

## DISCRETE PRESENTATIONS OF THE HOLONOMY GROUP OF A ONE-HOLED TORUS

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ABSTRACT. A one-holed torus  $\Sigma(1, 1)$  is a building block of oriented surfaces. In this paper we formulate the matrix presentations of the holonomy group of a one-holed torus  $\Sigma(1, 1)$  by the gluing method. And we present an algorithm for deciding the discreteness of the holonomy group of  $\Sigma(1, 1)$ .

### 1. INTRODUCTION

A *hyperbolic* structure on a smooth surface  $M$  is a representation of  $M$  as a quotient  $\Omega/\Gamma$  of a convex domain  $\Omega \subset \mathbb{H}^2$  by a discrete group  $\Gamma \subset \mathbf{PSL}(2, \mathbb{R})$  acting properly and freely. If the Euler characteristic  $\chi(M)$  of  $M$  is negative, then the equivalence classes of hyperbolic structures on  $M$  form a deformation space  $\mathfrak{T}(M)$  called the *Teichmüller space*.

Let  $M$  be a compact connected smooth surface with  $\chi(M) < 0$ . Denote  $\pi$  by the fundamental group  $\pi_1(M)$  of  $M$ . For a given hyperbolic structure on  $M$ , the action of  $\pi$  on the universal covering space  $\tilde{M}$  of  $M$  produces a homomorphism  $h : \pi \rightarrow \mathbf{PSL}(2, \mathbb{R})$  called the *holonomy homomorphism* and it is well-defined up to conjugation. Hence the Teichmüller space  $\mathfrak{T}(M)$  has a natural topology which identified with the open dense subset of the orbit space  $\text{Hom}(\pi, \mathbf{PSL}(2, \mathbb{R}))/\mathbf{PSL}(2, \mathbb{R})$  corresponding to irreducible representations. Since the holonomy homomorphism  $h : \pi \rightarrow \mathbf{PSL}(2, \mathbb{R})$  is an *isomorphism onto its image*  $\Gamma = h(\pi)$  called the *holonomy group*, the generators of  $\pi$  can be presented by the matrices in  $\mathbf{PSL}(2, \mathbb{R})$  up to conjugation. (Goldman [2], Johnson and Millson [4]) Therefore giving a hyperbolic structure on  $M$  is equivalent to finding a discrete subgroup  $\Gamma$  of  $\mathbf{PSL}(2, \mathbb{R})$  up to conjugation. (Matsuzaki and Taniguchi [8])

Let  $M = \Sigma(g, n)$  be a compact connected oriented surface with  $g$ -genus and  $n$ -boundary components. If  $\chi(M) = 2 - 2g - n < 0$ , then the Teichmüller space  $\mathfrak{T}(M)$  is diffeomorphic to  $\mathbb{R}^{6g-6+3n}$ . And  $M$  can be decomposed as a disjoint union

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of  $g$  one-holed tori  $\Sigma(1, 1)$  and  $g - 2 + n$  pairs of pants  $\Sigma(0, 3)$ . Thus a one-holed torus  $\Sigma(1, 1)$  and a pair of pants  $\Sigma(0, 3)$  are building blocks of an oriented surface  $M$ .(Wolpert [11])

The purposes of this paper are the followings: First we formulate the matrix presentations of the holonomy group of a one-holed torus  $\Sigma(1, 1)$  by the gluing method. The matrix presentations of the holonomy group of a pair of pants  $\Sigma(0, 3)$  in [6] will be used for the gluing method. Second we give an algorithm for deciding the discreteness of the holonomy group of  $\Sigma(1, 1)$ .

## 2. PRELIMINARIES

Let  $\mathbb{H}^2 = \{z \in \mathbb{C} \mid \text{Im}(z) > 0\}$  be the upper half plane. The Lie group  $\mathbf{PSL}(2, \mathbb{R})$  acts on  $\mathbb{H}^2$  by

$$(2.1) \quad A \cdot z = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \cdot z = \frac{az + b}{cz + d}.$$

An element  $A$  of  $\mathbf{SL}(2, \mathbb{R})$  is said to be *hyperbolic* if  $A$  has two distinct real eigenvalues. Since  $f(x) = x^2 - tx + 1$  is the characteristic polynomial of  $A \in \mathbf{SL}(2, \mathbb{R})$  where  $t = \text{tr}(A)$ ,  $A$  is hyperbolic if and only if  $\text{tr}(A)^2 > 4$ . An element  $A$  of  $\mathbf{PSL}(2, \mathbb{R})$  is said to be *hyperbolic* if  $A$  has two distinct fixed points on  $\partial\mathbb{H}^2$ . Since the absolute value of trace is still defined,  $A$  is hyperbolic if and only if  $|\text{tr}(A)| > 2$ . A hyperbolic element  $A$  of  $\mathbf{PSL}(2, \mathbb{R})$  can be expressed by the diagonal matrix

$$(2.2) \quad \begin{bmatrix} \alpha & 0 \\ 0 & \alpha^{-1} \end{bmatrix} \stackrel{\text{let}}{=} \pm \begin{pmatrix} \alpha & 0 \\ 0 & \alpha^{-1} \end{pmatrix}$$

via an  $\mathbf{SL}(2, \mathbb{R})$ -conjugation where  $\alpha > 1$ .

The following theorem is due to Kuiper [7].

**Theorem 2.1.** *Suppose  $M$  is a compact oriented hyperbolic surface. Then every nontrivial element of the holonomy group  $\Gamma$  is hyperbolic.*

A hyperbolic manifold  $M$  can be *developed* into  $\mathbb{H}^2$  as follows.(Thurston [10]) Since the universal covering space  $\tilde{M}$  is simply connected, the coordinate charts on  $\tilde{M}$  can globalize to define a hyperbolic map  $\mathbf{dev} : \tilde{M} \rightarrow \mathbb{H}^2$ , called the *developing map*. Let  $\Omega = \mathbf{dev}(\tilde{M})$  be the *developing image* in  $\mathbb{H}^2$ . For a non-trivial element  $A$  of the holonomy group  $\Gamma \subset \mathbf{PSL}(2, \mathbb{R})$ , the *translation length*  $\ell(A)$  is defined by  $\ell(A) := \inf_{z \in \Omega} d_P(z, A(z))$  where  $d_P$  is the Poincaré metric on  $\Omega$ . From Beardon's book [1], we get the relation

$$(2.3) \quad \left| \frac{\text{tr}(A)}{2} \right| = \cosh \left( \frac{\ell(A)}{2} \right).$$

Suppose that  $|\text{tr}(A)| = \alpha + \alpha^{-1}$  with  $\alpha > 1$ . Since  $\cosh^{-1}(t) = \log(t + \sqrt{t^2 - 1})$ , Equation (2.3) becomes

$$(2.4) \quad \ell(A) = \log(\alpha^2)$$

for a hyperbolic element  $A \in \mathbf{PSL}(2, \mathbb{R})$ .

The *principal line* of a hyperbolic element  $A \in \mathbf{PSL}(2, \mathbb{R})$  is the  $A$ -invariant unique geodesic in  $\mathbb{H}^2$ . It is the line joining the *repelling* and *attracting* fixed points of  $A$ . For easy understanding, see Figure 1, 2, and 3 or Beardon's book [1]. We now consider the location of the principal line of  $A$  and the relations of entries of  $A$ . The following Theorem 2.2 is some results in [6].

**Theorem 2.2.** *Let  $z_a, z_r$  be the attracting and repelling fixed points of a hyperbolic element  $A = \begin{bmatrix} a & b \\ c & d \end{bmatrix} \stackrel{\text{let}}{=} \pm \begin{pmatrix} a & b \\ c & d \end{pmatrix}$  in  $\mathbf{PSL}(2, \mathbb{R})$ . Suppose both fixed points are finite (not infinite). Then we have the following relations.*

- (1)  $0 < z_a < z_r \iff a^2 < d^2, bc < 0, bd > 0$
- (2)  $0 < z_r < z_a \iff a^2 > d^2, bc < 0, ac > 0$
- (3)  $z_a < z_r < 0 \iff a^2 > d^2, bc < 0, ac < 0$
- (4)  $z_r < z_a < 0 \iff a^2 < d^2, bc < 0, bd < 0$
- (5)  $z_a < 0 < z_r \iff bc > 0, ac < 0, bd < 0$
- (6)  $z_r < 0 < z_a \iff bc > 0, ac > 0, bd > 0$

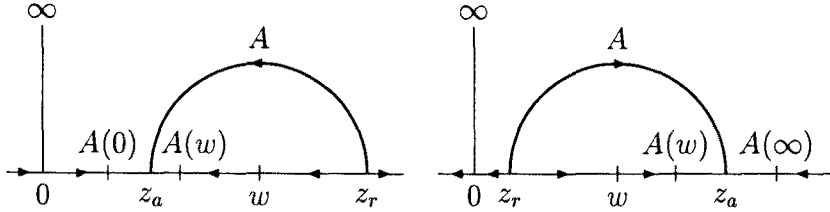


Figure 1. Fixed points with  $0 < z_a < z_r$  and  $0 < z_r < z_a$

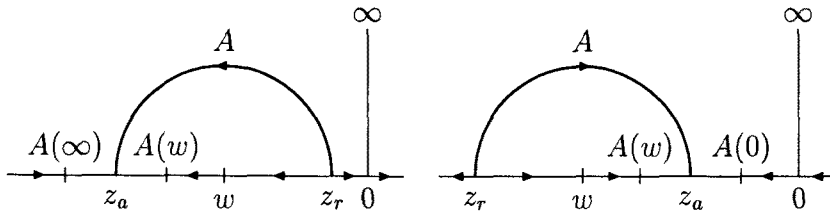


Figure 2. Fixed points with  $z_a < z_r < 0$  and  $z_r < z_a < 0$

**Proposition 2.3.** *Let  $\{x, y\}$  and  $\{-b, b\}$  be the fixed points of hyperbolic elements  $A, B \in \mathbf{PSL}(2, \mathbb{R})$  respectively. Then the principal lines of  $A$  and  $B$  are perpendicular if and only if  $b^2 = xy$ .*

*Proof.* (Case I) In the case  $x < y$ . Consider the linear fractional transformation  $f(z) = \frac{z-x}{-z+y}$ . This transformation  $f : \mathbb{H}^2 \rightarrow \mathbb{H}^2$  is well-defined since  $\det(f) =$

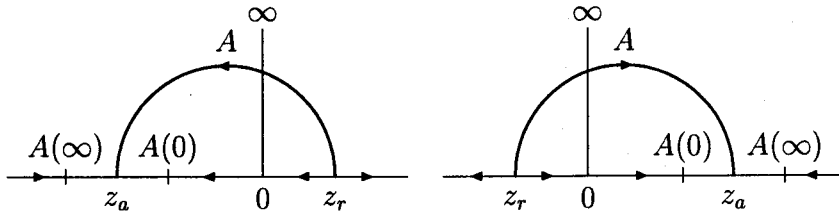


Figure 3. Fixed points with  $z_a < 0 < z_r$  and  $z_r < 0 < z_a$

$y - x > 0$ . Since  $f$  is a conformal map which send the fixed points  $\{x, y\}$  of  $A$  to  $\{0, \infty\}$ , the principal lines of  $A$  and  $B$  are perpendicular if and only if those of  $f(A)$  and  $f(B)$  are perpendicular if and only if  $f(-b) = -f(b)$ ; i.e.  $\left(\frac{-b-x}{b+y}\right) = -\left(\frac{b-x}{-b+y}\right)$ . After some calculation we get the result  $b^2 = xy$ .

(Case II) In the case  $y < x$ . Consider  $f(z) = \frac{z-x}{z-y}$ . Then  $f : \mathbb{H}^2 \rightarrow \mathbb{H}^2$  is well-defined since  $\det(f) = -y + x > 0$ . Similarly we can get the result  $b^2 = xy$ .  $\square$

From the condition  $xy = b^2 > 0$ , we know both fixed points  $\{x, y\}$  of  $A$  should be positive or negative.

### 3. HOLONOMY GROUP OF A ONE-HOLED TORUS $\Sigma(1, 1)$

Suppose a one-holed torus  $\Sigma(1, 1)$  is equipped with a hyperbolic structure. Since the holonomy homomorphism  $h : \pi \rightarrow \mathbf{PSL}(2, \mathbb{R})$  is an isomorphism onto its image  $\Gamma = h(\pi)$ , we will identified the fundamental group  $\pi$  of  $\Sigma(1, 1)$  with the holonomy group  $\Gamma$ ; i.e.  $\pi = \Gamma = \langle A, B, C \in \mathbf{PSL}(2, \mathbb{R}) \mid R = CB^{-1}A^{-1}BA = I \rangle$ .

Above argument is true for the hyperbolic structures. (See Goldman [2], Johnson and Millson [4].) But for a general geometric structure theory, the holonomy homomorphism  $h$  may not be an isomorphism onto its image. We can find examples in Sullivan and Thurston's paper [9].

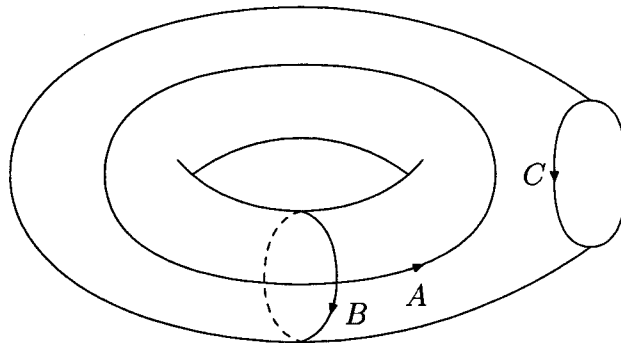


Figure 4. A one-holed torus  $M = \Sigma(1, 1)$

Let  $A, B, C \in \mathbf{PSL}(2, \mathbb{R})$  represent elements of the fundamental group of  $M$  as in Figure 4. We will find the expression of the generators  $A, B$  and  $C$  of  $\pi$  in terms of  $\mathbf{SL}(2, \mathbb{R})$  instead of  $\mathbf{PSL}(2, \mathbb{R})$  because  $\mathbf{SL}(2, \mathbb{R})$  is more convenient to compute and understand than  $\mathbf{PSL}(2, \mathbb{R})$ .

We now explain about the gluing method. Let  $C_1, C_2, C_3 \in \mathbf{SL}(2, \mathbb{R})$  represent the boundary components of a pair of pants  $\Sigma(0, 3)$  as in Figure 5. Then the fundamental group  $\pi$  of  $\Sigma(0, 3)$  is identified with  $\pi = \langle C_1, C_2, C_3 \in \mathbf{SL}(2, \mathbb{R}) \mid R = C_3 C_2 C_1 = I \rangle$ .

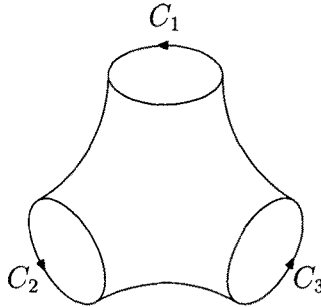


Figure 5. A pair of pants  $M = \Sigma(0, 3)$

Suppose two boundary components  $C_1, C_2$  of a pair of pants  $\Sigma(0, 3)$  have the same translation lengths ; i.e.  $\ell(C_1) = \ell(C_2)$ . Then a one-holed torus  $\Sigma(1, 1)$  can be obtained by gluing two boundaries  $C_1, C_2$  of a pair of pants  $\Sigma(0, 3)$ . By the orientations of boundary components  $C_1$  and  $C_2$ , the boundary  $C_1$  is identified with  $C_2^{-1}$  up to conjugation. For an easy understanding, see the Figure 6. Thus there exists a matrix  $Q \in \mathbf{SL}(2, \mathbb{R})$  such that  $C_1 = Q^{-1} C_2^{-1} Q$ .

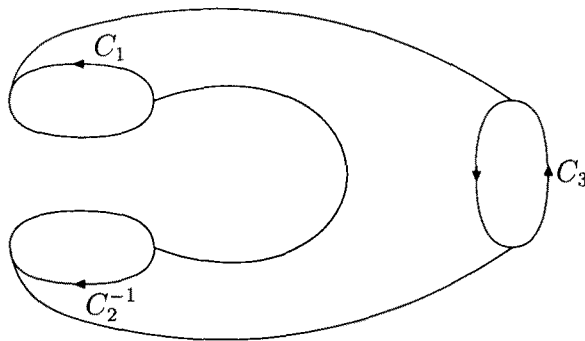


Figure 6. Gluing boundary components  $C_1$  with  $C_2^{-1}$

Without loss of generality, we may assume that  $\text{tr}(C_1) > 2$  and  $\text{tr}(C_2) > 2$ . In the cases  $\text{tr}(C_1) < -2$  or  $\text{tr}(C_2) < -2$ , we replace  $C_1$  to  $-C_1$  or  $C_2$  to  $-C_2$ . Suppose  $\lambda, \mu$  are the eigenvalues of  $C_1, C_2$  respectively with  $\lambda > 1$  and  $\mu > 1$ . Since

$\ell(C_1) = \log(\lambda^2)$  and  $\ell(C_2) = \log(\mu^2)$  in Equation (2.4), the condition  $\ell(C_1) = \ell(C_2)$  induces  $\lambda = \mu$ .

**Theorem 3.1.** *Suppose  $C_1, C_2, C_3 \in \mathbf{SL}(2, \mathbb{R})$  are the generators of the fundamental group of a pair of pants  $\Sigma(0, 3)$  with  $\ell(C_1) = \ell(C_2)$ . If  $Q \in \mathbf{SL}(2, \mathbb{R})$  is a hyperbolic matrix such that  $C_1 = Q^{-1}C_2^{-1}Q$ , then  $A := Q, B := C_2^{-1}, C := C_3$  are the generators of the fundamental group of a one-holed torus  $\Sigma(1, 1)$ .*

*Proof.* By assumption, we have  $C_1 = Q^{-1}C_2^{-1}Q$ . If we define  $A = Q, B = C_2^{-1}, C = C_3$ , then they are hyperbolic matrices and satisfy

$$CB^{-1}A^{-1}BA = C_3C_2Q^{-1}C_2^{-1}Q = C_3C_2C_1 = I.$$

Therefore  $A, B, C$  form the generators of the fundamental group of  $\Sigma(1, 1)$ . □

Now we find the matrix presentations of the holonomy group of a one-holed torus  $\Sigma(1, 1)$  by Theorem 3.1. The following Theorem 3.2 is one of the main results in [6]. Since the matrices  $C_1, C_2, C_3 \in \mathbf{SL}(2, \mathbb{R})$  are represented up to conjugation, without loss of generality, we may assume  $C_2$  is diagonal.

**Theorem 3.2.** *The following matrices  $C_1, C_2, C_3 \in \mathbf{SL}(2, \mathbb{R})$  with*

$$(3.1) \quad \lambda > 1, \mu > 1, a < \lambda^{-1}, c \neq 0$$

*form the generators of the holonomy group of a pair of pants  $\Sigma(0, 3)$ .*

$$(3.2) \quad C_1 = \begin{pmatrix} a & -(\lambda - a)(\lambda^{-1} - a)c^{-1} \\ c & \lambda + \lambda^{-1} - a \end{pmatrix}, \quad C_2 = \begin{pmatrix} \mu & 0 \\ 0 & \mu^{-1} \end{pmatrix},$$

and

$$(3.3) \quad C_3 = \begin{pmatrix} \mu^{-1}(\lambda + \lambda^{-1} - a) & \mu(\lambda - a)(\lambda^{-1} - a)c^{-1} \\ -\mu^{-1}c & \mu a \end{pmatrix}.$$

*Proof.* See Kim’s paper [6]. □

The conditions in (3.1) are from the locations of principal lines of  $C_1, C_2$ , and  $C_3$ . See the Figure 7. In the case  $c < 0$  ( $c > 0$ ), the fixed points of  $C_1$  and  $C_3$  are positive (negative) respectively. (Compare with the results (1) and (4) in Theorem 2.2.)

We now remind some relations between two hyperbolic elements  $A$  and  $\tilde{A}$  in  $\mathbf{SL}(2, \mathbb{R})$ . See [6] for detail. For a hyperbolic element  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \mathbf{SL}(2, \mathbb{R})$ , we denote  $\tilde{A} = \begin{pmatrix} a & -b \\ -c & d \end{pmatrix}$ . Then  $z$  is a fixed point of  $A$  if and only if  $-z$  is a fixed point of  $\tilde{A}$  since  $\tilde{A}(-z) = -A(z)$ . And the principal lines of  $A$  and  $\tilde{A}$  are symmetric with respect to the imaginary axis. See the Figure 8.

Without loss of generality, we assume the fixed points of hyperbolic matrices  $C_1, C_3$  in (3.2) and (3.3) are positive ; i.e. we shall assume  $c < 0$  from now on. In the case the fixed points are negative, we just consider  $\tilde{C}_1, \tilde{C}_3$  instead of  $C_1, C_3$ . Then we can reformulate the matrix presentations of  $C_1, C_2, C_3$  as follows.

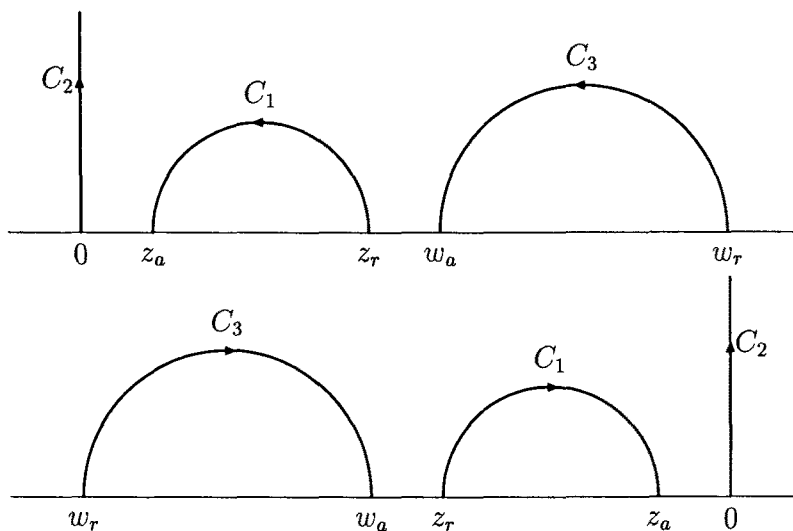


Figure 7. The locations of the principal lines of a pair of pants  $\Sigma(0, 3)$

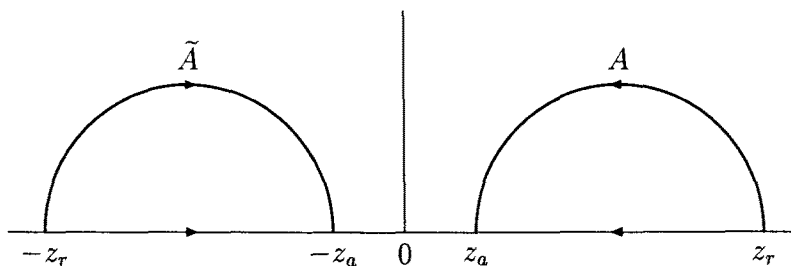


Figure 8. The fixed points of the matrices  $A$  and  $\tilde{A}$

**Theorem 3.3.** *The following matrices  $C_1, C_2, C_3 \in \mathbf{SL}(2, \mathbb{R})$  with*

$$(3.4) \quad \lambda > 1, \mu > 1, a < \lambda^{-1}$$

*form the generators of the holonomy group of a pair of pants  $\Sigma(0, 3)$ .*

$$(3.5) \quad C_1 = \begin{pmatrix} a & (\lambda - a)(\lambda^{-1} - a) \\ -1 & \lambda + \lambda^{-1} - a \end{pmatrix}, \quad C_2 = \begin{pmatrix} \mu & 0 \\ 0 & \mu^{-1} \end{pmatrix},$$

and

$$(3.6) \quad C_3 = \begin{pmatrix} \mu^{-1}(\lambda + \lambda^{-1} - a) & -\mu(\lambda - a)(\lambda^{-1} - a) \\ \mu^{-1} & \mu a \end{pmatrix}.$$

*Proof.* We rename the matrices in (3.2) and (3.3) as  $B_1, B_2$ , and  $B_3$ . Let  $P = \begin{pmatrix} \sqrt{-c} & 0 \\ 0 & \sqrt{-c^{-1}} \end{pmatrix}$ . It is well-defined since we assume  $c < 0$ . Then we can calculate

$$PAP^{-1} = \begin{pmatrix} \sqrt{-c} & 0 \\ 0 & \sqrt{-c^{-1}} \end{pmatrix} \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix} \begin{pmatrix} \sqrt{-c^{-1}} & 0 \\ 0 & \sqrt{-c} \end{pmatrix} = \begin{pmatrix} \alpha & -c\beta \\ -c^{-1}\gamma & \delta \end{pmatrix}.$$

Thus we get the results  $PB_1P^{-1} = C_1, PB_2P^{-1} = C_2$ , and  $PB_3P^{-1} = C_3$  which are the matrices in (3.5) and (3.6). Since the generators of the holonomy group of surfaces are up to conjugation, we can think the matrices  $C_1, C_2$  and  $C_3$  form the generators of the holonomy group of a pair of pants  $\Sigma(0, 3)$ .  $\square$

From now on, we denote  $C_1, C_2, C_3$  as the matrices (3.5) and (3.6) in Theorem 3.3 instead of the matrices (3.2) and (3.3) in Theorem 3.2.

Consider the hyperbolic matrices  $C_1, C_2 \in \mathbf{SL}(2, \mathbb{R})$  in (3.5). Suppose that  $C_1$  and  $C_2$  have the same translation lengths; i.e.  $\ell(C_1) = \ell(C_2)$ . Since  $\lambda > 1$  and  $\mu > 1$ , it is equivalent to  $\lambda = \mu$  by Equation (2.4). Now we shall find a hyperbolic matrix  $Q \in \mathbf{SL}(2, \mathbb{R})$  such that  $C_1 = Q^{-1}C_2^{-1}Q$ .

Let  $Q = \begin{pmatrix} x & y \\ z & w \end{pmatrix}$ . After some calculations, the relation  $QC_1 = C_2^{-1}Q$  induces  $y = -(\lambda^{-1} - a)x$  and  $w = -(\lambda - a)z$ ; i.e.  $Q$  becomes

$$Q = \begin{pmatrix} x & y \\ z & w \end{pmatrix} = \begin{pmatrix} x & -(\lambda^{-1} - a)x \\ z & -(\lambda - a)z \end{pmatrix}.$$

Then the condition  $\det(Q) = 1$  implies that  $1 = xw - yz = -xz(\lambda - \lambda^{-1})$ . Plug in  $x = 1/\sqrt{\lambda - \lambda^{-1}}$  and  $z = -x = -1/\sqrt{\lambda - \lambda^{-1}}$ . Then the following matrix  $Q \in \mathbf{SL}(2, \mathbb{R})$  satisfies the condition  $C_1 = Q^{-1}C_2^{-1}Q$ ;

$$(3.7) \quad Q = \frac{1}{\sqrt{\lambda - \lambda^{-1}}} \begin{pmatrix} 1 & -(\lambda^{-1} - a) \\ -1 & (\lambda - a) \end{pmatrix}.$$

**Proposition 3.4.** *Suppose we have another  $\bar{Q} \in \mathbf{SL}(2, \mathbb{R})$  such that  $C_1 = \bar{Q}^{-1}C_2^{-1}\bar{Q}$ . Then there exists a diagonal matrix  $D \in \mathbf{SL}(2, \mathbb{R})$  such that  $\bar{Q} = DQ$ .*

*Proof.* From the condition  $Q^{-1}C_2^{-1}Q = C_1 = \bar{Q}^{-1}C_2^{-1}\bar{Q}$ , we have  $(\bar{Q}Q^{-1})C_2^{-1} = C_2^{-1}(\bar{Q}Q^{-1})$ . Since  $C_2^{-1}$  is a diagonal matrix, the commutativity of  $(\bar{Q}Q^{-1})$  with  $C_2^{-1}$  implies  $(\bar{Q}Q^{-1})$  should be diagonal. Therefore there exists a diagonal matrix  $D \in \mathbf{SL}(2, \mathbb{R})$  such that  $\bar{Q} = DQ$ .  $\square$

Let  $D \in \mathbf{SL}(2, \mathbb{R})$  be a diagonal matrix with entries  $D_{11} = t$  and  $D_{22} = t^{-1}$ . Then we have

$$(3.8) \quad \bar{Q} = DQ = \frac{1}{\sqrt{\lambda - \lambda^{-1}}} \begin{pmatrix} t & -t(\lambda^{-1} - a) \\ -t^{-1} & t^{-1}(\lambda - a) \end{pmatrix}.$$

Now we shall show that the matrix  $\bar{Q}$  in (3.8) is hyperbolic.

**Proposition 3.5.** *Let  $\bar{Q}$  be the matrix in (3.8). Then*



- (1)  $\text{tr}(\bar{Q}) > 2$  if and only if  $t > 0$ .
- (2)  $\text{tr}(\bar{Q}) < -2$  if and only if  $t < 0$ .

*Proof.* From Theorem 3.3, we have the conditions  $a < \lambda^{-1} < 1 < \lambda$ . Suppose  $t > 0$ . Then we also have  $t^{-1}(\lambda - a) > 0$ . Thus

$$\text{tr}(\bar{Q}) = \frac{t + t^{-1}(\lambda - a)}{\sqrt{\lambda - \lambda^{-1}}} \geq \frac{2\sqrt{\lambda - a}}{\sqrt{\lambda - \lambda^{-1}}} > 2$$

since  $(\lambda - a) > (\lambda - \lambda^{-1})$ . Conversely, suppose  $\text{tr}(\bar{Q}) > 2$ . Since  $(\lambda - a) > 0$ , the sign of  $t$  should be positive. Similarly we can show  $t < 0$  if and only if  $\text{tr}(\bar{Q}) < -2$ .  $\square$

Thus the matrix  $\bar{Q}$  in (3.8) is hyperbolic and satisfies  $C_1 = Q^{-1}C_2^{-1}Q$ . Consider the fixed points and the principal line of  $\bar{Q}$ . Let  $w_r$  and  $w_a$  be the repelling and attracting fixed points of  $\bar{Q}$ . We denote  $\sqrt{\lambda - \lambda^{-1}}\bar{Q}$  by  $\begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ . Then we have  $\beta\gamma = (\lambda^{-1} - a)$ ,  $\alpha\gamma = -1$ , and  $\beta\delta = -(\lambda^{-1} - a)(\lambda - a)$ . From the results in Theorem 2.2, the conditions  $\beta\gamma > 0$ ,  $\alpha\gamma < 0$ ,  $\beta\delta < 0$  induces  $w_a < 0 < w_r$ .

**Proposition 3.6.** *Suppose  $\bar{Q}$  is the hyperbolic matrix in (3.8). Then the attracting and repelling fixed points  $w_a$  and  $w_r$  of  $\bar{Q}$  are*

$$w_a = \frac{-D - \sqrt{E}}{2}, \quad w_r = \frac{-D + \sqrt{E}}{2}$$

where  $D = [t^2 - (\lambda - a)]$  and  $E = D^2 + 4t^2(\lambda^{-1} - a)$ .

*Proof.* Since  $w_a, w_r$  are the fixed points of the transformation  $\bar{Q}(z) = \frac{\alpha z + \beta}{\gamma z + \delta}$ , they are the roots of the equation  $\gamma z^2 + (\delta - \alpha)z - \beta = 0$ . Thus the fixed points  $w_a, w_r$  of  $\bar{Q}$  are  $\frac{(\alpha - \delta) \pm \sqrt{(\alpha - \delta)^2 + 4\beta\gamma}}{2\gamma} = \frac{[t - t^{-1}(\lambda - a)] \pm \sqrt{[t - t^{-1}(\lambda - a)]^2 + 4(\lambda^{-1} - a)}}{-2t^{-1}}$   
 $= \frac{-[t^2 - (\lambda - a)] \mp \sqrt{[t^2 - (\lambda - a)]^2 + 4t^2(\lambda^{-1} - a)}}{2}$ . From the above argument, we know the condition  $w_a < 0 < w_r$ . Therefore we get the results  $w_a = \frac{-D - \sqrt{E}}{2}$  and  $w_r = \frac{-D + \sqrt{E}}{2}$ .  $\square$

**Proposition 3.7.** *The principal line of  $\bar{Q}$  intersects those of  $C_1$  and  $C_2$ .*

*Proof.* Since the principal line of  $C_2$  is the upper half imaginary axis, the condition  $w_a < 0 < w_r$  implies that the principal line of  $\bar{Q}$  intersects that of  $C_2$ .

The fixed points of  $C_1$  in (3.5) are  $z_a = (\lambda^{-1} - a)$  and  $z_r = (\lambda - a)$  with  $0 < z_a < z_r$ . From the conditions  $0 < z_a < z_r$  and  $w_a < 0 < w_r$ , the principal line of  $\bar{Q}$  intersects that of  $C_1$  if and only if  $z_a < w_r < z_r$

$$\begin{aligned} \iff & 2(\lambda^{-1} - a) < -D + \sqrt{E} < 2(\lambda - a) \\ \iff & 2(\lambda^{-1} - a) + D < \sqrt{E} < 2(\lambda - a) + D = [t^2 + (\lambda - a)] \\ \iff & [2(\lambda^{-1} - a) + D]^2 < E < [t^2 + (\lambda - a)]^2 = D^2 + 4t^2(\lambda - a) \\ \iff & 4(\lambda^{-1} - a)^2 + 4(\lambda^{-1} - a)D < 4t^2(\lambda^{-1} - a) < 4t^2(\lambda - a) \\ \iff & (\lambda^{-1} - a) + D < t^2 < t^2(\lambda - a)(\lambda^{-1} - a)^{-1}. \end{aligned}$$

Both inequalities hold since  $a < \lambda^{-1} < \lambda$ . □

Suppose that the trace of  $\bar{Q}$  is *positive*; i.e.  $t > 0$ . Now we find when  $\bar{Q}$  has the smallest trace. From the proof of Proposition 3.5, we know the smallest value of the trace of  $\bar{Q}$  is  $2 \frac{\sqrt{\lambda-a}}{\sqrt{\lambda-\lambda^{-1}}}$ . And it takes when  $t = t^{-1}(\lambda - a)$ . i.e.  $t = \sqrt{\lambda - a}$  since  $t > 0$ . Let  $Q_0$  be the  $\bar{Q}$  plug in  $t = \sqrt{\lambda - a}$ . Then

$$(3.9) \quad Q_0 = \bar{Q}|_{t=\sqrt{\lambda-a}} = \frac{\sqrt{\lambda-a}}{\sqrt{\lambda-\lambda^{-1}}} \begin{pmatrix} 1 & -(\lambda^{-1}-a) \\ -(\lambda-a)^{-1} & 1 \end{pmatrix}.$$

**Proposition 3.8.** *Suppose that  $Q_0$  is the hyperbolic matrix in (3.9). Then the attracting and repelling fixed points  $w_a$  and  $w_r$  of  $Q_0$  are*

$$w_a = -\sqrt{(\lambda^{-1}-a)(\lambda-a)}, \quad w_r = \sqrt{(\lambda^{-1}-a)(\lambda-a)}.$$

*Proof.* We denote  $Q_0 = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ . Then the fixed points of  $Q_0$  are  $\frac{(\alpha-\delta) \pm \sqrt{(\alpha-\delta)^2 + 4\beta\gamma}}{2\gamma}$   
 $= \frac{\pm 2\sqrt{\beta\gamma}}{2\gamma} = \mp \sqrt{(\lambda^{-1}-a)(\lambda-a)}$ . Since  $w_a < 0 < w_r$ , we get the results. □

**Theorem 3.9.** *The the principal line of  $Q_0$  orthogonally intersects those of  $C_1$  and  $C_2$ .*

*Proof.* Denote  $b = \sqrt{(\lambda^{-1}-a)(\lambda-a)} > 0$ . Then the fixed points of  $Q_0$  are  $\pm b$ . Thus the principal line of  $Q_0$  orthogonally intersect  $C_2$ . Since the fixed points  $x, y$  of  $C_1$  are  $(\lambda^{-1}-a)$  and  $(\lambda-a)$ , we have  $b^2 = xy$ . From Proposition 2.3, the principal line of  $Q_0$  orthogonally intersect  $C_1$ . □

Since  $\bar{Q}$  is hyperbolic such that  $C_1 = \bar{Q}^{-1}C_2^{-1}\bar{Q}$ , we have the following theorem.

**Theorem 3.10.** *The generators of the holonomy group of a one-holed torus  $\Sigma(1, 1)$  are expressed by*

$$(3.10) \quad A = \frac{1}{\sqrt{\lambda-\lambda^{-1}}} \begin{pmatrix} t & -t(\lambda^{-1}-a) \\ -t^{-1} & t^{-1}(\lambda-a) \end{pmatrix}, \quad B = \begin{pmatrix} \lambda^{-1} & 0 \\ 0 & \lambda \end{pmatrix},$$

and

$$(3.11) \quad C = \begin{pmatrix} \lambda^{-1}(\lambda + \lambda^{-1} - a) & -\lambda(\lambda - a)(\lambda^{-1} - a) \\ \lambda^{-1} & \lambda a \end{pmatrix}$$

with  $\lambda > 1, t > 0, a < \lambda^{-1}$  up to conjugation.

*Proof.* Consider the matrices  $C_1, C_2, C_3$  in (3.5) and (3.6). First let  $\mu = \lambda$  since  $\ell(C_1) = \ell(C_2)$ . From Theorem 3.1,  $A = \bar{Q}, B = C_2^{-1}, C = C_3$  are the generators of the holonomy group of a one-holed torus  $\Sigma(1, 1)$  up to conjugation. □

4. APPLICATION : ALGORITHM FOR DECIDING THE DISCRETENESS

Finally we can present an algorithm for deciding the discreteness of the holonomy group of a one-holed torus  $\Sigma(1, 1)$ . Let  $A_r, B_r, A_a, B_a$  be the repelling and attracting fixed points of hyperbolic matrices  $A$  and  $B$  respectively. We define  $CR(A, B)$  by the cross ratio of  $B_a, A_r, A_a, B_r$  ; that is

$$CR(A, B) = [B_a, A_r, A_a, B_r] = \frac{(B_a - A_a)(A_r - B_r)}{(B_a - A_r)(A_a - B_r)}.$$

Then  $CR(A, B) = CR(B, A)$  and represents the relations between the principal lines of  $A$  and  $B$ .

**Definition 4.1.** The principal lines of two hyperbolic elements  $A$  and  $B$  are said to be *intersect* if they intersect in  $\mathbb{H}^2$ , *intersect at infinity* if they intersect in  $\partial\mathbb{H}^2$ , *separated with the same orientation* (*separated with the opposite orientation*) if they do not intersect in  $\mathbb{H}^2 \cup \partial\mathbb{H}^2$  and  $A_r < A_a < B_r < B_a$  or  $A_a < A_r < B_a < B_r$  ( $A_r < A_a < B_a < B_r$  or  $A_a < A_r < B_r < B_a$ ) up to conjugation.

Consider Figure 9. The principal lines of  $A, B$  are intersect, those of  $B, C$  are separated with the opposite orientation, and those of  $A, C$  are separated with the same orientation.

**Theorem 4.2.** *Suppose  $A, B$  are hyperbolic matrices in  $SL(2, \mathbb{R})$ . Then the principal lines of  $A, B$  are*

- (1) *intersect*  $\iff CR(A, B) < 0$
- (2) *intersect at infinity*  $\iff CR(A, B) = 0$  or  $\infty$
- (3) *separated with the opposite orientation*  $\iff 0 < CR(A, B) < 1$
- (4) *separated with the same orientation*  $\iff CR(A, B) > 1$

*Proof.* Suppose  $f$  is a linear fractional transformation such that  $f(B_r) = \infty$  and  $f(B_a) = 0$ . Since the cross ratio is invariant under the linear fractional transformations,

$$CR(A, B) = \frac{(0 - z_a)(z_r - \infty)}{(0 - z_r)(z_a - \infty)} = \frac{z_a}{z_r}$$

where  $z_a = f(A_a)$  and  $z_r = f(A_r)$ . If  $CR(A, B) = z_a/z_r < 0$ , then the fixed points of  $f(A)$  have the opposite signs. Thus the principal lines of  $f(A), f(B)$  are intersect. Therefore those of  $A, B$  are also intersect since they are invariant under linear fractional transformations. If  $CR(A, B) = z_a/z_r = 0$ , then  $z_a = 0$  or  $z_r = \infty$ . Then the principal lines of  $f(A), f(B)$  are intersect at infinity. Thus those of  $A, B$  have the same result. We can prove similarly the case  $CR(A, B) = z_a/z_r = \infty$ . If  $0 < CR(A, B) = z_a/z_r < 1$ , then both fixed point have the same signs. Thus if  $z_r > 0$  then  $0 < z_a < z_r$ , and if  $z_r < 0$  then  $z_r < z_a < 0$ . Therefore they are separated with the opposite orientation. The cases  $CR(A, B) > 1$  can be similarly proved.  $\square$

**Remark 4.3.** Since  $A$  is hyperbolic,  $A$  has two distinct fixed points. Thus the case  $CR(A, B) = z_a/z_r = 1$  can not be happen.

Suppose  $A, B, C$  are hyperbolic elements. Then the holonomy group

$$\pi = \langle A, B, C \mid R = CB^{-1}A^{-1}BA = I \rangle$$

is discrete if and only if the principal lines of  $A, B, C$  are located as in Figure 9 up to conjugation. (Keen [5], Goldman [3])

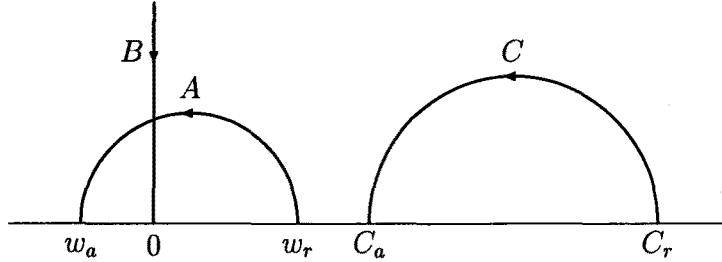


Figure 9. The locations of the principal lines of a one-holed torus  $\Sigma(1,1)$

**Proposition 4.4.** *Suppose that the matrix  $C$  in (3.11) is hyperbolic. Let  $C_a, C_r$  be the fixed point of  $C$ . Then we have*

$$C_a, C_r = \frac{F \pm \sqrt{G}}{2}$$

where  $F = (\lambda + \lambda^{-1} - a - \lambda^2 a)$  and  $G = (\lambda + \lambda^{-1} - a + \lambda^2 a)^2 - 4\lambda^2$ .

*Proof.* We give the same proof in Proposition 3.6. We denote  $C = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$ . Then

the fixed points of  $C$  are  $\frac{(\alpha-\delta) \pm \sqrt{(\alpha-\delta)^2 + 4\beta\gamma}}{2\gamma} = \frac{(\alpha-\delta) \pm \sqrt{(\alpha+\delta)^2 - 4}}{2\gamma}$ . Thus  $C_a, C_r$  are  $\frac{[\lambda^{-1}(\lambda + \lambda^{-1} - a) - \lambda a] \pm \sqrt{[\lambda^{-1}(\lambda + \lambda^{-1} - a) + \lambda a]^2 - 4}}{2\lambda^{-1}} = \frac{[(\lambda + \lambda^{-1} - a) - \lambda^2 a] \pm \sqrt{[(\lambda + \lambda^{-1} - a) + \lambda^2 a]^2 - 4\lambda^2}}{2}$ .

Therefore we get the results. □

**Theorem 4.5 (Main Theorem).** *Suppose  $A, B$  are hyperbolic matrices in  $SL(2, \mathbb{R})$  with  $\text{tr}(A) > 2$  and  $\text{tr}(B) > 2$ . Let  $\pi = \langle A, B, C \mid CB^{-1}A^{-1}BA = I \rangle$ . Then  $\pi$  is discrete if and only if  $CR(A, B) < 0$  and  $\text{tr}(C) < -2$ .*

*Proof.* ( $\Rightarrow$ ) Without loss of generality we may assume  $A, B, C$  are the matrices in (3.10) and (3.11). Suppose  $\pi$  is discrete. Since the principal lines of  $A, B$  are intersect, we have  $CR(A, B) < 0$  by Theorem 4.2. Let  $C_a, C_r$  and  $C_{ij}$  stand for the fixed points and the  $(i, j)$ -th entry of the matrix  $C$ . Since  $0 < C_a < C_r$ , we have  $|C_{11}| < |C_{22}|$  and  $C_{12}C_{22} > 0$  by Theorem 2.2. Then the condition

$$C_{12}C_{22} = -\lambda^2 a(\lambda - a)(\lambda^{-1} - a) > 0$$

implies  $a < 0$  since  $\lambda > 1$  and  $a < \lambda^{-1}$ . And the condition

$$|C_{11}| = |\lambda^{-1}| |\lambda + \lambda^{-1} - a| < |C_{22}| = |\lambda| |a|$$

induces  $\lambda^{-1}(\lambda + \lambda^{-1} - a) < \lambda(-a)$ . Thus

$$\text{tr}(C) = \lambda^{-1}(\lambda + \lambda^{-1} - a) + \lambda a < 0.$$

Since  $C$  is hyperbolic, the trace of  $C$  should be less than  $-2$ .

( $\Leftarrow$ ) Since  $\text{CR}(A, B) < 0$ , the principal lines of  $A, B$  are intersect. Without loss of generality we may assume the principal line of  $B$  is the upper half of the imaginary axis. Thus the fixed points of  $A$  are  $w_a < 0 < w_r$  up to conjugation. To show the discreteness, we have to show that if  $\text{tr}(C) < -2$ , then  $w_r < C_a < C_r$ .

The condition  $\text{tr}(C) = \lambda^{-1}(\lambda + \lambda^{-1} - a) + \lambda a < -2$  implies  $a < 0$  since  $\lambda > 1$  and  $a < \lambda^{-1}$ . Therefore we have the followings ;

$$\begin{aligned} C_{12}C_{21} &= -(\lambda - a)(\lambda^{-1} - a) < 0 \\ C_{12}C_{22} &= -\lambda^2 a(\lambda - a)(\lambda^{-1} - a) > 0 \\ |C_{11}| - |C_{22}| &= \lambda^{-1}(\lambda + \lambda^{-1} - a) - \lambda(-a) = \text{tr}(C) < -2. \end{aligned}$$

By Theorem 2.2, we get  $0 < C_a < C_r$ . Hence, from Proposition 4.4, the fixed point  $C_a$  and  $C_r$  of  $C$  should be

$$C_a = \frac{F - \sqrt{G}}{2} \quad \text{and} \quad C_r = \frac{F + \sqrt{G}}{2}.$$

In the proof of Proposition 3.7, we showed  $w_r < z_r = (\lambda - a)$ . Thus to show  $w_r < C_a < C_r$ , it is enough to show that  $z_r < C_a$ . This is equivalent to  $2(\lambda - a) < F - \sqrt{G}$ . Since

$$\sqrt{G} < F - 2(\lambda - a) = -\lambda + \lambda^{-1} + a - \lambda^2 a,$$

and  $-\lambda + \lambda^{-1} + a - \lambda^2 a > -\lambda - \lambda^{-1} + a - \lambda^2 a = -\text{tr}(C)\lambda > 0$ , it is equivalent to show that

$$G = (\lambda + \lambda^{-1} - a + \lambda^2 a)^2 - 4\lambda^2 < (-\lambda + \lambda^{-1} + a - \lambda^2 a)^2.$$

After some calculations, we can get the equivalent condition

$$(\lambda^2 - 1)(\lambda - a) > 0.$$

This is true since  $\lambda > 1$  and  $\lambda > a$ . It proves the main theorem. □

We give an algorithm for deciding the discreteness of a holonomy group of a one-holed torus  $\Sigma(1, 1)$ . For given two hyperbolic matrices  $A, B$  in  $\mathbf{SL}(2, \mathbb{R})$ ,

**Step 1:** Compute  $\text{tr}(A)$  and  $\text{tr}(B)$ . If  $\text{tr}(A) < -2$ , then replace  $A$  by  $-A$ .

Similarly if  $\text{tr}(B) < -2$ , then replace  $B$  by  $-B$ .

**Step 2:** By step 1, without loss of generality, we may assume that  $\text{tr}(A) > 2$  and  $\text{tr}(B) > 2$ . Compute the attracting and repelling fixed points  $A_a, A_r$  of  $A$  and  $B_a, B_r$  of  $B$ .

**Step 3:** Compute  $\text{CR}(A, B) = [B_a, A_r, A_a, B_r]$ . If  $\text{CR}(A, B) < 0$ , then go to step 4. Otherwise the hyperbolic matrices  $A, B$  can not generate a discrete holonomy group of  $\Sigma(1, 1)$ .

**Step 4:** Compute  $C = A^{-1}B^{-1}AB$ . If  $\text{tr}(C) < -2$ , then

$$\pi = \langle A, B, C \in \mathbf{SL}(2, \mathbb{R}) \mid R = CB^{-1}A^{-1}BA = I \rangle$$

is a discrete group. If  $\text{tr}(C) \geq -2$ , then  $\pi$  is not discrete.

Using above algorithm we can make a computer program determine the discreteness of a holonomy group.

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