theta$  be a QI automorphism of  $R$  with an axis  $u$  satisfying  $ur = \theta(r)u$  for all  $r \in R$ . Then*

- (1) *If  $a \in R$  with  $au \in P(R)$ , then  $a \in P_\theta(R)$ .*

(2) If  $a \in R$  with  $au \in P_{\theta^{-1}}(R)$ , then  $a \in P(R)$ .

*Proof.* The proofs are similar to that of Theorem 1.9. Since  $\theta$  is QI,  $\theta(u) = u$  by definition.

(1) If  $au \in P(R)$ , then  $au$  is strongly nilpotent in  $R$ . It suffices to show that  $a$  is strongly  $\theta$ -nilpotent by Theorem 1.9. Let  $(a_n)_{n=0}^\infty$  be a sequence in  $R$  such that  $a_0 = a$  and  $a_{n+1} = a_n r_n \theta^{t_n}(a_n)$ , where  $r_n \in R$  and  $t_0 \geq 1$ ,  $t_{n+1} \geq 1 + \sum_{i=0}^n t_i$  for all  $n \geq 0$ . For convenience let  $s_0 = 1$ ,  $s_{n+1} = 1 + \sum_{i=0}^n t_i$ . Then  $s_{n+1} = s_n + t_n$  and  $s_n \leq t_n < s_{n+1}$ . Letting  $b_n = a_n u^{s_n}$  for all  $n \geq 0$ , then we have  $b_0 = au$  and

$$\begin{aligned} b_{n+1} &= a_{n+1} u^{s_{n+1}} = a_n r_n \theta^{t_n}(a_n) u^{t_n} u^{s_n} \\ &= a_n u^{s_n} \theta^{-s_n}(r_n) u^{t_n - s_n} a_n u^{s_n} = b_n (\theta^{-s_n}(r_n) u^{t_n - s_n}) b_n \in b_n R b_n \end{aligned}$$

for all  $n \geq 0$ . Since  $b_0 = au$  is strongly nilpotent,  $b_m = 0$  for some  $m \geq 0$ , causing  $a_m = 0$  because  $u$  is regular. Thus  $a$  is strongly  $\theta$ -nilpotent.

(2) If  $au \in P_{\theta^{-1}}(R)$ , then  $au$  is strongly  $\theta^{-1}$ -nilpotent. We will show that  $a$  is strongly nilpotent. Let  $(c_n)_{n=0}^\infty$  be a sequence in  $R$  such that  $c_0 = a$  and  $c_{n+1} = c_n r_n c_n$ , where  $r_n \in R$  for all  $n \geq 0$ . Let  $t_n = 2^n$ , then clearly  $t_0 \geq 1$ ,  $t_{n+1} \geq 1 + \sum_{i=0}^n t_i$  for all  $n \geq 0$ . Put  $d_n = c_n u^{t_n} = c_n u^{2^n}$ . Then we have  $d_0 = c_0 u = au$  and

$$\begin{aligned} d_{n+1} &= c_{n+1} u^{t_{n+1}} = c_n r_n c_n u^{2^{n+1}} = c_n r_n c_n u^{2^n} u^{2^n} \\ &= c_n u^{2^n} \theta^{-2^n}(r_n) \theta^{-2^n}(c_n u^{2^n}) = d_n \theta^{-2^n}(r_n) \theta^{-t_n}(d_n) \in d_n R \theta^{-t_n}(d_n), \end{aligned}$$

for all  $n \geq 0$ . Since  $d_0 = au$  is strongly  $\theta^{-1}$ -nilpotent, we get  $d_k = 0$  for some  $k \geq 1$ , causing  $c_k = 0$  because  $u$  is regular. Thus  $a$  is strongly nilpotent.  $\square$

**Note.** In Lemma 2.9 we also obtain that  $ua \in P(R)$  (resp.  $ua \in P_{\theta^{-1}}(R)$ ) implies  $a \in P_\theta(R)$  (resp.  $a \in P(R)$ ), by similar proofs.

**Lemma 2.10.** *If  $\theta$  is a PQI automorphism of  $R$ , then we have the following assertions:*

- (1)  $P_{\theta^{-1}}(R) \subseteq P(R) \subseteq P_\theta(R)$ ;
- (2) Every axis  $u$  for  $\theta$  is regular modulo  $P_\theta(R)$ .

*Proof.* (1) Suppose that  $\theta^n$  is QI for some  $n \geq 1$ , then  $P_{\theta^{-n}}(R) \subseteq P(R) \subseteq P_{\theta^n}(R)$  by Lemma 2.9. But  $P_{\theta^n}(R) = P_\theta(R)$  and  $P_{\theta^{-n}}(R) = P_{\theta^{-1}}(R)$  by Lemma 1.10; hence we have  $P_{\theta^{-1}}(R) \subseteq P(R) \subseteq P_\theta(R)$ .

(2) Suppose that  $u$  is an axis for  $\theta$  and  $a \in R$  with  $au \in P_\theta(R)$ . Then  $au^2 \in P(R)$  by Lemma 2.8. Since  $u^2$  is also an axis for  $\theta$ , we have  $a \in P_\theta(R)$  by Lemma 2.9(1) and Lemma 1.10. We also obtain that  $ua \in P_\theta(R)$  implies  $a \in P_\theta(R)$  by Note of Lemma 2.9. Thus  $u$  is regular modulo  $P_\theta(R)$ .  $\square$

The class of QI automorphisms is large as can be seen by the following construction.

**Example 2.11.** Let  $\theta$  be any automorphism of  $R$  and  $S = R[x; \theta]$ . Define  $\theta^* : S \rightarrow S$  by  $\theta^*(\sum_i a_i x^i) = \sum_i \theta(a_i) x^i$ . Then  $\theta^*$  is a QI automorphism of  $S$  with an axis  $x$ , i.e.,  $x f(x) = \theta^*(f(x)) x$  for all  $f(x) \in S$ .

**Corollary 2.12.** Let  $S = R[x; \theta]$  and  $\sigma = \theta^*$  be as in Example 2.11. Then  $P_{\sigma^{-1}}(S) \subseteq P(S) \subseteq P_\sigma(S)$ .

*Proof.* By Lemma 2.10(1) and Example 2.11. □

**Note.** For any automorphism  $\theta$  of  $R$  we have  $P(S) = (P(R) \cap P_\theta(R)) + \sum_{i \geq 1} P_\theta(R) x^i$  by Lemma 1.2, where  $S = R[x; \theta]$ . So we also obtain  $P_\sigma(S) = \sum_{i \geq 0} P_\theta(R) x^i = P_\theta(R)[x; \theta]$  with the help of Lemma 2.10(2), where  $\sigma = \theta^*$  as in Corollary 2.12. Therefore  $P(S) = P_\sigma(S)$  if and only if  $P_\theta(R) \subseteq P(R)$ .

In Section 3 we will show  $P_{\sigma^{-1}}(S) = (P(R) \cap P_\theta(R) \cap P_{\theta^{-1}}(R))[x; \theta]$  and also give an example of  $R$  with a QI automorphism  $\theta$  such that  $P_{\theta^{-1}}(R) \subsetneq P(R) \subsetneq P_\theta(R)$ . But we have the following equality.

**Proposition 2.13.** Let  $\theta$  be a PQI automorphism of  $R$ . Then the following conditions are equivalent:

- (1)  $P(R) = P_\theta(R)$ ;
- (2) Every axis  $u$  for  $\theta$  is regular modulo  $P(R)$ ;
- (3) Some axis  $u$  for  $\theta$  is regular modulo  $P(R)$ .

*Proof.* (1) $\Rightarrow$ (2) follows directly from Lemma 2.10(2) and (2) $\Rightarrow$ (3) is obvious. To prove (3) $\Rightarrow$ (1), let  $u$  be an axis for  $\theta$  which is regular modulo  $P(R)$ . If  $a \in P_\theta(R)$ , then  $au \in P(R)$  by Lemma 2.8, forcing  $a \in P(R)$  since  $u$  is regular modulo  $P(R)$ . Thus we have  $P(R) = P_\theta(R)$  with the help of Lemma 2.10(1). □

**Corollary 2.14.** Let  $\theta$  be a PQI automorphism of  $R$ . If there is an axis  $u$  for  $\theta$  which is regular modulo  $P(R)$ , then  $P(R[x; \theta]) = P(R)[x; \theta]$ .

*Proof.* By Proposition 2.13 and Note after Corollary 2.12. □

### 3. The prime radical of $R[x, x^{-1}; \theta]$

Let  $\theta$  be an automorphism of  $R$ . We use  $S$  and  $T$  to denote  $R[x; \theta]$  and  $R[x, x^{-1}; \theta]$  respectively, where  $R[x, x^{-1}; \theta]$  is the skew Laurent polynomial ring with an indeterminate  $x$  over  $R$ . Let  $\sigma = \theta^*$  be the automorphism of  $S$  defined by  $\sigma(\sum_{i \geq 0} a_i x^i) = \sum_{i \geq 0} \theta(a_i) x^i$ . Then  $\sigma$  is QI with an axis  $x$ . Note that  $T = SX^{-1}$ , the (right) quotient ring of  $S$  by the set  $X = \{x^n \mid n \in \mathbb{Z} \text{ with } n \geq 0\}$ .

In this section we will prove  $P(T) = P_{\sigma^{-1}}(S)X^{-1} = (P(R) \cap P_\theta(R) \cap P_{\theta^{-1}}(R))[x, x^{-1}; \theta]$  and characterize elements in  $P(T)$ . The following lemma is obvious.

**Lemma 3.1.** Let  $K$  be a proper ideal of  $T$ . Then we have the following assertions:

- (1)  $K \cap S = \{f(x) \in S \mid f(x)x^{-m} \in K \text{ for some integer } m \geq 0\}$ .



- (2)  $K \cap S$  is a  $\sigma$ -invariant ideal of  $S$  and  $(K \cap S)X^{-1} = K$ .
- (3)  $x \notin K \cap S$  and  $x$  is regular modulo  $K \cap S$ .

Now we need some technical definitions. A proper  $\theta$ -invariant ideal  $P$  of  $R$  is said to be  $(\theta, \theta^{-1})$ -prime provided that  $A \subseteq P$  or  $B \subseteq P$  whenever  $AB \subseteq P$  for  $\theta$ -invariant ideals  $A, B$  in  $R$ . A proper  $\theta$ -invariant ideal  $Q$  of  $R$  is said to be  $(\theta, \theta^{-1})$ -semiprime provided that if  $A$  is a  $\theta$ -invariant ideal of  $R$  with  $A^2 \subseteq Q$  then  $A \subseteq Q$ . Clearly every  $\theta$ -prime and every  $\theta^{-1}$ -prime (resp. every  $\theta$ -semiprime and every  $\theta^{-1}$ -semiprime) ideal is  $(\theta, \theta^{-1})$ -prime (resp.  $(\theta, \theta^{-1})$ -semiprime). Observe that  $P(R)$ ,  $P_\theta(R)$  and  $P_{\theta^{-1}}(R)$  are all  $(\theta, \theta^{-1})$ -semiprime. Also note that an intersection of any set of  $(\theta, \theta^{-1})$ -semiprime ideals is  $(\theta, \theta^{-1})$ -semiprime. In particular the intersection of all the  $(\theta, \theta^{-1})$ -semiprime ideals of  $R$  is  $(\theta, \theta^{-1})$ -semiprime, and hence  $R$  contains the smallest  $(\theta, \theta^{-1})$ -semiprime ideal. As in the classical case, we define the  $(\theta, \theta^{-1})$ -prime radical  $P_{(\theta, \theta^{-1})}(R)$  by

$$P_{(\theta, \theta^{-1})}(R) = \bigcap \{P \mid P \text{ is a } (\theta, \theta^{-1})\text{-prime ideal of } R\}.$$

Notice that an ideal  $I$  of  $R$  is  $\theta$ -invariant if and only if  $I[x; \theta] = \{\sum_{i \geq 0} a_i x^i \mid a_i \in I \text{ for all } i\}$  is a  $\sigma$ -invariant ideal of  $S$  if and only if the set  $I[x, x^{-1}; \theta] = \{\sum_{i=-m}^n a_i x^i \mid m, n \geq 0, a_i \in I \text{ for all } i\}$  is an ideal of  $T$ .

**Proposition 3.2.** *Let  $I$  be a proper  $\theta$ -invariant ideal of  $R$ . Then we have the following assertions:*

- (1)  $I$  is  $(\theta, \theta^{-1})$ -prime if and only if  $I[x, x^{-1}; \theta]$  is a prime ideal of  $T$ .
- (2)  $I$  is  $(\theta, \theta^{-1})$ -semiprime if and only if  $I[x, x^{-1}; \theta]$  is a semiprime ideal of  $T$ .

*Proof.* (1) Suppose that  $I$  is a  $(\theta, \theta^{-1})$ -prime ideal of  $R$  and let  $H, K$  be ideals of  $T$  such that  $HK \subseteq I[x, x^{-1}; \theta]$ . Assume on the contrary that  $H \not\subseteq I[x, x^{-1}; \theta]$  and  $K \not\subseteq I[x, x^{-1}; \theta]$ . Then by Lemma 3.1, there are polynomials  $f(x) = \sum_{i=0}^m a_i x^i \in H \cap S$  and  $g(x) = \sum_{j=0}^n b_j x^j \in K \cap S$  such that  $f(x) \notin I[x, x^{-1}; \theta]$  and  $g(x) \notin I[x, x^{-1}; \theta]$ . Let  $p$  and  $q$  be the first integers such that  $a_p \notin I$  and  $b_q \notin I$ , respectively. Then for any integer  $k \in \mathbb{Z}$  and any  $r \in R$ , the coefficient of  $x^{p+q}$  in  $\sigma^k(f(x))rg(x)$  is

$$\sum_{i=0}^{p+q} \theta^k(a_i) \theta^i(r) \theta^i(b_{p+q-i}) \in I.$$

Since  $\theta^k(a_i) \in I$  and  $b_j \in I$  for all  $i, j$  with  $0 \leq i < p, 0 \leq j < q$ , we have  $\theta^k(a_p) \theta^p(r) \theta^p(b_q) \in I$  for all  $k \in \mathbb{Z}, r \in R$ . Thus for any integers  $k$  and  $l$  we get

$$\begin{aligned} \theta^k(a_p) R \theta^l(b_q) &= \theta^{l-p}(\theta^{k-l+p}(a_p) R \theta^p(b_q)) \subseteq I, \\ \left( \sum_{k=-\infty}^{\infty} R \theta^k(a_p) R \right) \left( \sum_{k=-\infty}^{\infty} R \theta^k(b_q) R \right) &\subseteq I. \end{aligned}$$

But  $\sum_{k=-\infty}^{\infty} R\theta^k(a_p)R$ ,  $\sum_{k=-\infty}^{\infty} R\theta^l(b_q)R$  are  $\theta$ -invariant and  $I$  is  $(\theta, \theta^{-1})$ -prime, we obtain that  $\sum_{k=-\infty}^{\infty} R\theta^k(a_p)R \subseteq I$  or  $\sum_{k=-\infty}^{\infty} R\theta^l(b_q)R \subseteq I$ . Consequently  $a_p \in I$  or  $b_q \in I$ , a contradiction to the choice of  $p$  and  $q$ . Therefore  $I[x, x^{-1}; \theta]$  is a prime ideal of  $T$ .

Conversely suppose that  $I[x, x^{-1}; \theta]$  is a prime ideal of  $T$  and that  $A, B$  are  $\theta$ -invariant ideals of  $R$  such that  $AB \subseteq I$ . Then  $A[x, x^{-1}; \theta]B[x, x^{-1}; \theta] = AB[x, x^{-1}; \theta] \subseteq I[x, x^{-1}; \theta]$  and hence  $A[x, x^{-1}; \theta] \subseteq I[x, x^{-1}; \theta]$  or  $B[x, x^{-1}; \theta] \subseteq I[x, x^{-1}; \theta]$ , forcing  $A \subseteq I$  or  $B \subseteq I$ . Thus  $I$  is  $(\theta, \theta^{-1})$ -prime.

(2) The case of semiprimeness can be proved by taking  $H = K$  and  $A = B$  in the proof of (1). □

**Lemma 3.3.** *Let  $H$  be a  $\sigma$ -invariant ideal of  $S$  such that  $x \notin H$  and  $x$  is regular modulo  $H$ . If  $A$  and  $B$  are ideals of  $S$  such that  $AB \subseteq H$  and  $\sigma^{-1}(B) \subseteq B$ , then there exist  $\sigma$ -invariant (hence  $\sigma^{-1}$ -invariant) ideals  $C$  and  $D$  such that  $A \subseteq C$ ,  $B \subseteq D$  and  $CD \subseteq H$ .*

*Proof.* Note that  $A\sigma^i(B)x^i = Ax^iB \subseteq AB \subseteq H$  for any integer  $i \geq 0$ , and so  $A\sigma^i(B) \subseteq H$  since  $x$  is regular modulo  $H$ . Let  $D = \sum_{i=0}^{\infty} \sigma^i(B)$ . Then  $D$  is a  $\sigma$ -invariant ideal with  $B \subseteq D$  and  $AD \subseteq H$ . Moreover  $\sigma^j(A)D = \sigma^j(AD) \subseteq \sigma^j(H) = H$  for each integer  $j$ . Thus if we let  $C = \sum_{i=-\infty}^{\infty} \sigma^j(A)$ , then  $C$  is  $\sigma$ -invariant and  $A \subseteq C$ ,  $CD \subseteq H$ . □

**Proposition 3.4.** *Let  $I$  be a proper  $\theta$ -invariant ideal of  $R$ .*

- (1)  *$I$  is  $(\theta, \theta^{-1})$ -prime if and only if  $I[x; \theta]$  is a  $\sigma^{-1}$ -prime ideal of  $S$ .*
- (2)  *$I$  is  $(\theta, \theta^{-1})$ -semiprime if and only if  $I[x; \theta]$  is a  $\sigma^{-1}$ -semiprime ideal of  $S$ .*

*Proof.* (1) Suppose that  $I$  is  $(\theta, \theta^{-1})$ -prime and  $C, D$  are ideals of  $S$  with  $CD \subseteq I[x; \theta]$  and  $\sigma^{-1}(D) \subseteq D$ . By Lemma 3.3 we can assume that  $C, D$  are  $\sigma$ -invariant. If  $C \not\subseteq I[x; \theta]$  and  $D \not\subseteq I[x; \theta]$ , then the same argument as in the proof of Proposition 3.2(1) leads to a contradiction. Thus  $C \subseteq I[x; \theta]$  or  $D \subseteq I[x; \theta]$ .

Conversely suppose that  $I[x; \theta]$  is  $\sigma^{-1}$ -prime and  $A, B$  are  $\theta$ -invariant ideals of  $R$  such that  $AB \subseteq I$ . Then  $A[x; \theta]$  and  $B[x; \theta]$  are  $\sigma$ -invariant ideals of  $S$  satisfying  $A[x; \theta]B[x; \theta] = AB[x; \theta] \subseteq I[x; \theta]$ , whence  $A \subseteq I$  or  $B \subseteq I$ .

(2) Suppose  $I$  is  $(\theta, \theta^{-1})$ -semiprime. Let  $C$  be an ideal of  $S$  and  $m$  be an integer such that  $C\sigma^{-k}(C) \subseteq I[x; \theta]$  for all  $k \geq m$ . Let  $D = \sum_{k \geq m} \sigma^{-k}(C)$ , then  $D$  is a  $\sigma^{-1}$ -ideal and  $CD \subseteq I[x; \theta]$ . Since  $x \notin I[x; \theta]$  and  $x$  is regular modulo  $I[x; \theta]$ , we can assume that  $C$  and  $D$  are  $\sigma^{-1}$ -invariant by Lemma 3.3. Thus we can also assume without loss of generality that  $C$  is  $\sigma^{-1}$ -invariant and  $C^2 \subseteq I[x; \theta]$ . If  $C \not\subseteq I[x; \theta]$ , then a similar argument as in the proof of Proposition 3.2(1) leads to a contradiction. So  $C \subseteq I[x; \theta]$ , concluding that  $I[x; \theta]$  is  $\sigma^{-1}$ -semiprime.

Conversely suppose that  $I[x; \theta]$  is  $\sigma^{-1}$ -semiprime and  $A$  is a  $\theta$ -invariant ideal of  $R$  with  $A^2 \subseteq I$ . Then  $A[x; \theta]^2 = A^2[x; \theta] \subseteq I[x; \theta]$ . Since  $A[x; \theta]$  is  $\sigma^{-1}$ -invariant,  $A[x; \theta] \subseteq I[x; \theta]$  and  $A \subseteq I$ . Thus  $I$  is  $(\theta, \theta^{-1})$ -semiprime. □

**Corollary 3.5.** *The following conditions are equivalent:*

- (1)  $R$  is  $(\theta, \theta^{-1})$ -prime (resp.  $(\theta, \theta^{-1})$ -semiprime);
- (2)  $S$  is  $\sigma^{-1}$ -prime (resp.  $\sigma^{-1}$ -semiprime);
- (3)  $T$  is prime (resp. semiprime).

We may compare Corollary 3.5 with [3, Theorem 4.21].

**Lemma 3.6.** (1) *If  $P$  is a  $\sigma^{-1}$ -prime ideal of  $S$ , then  $P \cap R$  is a  $(\theta, \theta^{-1})$ -prime ideal of  $R$  and  $(P \cap R)[x; \theta] \subseteq P$ .*

(2) *If  $Q$  is a prime ideal of  $T$ , then  $Q \cap S$  is a  $\sigma^{-1}$ -prime ideal of  $S$ . In particular  $Q \cap R$  is a  $(\theta, \theta^{-1})$ -prime ideal of  $R$  with  $(Q \cap R)[x, x^{-1}; \theta] \subseteq Q$ .*

*Proof.* (1) Let  $P$  be a  $\sigma^{-1}$ -prime ideal of  $S$ . Then  $P \cap R$  is  $\theta$ -invariant and  $(P \cap R)[x; \theta] \subseteq P$  because of  $\sigma(P) = P$ . Let  $A, B$  be  $\theta$ -invariant ideals of  $R$  with  $AB \subseteq P \cap R$ . Then  $A[x; \theta]B[x; \theta] = (AB)[x; \theta] \subseteq (P \cap R)[x; \theta] \subseteq P$ . Since  $A[x; \theta]$  and  $B[x; \theta]$  are  $\sigma^{-1}$ -ideals of  $S$ , we have  $A[x; \theta] \subseteq P$  or  $B[x; \theta] \subseteq P$ ; hence  $A \subseteq P \cap R$  or  $B \subseteq P \cap R$ , showing that  $P \cap R$  is  $(\theta, \theta^{-1})$ -prime.

(2) Let  $Q$  be a prime ideal of  $T$ . Then  $Q \cap S$  is a  $\sigma$ -invariant ideal of  $S$  with  $(Q \cap S)X^{-1} = Q$  by Lemma 3.1. Let  $C, D$  be ideals of  $S$  with  $CD \subseteq Q \cap S$  and  $\sigma^{-1}(D) \subseteq D$ . Since  $x \notin Q \cap S$  and  $x$  is regular modulo  $Q \cap S$ , it follows from Lemma 3.3 that  $C$  and  $D$  can be assumed  $\sigma$ -invariant. Thus  $CX^{-1}$  and  $DX^{-1}$  are ideals of  $T$  such that  $CX^{-1}DX^{-1} = (CD)X^{-1} \subseteq (Q \cap S)X^{-1} = Q$ . Since  $Q$  is prime we have that  $CX^{-1} \subseteq Q$  or  $DX^{-1} \subseteq Q$ , yielding  $C \subseteq Q \cap S$  or  $D \subseteq Q \cap S$ . Thus  $Q \cap S$  is  $\sigma^{-1}$ -prime. Now the  $(\theta, \theta^{-1})$ -primeness of  $Q \cap R = (Q \cap S) \cap R$  is an immediate consequence of (1).  $\square$

The following proposition is obtained from Propositions 3.2, 3.4 and Lemma 3.6.

**Proposition 3.7.** (1)  $P_{\sigma^{-1}}(S) = P_{(\theta, \theta^{-1})}(R)[x; \theta]$ .

(2)  $P(T) = P_{(\theta, \theta^{-1})}(R)[x, x^{-1}; \theta] = P_{\sigma^{-1}}(S)X^{-1}$ .

**Corollary 3.8.**  $P_{(\theta, \theta^{-1})}(R)$  is the smallest  $(\theta, \theta^{-1})$ -semiprime ideal of  $R$ . Especially  $P_{(\theta, \theta^{-1})}(R) \subseteq P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R)$ .

To prove  $P_{(\theta, \theta^{-1})}(R) = P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R)$  and characterize elements of  $P(T)$ , we need one more related definition. An element  $a$  in  $R$  is said to be *strongly  $(\theta, \theta^{-1})$ -nilpotent* provided that given any sequence  $(t_n)_{n=0}^{\infty}$  of integers, every sequence  $(a_n)_{n=0}^{\infty}$ , such that  $a_0 = a$  and  $a_{n+1} \in a_n R \theta^{t_n}(a_n)$  for all  $n \geq 0$ , is eventually zero [3, Definition 1.8].

**Lemma 3.9.** (1) *For any  $a \in R \setminus P_{(\theta, \theta^{-1})}(R)$  there are  $r \in R$  and an integer  $t$  such that  $ar\theta^t(a) \notin P_{(\theta, \theta^{-1})}(R)$ .*

(2) *If  $a \in R$  is strongly  $(\theta, \theta^{-1})$ -nilpotent, then  $a \in P_{(\theta, \theta^{-1})}(R)$ .*

(3) *If  $a \in P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R)$ , then  $a$  is strongly  $(\theta, \theta^{-1})$ -nilpotent.*

*Proof.* (1) Suppose that  $a \in R$  and  $aR\theta^i(a) \subseteq P_{(\theta, \theta^{-1})}(R)$  for any integer  $i$ . Then for all  $i, j \in \mathbb{Z}$

$$\theta^i(a)R\theta^j(a) = \theta^i(aR\theta^{j-i}(a)) \subseteq \theta^i(P_{(\theta, \theta^{-1})}(R)) = P_{(\theta, \theta^{-1})}(R).$$

Thus if  $A = \sum_{i=-\infty}^{\infty} R\theta^i(a)R$ , then  $A^2 \subseteq P_{(\theta, \theta^{-1})}(R)$ . Since  $A$  is  $\theta$ -invariant, we have  $A \subseteq P_{(\theta, \theta^{-1})}(R)$  and  $a \in P_{(\theta, \theta^{-1})}(R)$ .

(2) Let  $a \notin P_{(\theta, \theta^{-1})}(R)$ . Then  $ar_0\theta^{t_0}(a) \notin P_{(\theta, \theta^{-1})}(R)$  for some  $r_0 \in R$  and  $t_0 \in \mathbb{Z}$  by (1). Let  $a_1 = ar_0\theta^{t_0}(a)$  and apply (1) again to  $a_1$ . Then we get  $a_2 = a_1r_1\theta^{t_1}(a_1) \notin P_{(\theta, \theta^{-1})}(R)$  for some  $r_1 \in R$  and  $t_1 \in \mathbb{Z}$ . Inductively there exists a sequence  $(t_n)_{n=0}^{\infty}$  of integers and a sequence  $(r_n)_{n=0}^{\infty}$  in  $R$  such that  $a_n \notin P_{(\theta, \theta^{-1})}(R)$  for all  $n \in \{0, 1, 2, \dots\}$ , where  $a_0 = a$  and  $a_{k+1} = a_k r_k \theta^{t_k}(a_k)$  for all  $k \in \{0, 1, 2, \dots\}$ . Thus  $a$  is not strongly  $(\theta, \theta^{-1})$ -nilpotent.

(3) Let  $a \in P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R)$  and suppose that  $(t_n)_{n=0}^{\infty}$  is a sequence in  $\mathbb{Z}$  and  $(r_n)_{n=0}^{\infty}$  is a sequence in  $R$ . Next set  $a_0 = a$ ,  $a_{k+1} = a_k r_k \theta^{t_k}(a_k)$  for all  $k \in \{0, 1, 2, \dots\}$  and  $s_n = \sum_{i=0}^n t_i$  for all  $n \geq 0$ . Notice that for all  $i, j \in \mathbb{Z}$  with  $0 \leq i < j$

$$(*) \quad a_{i+1} \in aR\theta^{s_i}(a) \text{ and } a_{j+1} \in a_{i+1}R\theta^{(s_j - s_i)}(a_{i+1}).$$

In particular if  $0 \leq i < j$  and  $s_i = s_j$  then  $a_{j+1} \in a_{i+1}Ra_{i+1}$ . We will show that  $a_n = 0$  for some  $n \geq 0$ . The proof splits into the following two cases.

**Case 1.** When the sequence  $(s_n)_{n=0}^{\infty}$  is bounded.

Assume that  $(s_n)_{n=0}^{\infty}$  is bounded. Then there is an integer  $m$  such that  $s_k = m$  for infinitely many  $k$ 's. Choose a sequence  $(n(k))_{k=0}^{\infty}$  of positive integers such that  $1 \leq n(0) < n(1) < n(2) < \dots$  and  $s_{n(k)} = m$  for all  $k \geq 0$ . Let  $b_k = a_{n(k)+1}$  for all  $k \geq 0$ ; then by (\*) we have

$$b_{k+1} = a_{n(k+1)+1} \in a_{n(k)+1}R\theta^{(s_{n(k+1)} - s_{n(k)})}(a_{n(k)+1}) = b_k R\theta^{m-m}(b_k) = b_k R b_k.$$

Since  $b_0 = a_{n(0)+1} \in aR\theta^m(a) \subseteq P(R)$ ,  $b_0$  is strongly nilpotent; hence  $b_k = 0$  and  $a_{n(k)+1} = 0$  for some  $k \geq 0$ .

**Case 2.** When the sequence  $(s_n)_{n=0}^{\infty}$  is not bounded.

By symmetry we may assume that  $(s_n)_{n=0}^{\infty}$  is not bounded above. So there is a strictly increasing sequence  $(n(k))_{k=0}^{\infty}$  of positive integers such that  $s_{n(0)} \geq 1$  and  $s_{n(k+1)} \geq 1 + 2s_{n(k)}$  for all  $k \geq 0$ . Let  $z_0 = s_{n(0)}$  and  $z_{k+1} = s_{n(k+1)} - s_{n(k)}$  for all  $k \geq 0$ . Then  $z_0 \geq 1$  and  $z_{k+1} = s_{n(k+1)} - s_{n(k)} \geq 1 + s_{n(k)}$ , and so  $1 + z_0 + z_1 + \dots + z_k = 1 + s_{n(k)} \leq z_{k+1}$ . Also let  $b_0 = a$ ,  $b_{k+1} = a_{n(k)+1}$  for all  $k \geq 0$ . Then  $b_1 = a_{n(0)+1} \in aR\theta^{s_{n(0)}}(a) = b_0 R\theta^{z_0}(a)$  and

$$b_{k+1} = a_{n(k)+1} \in a_{n(k-1)+1}R\theta^{(s_{n(k)} - s_{n(k-1)})}(a_{n(k-1)+1}) = b_k R\theta^{z_k}(b_k)$$

for all  $k \geq 1$ . Since  $b_0 = a \in P_{\theta}(R)$ ,  $b_0$  is strongly  $\theta$ -nilpotent; hence  $b_k = 0$  and  $a_{n(k)+1} = 0$  for some  $k \geq 0$ .

Therefore  $a$  is strongly  $(\theta, \theta^{-1})$ -nilpotent. □

The following, that is obtained from Corollary 3.8 and Lemma 3.9(2), (3), may be compared with [3, Proposition 1.11].

**Corollary 3.10.**  $P_{(\theta, \theta^{-1})}(R) = P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R)$  and  $P_{(\theta, \theta^{-1})}(R)$  consists of all strongly  $(\theta, \theta^{-1})$ -nilpotent elements in  $R$ .

The following theorem is shown by Proposition 3.7 and Corollary 3.10.

**Theorem 3.11.** (1)  $P_{\sigma^{-1}}(S) = (P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R))[x; \theta]$ .

$$(2) \quad \begin{aligned} P(T) &= (P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R))[x, x^{-1}; \theta] \\ &= (P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R))[x; \theta]X^{-1}. \end{aligned}$$

Moreover,  $P(T)$  is a graded ideal of  $T$  and for  $f(x) = \sum_{i=m}^n a_i x^i \in T$ ,  $f(x) \in P(T)$  if and only if each  $a_i$  is strongly  $(\theta, \theta^{-1})$ -nilpotent in  $R$ .

Under some available conditions on  $R$  and  $\theta$ , the prime radical of  $R[x, x^{-1}; \theta]$  is more tractable as in the following.

**Corollary 3.12.** (1) If  $R$  satisfies the ACC on  $\theta$ -ideals and  $\theta^{-1}$ -ideals, then  $P(T) = P(R)[x, x^{-1}; \theta]$ .

(2) If  $\theta$  is of locally finite order, then  $P(T) = P(R)[x, x^{-1}; \theta]$ .

(3) If  $\theta$  is PQI on  $R$ , then  $P(T) = P_{\theta^{-1}}(R)[x, x^{-1}; \theta] \subseteq P(R)[x, x^{-1}; \theta]$ .

Now we give an example of a QI automorphism  $\sigma$  of  $S$  such that  $P_{\sigma^{-1}}(S) \subsetneq P(S) \subsetneq P_{\sigma}(S)$ .

**Example 3.13.** Let  $R$  be a ring and  $\theta$  be an automorphism of  $R$  such that  $P(R) = 0$  and  $P_{\theta}(R) \neq 0$  as in Example 2.2. Then  $\sigma$  is a QI automorphism of  $S$ . In this situation we have  $P_{\sigma^{-1}}(S) = (P(R) \cap P_{\theta}(R) \cap P_{\theta^{-1}}(R))[x; \theta] = 0$ ,  $P(S) = P(R) \cap P_{\theta}(R) + \sum_{i=1}^{\infty} P_{\theta}(R)x^i = P_{\theta}(R)[x; \theta]x$  and  $P_{\sigma}(S) = \sum_{i=0}^{\infty} P_{\theta}(R)x^i = P_{\theta}(R)[x; \theta]$ . Thus  $P_{\sigma^{-1}}(S) \subsetneq P(S) \subsetneq P_{\sigma}(S)$ .

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