ON A GENERALIZATION OF THE MCCOY CONDITION

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ABSTRACT. We in this note consider a new concept, so called π -McCoy, which unifies McCoy rings and IFP rings. The classes of McCoy rings and IFP rings do not contain full matrix rings and upper (lower) triangular matrix rings, but the class of π -McCoy rings contain upper (lower) triangular matrix rings and many kinds of full matrix rings. We first study the basic structure of π -McCoy rings, observing the relations among π -McCoy rings. Abelian rings, 2-primal rings, directly finite rings, and (π -)regular rings are not π -McCoy, finding π -McCoy matrix rings over non-reduced rings. It is shown that the π -McCoyness is preserved by polynomial rings (when they are of bounded index of nilpotency) and classical quotient rings. Several kinds of extensions of π -McCoy rings are also examined.

1. Basic properties of π -McCoy rings

Throughout this note every ring is associative with identity unless otherwise stated. We use R[x] to denote the polynomial ring with an indeterminate xover R. Denote the n by n full matrix ring over R by $Mat_n(R)$ and the n by n upper (resp. lower) triangular matrix ring over R by $U_n(R)$ (resp. $L_n(R)$). Note $Mat_n(R)[x] \cong Mat_n(R[x])$ and we will use this freely. Use E_{ij} for the matrix with (i, j)-entry 1 and elsewhere 0. \mathbb{Z}_n denotes the ring of integers modulo n. The set of all nilpotent elements in R is written by N(R), and $N_*(R)$ denotes the prime radical of R.

McCoy [10] obtained the following in 1957. Given a commutative ring R

(†) f(x)g(x) = 0 implies f(x)c = 0 for some nonzero $c \in R$,

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where f(x) and g(x) are nonzero polynomials in R[x]. Based on this result, Nielsen [11] called a ring R (possibly non-commutative) right McCoy when the equation f(x)g(x) = 0 implies f(x)c = 0 for some nonzero $c \in R$, where f(x), g(x) are nonzero polynomials in R[x]. Left McCoy rings are defined similarly. If a ring is both left and right McCoy, then the ring is called a McCoyring.

Due to Cohn [5], a ring R is called *reversible* if ab = 0 implies ba = 0 for $a, b \in R$. Anderson and Camillo [1], observing the rings whose zero products commute, used the term ZC_2 for what is called reversible. Reversible rings are McCoy by Nielsen [11, Theorem 2]. A ring R is called *reduced* if N(R) = 0. Reduced rings are reversible via a simple computation, and commutative rings are clearly reversible; hence they are McCoy. We use these facts freely.

We consider the following condition that is a generalization of the condition (\dagger) (we see below). Given a ring R consider a condition

(*) $f(x)g(x) \in N(R[x])$ implies $f(x)c \in N(R[x])$ for some nonzero $c \in R$,

where f(x) and g(x) are nonzero polynomials in R[x].

Proposition 1.1. (1) The condition (*) is left-right symmetric.

(2) McCoy rings satisfy the condition (*).

Proof. (1) Let R be a ring satisfying the condition (*). Say $f(x)g(x) \in N(R[x])$ for nonzero polynomials f(x), g(x) in R[x]. Then $g(x)f(x) \in N(R[x])$. Since R satisfies the condition (*), $g(x)c \in N(R[x])$ for some nonzero $c \in R$ and so $cg(x) \in N(R[x])$. The converse can be proved similarly.

(2) Let R be a McCoy ring and $f(x)g(x) \in N(R[x])$ for nonzero polynomials f(x), g(x) in R[x]. Say that $(f(x)g(x))^n = 0$ and $(f(x)g(x))^{n-1} \neq 0$ for some $n \geq 1$. Then there exists $m \geq 1$ such that $(g(x)f(x))^m = 0$ and $(g(x)f(x))^{m-1} \neq 0$.

Case 1. f(x)g(x) = 0 and g(x)f(x) = 0

Since R is right McCoy, there exist $a, b \in R \setminus 0$ such that f(x)a = 0 and g(x)b = 0.

Case 2. f(x)g(x) = 0 and $g(x)f(x) \neq 0$

Since R is McCoy and f(x)g(x) = 0, there exist $a, b \in R \setminus 0$ such that f(x)a = 0 and bg(x) = 0.

Case 3. $f(x)g(x) \neq 0$ and g(x)f(x) = 0

Since R is McCoy and g(x)f(x) = 0, there exist $a, b \in R \setminus 0$ such that af(x) = 0 and g(x)b = 0.

Case 4. $f(x)g(x) \neq 0$ (then $n \geq 2$) and $g(x)f(x) \neq 0$ (then $m \geq 2$)

Suppose that $f(x)g(x) \neq 0$ and $g(x)f(x) \neq 0$, and assume on the contrary that $f(x)\alpha \neq 0$ and $g(x)\alpha \neq 0$ for any nonzero $\alpha \in R$.

When n=2 we get $(g(x)f(x))^{n-1} \neq 0$, and so we put $n \geq 3$. If $(g(x)f(x))^{n-1}$

= 0, then $g(x)((f(x)g(x))^{n-2}f(x)) = 0$ implies g(x)c = 0 for some $c \in R \setminus 0$

since R is right McCoy and $(f(x)g(x))^{n-2}f(x) \neq 0$, a contradiction, entailing $(g(x)f(x))^{n-1} \neq 0$. So $g(x)(f(x)g(x))^{n-1}$ is either zero or nonzero, considering $(f(x)g(x))^n = 0$.

If $g(x)(f(x)g(x))^{n-1} \neq 0$, then f(x)d = 0 for some $d \in R \setminus 0$ since R is right McCoy and $0 = (f(x)g(x))^n = f(x)(g(x)(f(x)g(x))^{n-1})$, a contradiction. So $g(x)(f(x)g(x))^{n-1} = 0$.

If $g(x)(f(x)g(x))^{n-1} = 0$, then g(x)e = 0 for some $e \in R \setminus 0$ since R is right McCoy and $(f(x)g(x))^{n-1} \neq 0$, a contradiction. So $g(x)(f(x)g(x))^{n-1} \neq 0$.

In any situation of Case 4, we meet a contradiction. Therefore $f(x)\alpha = 0$ for some $\alpha \in R \setminus 0$ or $g(x)\beta = 0$ for some $\beta \in R \setminus 0$.

By Cases 1, 2, 3 and 4, $f(x)\alpha \in N(R[x])$ or $\beta g(x) \in N(R[x])$ for some $\alpha, \beta \in R \setminus 0$. Thus R satisfies the condition (*) by (1).

Based on Proposition 1.1, a ring will be called π -*McCoy* if it satisfies the condition (*). McCoy rings are π -McCoy by Proposition 1.1(2); but the converse need not be true by the arguments, to follow. Note that the McCoyness is not left-right symmetric by [11, 4. Final remarks], comparing with the symmetry of the π -McCoyness.

In the following we see basic examples for π -McCoy rings.

Lemma 1.2. (1) Let R be a ring. If there exists a nonzero ideal I of R such that $I[x] \subseteq N(R[x])$, then R is π -McCoy.

(2) Every non-semiprime ring is π -McCoy.

(3) Let R be a ring with a nonzero nilpotent ideal. Then $Mat_n(R)$ $(n \ge 1)$ is π -McCoy.

(4) Let R be any ring. $U_n(R)$ and $L_n(R)$ are π -McCoy when $n \ge 2$.

(5) Let R, S be rings. For a bimodule $_RM_S$ (resp. $_SM_R$) $\begin{pmatrix} R & M \\ 0 & S \end{pmatrix}$ (resp. $\begin{pmatrix} R & 0 \\ M & S \end{pmatrix}$) is π -McCoy.

(6) Let R be a ring and n be any positive integer. Then $R[x]/(x^n)$ is a π -McCoy ring, where (x^n) is the ideal generated by x^n .

(7) Let R be a ring with a nonzero central element $a \in N(R)$. Then $Mat_n(R)$ is π -McCoy.

Proof. (1) Let $0 \neq f \in R[x]$. If $f \in I[x]$, then $fr \in N(R[x])$ for all $r \in R$. If $f \notin I[x]$, then $fs \in I[x] \subseteq N(R[x])$ for all nonzero $s \in I$. Thus R is π -McCoy. (2) Let R be a ring with $N_*(R) \neq 0$. Since $0 \neq N_*(R)[x] = N_*(R[x]) \subseteq N(R[x])$, R is π -McCoy by (1).

The rings mentioned in (3), (4), (5) and (6) are non-semiprime and so they are π -McCoy by (2).

(7) Let $M = \operatorname{Mat}_n(R)$ and $m = (m_{ij}) \in M$ with $m_{ii} = a$ for all i and elsewhere zero. Then MmM[x] is a nonzero nilpotent ideal of M[x] and so M is π -McCoy by (2).

There exist many semiprime rings which are not π -McCoy by Theorem 1.4 below. Mat_n(\mathbb{Z}_{m^2}) is π -McCoy by Lemma 1.2(7) for every positive integer

 $m \geq 2$. However there are non- π -McCoy matrix rings (e.g., Mat_n(\mathbb{Z}_p) with p prime) by Theorem 1.4, to follow.

 $U_n(R)$ and $L_n(R)$ $(n \ge 2)$ are π -McCoy by Lemma 1.2(4). However the following shows that $U_n(R)$ and $L_n(R)$ are both neither left nor right McCoy when $n \ge 2$.

Example 1.3. Let R be any ring and set $U = U_n(R)$. For the case of n = 2k (k = 1, 2, ...), let

$$f(x) = (E_{11} + \dots + E_{(2k-1)(2k-1)}) + (-E_{12} - \dots - E_{(2k-1)(2k)})x,$$

$$g(x) = (E_{22} + \dots + E_{(2k)(2k)}) + (E_{12} + \dots + E_{(2k-1)(2k)})x$$

be in U[x]. For the case of n = 2k + 1 (k = 1, 2, ...), let

$$f(x) = (E_{11} + E_{33} + \dots + E_{(2k+1)(2k+1)}) + (-E_{12} - \dots - E_{(2k+1)(2k)})x,$$

$$g(x) = (E_{22} + \dots + E_{(2k)(2k)}) + (E_{12} + \dots + E_{(2k+1)(2k)})x$$

be in U[x]. Then f(x)g(x) = 0. Assuming f(x)M = 0 for $M \in U_n(R)$, we get M = 0 by the coefficients of f(x); hence $U_n(R)$ is not right McCoy. Taking similar polynomials, we can also conclude that $U_n(R)$ is not left McCoy. Similarly $L_n(R)$ is neither left nor right McCoy.

From Lemma 1.2(4) one may conjecture that the n by n full matrix ring over any ring is π -McCoy for $n \geq 2$. In the following we see that matrix rings need not be π -McCoy.

Theorem 1.4. Let R be a reduced ring. Then the n by n full matrix ring over R is not π -McCoy when $n \geq 2$.

Proof. Let $S = Mat_n(R)$ with $n \ge 2$ and we will proceed by induction on n to show the non- π -McCoyness of S. Considering polynomials

$$f = \begin{pmatrix} 1 & x & \cdots & x^{n-1} \\ x^n & x^{n+1} & \cdots & x^{2n-1} \\ \vdots & \vdots & \cdots & \vdots \\ x^{n(n-1)} & x^{n(n-1)+1} & \cdots & x^{n^2-1} \end{pmatrix} \text{ and } g = \begin{pmatrix} x & x & \cdots & x \\ -1 & -1 & \cdots & -1 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \cdots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix}$$

in S[x], we have fg = 0. Let $C = (c_{ij}) \in S$ such that $fC \in N(S[x])$, say $(fC)^k = 0$. Note

$$fC = \begin{pmatrix} h_{11} & h_{12} & \cdots & h_{1n} \\ h_{11}x^n & h_{12}x^n & \cdots & h_{1n}x^n \\ \vdots & \vdots & \cdots & \vdots \\ h_{11}x^{n(n-1)} & h_{12}x^{n(n-1)} & \cdots & h_{1n}x^{n(n-1)} \end{pmatrix},$$

where $h_{1j} = \sum_{i=1}^{n} c_{ij} x^{i-1} \in R[x]$ for $j \in \{1, 2, ..., n\}$. The (1, 1)-entry of $(fC)^k$ is

$$h_{11}^k + h_{11}^{k-2} h_{12} h_{11} x^n + \dots + h_{11}^{k-2} h_{1n} h_{11} x^{(n-1)n} + \dots = c_{11}^k + xh = 0$$

for some $h \in R[x]$ and so $c_{11} = 0$ by the reducedness of R, entailing $h_{11} = c_{21}x + \cdots + c_{n1}x^{n-1}$. Note vk < v(k-1) + n for each $v \in \{1, 2, \ldots, n-1\}$, and so the degree of the first term in any polynomial in

$$\{h_{11}^{k-2}h_{12}h_{11}x^n,\ldots,h_{11}^{k-2}h_{1n}h_{11}x^{(n-1)n},\ldots\}$$

is larger than the degree of h_{11}^k . Assuming that $c_{11} = \cdots = c_{s1} = 0$ for $s \in \{1, 2, \ldots, n\}$, we have that the (1, 1)-entry of $(fC)^k$ is $c_{(s+1)1}^k x^{sk} + x^{sk+1}p = 0$ for some $p \in R[x]$ and so $c_{(s+1)1} = 0$ by the reducedness of R. Inductively we finally obtain $c_{11} = \cdots = c_{n1} = 0$, entailing

(*)
$$fC = \begin{pmatrix} 0 & h_{12} & \cdots & h_{1n} \\ 0 & h_{12}x^n & \cdots & h_{1n}x^n \\ \vdots & \vdots & \cdots & \vdots \\ 0 & h_{12}x^{n(n-1)} & \cdots & h_{1n}x^{n(n-1)} \end{pmatrix}$$

Next assume that $c_{ij} = 0$ for all $i \in \{1, 2, ..., n\}$ and $j \in \{1, ..., s\}$, based on the matrix (*). Then we will show that $c_{i(s+1)} = 0$ for all $i \in \{1, 2, ..., n\}$. Note that the (1, s + 1)-entry of $(fC)^k$ is

$$(h_{1(s+1)}^{k}x^{sn} + h_{1(s+1)}^{k-2}h_{1(s+2)}h_{1(s+1)}x^{(s+1)n} + \cdots + h_{1(s+1)}^{k-2}h_{1n}h_{1(s+1)}x^{(n-1)n}x^{(k-2)sn} + \cdots = c_{1(s+1)}^{k}x^{(k-1)sn} + x^{(k-1)sn+1}w = 0$$

for some $w \in R[x]$ and so $c_{1(s+1)} = 0$ by the reducedness of R, entailing $h_{1(s+1)} = c_{2(s+1)}x + \cdots + c_{n(s+1)}x^{n-1}$. By the same method as the case of the (1, 1)-entry, we obtain $c_{1(s+1)} = \cdots = c_{n(s+1)} = 0$. Now the induction gives that all c_{ij} 's are zero, concluding that S is not π -McCoy.

By Lemma 1.2(3), if R is non-semiprime, then $\operatorname{Mat}_n(R)$ $(n \ge 2)$ is π -McCoy. Note that reduced rings are semiprime. From Theorem 1.4 one may suspect that the n by n matrix ring over a semiprime ring is not π -McCoy for $n \ge 2$. However the following example erases the possibility.

Example 1.5. Let S be a reduced ring, n be a positive integer and R_n be the 2^n by 2^n upper triangular matrix ring over S. Define a map $\sigma : R_n \to R_{n+1}$ by $A \mapsto \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix}$, then R_n can be considered as a subring of R_{n+1} via σ (i.e., $A = \sigma(A)$ for $A \in R_n$). Notice that $D = \{R_n, \sigma_{nm}\}$, with $\sigma_{nm} = \sigma^{m-n}$ whenever $n \leq m$, is a direct system over $I = \{1, 2, \ldots\}$. Set $R = \varinjlim R_n$ be the direct limit of D. Then R is a semiprime ring by the method in [8, Example 1.2]. Consider the following two-sided ideal

 $I = \{A \in R \mid \text{ the diagonal entries of } A \text{ are zero}\}.$

Now let $J = \operatorname{Mat}_n(I)$ and $f(x) = A_0 + A_1x + \cdots + A_mx^m \in J[x]$. Then there exists $k \ge 1$ such that $A_i \in R_k$ for all $i = 0, 1, \ldots, m$; hence f(x) is nilpotent, entailing that J[x] is nil. By Lemma 1.2(1), $\operatorname{Mat}_n(R)$ $(n \ge 2)$ is π -McCoy.

Note. Let *R* be a right McCoy ring and suppose that $0 \neq f(x) = \sum_{i=0}^{m} a_i x^i$, $0 \neq g(x) \in R[x]$ satisfy f(x)g(x) = 0. Then since *R* is right McCoy, there is $0 \neq c \in R$ with f(x)c = 0; hence $(\sum_{i=0}^{m} Ra_i)c = 0$ and so $\sum_{i=0}^{m} Ra_i$ contains no right regular element (of course $\sum_{i=0}^{m} Ra_i \subsetneq R$). Thus if there exist $0 \neq f(x) = \sum_{i=0}^{m} a_i x^i, 0 \neq g(x) \in R[x]$ with f(x)g(x) = 0 and $\sum_{i=0}^{m} Ra_i$ contains a right regular element, then *R* cannot be right McCoy.

In the following we show by Note that any n by $n \ (n \ge 2)$ full matrix ring is neither left nor right McCoy over any ring.

Proposition 1.6. Let R be any ring. $Mat_n(R)$ $(n \ge 2)$ is neither left nor right McCoy.

Proof. Let R be any ring and $M = \operatorname{Mat}_n(R)$ for any $n \ge 2$. For the case of n = 2k (k = 1, 2, ...), let

$$f(x) = (E_{12} + \dots + E_{(2k-1)(2k)}) + (E_{11} + \dots + E_{(2k-1)(2k-1)})x,$$

$$g(x) = (E_{12} + \dots + E_{(2k-1)(2k)}) + (-E_{22} - \dots - E_{(2k)(2k)})x$$

in M[x]. For the case of n = 2k + 1 (k = 1, 2, ...), let

$$f(x) = (E_{12} + \dots + E_{(2k+1)(2k)}) + (E_{11} + E_{33} + \dots + E_{(2k+1)(2k+1)})x,$$

$$g(x) = (E_{12} + \dots + E_{(2k+1)(2k)}) + (-E_{22} - \dots - E_{(2k)(2k)})x$$

in M[x]. Then we get f(x)g(x) = 0, but $\sum_{i=0}^{1} Ma_i$ contains the identity; hence M is not right McCoy by Note. Similarly we also conclude that M is not left McCoy.

Due to Bell [2], a ring R is called *IFP* if ab = 0 implies aRb = 0. A ring R is called 2-primal if $N_*(R) = N(R)$, according to Birkenmeier et al. [3]. Note that a ring R is 2-primal if and only if $R/N_*(R)$ is reduced. It can be easily checked that reversible rings are IFP and IFP rings are 2-primal.

Proposition 1.7. 2-primal rings are π -McCoy.

Proof. Let R be a 2-primal ring. If $N_*(R) = 0$, then R is reduced and so R is $(\pi$ -)McCoy. If $N_*(R) \neq 0$, then R is also π -McCoy by Lemma 1.2(2).

Using the construction in Section 3 in [11], there can be an IFP ring that is not one-sided McCoy. A ring is called *Abelian* if every idempotent is central. Note that IFP rings are Abelian. The ring in [4, Theorem 7.1] is McCoy but not Abelain. Thus McCoy rings also need not be IFP. By Proposition 1.7, the concept of π -McCoyness unifies IFP rings and McCoy rings.

The converse of Proposition 1.7 need not hold. The π -McCoy ring R in Example 1.5 is not 2-primal by [8, Example 1.2]. It is natural to ask whether 2-primal rings are one-sided McCoy. However the answer is negative as can be seen by upper triangular matrix rings over reduced rings. Consider $U = U_n(R)$

 $(n \ge 2)$ over a reduced ring R. Then U is 2-primal since $N(U) = N_*(U)$. But U is neither left nor right McCoy by Example 1.3.

A ring R is called *directly finite* if ab = 1 implies ba = 1 for $a, b \in R$. 2primal rings and right McCoy rings are directly finite by [3, Proposition 2.10] and [4, Theorem 5.2], respectively. So one may conjecture that π -McCoy rings are directly finite. However the following erases the possibility.

Example 1.8. Let F be a field and \mathbb{V} be an infinite dimensional vector space over F with a basis $\{v_1, v_2, \ldots\}$. Consider the endomorphism ring $R = \operatorname{End}_F(\mathbb{V})$ and define $f, g \in R$ such that $fv_1 = 0, fv_j = v_{j-1}$ for $j = 2, 3, \ldots$ and $gv_i = v_{i+1}$ for $i = 1, 2, \ldots$ Then fg = 1 but $gf \neq 1$. Now consider $U_n(R)$ for $n \geq 2$. Then $U_n(R)$ is π -McCoy by Lemma 1.2(4). Take $a = (a_{ij})$ and $b = (b_{ij})$ in $U_n(R)$ such that $a_{ii} = f$ for all i, elsewhere zero, and $b_{ii} = g$ for all i, elsewhere zero. Then ab = 1 but $ba \neq 1$; hence $U_n(R)$ is not directly finite.

Abelian rings are clearly directly finite and so one may ask whether Abelian rings are π -McCoy. But the following gives a negative answer.

Example 1.9. There exists an Abelian ring that is not π -McCoy.

Let A be the 3 by 3 full matrix ring over the power series ring F[[t]] over a field F. Let

$$B = \{ M = (m_{ij}) \in A \mid m_{ij} \in tF[[t]] \text{ for } 1 \le i, j \le 2 \text{ and} \\ m_{ij} = 0 \text{ for } i = 3 \text{ or } j = 3 \}$$

and

$$C = \{M = (m_{ij}) \in A \mid m_{ii} \in F \text{ and } m_{ij} = 0 \text{ for } i \neq j\}$$

Let R be the subring of A generated by B and C. Let $F = \mathbb{Z}_2$. Note that every element of R is of the form

$$\begin{pmatrix} a+f_1 & f_2 & 0 \\ f_3 & a+f_4 & 0 \\ 0 & 0 & a \end{pmatrix}$$
 for some $a \in F$ and $f_i \in tF[[t]]$ $(i = 1, 2, 3, 4).$

Consider two polynomials over R

$$f(x) = \begin{pmatrix} t & tx & 0 \\ tx^2 & tx^3 & 0 \\ 0 & 0 & 0 \end{pmatrix} \text{ and } g(x) = \begin{pmatrix} tx & tx & 0 \\ -t & -t & 0 \\ 0 & 0 & 0 \end{pmatrix} \in R[x].$$

Then f(x)g(x) = 0 but there cannot exist $r \in R$ such that $f(x)r \in N(R[x])$, concluding that R is not π -McCoy.

Next we will show that R is Abelian. Let $e^2 = e$ for $e = \begin{pmatrix} a+f_1 & f_2 & 0 \\ f_3 & a+f_4 & 0 \\ 0 & 0 & a \end{pmatrix} \in R$.

Then we get $a^2 = a$ and

(1)
$$f_1^2 + f_2 f_3 = f_1$$

(2)
$$f_1 f_2 + f_2 f_4 = f_2$$

(3)
$$f_1 f_3 + f_3 f_4 = f_3$$

(4)
$$f_2 f_3 + f_4^2 = f_4.$$

 $(f_1 + f_4)f_2 = f_2$ from (2), and so $f_2 = 0$ since f_i 's are in tF[[t]]. Then $f_1 = 0$ follows from (1), entailing $f_3 = 0 = f_4$ from (3) and (4). Consequently we have that every idempotent of R is of the form $e = \begin{pmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & 0 & a \end{pmatrix} \in R$. Thus R is Abelian.

A ring R is called (von Neumann) regular if for each $a \in R$ there exists $x \in R$ such that a = axa. Note that a ring R is Abelian regular if and only if R is reduced regular [6, Theorem 3.2]. Consequently a regular ring R is reduced if and only if R is reversible if and only if R is Abelian if and only if R is 2-primal. Recall that the class of π -McCoy rings contains both McCoy rings and 2-primal rings. So one may hope that regular π -McCoy rings are both McCoy and 2-primal. But in the following we see a negative case.

Example 1.10. Let S be an Abelian regular ring, n be a positive integer, and R_n be the 2^n by 2^n full matrix ring over S. Define a map $\sigma : R_n \to R_{n+1}$ by $A \mapsto \begin{pmatrix} A & 0 \\ 0 & A \end{pmatrix}$, then R_n can be considered as a subring of R_{n+1} via σ (i.e., $A = \sigma(A)$ for $A \in R_n$). Notice that $D = \{R_n, \sigma_{nm}\}$, with $\sigma_{nm} = \sigma^{m-n}$ whenever $n \leq m$, is a direct system over $I = \{1, 2, \ldots\}$. Set $R = \varinjlim R_n$ be the direct limit of D.

For $f(x), g(x) \in R[x]$ with f(x)g(x) = 0, assume on the contrary that there exists $0 \neq c \in R$ with f(x)c = 0. There exists $m \geq 1$ such that $f(x), g(x), c \in R_m[x]$. However Proposition 1.6 implies that R_m cannot be neither left nor right McCoy, a contradiction. Thus R is not right McCoy. Similarly R is not left McCoy. Next it is clear that R is not 2-primal since $E_{12} + E_{21}$ is not nilpotent.

Now we claim that R is π -McCoy. Assume $f(x)g(x) \in N(R[x])$ for $0 \neq f(x), g(x) \in R[x]$. Then there exists $k \geq 1$ such that $f(x), g(x) \in R_k[x]$, and so we consider the matrix $E_{1(2^{k+1})}$ in R_{k+1} . It then follows $(f(x)E_{1(2^{k+1})})^{2^{k+1}} = 0$, obtaining that R is π -McCoy.

Lastly in this section we observe subrings and homomorphic images of π -McCoy rings.

Proposition 1.11. (1) The class of π -McCoy rings is not closed under subrings.

(2) The class of π -McCoy rings is not closed under homomorphic images.

Proof. (1) Let R be the ring, in Theorem 1.4, which is not π -McCoy. Next consider $U_2(R)$. Then $U_2(R)$ is π -McCoy by Lemma 1.2(4), but R is a subring of $U_2(R)$.

(2) Let R be the ring of quaternions with integer coefficients. Then R is a domain, so $(\pi$ -)McCoy. However for any odd prime integer q, the ring R/qR is isomorphic to $Mat_2(\mathbb{Z}_q)$ by the argument in [7, Exercise 2A]. Thus R/qR is not π -McCoy by Theorem 1.4 when $q \in \{3, 5, 11\}$.

Let S be a reduced ring and set $A = U_n(S)$, $B = \operatorname{Mat}_n(S)$ for $n \ge 2$. Then $R = \begin{pmatrix} A & B \\ 0 & B \end{pmatrix}$ is π -McCoy by Lemma 1.2(4); but $\frac{R}{I} \cong \operatorname{Mat}_n(S)$ is not π -McCoy

by Theorem 1.4 when $I = \begin{pmatrix} A & B \\ 0 & 0 \end{pmatrix}$. This ring is another counterexample for Proposition 1.11(2).

2. More examples of π -McCoy rings

In this section we examine several kinds of extensions of π -McCoy rings. We first consider the case of classical quotient rings. A ring R is called right *Ore* if given $a, b \in R$ with b regular there exist $a_1, b_1 \in R$ with b_1 regular such that $ab_1 = ba_1$. It is well-known that R is a right Ore ring if and only if the classical right quotient ring of R exists.

Theorem 2.1. Let R be a right Ore ring with its classical right quotient ring Q. If R is π -McCoy, then so is Q.

Proof. Denote the set of all regular elements in R by C(R). We use [9, Proposition 2.1.16] freely. Suppose $F(x)G(x) \in N(Q[x])$ for $F(x), G(x) \in Q[x] \setminus 0$. Say that $(F(x)G(x))^k = 0$ and $(F(x)G(x))^{k-1} \neq 0$ for some $k \ge 1$. We can write $F(x) = \sum_{i=0}^m a_i u^{-1} x^i$ and $G(x) = \sum_{j=0}^n b_j v^{-1} x^j$ for some a_i 's, b_j 's in R and $u, v \in C(R)$.

Case 1. F(x)G(x) = 0 and G(x)F(x) = 0

Consider F(x)G(x) = 0. Since R is right Ore, $u^{-1}b_j = b'_j u_1^{-1}$ (j = 1, ..., n) for some $b'_j \in R$ and $u_1 \in C(R)$. Letting $f(x) = \sum_{i=0}^m a_i x^i$ and $g_1(x) = \sum_{j=0}^n b'_j x^j$, we have $f(x)g_1(x) = 0$. Since R is π -McCoy, there exists $\alpha \in R \setminus 0$ with $f(x)\alpha \in N(R[x]) \subseteq N(Q[x])$ and hence

$$F(x)u\alpha = f(x)u^{-1}u\alpha = f(x)\alpha \in N(Q[x])$$

for some nonzero $u\alpha \in Q$.

For the case of G(x)F(x) = 0, a similar computation finds nonzero $v\beta \in Q$ such that $G(x)v\beta \in N(Q[x])$.

Case 2. F(x)G(x) = 0 and $G(x)F(x) \neq 0$

Note that G(x)F(x)G(x) = 0 and F(x)G(x)F(x) = 0. Letting H(x) = G(x)F(x), we have H(x)G(x) = 0 and F(x)H(x) = 0 with $H(x) \neq 0$. Say $H(x) = \sum_{s=0}^{\ell} c_s w^{-1} x^s$ with c_s 's in R and $w \in C(R)$.

$$\begin{split} H(x) &= \sum_{s=0}^{\ell} c_s w^{-1} x^s \text{ with } c_s \text{'s in } R \text{ and } w \in C(R). \\ \text{Consider } H(x)G(x) &= 0. \text{ Since } R \text{ is right } \text{Ore, } w^{-1}b_j = d_j w_1^{-1} \text{ for some } d_j \text{'s in } R \text{ and } w_1 \in C(R). \text{ Letting } h(x) &= \sum_{s=0}^{\ell} c_s x^s \text{ and } g_2(x) = \sum_{j=0}^{n} d_j x^j, \text{ we } have h(x)g_2(x) &= 0. \text{ Since } R \text{ is } \pi\text{-McCoy, there exists } \gamma \in R \setminus 0 \text{ with } \gamma g_2(x) \in N(R[x]) \subseteq N(Q[x]). \text{ Note } g_2(x) \gamma \in N(Q[x]) \text{ and} \end{split}$$

$$w^{-1}G(x)w_1\gamma = g_2(x)w_1^{-1}w_1\gamma = g_2(x)\gamma \in N(Q[x]).$$

It then follows that $G(x)w_1\gamma w^{-1} \in N(Q[x])$ for some nonzero $w_1\gamma w^{-1} \in Q$.

For the case of F(x)H(x) = 0, the computation in Case 1 finds nonzero $q \in Q$ such that $F(x)q \in N(Q[x])$.

Case 3. $F(x)G(x) \neq 0$ and G(x)F(x) = 0

Note that F(x)G(x)F(x) = 0 and G(x)F(x)G(x) = 0. Letting I(x) = F(x)G(x), we have I(x)F(x) = 0 and G(x)I(x) = 0 with $I(x) \neq 0$.

Then the computation in Case 2 gives $q_1, q_2 \in Q \setminus 0$ such that $F(x)q_1$, $G(x)q_2 \in N(Q[x])$.

Case 4. $F(x)G(x) \neq 0$ and $G(x)F(x) \neq 0$

Suppose $F(x)G(x) \neq 0$ and $G(x)F(x) \neq 0$. Then there exist $k \geq 2$ and $h \geq 2$ such that $(F(x)G(x))^k = 0$, $(F(x)G(x))^{k-1} \neq 0$, $(G(x)F(x))^h = 0$ and $(G(x)F(x))^{h-1} \neq 0$.

Assume on the contrary that $F(x)\alpha \notin N(Q[x])$ and $G(x)\alpha \notin N(Q[x])$ for any nonzero $\alpha \in Q$.

Since R is right Ore, $u^{-1}b_j = b'_j u_1^{-1}$ and $v^{-1}a_i = a'_i v_1^{-1}$ for some $a'_i, b'_j \in R$ and $u_1, v_1 \in C(R)$. Letting $f(x) = \sum_{i=0}^m a_i x^i$, $f_1(x) = \sum_{i=0}^m a'_i x^i$, $g(x) = \sum_{j=0}^n b_j x^j$ and $g_1(x) = \sum_{j=0}^n b'_j x^j$, we obtain $F(x)G(x) = f(x)g_1(x)(vu_1)^{-1}$ and $G(x)F(x) = g(x)f_1(x)(uv_1)^{-1}$. Let $v_2 = uv_1$ and $u_2 = vu_1$. Also since R is right Ore, $u_2^{-1}(F(x)G(x))^{k-1} = A(x)u_3^{-1}$ and $v_2^{-1}(G(x)F(x))^{h-1} = B(x)v_3^{-1}$ for some $A(x), B(x) \in R[x]$ and some $u_3, v_3 \in C(R)$. Here A(x) and B(x) are both nonzero because $(F(x)G(x))^{k-1}$ and $(G(x)F(x))^{h-1}$ are both nonzero. Notice that

 $f(x)g_1(x)A(x) = f(x)g_1(x)u_2^{-1}(F(x)G(x))^{k-1}u_3 = (F(x)G(x))^k u_3 = 0$

and

$$g(x)f_1(x)B(x) = g(x)f_1(x)v_2^{-1}(G(x)F(x))^{h-1}v_3 = (G(x)F(x))^h v_3 = 0.$$

But A(x) and B(x) are both nonzero. So there are $\beta, \gamma \in R \setminus 0$ such that $f(x)g_1(x)\beta \in N(R[x])$ and $g(x)f_1(x)\gamma \in N(R[x])$, since R is π -McCoy. Note that

$$g_1(x)\beta = g_1(x)u_2^{-1}u_2\beta = u^{-1}g(x)v^{-1}u_2\beta = u^{-1}G(x)u_2\beta$$

and

$$f_1(x)\gamma = f_1(x)v_2^{-1}v_2\gamma = v^{-1}f(x)u^{-1}v_2\gamma = v^{-1}F(x)v_2\gamma.$$

Thus if $f_1(x)\gamma \in N(Q[x])$ and $g_1(x)\beta \in N(Q[x])$, then $F(x)v_2\gamma v^{-1} \in N(Q[x])$ and $G(x)u_2\beta u^{-1} \in N(Q[x])$ with $v_2\gamma v^{-1}, u_2\beta u^{-1} \in Q\backslash 0$. This is a contradiction to assumption, entailing $f_1(x)\gamma \notin N(Q[x])$ and $g_1(x)\beta \notin N(Q[x])$. Since R is π -McCoy, $f(x)\delta \in N(R[x])$ and $g(x)\zeta \in N(R[x])$ for some $\delta,\zeta \in R\backslash 0$. It then follows that $F(x)u\delta = f(x)u^{-1}u\delta = f(x)\delta \in N(R[x])$ and $G(x)v\zeta = g(x)v^{-1}v\zeta = g(x)\zeta \in N(R[x])$ with $u\delta, v\zeta \in Q\backslash 0$, a contradiction to the assumption. Therefore $F(x)\alpha \in N(Q[x])$ or $G(x)\beta \in N(Q[x])$ for some $\alpha,\beta \in Q\backslash 0$.

By Cases 1, 2, 3 and 4, $F(x)\alpha \in N(Q[x])$ or $\beta G(x) \in N(Q[x])$ for some $\alpha, \beta \in Q \setminus 0$. Thus Q is π -McCoy.

Next we observe the case of polynomial rings.

Theorem 2.2. Let R be a ring and suppose that $(fc)^h = 0$ for some $0 \neq c \in R$ and $h \leq k$ whenever $(fg)^k = 0$ for nonzero f, g in R[x]. Then R[x] is π -McCoy. Proof. Let S = R[x]. Let R be π -McCoy and suppose that $f(t)g(t) \in N(S[t])$ for nonzero polynomials $f(t) = f_0 + f_1 t + \dots + f_m t^m$ and $g(t) = g_0 + g_1 t + \dots + g_n t^n$ in S[t], where S[t] is the polynomial ring with an indeterminate t over S. Say $(f(t)g(t))^{\ell} = 0$. Set $k = \deg(f_0) + \dots + \deg(f_m) + \deg(g_0) + \dots + \deg(g_n)$ and replace t by x^h with $h = k\ell + 1$. Then the set of coefficients of the f_i 's and g_j 's coincides with the set of coefficients of $f(x^h)$ and $g(x^h)$; hence $f(x^h)$ and $g(x^h)$ are nonzero polynomials in R[x]. Moreover we have

$$(f(x^h)g(x^h))^{\ell} = \sum_{s=0}^{(m+n)\ell} \sum_{i_1+\dots+i_\ell=s} p_{i_1}q_{i_1}\cdots p_{i_\ell}q_{i_\ell}(x^h)^s,$$

where $p_{i_i} \in \{f_0, \ldots, f_m\}$ and $q_{i_i} \in \{g_0, \ldots, g_n\}$ for all i_j 's. But these

$$p_{i_1}q_{i_1}\cdots p_{i_\ell}q_{i_\ell}$$
's

are equal to those of $(f(t)g(t))^{\ell}$, and so $(f(x^h)g(x^h))^{\ell} = 0$. Now by hypothesis, $(f(x^h)c)^v = 0$ for some $0 \neq c \in R$ and $v \leq \ell$. This implies that $(f(t)c)^v = 0$ with $0 \neq c \in S$ since the coefficients of $(f(x^h)c)^v = 0$ and $(f(t)c)^v = 0$ are equal. Thus R[x] is π -McCoy.

Proposition 2.3. A ring R is π -McCoy if R[x] is π -McCoy.

Proof. Let S = R[x] be π -McCoy and suppose that $f(x)g(x) \in N(R[x])$ for nonzero polynomials f(x), g(x) in R[x]. This can be converted to that $f(t)g(t) \in N(S[t])$ for nonzero polynomials f(t), g(t) in S[t]. Since S is π -McCoy, $f(t)h(x) \in N(S[t])$ for some $0 \neq h(x) \in R[x]$, say $(f(t)h(x))^k = 0$. Note that $f(t) \in R[t]$. Here letting $h(x) = \sum_{i=0}^n a_i x^i \in R[x]$ (we can set $a_0 \neq 0$, dividing by x if necessary), then we get $f(t)a_0 \in N(R[t])$ from $0 = (f(t)h(x))^k = (f(t)a_0)^k + h_1x + \dots + h_{nk}x^{nk}$ with $h_1, \dots, h_{nk} \in R[t]$. This implies that $f(x)a_0 \in N(R[x])$ and $0 \neq a_0 \in R$, showing that R is π -McCoy.

The *index of nilpotency* of a nilpotent element x in a ring R is the least positive integer n such that $x^n = 0$. The *index of nilpotency* of a subset I of R is the supremum of the indices of nilpotency of all nilpotent elements in I. If such a supremum is finite, then I is said to be of bounded index of nilpotency.

From Theorem 2.2 and Proposition 2.3 we can obtain the following.

Corollary 2.4. Let R be a ring of bounded index of nilpotency. Then R is π -McCoy if and only if R[x] is π -McCoy.

In the following we deal with a case similar to the classical quotient rings.

Proposition 2.5. Let R be a ring and Δ be a multiplicatively closed subset of R consisting of central regular elements. Then R is π -McCoy if and only if $\Delta^{-1}R$ is π -McCoy.

Proof. Suppose that R is π -McCoy. Let $F(x) = \sum_{i=0}^{m} \alpha_i x^i$ and $G(x) = \sum_{i=0}^{n} \beta_j x^j$ be nonzero polynomials in $(\Delta^{-1}R)[x]$ such that

$$F(x)G(x) \in N((\Delta^{-1}R)[x]),$$

where $\alpha_i = a_i u^{-1}$, $\beta_j = b_j v^{-1}$ with $a_i, b_j \in R$ and $u, v \in \Delta$. Since Δ is contained in the center of R, we have $F(x)G(x) = u^{-1}(a_0 + a_1x + \cdots + a_mx^m)v^{-1}(b_0 + b_1x + \cdots + b_nx^n) = (uv)^{-1}(a_0 + a_1x + \cdots + a_mx^m)(b_0 + b_1x + \cdots + b_nx^n) \in N((\Delta^{-1}R)[x])$. Let $f(x) = a_0 + a_1x + \cdots + a_mx^m$ and $g(x) = b_0 + b_1x + \cdots + b_nx^n$. Then f(x) and g(x) are nonzero polynomials in R[x] with $f(x)g(x) \in N(R[x])$. Since R is right π -McCoy, there exists $0 \neq c \in R$ such that $f(x)c \in N(R[x])$. Hence $F(x)c = u^{-1}f(x)c \in N((\Delta^{-1}R)[x])$, concluding that $\Delta^{-1}R$ is π -McCoy.

Conversely assume that $\Delta^{-1}R$ is π -McCoy. Let $f(x) = \sum_{i=0}^{m} a_i x^i$ and $g(x) = \sum_{j=0}^{n} b_j x^j$ be nonzero polynomials in R[x] such that $f(x)g(x) \in N(R[x])$. Since $\Delta^{-1}R$ is π -McCoy, $f(x)(cw^{-1}) \in N((\Delta^{-1}R)[x])$ for some $0 \neq cw^{-1} \in \Delta^{-1}R$. Thus we get $f(x)c \in N(R[x])$ and $0 \neq c \in R$, showing that R is π -McCoy. \Box

Corollary 2.6. Let R be a ring. Then R[x] is π -McCoy if and only if so is $R[x; x^{-1}]$.

Proof. Note that $\Delta = \{1, x, x^2, \ldots\}$ is a multiplicatively closed subset of R[x] consisting of central regular elements. So Proposition 2.5 gives the proof since $R[x; x^{-1}] = \Delta^{-1}R[x]$.

We consider several basic examples as follows.

Proposition 2.7. Let $\{R_i \mid i \in I\}$ be a class of rings.

(1) The direct product and direct sum of R_i 's are π -McCoy when some R_i is non-semiprime.

(2) Suppose that $N_*(R_i) = 0$ for all $i \in I$. The direct product of R_i 's is π -McCoy if R_i is π -McCoy for all $i \in I$.

(3) Suppose that $N_*(R_i) = 0$ for all $i \in I$. The direct sum of R_i 's is π -McCoy if R_i is π -McCoy for all $i \in I$.

(4) The direct sum of R_i 's is π -McCoy if $|I| = \infty$.

Proof. (1) If some R_i is non-semiprime, then the direct product and direct sum of R_i 's are also non-semiprime; hence they are π -McCoy by Lemma 1.2(2).

(2) Let R be the direct product of R_i and suppose $f(x)g(x) \in N(R[x])$ with $0 \neq f(x) = \sum_{j=0}^{m} (a(j)_i)x^j$, $0 \neq g(x) = \sum_{k=0}^{n} (b(k)_i)x^k \in R[x]$. Letting $f_i(x) = \sum_{j=0}^{m} a(j)_i x^j$ and $g_i(x) = \sum_{k=0}^{n} b(k)_i x^k$ we can write $f(x) = (f_i(x))$ and $g(x) = (g_i(x))$.

If $f_h(x) = 0$ for some $h \in I$, then take $r = (r_i) \in R$ be such that $r_h = 1$ and zero elsewhere, obtaining f(x)r = 0 with $r \neq 0$. So assume that $f_i(x) \neq 0$ for all $i \in I$. Since $g(x) \neq 0$, there exists $k \in I$ such that $g_k(x) \neq 0$. Since R_k is π -McCoy by hypothesis, there exists $0 \neq c \in R_k$ such that $f_k(x)c \in N(R_k[x])$. Let $r = (r_i) \in R$ be such that $r_k = c$ and zero elsewhere. Then $f(x)r \in N(R[x])$ with $r \neq 0$, concluding that R is π -McCoy.

(3) The proof is almost same as (2).

(4) Let R be the direct sum and $0 \neq f(x) = \sum_{j=0}^{m} (a(j)_i) x^j \in R[x]$. Since $|I| = \infty$, there exists $k \in I$ such that $a(j)_k = 0$, the coordinate of $(a(j)_i)$ in R_k , for all j. Take $r = (r_i) \in R$ be such that $r_k = 1$ and zero elsewhere. Then f(x)r = 0 with $r \neq 0$ and so R is π -McCoy.

Next we see the direct limit case.

Proposition 2.8. The classes of π -McCoy rings and right (or left) McCoy rings are closed under direct limits.

Proof. Let $D = \{R_i, \alpha_{ij}\}$ be a direct system of π -McCoy rings R_i for $i \in I$ and ring homomorphisms $\alpha_{ij} : R_i \to R_j$ for each $i \leq j$ satisfying $\alpha_{ij}(1) = 1$, where I is a directed partially ordered set. Set $R = \varinjlim R_i$ be the direct limit of Dwith $\iota_i : R_i \to R$ and $\iota_j \alpha_{ij} = \iota_i$. We will show that R is a π -McCoy ring. Take $a, b \in R$. Then $a = \iota_i(a_i), b = \iota_j(b_j)$ for some $i, j \in I$ and there is $k \in I$ such that $i \leq k, j \leq k$. Define

$$a + b = \iota_k(\alpha_{ik}(a_i) + \alpha_{jk}(b_j))$$
 and $ab = \iota_k(\alpha_{ik}(a_i)\alpha_{jk}(b_j))$,

where $\alpha_{ik}(a_i)$ and $\alpha_{jk}(b_j)$ are in R_k . Then R forms a ring with $0 = \iota_i(0)$ and $1 = \iota_i(1)$.

Now let $f, g \in R[x]$ be nonzero polynomials such that $fg \in N(R[x])$. There is $k \in I$ such that $f, g \in R_k[x]$ via ι_i 's and α_{ij} 's; hence we get $fg \in N(R_k[x])$. Since R_k is π -McCoy, there exists $0 \neq c_k \in R_k$ such that $fc_k \in N(R_k[x])$. Put $c = \iota_k(c_k)$. Then $fc \in N(R[x])$ with nonzero c, entailing R being π -McCoy. The proof for right (or left) McCoy rings is similar.

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