

## BISHOP'S PROPERTY $(\beta)$ AND SPECTRAL INCLUSIONS ON BANACH SPACES

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**ABSTRACT.** Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  such that  $SA = AT$ ,  $TB = BS$ ,  $AB = S$  and  $BA = T$ . Then  $S$  and  $T$  shares the same local spectral properties SVEP, Bishop's property  $(\beta)$ , property  $(\beta)_\epsilon$ , property  $(\delta)$  and and subscalarity. Moreover, the operators  $\lambda I - T$  and  $\lambda I - S$  have many basic operator properties in common.

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### 1. Introduction

Let  $X$  be a complex Banach space, and let  $L(X)$  be the Banach algebra of all bounded linear operators on  $X$ . For an operator  $T \in L(X)$ ,  $\sigma(T)$  and  $\rho(T)$  denotes the spectrum and resolvent set of  $T$  and let  $Lat(T)$  stand for the collection of all  $T$ -invariant closed linear subspaces of  $X$ , and for  $Y \in Lat(T)$ ,  $T|Y$  denotes the restriction of  $T$  on  $Y$ . For  $T \in L(X)$ , we denote by

$$R_T : \lambda \in \rho(T) \rightarrow R_T(\lambda) = (T - \lambda I)^{-1} \in L(X)$$

its resolvent map. For an operator  $T \in L(X)$  and arbitrary  $x \in X$ , we define  $f : \rho(T) \rightarrow X$  by

$$f(\lambda) := R_T(\lambda)x.$$

Then  $f$  may have analytic extensions, solutions of the equation  $(T - \lambda)f(\lambda) = x$ . If for every  $x \in X$  any two extensions of  $R_T(\lambda)x$  agree on their common domain,  $T \in L(X)$  is said to have the *single-valued extension property* (abbreviated *SVEP*). In this case, let  $\rho_T(x)$  be the maximal domain of such extensions. The

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set  $\sigma_T(x) := \mathbb{C} \setminus \rho_T(x)$  is called the *local spectrum* of  $T$  at  $x$ . Evidently,  $\sigma_T(x)$  is closed with  $\sigma_T(x) \subseteq \sigma(T)$ . The resolvent set  $\rho(T)$  is always a subset of  $\rho_T(x)$ , so the analytic solutions occurring in the definition of the local resolvent set may be thought of as local extensions of the function  $(T - \lambda)^{-1}x$ .

An operator  $T \in L(X)$  is said to have the *single-valued extension property* at  $\lambda_0$ , if for every open neighborhood  $U$  of  $\lambda_0$ , the only analytic function  $f : U \rightarrow X$  which satisfies the equation  $(\lambda I - T)f(\lambda) = 0$  for all  $\lambda \in U$  is the function  $f \equiv 0$ . In fact, the operator  $T$  has the SVEP if and only if  $T$  has SVEP at every  $\lambda \in \mathbb{C}$ . It is obvious that  $T$  has the SVEP if and only if the zero function is the only analytic function that satisfies  $(T - \lambda)f(\lambda) = 0$ . By the Liouville theorem, it is clear that  $T$  has the SVEP if and only if for any non-zero  $x \in X$ , we have  $\sigma_T(x) \neq \phi$ , see [9] and [11] for more details.

Let  $\mathcal{E}(U, X)$  be the Fréchet algebra of all infinitely differentiable  $X$ -valued functions on  $U \subseteq \mathbb{C}$  endowed with the topology of uniform convergence on compact subsets of  $U$  of all derivatives.

The operator  $T \in L(X)$  is said to have *property*  $(\beta)_\epsilon$  at  $\lambda \in \mathbb{C}$  if there exists  $U$  a neighborhood of  $\lambda$  such that for each open set  $O \subseteq U$  and for any sequence  $(f_n)_n$  of  $X$ -valued functions in  $\mathcal{E}(O, X)$  the convergence of  $(T - \mu)f_n(\mu)$  to zero in  $\mathcal{E}(O, X)$  yields to the convergence of  $f_n$  to zero in  $\mathcal{E}(O, X)$ . We define by

$$\sigma_{(\beta)_\epsilon}(T) = \{\lambda \in \mathbb{C} : T \text{ fails to satisfy } (\beta)_\epsilon \text{ at } \lambda\}.$$

We say that  $T$  satisfies *property*  $(\beta)_\epsilon$  provided that  $\sigma_{(\beta)_\epsilon}(T) = \phi$ .

We shall also need some closely related notions. The operator  $T$  is said to satisfy Bishop's property  $(\beta)$  at  $\lambda \in \mathbb{C}$  if there exists  $r > 0$  such that for every open subset  $U \subseteq D(\lambda, r)$  and for any sequence  $(f_n)_n \subseteq \mathcal{E}(U, X)$ , if  $\lim_{n \rightarrow \infty} (T - \mu)f_n(\mu) = 0$  in  $\mathcal{E}(U, X)$ , then  $\lim_{n \rightarrow \infty} f_n(\mu) = 0$  in  $\mathcal{E}(U, X)$ , where  $D(\lambda, r)$  is the open disc centred at  $\lambda \in \mathbb{C}$  with radius  $r > 0$ .

We define by

$$\sigma_\beta(T) = \{\lambda \in \mathbb{C} : T \text{ fails to satisfy } (\beta) \text{ at } \lambda\}.$$

An operator  $T \in L(X)$  is said to have *Bishop's property*  $(\beta)$  provided that  $\sigma_\beta(T) = \phi$ . Obviously, property  $(\beta)$  implies that  $T$  has the single valued-extension property. Furthermore, the operator  $T$  is said to have the *decomposition property*  $(\delta)$  if its dual  $T^*$  satisfies Bishop's property  $(\beta)$ . In [1], Albrecht and Eschmeier proved that the properties  $(\beta)$  and  $(\delta)$  are dual to each other in the sense that an operator  $T \in L(X)$  satisfies  $(\beta)$  if and only if the adjoint operator  $T^*$  on the dual space  $X^*$  satisfies  $(\delta)$ .

In this note, we proved that if  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  such that  $SA = AT$ ,  $TB = BS$ ,  $AB = S$  and  $BA = T$ , then  $S$  and  $T$  shares the same local spectral properties SVEP, Bishop's property  $(\beta)$ ,

property  $(\beta)_\epsilon$ , property  $(\delta)$  and subscalarity. Moreover, the operators  $\lambda I - T$  and  $\lambda I - S$  have many basic operator properties in common.

## 2. Local spectral properties of linear operators

For  $T \in L(X)$ ,  $S \in L(Y)$  and for positive integer  $n \in \mathbb{Z}$ , we consider the space

$$\mathcal{I}^n(S, T) := \{A \in L(X, Y) : C(S, T)^n(A) = 0\},$$

where  $C(S, T)$  is the  $n$ -th composition of the map  $C(S, T)(A) := SA - AT$ . The interest of the space  $\mathcal{I}^n(S, T)$  stems from the fact that it contain many significant classes of maps

**Example 1.** If  $\mathcal{A}$  and  $\mathcal{B}$  are semi-simple Banach algebras and  $\theta : \mathcal{A} \rightarrow \mathcal{B}$  an algebra homomorphism then  $\theta \in I(\theta(a), a)$  for any  $a \in \mathcal{A}$  in the sense that  $\theta(a)\theta(x) - \theta(ax) = 0$  for all  $x \in \mathcal{A}$ , i.e.  $\theta L_a = L_{\theta(a)}\theta$  where  $L_ax := ax$  for all  $x \in \mathcal{A}$ .

It is easy to see that if  $\mathcal{A}$  is a Banach algebra and  $\mathcal{M}$  is a commutative Banach  $\mathcal{A}$ -module, that is,  $\mathcal{M}$  is an  $\mathcal{A}$ -bimodule and  $ma = am$  for all  $a \in \mathcal{A}, m \in \mathcal{M}$  and if  $D : \mathcal{A} \rightarrow \mathcal{M}$  is a module derivation, i.e.,  $D$  is a linear map obeying the differentiation rule  $D(xy) = xDY + D(x)y$  for all  $x, y \in \mathcal{A}$  then  $C(a, a)D \in I(a, a)$  for every  $a \in \mathcal{A}$  as an easy calculation will show.

**Example 2.** Let  $S : X \rightarrow Y$  and  $R : Y \rightarrow X$  be bounded linear operators. Then  $S \in I(\lambda I - SR, \lambda I - RS)$  and  $R \in I(\lambda I - RS, \lambda I - SR)$  for every complex number  $\lambda \in \mathbb{C}$ . In particular,  $S \in I(SR, RS)$  and  $R \in I(RS, SR)$ , since  $RS \in L(X)$  and  $SR \in L(Y)$ .

**Example 3.** Let  $T \in L(\mathcal{H})$  be a bounded operator on some Hilbert space  $\mathcal{H}$  and  $U|T|$  be its polar decomposition, where  $|T| := (TT^*)^{\frac{1}{2}}$  and  $U$  is the approximate partial isometry. The generalized Aluthge transform associated with  $T$  and  $s, t \geq 0$ , is defined by

$$T(s, t) := |T|^s U |T|^t.$$

In the case  $s = t = \frac{1}{2}$ , the operator

$$\tilde{T} = |T|^{\frac{1}{2}} U |T|^{\frac{1}{2}}$$

is called the Aluthge transform of  $T$  and was first considered by A. Aluthge, see more details [12] and [13]. It is easy to see that for all  $0 \leq r \leq t$

$$|T|^s U |T|^{t-r} \in I(T(s, t), T(s + r, t - r))$$

and

$$|T|^r \in I(T(s + r, t - r), T(s, t)).$$

**Proposition 2.1.** *Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  for which  $A \in \mathcal{I}(S, T)$  and  $B \in \mathcal{I}(T, S)$ . Assume that  $AB = S$  and  $BA = T$ . Then  $T$  has the Bishop's property  $(\beta)$  at  $\lambda \in \mathbb{C}$  if and only if  $S$  has the Bishop's property  $(\beta)$  at  $\lambda \in \mathbb{C}$ . Moreover,  $T$  has the Bishop's property  $(\beta)$  if and only if  $S$  has the Bishop's property  $(\beta)$ .*

*Proof.* Let  $\lambda \in \mathbb{C} \setminus \sigma_\beta(S)$  and let  $(f_n)_n$  be a sequence of  $X$ -valued analytic functions in a neighborhood of  $\lambda$  such that

$$(1) \quad \lim_{n \rightarrow \infty} (T - \mu)f_n(\mu) = 0 \quad \text{in } \mathcal{E}(V(\lambda), X).$$

Then  $0 = \lim_{n \rightarrow \infty} A(T - \mu)f_n(\mu) = \lim_{n \rightarrow \infty} (S - \mu)Af_n(\mu)$  in  $\mathcal{E}(V(\lambda), Y)$ . It follows that

$$(2) \quad \lim_{n \rightarrow \infty} Af_n(\mu) = 0 \quad \text{in } \mathcal{E}(V(\lambda), Y),$$

Since  $\lambda \notin \sigma_\beta(S)$ . From (2), we have

$$0 = \lim_{n \rightarrow \infty} B Af_n(\mu) = \lim_{n \rightarrow \infty} T f_n(\mu) \quad \text{in } \mathcal{E}(V(\lambda), X).$$

In equation (1), we deduce that  $(\mu f_n(\mu))_n$  converges to 0 on compact sets. Now since  $f_n$  are analytic, the maximum modulus principle implies  $(f_n)_n$  converges to 0 on compact sets. Thus  $\lambda \in \mathbb{C} \setminus \sigma_\beta(T)$ . The reverse implication is obtained by symmetry.  $\square$

**Corollary 2.2.** *Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  for which  $A \in \mathcal{I}(S, T)$  and  $B \in \mathcal{I}(T, S)$ . Assume that  $AB = S$  and  $BA = T$ . Then  $T$  has the SVEP at  $\lambda \in \mathbb{C}$  if and only if  $S$  has the SVEP at  $\lambda \in \mathbb{C}$ .*

**Corollary 2.3.** *Let  $S : X \rightarrow Y$  and  $R : Y \rightarrow X$  be bounded linear operators. Then for every complex numbers  $\lambda \in \mathbb{C}$ ,  $RS - \lambda I$  has the SVEP if and only if  $SR - \lambda I$  has SVEP. In particular,  $RS$  has the SVEP if and only if  $SR$  has SVEP.*

We denote by  $C^\infty(\mathbb{C})$  the Fréchet algebra of all infinitely differentiable complex valued functions defined on the complex plane  $\mathbb{C}$  with the topology of uniform convergence of every derivative on each compact subset of  $\mathbb{C}$ . An operator  $T \in L(X)$  is called a *generalized scalar operator* if there exists a continuous algebra homomorphism  $\Phi : C^\infty(\mathbb{C}) \rightarrow L(X)$  satisfying  $\Phi(1) = I$ , the identity operator on  $X$ , and  $\Phi(z) = T$  where  $z$  denotes the identity function on  $\mathbb{C}$ . Such a continuous function  $\Phi$  is in fact an operator valued distribution and it is called a spectral distribution for  $T$ , see [11], for more details.

An operator  $T \in L(X)$  is said to be *subscalar* if  $T$  is similar to the restriction of a generalized scalar operator to one of its closed invariant subspaces. It follows from [11, Proposition 2.4.9] that all hyponormal and, more generally, all M-hyponormal operators are subscalar.

The following lemma is need.

**Lemma 2.4.** *Let  $O$  be an open set and  $(f_n)_n$  be a sequence in  $\mathcal{E}(O, X)$  such that  $(\mu f_n(\mu))_n$  converges to zero in  $\mathcal{E}(O, X)$ . Then  $(f_n)_n$  converges to zero in  $\mathcal{E}(O, X)$ .*

*Proof.* see [3, Lemma 2.1].  $\square$

The following result generalizes [3, Theorem 2.1].

**Theorem 2.5.** *Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  for which  $A \in \mathcal{I}(S, T)$  and  $B \in \mathcal{I}(T, S)$ . Assume that  $AB = S$  and  $BA = T$ . Then  $\sigma_{(\beta)_\epsilon}(T) = \sigma_{(\beta)_\epsilon}(S)$ . In particular,  $T$  is subscalar if and only if  $S$  is subscalar.*

*Proof.* Suppose that  $\lambda \in \mathbb{C} \setminus \sigma_{(\beta)_\epsilon}(S)$ . Then there exists  $O$  a neighborhood of  $\lambda$  such that  $O \cap \sigma_{(\beta)_\epsilon}(S) = \emptyset$ . If  $(f_n)_n$  is any sequence in  $\mathcal{E}(O, X)$  such that  $(T - \mu)f_n(\mu)$  converges to zero in  $\mathcal{E}(O, X)$ , then

$$0 = \lim_{n \rightarrow \infty} A(T - \mu)f_n(\mu) = \lim_{n \rightarrow \infty} (S - \mu)Af_n(\mu)$$

and hence  $\lim_{n \rightarrow \infty} Af_n(\mu) = 0$  in  $\mathcal{E}(O, Y)$ . Thus we have

$$0 = \lim_{n \rightarrow \infty} B Af_n(\mu) = \lim_{n \rightarrow \infty} T f_n(\mu).$$

It follows that  $(\mu f_n(\mu))_n$  converges to zero in  $\mathcal{E}(O, X)$ . By Lemma 2.4, we have  $(f_n)_n$  converges to zero in  $\mathcal{E}(O, X)$ . Hence  $\lambda \in \mathbb{C} \setminus \sigma_{(\beta)_\epsilon}(T)$ . The reverse implication is obtained by symmetry.  $\square$

The following corollaries are immediate consequences of Theorem 2.5

**Corollary 2.6.** *Let  $S : X \rightarrow Y$  and  $R : Y \rightarrow X$  be bounded linear operators. Then  $\sigma_{(\beta)_\epsilon}(RS) = \sigma_{(\beta)_\epsilon}(SR)$ . In particular,  $RS$  is subscalar if and only if  $SR$  is subscalar.*

Let  $T \in L(\mathcal{H})$  be a bounded operator on some Hilbert space  $\mathcal{H}$  and  $U|T|$  be its polar decomposition, and  $U|T|$  be its polar decomposition, where  $|T| := (TT^*)^{\frac{1}{2}}$  and  $U$  is the approximate partial isometry. For  $r \leq t$ , let  $R = |T|^r$  and  $S = |T|^s U|T|^{t-r}$ . Then  $SR = T(s, t)$  and  $RS = T(s + r, t - r)$ . It follows that  $T(s, t)$  and  $T(s + r, t - r)$  and in particular  $\tilde{T}$  and  $T$  almost have the same local spectral properties.

**Corollary 2.7.** *Let  $T \in L(\mathcal{H})$ ,  $s \geq 0$  and  $0 \leq r \leq t$ . Then  $T(s, t)$  has the property  $(\beta)$  (resp.  $(\delta)$  or subscalar) if and only if  $T(s + r, t - r)$  has the property  $(\beta)$  (resp.  $(\delta)$  or subscalar).*

The following result generalizes [3, Proposition 3.1].

**Proposition 2.8.** *Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  for which  $A \in \mathcal{I}(S, T)$  and  $B \in \mathcal{I}(T, S)$ . Assume that  $AB = S$  and  $BA = T$ . Then*

- (a)  $\sigma_S(Ax) \subseteq \sigma_T(x) \subseteq \sigma_S(Ax) \cup \{0\}$  for every  $x \in X$ . In particular, if  $A$  is an injective then  $\sigma_T(x) = \sigma_S(Ax)$  for every  $x \in X$ .  
 (b)  $\sigma_T(By) \subseteq \sigma_S(y) \subseteq \sigma_T(By) \cup \{0\}$  for every  $y \in Y$ . In particular, if  $B$  is an injective then  $\sigma_S(y) = \sigma_T(By)$  for every  $y \in Y$ .

*Proof.* (a) Let  $\lambda \notin \sigma_T(x)$  and  $x(\mu)$  be an  $X$ -valued analytic function in a neighborhood  $O$  of  $\lambda$  such that  $(T - \mu)x(\mu) = x$  for every  $\mu \in O$ . Then we have

$$Ax = A(T - \mu)x(\mu) = (S - \mu)Ax(\mu) \text{ for all } x \in O,$$

Since  $SA = AT$ , and hence  $\lambda \notin \sigma_S(Ax)$ . To show the second inclusion, let  $\lambda \notin \sigma_S(Ax) \cup \{0\}$  and  $y(\mu)$  be an  $Y$ -valued analytic function on an open neighborhood  $O(0 \notin O)$  of  $\lambda$ , such that

$$(S - \mu)y(\mu) = Ax$$

for all  $\mu \in O$ . Thus  $Tx = BAx = B(S - \mu)y(\mu) = (T - \mu)By(\mu)$ , since  $BA = T$  and  $TB = BS$ , and hence  $T(By(\mu) - x) = \mu By(\mu)$ . We define  $z : O \rightarrow X$  by

$$z(\mu) := \frac{1}{\mu}(By(\mu) - x).$$

Then clearly,  $x = (T - \mu)z(\mu)$  for every  $\mu \in O$ , and thus  $\lambda \notin \sigma_T(x)$ .

(b) It suffices to consider the case  $\lambda = 0$ , since  $\sigma_{T+\lambda I}(x) = \sigma_T(x) + \lambda$  for every  $\lambda \in \mathbb{C}$  and every  $x \in X$ . Suppose that  $0 \in \sigma_S(Ax)$ . Then, by (a)  $\sigma_T(x) = \sigma_S(Ax)$  for every  $x \in X$ . Suppose that  $0 \notin \sigma_S(Ax)$  and let  $y(\mu)$  be an  $Y$ -valued analytic function in a neighborhood  $U$  of  $0$  such that  $(S - \mu)y(\mu) = Ax$  for every  $\mu \in U$ . From the injectivity of  $A$ , it follows that  $x = By(0)$ , since  $Ax = Sy(0) = ABy(0)$ . Moreover, we have  $\mu y(\mu) = Sy(\mu) - Ax = A(By(\mu) - x)$  and so

$$y(\mu) = A\left[\frac{1}{\mu}(By(\mu) - x)\right]$$

for every  $\mu \in U \setminus \{0\}$ . Set  $z(\mu) := \frac{1}{\mu}(By(\mu) - x)$  if  $\mu \neq 0$ , and  $z(\mu) := By'(0)$  if  $\mu = 0$ . It is easily check that  $A[x - (T - \mu)z(\mu)] = 0$  for every  $\mu \in U$ . Since  $A$  is injective, we get

$$(T - \mu)z(\mu) = x$$

for every  $\mu \in U$ , and hence  $0 \notin \sigma_T(x)$ . The theorem is hence proved.  $\square$

We need the following elementary lemma.

**Lemma 2.9.** *Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  for which  $A \in \mathcal{I}(S, T)$  and  $B \in \mathcal{I}(T, S)$ . Assume that  $AB = S$  and  $BA = T$ . Then*

(a)  $A(Ker(I - T)) = Ker(I - S)$  and  $B(Ker(I - S)) = Ker(I - T)$ .

(b)  $Ker(A) \cap Ker(I - T) = \{0\}$  and  $Ker(B) \cap Ker(I - S) = \{0\}$ .

*Proof.* (a) If  $x \in Ker(I - T)$  then  $Tx = x$ . Thus  $Ax = ATx = SAx$ , and hence  $Ax \in Ker(I - S)$ . To verify the opposite inclusion, suppose  $y \in Ker(I - S)$ . Arguing as above, we have  $B(Ker(I - S)) \subseteq Ker(I - T)$ . Therefore  $By \in Ker(I - T)$ , and thus  $y = Sy \in S(Ker(I - T))$ . This proves (a).

(b) If  $x \in Ker(A) \cap Ker(I - T)$ , then  $Ax = 0$ , and  $Tx = x$  and hence  $x = Tx = BAx = 0$ , since  $BA = T$ . This proves (b).  $\square$

As usual, we use  $\sigma_p$ ,  $\sigma_{sur}$ ,  $\sigma_{com}$ ,  $\sigma_{ap}$ ,  $\sigma_r$  and  $\sigma_c$  to denote the *point*, *surjectivity*, *compression*, *approximate point*, *residual* and *continuous spectrum*, respectively. Thus

$$\begin{aligned}\sigma_p(T) &:= \{\lambda \in \mathbb{C} : T - \lambda I \text{ is not injective}\}, \\ \sigma_{sur}(T) &:= \{\lambda \in \mathbb{C} : (T - \lambda I)X \neq X\}, \\ \sigma_{com}(T) &:= \{\lambda \in \mathbb{C} : (T - \lambda I)(X) \text{ is not dense in } X\}, \\ \sigma_r(T) &:= \{\lambda \in \mathbb{C} : T - \lambda I \text{ is injective and } (T - \lambda I)(X) \text{ is not dense in } X\}, \\ \sigma_c(T) &:= \sigma(T) \setminus \{\sigma_p(T) \cup \sigma_r(T)\}.\end{aligned}$$

It is clear that  $\sigma_{sur}(T)$  is compact with

$$\partial\sigma(T) \subseteq \sigma_{sur}(T) = \sigma_{ap}(T^*) \subseteq \sigma(T).$$

Furthermore, it is clear that  $\sigma_{com}(T) = \sigma_p(T^*)$ ,  $\sigma_r(T) = \sigma_{com}(T) \setminus \sigma_p(T)$  and  $\sigma_c(T) = \sigma(T) \setminus \{\sigma_p(T) \cup \sigma_{com}(T)\}$ , see [4] and [11] for more details.

The following result generalizes [2, Theorem 3].

**Theorem 2.10.** *Let  $T \in L(X)$ ,  $S \in L(Y)$ ,  $A \in L(X, Y)$  and  $B \in L(Y, X)$  for which  $A \in \mathcal{I}(S, T)$  and  $B \in \mathcal{I}(T, S)$ . Assume that  $AB = S$  and  $BA = T$ . Then*

- (a)  $\sigma_p(T) \setminus \{0\} = \sigma_p(S) \setminus \{0\}$ .
- (b)  $\sigma_{com}(T) \setminus \{0\} = \sigma_{com}(S) \setminus \{0\}$ .
- (c)  $\sigma_{ap}(T) \setminus \{0\} = \sigma_{ap}(S) \setminus \{0\}$ .
- (d)  $\sigma_{sur}(T) \setminus \{0\} = \sigma_{sur}(S) \setminus \{0\}$ .
- (e)  $\sigma_r(T) \setminus \{0\} = \sigma_r(S) \setminus \{0\}$ .
- (f)  $\sigma(T) \setminus \{0\} = \sigma(S) \setminus \{0\}$ .
- (g)  $\sigma_c(T) \setminus \{0\} = \sigma_c(S) \setminus \{0\}$ .

*Proof.* (a) Since  $AB = S$  and  $BA = T$ , we have

$$\begin{aligned}
 \lambda \notin \sigma_p(T) \setminus \{0\} &\Leftrightarrow \lambda = 0 \text{ or } \lambda I - T \text{ is injective} \\
 &\Leftrightarrow \lambda = 0 \text{ or } I - \lambda^{-1}T \text{ is injective} \\
 &\Leftrightarrow \lambda = 0 \text{ or } \text{Ker}(I - \lambda^{-1}T) = \{0\} \\
 &\Leftrightarrow \lambda = 0 \text{ or } A(\text{Ker}(I - \lambda^{-1}T)) = \text{Ker}(I - \lambda^{-1}S) = \{0\} \\
 &\Leftrightarrow \lambda \notin \sigma_p(S) \setminus \{0\}.
 \end{aligned}$$

(b) By passing to duals,  $\sigma_p(T^*) \setminus \{0\} = \sigma_p(S^*) \setminus \{0\}$ . Since  $\sigma_{com}(T) = \sigma_p(T^*)$ , from this and (a), we obtain

$$\sigma_{com}(T) \setminus \{0\} = \sigma_p(T^*) \setminus \{0\} = \sigma_p(S^*) \setminus \{0\} = \sigma_{com}(S) \setminus \{0\}.$$

(c) Assume that  $\lambda \in \sigma_{ap}(T) \setminus \{0\}$ . Then there exists  $\{x_n\} \subseteq X$ ,  $\|x_n\| = 1$  for all  $n$  and  $\lim_{n \rightarrow \infty} \|(\lambda I - T)x_n\| = 0$ . Since  $SA = AT$ , we have

$$\|(\lambda I - S)Ax_n\| = \|A(\lambda I - T)x_n\| \leq \|A\| \|(\lambda I - T)x_n\|,$$

and hence  $\lim_{n \rightarrow \infty} \|(\lambda I - S)Ax_n\| = 0$ . In fact,  $\|Ax_n\|$  is bounded away from zero. For if not,  $\|Ax_{n_k}\| \rightarrow 0$  for some subsequence  $\{x_{n_k}\}$  of  $\{x_n\}$ . Then

$$|\lambda| = |\lambda| \|x_{n_k}\| \leq \|(\lambda I - T)x_{n_k}\| + \|Tx_{n_k}\| \rightarrow 0,$$

a contradiction. This proves  $\lambda \in \sigma_{ap}(S) \setminus \{0\}$ . The reverse implication is obtained by symmetry.

(d) It is clear from (c) that  $\sigma_{ap}(T^*) \setminus \{0\} = \sigma_{ap}(S^*) \setminus \{0\}$ . Since  $\sigma_{sur}(T) = \sigma_{ap}(T^*)$  for any  $T \in L(X)$ , we have

$$\begin{aligned}
 \sigma_{sur}(T) \setminus \{0\} &= \sigma_{ap}(T^*) \setminus \{0\} \\
 &= \sigma_{ap}(S^*) \setminus \{0\} \\
 &= \sigma_{sur}(S) \setminus \{0\}.
 \end{aligned}$$

(e) It is clear from the definition  $\sigma_r(T)$  that  $\sigma_r(T) = \sigma_{com}(T) \setminus \sigma_p(T)$ . From (a) and (b), we have

$$\begin{aligned}
 \sigma_r(T) \setminus \{0\} &= \sigma_{com}(T) \setminus (\sigma_p(T) \cup \{0\}) \\
 &= (\sigma_{com}(T) \setminus \{0\}) \setminus (\sigma_p(T) \setminus \{0\}) \\
 &= (\sigma_{com}(S) \setminus \{0\}) \setminus (\sigma_p(S) \setminus \{0\}) \\
 &= \sigma_{com}(S) \setminus (\sigma_p(S) \cup \{0\}) \\
 &= \sigma_r(S) \setminus \{0\}.
 \end{aligned}$$

(f) It is clear that  $\sigma(T) = \sigma_p(T) \cup \sigma_{sur}(T)$ . From (a) and (d), we have

$$\begin{aligned}\sigma(T) \setminus \{0\} &= (\sigma_p(T) \cup \sigma_{sur}(T)) \setminus \{0\} \\ &= (\sigma_p(T) \setminus \{0\}) \cup (\sigma_{sur}(T) \setminus \{0\}) \\ &= (\sigma_p(S) \setminus \{0\}) \cup (\sigma_{sur}(S) \setminus \{0\}) \\ &= (\sigma_p(S) \cup \sigma_{sur}(S)) \setminus \{0\} \\ &= \sigma(S) \setminus \{0\}.\end{aligned}$$

(g) It is clear from the definition of continuous spectrum that

$$\sigma_c(T) = \sigma(T) \setminus (\sigma_{com}(T) \cup \sigma_p(T)).$$

From (a), (b) and (f), we obtain

$$\begin{aligned}\sigma_c(T) \setminus \{0\} &= \sigma(T) \setminus (\sigma_{com}(T) \cup \sigma_p(T) \cup \{0\}) \\ &= (\sigma(T) \setminus \{0\}) \setminus ((\sigma_{com}(T) \setminus \{0\}) \cup (\sigma_p(T) \setminus \{0\})) \\ &= (\sigma(S) \setminus \{0\}) \setminus ((\sigma_{com}(S) \setminus \{0\}) \cup (\sigma_p(S) \setminus \{0\})) \\ &= \sigma(S) \setminus (\sigma_{com}(S) \cup \sigma_p(S) \cup \{0\}) \\ &= \sigma_c(S) \setminus \{0\}.\end{aligned}$$

This proves theorem.  $\square$

The following corollaries are immediate consequences of Theorem 2.5

**Corollary 2.11.** *Let  $S \in L(X, Y)$  and  $R \in L(Y, X)$ . Then*

$$\sigma(SR) \setminus \{0\} = \sigma(RS) \setminus \{0\} \quad \text{and} \quad \sigma_\Omega(SR) \setminus \{0\} = \sigma_\Omega(RS) \setminus \{0\},$$

where  $\sigma_\Omega$  denotes each one of  $\sigma$ ,  $\sigma_p$ ,  $\sigma_{com}$ ,  $\sigma_{ap}$ ,  $\sigma_{ap}$ ,  $\sigma_{sur}$ ,  $\sigma_r$ , and  $\sigma_c$ .

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