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# A STRUCTURE THEOREM FOR COMPLETE INTERSECTIONS

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ABSTRACT. Buchsbaum and Eisenbud proved a structure theorem for Gorenstein ideals of grade 3. In this paper we derive a class of the perfect ideals from a class of the complete matrices. From this we give a structure theorem for complete intersections of grade g > 3.

# 1. Introduction

Let R be a Noetherian local ring and I a perfect ideal of grade q in R. Many people have been studying the algebra structure on the minimal free resolution of R/I, in particular, Gorenstein ideals, the ideals of type 1. In 1968, Burch [3] characterized perfect ideals of grade 2 by showing a structure theorem due to Hilbert in a special case: every perfect ideal of grade 2 generated by nelements is the ideal of (n-1)st order minors of an  $(n-1) \times n$  matrix. In 1977, Buchsbaum and Eisenbud [2] gave a structure theorem for Gorenstein ideals of grade 3 which says that every Gorenstein ideal of grade 3 in R is generated by the maximal order Pfaffians of an alternating matrix. However a structure theorem for Gorenstein ideals of grade 4 is more complicated than that of grade 3 and not completely known. In 1987, Brown [1] described a structure theorem for a certain class of perfect ideals I which have grade 3, type 2 and  $\lambda(I) = \dim_k \Lambda_1^2 > 0$ , where  $\lambda(I)$  is a numerical invariant defined in [5]. In 1989, Sanchez [7] gave a structure theorem for type 3, grade 3 perfect ideals which have  $\lambda(I) = \dim_k \Lambda_1^2 = 2$  or greater. In this paper we will describe a structure theorem for complete intersections of grade g > 3, which says that every complete intersection of grade g > 3 in R is generated by the elements  $x_i$ 's, where  $x_i^{g-1}$  is the determinant of the  $(g-1) \times (g-1)$  diagonal matrix drawn from a complete matrix of grade g for each i  $(1 \le i \le g)$ .

In Section 2 we review some of the properties of alternating matrices, linkage theory, and a structure theorem for Gorenstein ideals of grade 3.

In Section 3 we give the concept of a complete matrix of grade 4 and provide a structure theorem for complete intersections of grade 4.

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In Section 4 we introduce a complete matrix f of grade g > 3, and define the ideal  $\mathcal{K}_{g-1}(f)$  associated with f. Then we prove a structure theorem for complete intersections of grade g > 3. The structure theorem [4] for complete intersections of grade 4 is just a special case of our main Theorem 4.10. Throughout this paper, we assume that all rings are a Noetherian local ring with maximal ideal  $\mathfrak{m}$  unless otherwise stated.

## 2. Gorenstein ideals of grade 3

The grade of a proper ideal I in R is the length of the maximal R-sequence contained in I. We say that an ideal I of grade g is *perfect* if grade  $I = \text{prodim}_R(R/I) = g$ . If I is a perfect ideal of grade g, then the *type* of I is defined to be the dimension of the  $R/\mathfrak{m}$ -vector space  $\text{Ext}_R^g(R/\mathfrak{m}, R/I)$ . A perfect ideal I of grade g is *Gorenstein* if type I = 1, equivalently, if  $\mathbb{F}$  is the minimal free resolution of R/I,

$$\mathbb{F}: 0 \longrightarrow F_g \xrightarrow{\varphi_g} F_{g-1} \xrightarrow{\varphi_{g-1}} \cdots \xrightarrow{\varphi_2} F_1 \xrightarrow{\varphi_1} F_0(=R) ,$$

then the rank of  $F_g$  is 1. A perfect ideal I of grade g is a complete intersection if it is generated by g elements, and is an almost complete intersection if it is minimally generated by g + 1 elements.

Let R be a commutative ring, and F a finite free R-module. An R-module homomorphism  $\varphi: F \to F^*$  is said to be alternating if with respect to some (and therefore any) basis of F and the corresponding dual basis of  $F^*$ , the matrix  $\varphi$  is alternating, i.e., skew-symmetric and all its diagonal entries are 0. Now suppose that  $\varphi$  is alternating, choose a basis of F and the corresponding dual basis of this, and identify  $\varphi$  with the corresponding matrix  $(\varphi_{ij})$ . If rank Fis odd, then det  $\varphi = 0$ , and if rank F is even, then there exists an element  $Pf(\varphi) \in R$ , called the Pfaffian of  $\varphi$ , which is a polynomial function of the entries of  $\varphi$ , such that det  $\varphi = Pf(\varphi)^2$ . We set  $Pf(\varphi) = 0$  if rank F is odd. Pfaffians can be developed along a row just like the determinants. Denote by  $Pf_r(\varphi)$  the ideal generated by the rth order Pfaffians of  $\varphi$ . With these concepts Buchsbaum and Eisenbud gave a complete structure for Gorenstein ideals of grade 3:

**Theorem 2.1** ([2]). Let R be a Noetherian local ring with maximal ideal  $\mathfrak{m}$ .

(1) Let F be a free R-module with rank F = n, where  $n \ge 3$  is an odd integer. Let  $\varphi : F^* \to F$  be an alternating map whose image is contained in  $\mathfrak{m}F$ . Suppose that  $Pf_{n-1}(\varphi)$  has grade 3. Then  $Pf_{n-1}(\varphi)$  is a Gorenstein ideal minimally generated by n elements.

(2) Every Gorenstein ideal of grade 3 arises as in (1).

Now we review some of the notions in the linkage theory formulated by Peskine and Szpiro in [6].

**Definition 2.2.** Let I and J be two ideals in a Gorenstein ring R (not necessarily local).

(1) If there exists an *R*-regular sequence  $\boldsymbol{\alpha} = \alpha_1, \alpha_2, \ldots, \alpha_g$  in  $I \cap J$  such that  $J = (\boldsymbol{\alpha}) : I$  and  $I = (\boldsymbol{\alpha}) : J$ , then *I* and *J* are said to be linked (with respect to  $\boldsymbol{\alpha}$ ).

(2) If I and J are linked and if  $\operatorname{Ass}(R/I) \cap \operatorname{Ass}(R/J) = \emptyset$ , equivalently, if I and J are linked (with respect to  $\boldsymbol{\alpha}$ ) and if  $I \cap J = (\boldsymbol{\alpha})$ , then I and J are said to be geometrically linked.

Let R be a Gorenstein local ring of Krull dimension g with maximal ideal  $\mathfrak{m}$ . If I and J are perfect ideals of grade g, then they are not geometrically linked because (R/I) and (R/J) are both zero-dimensional artinian local rings. Peskine and Szpiro gave a method of constructing a Gorenstein ideal of grade g + 1 from two perfect ideals of grade g:

**Theorem 2.3** ([6]). Let R be a Gorenstein local ring with maximal ideal  $\mathfrak{m}$ . Let I and J be geometrically linked Cohen-Macaulay ideals of grade g by a regular sequence  $\mathbf{x} = x_1, x_2, \ldots, x_g$  and let K = I + J. Then K is a Gorenstein ideal of grade g + 1.

Let *F* be a free *R*-module with a basis  $\{e_1, e_2, \ldots, e_n\}$  and let *I* be an ideal generated by a regular sequence  $\mathbf{x} = x_1, x_2, \ldots, x_n$ . Let  $\mathbb{K}(\mathbf{x})$  be the Koszul complex defined by  $\mathbf{x} = x_1, x_2, \ldots, x_n$ . Then

$$\mathbb{K}(\mathbf{x}): 0 \longrightarrow \wedge^{n} F \xrightarrow{d_{n}} \wedge^{n-1} F \xrightarrow{d_{n-1}} \cdots \xrightarrow{d_{2}} \wedge^{1} F \xrightarrow{d_{1}} \wedge^{0} F$$

is the minimal free resolution of R/I, where  $d_1(e_i) = x_i$  for each *i* with  $1 \leq i \leq n$ , and for each *p* with  $1 \leq p \leq n$ ,  $d_p : \wedge^p F \to \wedge^{p-1} F$  is given by

$$(2.1) \quad d_p(e_{i_1} \wedge e_{i_2} \wedge \dots \wedge e_{i_p}) = \sum_{j=1}^p (-1)^{j-1} d_1(e_{i_j}) e_{i_1} \wedge e_{i_2} \wedge \dots \wedge \widehat{e}_{i_j} \wedge \dots \wedge e_{i_p}.$$

For example, if  $\mathbf{x} = x_1, x_2, x_3, x_4, x_5$  is a regular sequence on R, then  $d_2$  has the form

$$(2.2) \ d_2 = \begin{bmatrix} -x_2 & -x_3 & -x_4 & -x_5 & 0 & 0 & 0 & 0 & 0 & 0 \\ x_1 & 0 & 0 & 0 & -x_3 & -x_4 & -x_5 & 0 & 0 & 0 \\ 0 & x_1 & 0 & 0 & x_2 & 0 & 0 & -x_4 & -x_5 & 0 \\ 0 & 0 & x_1 & 0 & 0 & x_2 & 0 & x_3 & 0 & -x_5 \\ 0 & 0 & 0 & x_1 & 0 & 0 & x_2 & 0 & x_3 & x_4 \end{bmatrix}.$$

The exterior algebra  $\wedge F$  is a graded Hopf algebra such that  $x \wedge y = (-1)^{pq} y \wedge x$  for  $x \in \wedge^p F$  and  $y \in \wedge^q F$  and  $x \wedge x = 0$  for any homogeneous element x of odd degree. It is well-known that the algebra structure on the Koszul complex which gives the minimal free resolution of a complete intersection is an exterior algebra.

#### 3. Complete intersections of grade 4

In this section we start with a skew-symmetrizable matrix, and a complete matrix of grade 4 which play important roles in describing the complete intersections of grade 4.

**Definition 3.1.** Let R be a commutative ring with identity. An  $n \times n$  matrix  $X = (x_{ij})$  over R is said to be generalized alternating or skew-symmetrizable if there exist nonzero  $n \times n$  diagonal matrices  $D' = \text{diag}(u_1, u_2, \ldots, u_n)$  and  $D = \text{diag}(w_1, w_2, \ldots, w_n)$  with entries in R such that D'XD is alternating. We denote by  $\text{GA}_n(R)$  the set of all skew-symmetrizable  $n \times n$  matrices over R. If there is no ambiguity about the ring R, then  $\text{GA}_n(R)$  is denoted by  $\text{GA}_n$ .

Notice that every alternating matrix is skew-symmetrizable. For an  $n \times n$  skew-symmetrizable matrix X, we denote  $\mathcal{A}(X)$  to be an alternating matrix D'XD for some diagonal matrices D' and D. To define a complete intersection of grade 4, we need to describe the submatrices of the given matrix in detail. A  $p \times q$  submatrix of an  $m \times n$  matrix f is a matrix obtained from f by taking the pq entries at the intersections of the  $i_1$ th,  $i_2$ th,  $\ldots$ ,  $i_p$ th rows and the  $j_1$ th,  $j_2$ th,  $\ldots$ ,  $j_q$ th columns of f, where  $1 \leq i_1 < i_2 < \cdots < i_p \leq m$  and  $1 \leq j_1 < j_2 < \cdots < j_q \leq n$ . The corresponding  $p \times q$  submatrix of f is denoted by

$$f(i_1, i_2, \ldots, i_p | j_1, j_2, \ldots, j_q).$$

Notice that the  $p \times q$  matrix  $f(i_1, i_2, \ldots, i_p | j_1, j_2, \ldots, j_q)$  consisting of the pq entries at the intersection of these rows and columns of f could not be a submatrix of f unless  $1 \le i_1 < i_2 < \cdots < i_p \le m$  and  $1 \le j_1 < j_2 < \cdots < j_q \le n$ . Next we get into the skew-symmetrizable matrices and the special properties of the second differential map  $d_2$  of the Koszul complex  $\mathbb{K}(\mathbf{x})$ 

$$\mathbb{K}(\mathbf{x}): 0 \longrightarrow \wedge^4 F \xrightarrow{d_4} \wedge^3 F \xrightarrow{d_3} \wedge^2 F \xrightarrow{d_2} \wedge^1 F \xrightarrow{d_1} \wedge^0 F$$

defined by a regular sequence  $\mathbf{x} = x_1, x_2, x_3, x_4$  on R. With respect to the standard basis of F,  $d_2$  has the following form

	$\left[-x_{2}\right]$	$-x_3$	$-x_4$	0	0	0 ]	
$d_2 =$	$x_1$	0	0	$-x_3$	$-x_4$	0	
	0	$x_1$	0	$x_2$	0	$-x_4$	•
	0	0	$x_1$	0	$x_2$	$x_3$	

**Proposition 3.2.** With the notation as above, the second differential map  $d_2$  of the Koszul complex satisfies the following properties:

(1) There are four disjoint pairs (S,T) of two  $4 \times 3$  submatrices of  $d_2$ ;

(2) By removing a row and interchanging columns, each pair (S,T) can be reduced to a pair  $(\bar{S},\bar{T})$  of  $3\times 3$  matrices such that  $\bar{S}$  is a diagonal matrix whose determinant is the nonzero 3rd power element  $x^3$  for some  $x \in R$ , and  $\bar{T}$  is a skew-symmetrizable matrix with grade  $Pf_2(\mathcal{A}(\bar{T})) = 3$ .

*Proof.* Let  $S_1 = d_2(1, 2, 3, 4 | 1, 2, 3)$  and  $T_1 = d_2(1, 2, 3, 4 | 4, 5, 6)$  be the disjoint  $4 \times 3$  submatrices of  $d_2$ . Then the submatrix obtained by removing the first row of  $S_1$  is a  $3 \times 3$  diagonal matrix  $\bar{S}_1$  whose determinant is equal to  $x_1^3$ . Removing the first row and interchanging columns 1 and 3 of  $T_1$ , we have the  $3 \times 3$  matrix  $\bar{T}_1$ . Then  $\bar{T}_1$  is skew-symmetrizable, since it becomes an alternating matrix by multiplying the second column of it by -1. Since  $x_2, x_3, x_4$  is a regular sequence on R,  $Pf_2(\mathcal{A}(\bar{T}_1)) = (x_2, x_3, x_4)$  has grade 3. Similarly, we can take the disjoint submatrices of  $d_2$ ;

$$S_2 = d_2(1, 2, 3, 4 | 1, 4, 5) \text{ and } T_2 = d_2(1, 2, 3, 4 | 2, 3, 6),$$
  

$$S_3 = d_2(1, 2, 3, 4 | 2, 4, 6) \text{ and } T_3 = d_2(1, 2, 3, 4 | 1, 3, 5),$$
  

$$S_4 = d_2(1, 2, 3, 4 | 3, 5, 6) \text{ and } T_4 = d_2(1, 2, 3, 4 | 1, 2, 4).$$

The similar argument gives us the  $3 \times 3$  diagonal matrix  $\bar{S}_i$  whose determinant is equal to  $x_i^3$  or  $(-x_i)^3$ , and the  $3 \times 3$  skew-symmetrizable matrix  $\bar{T}_i$  with grade  $\operatorname{Pf}_2(\mathcal{A}(\bar{T}_i)) = 3$  for i = 2, 3, 4.

**Definition 3.3.** Let R be a commutative ring with identity. A  $4 \times 6$  matrix f over R is said to be a *complete matrix of grade* 4 if

(1) f has four distinct pairs (S,T) of disjoint  $4 \times 3$  submatrices;

(2) By removing a row and interchanging columns, each pair (S, T) is reduced to a pair  $(\bar{S}, \bar{T})$  of  $3 \times 3$  matrices such that  $\bar{S}$  is a diagonal matrix whose determinant is a nonzero 3rd power element  $x^3$  for some  $x \in R$ , and  $\bar{T}$  is a skew-symmetrizable matrix with grade  $Pf_2(\mathcal{A}(\bar{T})) = 3$ .

The following example illustrates Definition 3.3.

**Example 3.4.** Let x, y, z, and w be a regular sequence on a commutative ring R. Let f be a  $4 \times 6$  matrix given by

$$f = \begin{bmatrix} 0 & 0 & -y & -w & -z & 0 \\ 0 & -z & x & 0 & 0 & -w \\ -w & y & 0 & 0 & x & 0 \\ z & 0 & 0 & x & 0 & y \end{bmatrix}.$$

Then f is a complete matrix of grade 4. To see this, we find four distinct pairs of disjoint  $4 \times 3$  submatrices  $S_i$  and  $T_i$  of f satisfying the properties in Proposition 3.2. First we consider two submatrices of f;

$$S_1 = \begin{bmatrix} -y & -w & -z \\ x & 0 & 0 \\ 0 & 0 & x \\ 0 & x & 0 \end{bmatrix} \quad \text{and} \quad T_1 = \begin{bmatrix} 0 & 0 & 0 \\ 0 & -z & -w \\ -w & y & 0 \\ z & 0 & y \end{bmatrix}.$$

that is,  $S_1 = f(1, 2, 3, 4 | 3, 4, 5)$  and  $T_1 = f(1, 2, 3, 4 | 1, 2, 6)$ . So  $S_1$  and  $T_1$  are disjoint. By removing the first row and interchanging the second and the third columns of  $S_1$  and  $T_1$ , we can get the  $3 \times 3$  matrices  $\bar{S}_1 = S_1(2, 3, 4 | 1, 3, 2)$ and  $\bar{T}_1 = T_1(2, 3, 4 | 1, 3, 2)$ . Then  $\bar{S}_1$  is a diagonal matrix whose determinant is a nonzero element  $x^3$  and  $\bar{T}_1$  is skew-symmetrizable since  $\bar{T}_1 \operatorname{diag}(1, -1, 1)$  is alternating. It is easy to show that  $Pf_2(\mathcal{A}(\bar{T}_1))$  has grade 3. Similarly, we consider the submatrices of f;

$$S_2 = f(1, 2, 3, 4 | 2, 3, 6) \text{ and } T_2 = f(1, 2, 3, 4 | 1, 4, 5),$$
  

$$S_3 = f(1, 2, 3, 4 | 1, 2, 5) \text{ and } T_3 = f(1, 2, 3, 4 | 3, 4, 6),$$
  

$$S_4 = f(1, 2, 3, 4 | 1, 4, 6) \text{ and } T_4 = f(1, 2, 3, 4 | 2, 3, 5).$$

Clearly,  $4 \times 3$  submatrices  $S_i$  and  $T_i$  of f are disjoint for i = 2, 3, 4. The similar argument gives us the following  $3 \times 3$  matrices;

$$\begin{split} \bar{S}_2 &= S_2(1,3,4 \mid 2,1,3) \text{ and } \bar{T}_2 = T_2(1,3,4 \mid 1,2,3), \\ \bar{S}_3 &= S_3(1,2,4 \mid 3,2,1) \text{ and } \bar{T}_3 = T_3(1,2,4 \mid 3,2,1), \\ \bar{S}_4 &= S_4(1,2,3 \mid 2,3,1) \text{ and } \bar{T}_4 = T_4(1,2,3 \mid 1,3,2). \end{split}$$

And det  $\bar{S}_2 = (-y)^3$ , det  $\bar{S}_3 = z^3$  and det  $\bar{S}_4 = (-w)^3$  are nonzero 3rd power elements and

$$Pf_{2}(\mathcal{A}(T_{1})) = (y, z, w), Pf_{2}(\mathcal{A}(T_{2})) = (x, z, w), Pf_{2}(\mathcal{A}(\bar{T}_{3})) = (x, y, w), Pf_{2}(\mathcal{A}(\bar{T}_{4})) = (x, y, z).$$

Since x, y, z, w is a regular sequence on R, these four ideals have all grade 3. Hence the properties in Proposition 3.2 are satisfied.

We notice that if f is a complete matrix of grade 4, then the matrix obtained from f by interchanging rows of f also becomes a complete matrix of grade 4.

**Theorem 3.5** ([4]). Let  $f = (f_{ij})$  be a  $4 \times 6$  complete matrix of grade 4.

- (1) Every column of f has exactly two nonzero entries.
- (2) The number of nonzero rows in each  $4 \times 2$  submatrix of f is greater than 2.
- (3) Each pair  $(\overline{S}, \overline{T})$  of  $3 \times 3$  matrices given in Definition 3.3 is uniquely determined.

Now we will define an ideal  $\mathcal{K}_3(f)$  generated by the radical roots of the determinants of the  $3 \times 3$  diagonal matrices  $\bar{S}$  derived from a given complete matrix f of grade 4 in Theorem 3.5.

**Definition 3.6.** Let f be a  $4 \times 6$  complete matrix of grade 4. Let  $S_i$  be a unique  $3 \times 3$  diagonal matrix reduced from the disjoint pair  $(S_i, T_i)$  of f such that det  $\overline{S}_i = x_i^3$  is nonzero for i = 1, 2, 3, 4. We define  $\mathcal{K}_3(f)$  to be the ideal generated by the  $x_i$ 's, that is,

$$\mathcal{K}_3(f) = (x_1, x_2, x_3, x_4).$$

Next let us show that the ideal  $\mathcal{K}_3(f)$  defines a complete intersection of grade 4. Let f be a complete matrix of grade 4. By Theorem 3.5 we may

assume

$$f = \begin{bmatrix} f_{11} & f_{12} & f_{13} & 0 & 0 & 0\\ f_{21} & 0 & 0 & f_{24} & f_{25} & 0\\ 0 & f_{32} & 0 & f_{34} & 0 & f_{36}\\ 0 & 0 & f_{43} & 0 & f_{45} & f_{46} \end{bmatrix}.$$

Then we have

$$\begin{split} \bar{S}_1 &= f(2,3,4|1,2,3) \text{ and } \bar{T}_1 = f(2,3,4|6,5,4), \\ \bar{S}_2 &= f(1,3,4|1,4,5) \text{ and } \bar{T}_2 = f(1,3,4|6,3,2), \\ \bar{S}_3 &= f(1,2,4|2,4,6) \text{ and } \bar{T}_3 = f(1,2,4|5,3,1), \\ \bar{S}_4 &= f(1,2,3|3,5,6) \text{ and } \bar{T}_4 = f(1,2,3|4,2,1), \end{split}$$

i.e.,

$$\bar{S}_{1} = \begin{bmatrix} f_{21} & 0 & 0 \\ 0 & f_{32} & 0 \\ 0 & 0 & f_{43} \end{bmatrix} \quad \text{and} \quad \bar{T}_{1} = \begin{bmatrix} 0 & f_{25} & f_{24} \\ f_{36} & 0 & f_{34} \\ f_{46} & f_{45} & 0 \end{bmatrix},$$

$$\bar{S}_{2} = \begin{bmatrix} f_{11} & 0 & 0 \\ 0 & f_{34} & 0 \\ 0 & 0 & f_{45} \end{bmatrix} \quad \text{and} \quad \bar{T}_{2} = \begin{bmatrix} 0 & f_{13} & f_{12} \\ f_{36} & 0 & f_{32} \\ f_{46} & f_{43} & 0 \end{bmatrix},$$

$$\bar{S}_{3} = \begin{bmatrix} f_{12} & 0 & 0 \\ 0 & f_{24} & 0 \\ 0 & 0 & f_{46} \end{bmatrix} \quad \text{and} \quad \bar{T}_{3} = \begin{bmatrix} 0 & f_{13} & f_{11} \\ f_{25} & 0 & f_{21} \\ f_{45} & f_{43} & 0 \end{bmatrix},$$

$$\bar{S}_{4} = \begin{bmatrix} f_{13} & 0 & 0 \\ 0 & f_{25} & 0 \\ 0 & 0 & f_{36} \end{bmatrix} \quad \text{and} \quad \bar{T}_{4} = \begin{bmatrix} 0 & f_{12} & f_{11} \\ f_{24} & 0 & f_{21} \\ f_{34} & f_{32} & 0 \end{bmatrix}.$$

(3.2)

Since  $\bar{T}_i \text{diag}(u_{i_1}, u_{i_2}, u_{i_3})$  is alternating where  $u_{i_k} \in \{\pm 1\}$ , we have the following identities

$$(3.3) \begin{array}{l} f_{24} = f_{46} \text{ or } -f_{46}, \ f_{25} = f_{36} \text{ or } -f_{36}, \ f_{34} = f_{45} \text{ or } -f_{45}, \\ f_{12} = f_{46} \text{ or } -f_{46}, \ f_{13} = f_{36} \text{ or } -f_{36}, \ f_{32} = f_{43} \text{ or } -f_{43}, \\ f_{11} = f_{45} \text{ or } -f_{45}, \ f_{13} = f_{25} \text{ or } -f_{25}, \ f_{21} = f_{43} \text{ or } -f_{43}, \\ f_{11} = f_{34} \text{ or } -f_{34}, \ f_{12} = f_{24} \text{ or } -f_{24}, \ f_{21} = f_{32} \text{ or } -f_{32}. \end{array}$$

Thus (3.2) and (3.3) give us

(3.4)

det 
$$\bar{S}_1 = f_{21}f_{32}f_{43} = f_{21}^3$$
 or  $-f_{21}^3$ , det  $\bar{S}_2 = f_{11}f_{34}f_{45} = f_{11}^3$  or  $-f_{11}^3$ ,  
det  $\bar{S}_3 = f_{12}f_{24}f_{46} = f_{12}^3$  or  $-f_{12}^3$ , det  $\bar{S}_4 = f_{13}f_{25}f_{36} = f_{13}^3$  or  $-f_{13}^3$ ,  
ed

(3.5) 
$$Pf_2(\mathcal{A}(\bar{T}_1)) = (f_{11}, f_{12}, f_{13}), Pf_2(\mathcal{A}(\bar{T}_2)) = (f_{21}, f_{13}, f_{12}), Pf_2(\mathcal{A}(\bar{T}_3)) = (f_{21}, f_{13}, f_{11}), Pf_2(\mathcal{A}(\bar{T}_4)) = (f_{21}, f_{12}, f_{11}).$$

Hence

(3.6) 
$$\operatorname{Pf}_2(\mathcal{A}(\bar{T}_i)) \subseteq \mathcal{K}_3(f) = (f_{21}, f_{11}, f_{12}, f_{13}) \text{ for } i = 1, 2, 3, 4.$$

Thus we obtain the structure theorem for complete intersections of grade 4.

**Theorem 3.7** ([4]). Let R be a Noetherian local ring with maximal ideal  $\mathfrak{m}$ .

(1) Let F and G be free R-modules with rank F = 6 and rank G = 4. Let  $f = (f_{ij}) : F \to G$  be a complete matrix of grade 4 such that Im  $f \subseteq \mathfrak{m}G$ . With the notation as in Theorem 3.5, we assume that  $\operatorname{Pf}_2(\mathcal{A}(\overline{T}_i)) + \operatorname{Pf}_2(\mathcal{A}(\overline{T}_j))$  has grade 4 for some  $i, j(i \neq j)$ . Then the ideal  $\mathcal{K}_3(f)$  is a complete intersection of grade 4.

(2) Let  $I = (x_1, x_2, x_3, x_4)$  be a complete intersection of grade 4 and let

$$\mathbb{F}: 0 \longrightarrow R \xrightarrow{\varphi_4} R^4 \xrightarrow{\varphi_3} R^6 \xrightarrow{\varphi_2} R^4 \xrightarrow{\varphi_1} R$$

be the minimal free resolution of R/I. Then  $\varphi_2$  and the transpose of  $\varphi_3$  satisfy the part (1).

# 4. Complete intersections of grade g > 4

In this section we construct the ideal  $\mathcal{K}_g(f)$  associated with a complete matrix f of grade g > 3 and provide a structure theorem for complete intersections of grade g > 3. We begin this section with easy lemmas.

**Lemma 4.1.** Let R be a Noetherian local ring with maximal ideal  $\mathfrak{m}$ . For any positive integer g > 3, let  $\mathbf{x} = x_1, x_2, \ldots, x_g$  and  $\mathbf{y}_i = x_1, x_2, \ldots, \hat{x_i}, \ldots, x_g$  be regular sequences on R, where  $\hat{x}_i$  indicates that  $x_i$  is to be omitted. Let  $\mathbb{K}(\mathbf{x})$  and  $\mathbb{K}(\mathbf{y}_i)$  be the Koszul complexes of  $R/(\mathbf{x})$  and  $R/(\mathbf{y}_i)$  for each  $i = 1, 2, 3, \ldots, g$ . Let

$$\mathbb{K}(x_i): 0 \longrightarrow R \xrightarrow{x_i} R$$

be a complex of free R-modules and R-maps. Then

(1)  $\mathbb{K}(\mathbf{x}) \cong \mathbb{K}(x_i) \otimes \mathbb{K}(\mathbf{y}_i).$ 

(2) Let

$$\mathbb{K}(\mathbf{y}_i): 0 \longrightarrow F_{g-1} \xrightarrow{\varphi_{ig-1}} F_{g-2} \xrightarrow{\varphi_{ig-2}} \cdots \xrightarrow{\varphi_{ig}} F_1 \xrightarrow{\varphi_{i1}} R ,$$

and

$$\mathbb{K}(x_i) \otimes \mathbb{K}(\mathbf{y}_i) : 0 \longrightarrow R \otimes F_{g-1} \xrightarrow{\phi_{ig}} R \otimes F_{g-2} \oplus R \otimes F_{g-1} \xrightarrow{\phi_{ig-1}} R \otimes F_{g-$$

$$\cdots \xrightarrow{\phi_{i2}} R \otimes R \oplus R \otimes F_1 \xrightarrow{\phi_{i1}} R \otimes R$$

Then we have (4.1)

$$\phi_{i1} = \begin{bmatrix} x_i & \varphi_{i1} \end{bmatrix}, \quad \phi_{ik} = \frac{\begin{bmatrix} (-1)^{k-1}\varphi_{ik-1} & 0 \\ \hline x_iI & \varphi_{ik} \end{bmatrix}}{x_iI} \quad for \quad k = 2, 3, \dots, g-1,$$

$$\phi_{ig} = \begin{bmatrix} \varphi_{ig-1} \\ -x_i \end{bmatrix}.$$
Proof. Clear.

Proof. Clear.

**Lemma 4.2.** With the notation as above, let  $t = \binom{g}{2}$ . Then, for each i

- (1) Every column of  $\phi_{i2}$  has exactly two nonzero entries.
- (2) The number of nonzero rows in each  $g \times 2$  submatrix of  $\phi_{i2}$  is greater than 2, that is, 3 or 4.

*Proof.* This follows from the matrix form of  $\phi_{i2}$  (see (2.1) and (2.2)).

Now we can describe the special properties of  $\phi_{i2}$  in (4.1).

## **Proposition 4.3.** With the notation as above and hypotheses:

(1)  $\phi_{i2}$  has g disjoint pairs  $(S_k, T_k)$  of a  $g \times (g-1)$  submatrix  $S_k$  and a  $g \times (t - g + 1)$  submatrix  $T_k$ ;

(2) By removing the *i*th row and interchanging columns of  $\phi_{i2}$ , each pair  $(S_k, T_k)$  can be reduced to a pair  $(\bar{S}_k, \bar{T}_k)$ , where  $\bar{S}_k$  is a  $(g-1) \times (g-1)$  diagonal matrix whose determinant is  $x_k^{g-1}$ , up to sign, and  $\bar{T}_k$  is the second differential map in the Koszul complex  $\mathbb{K}(\mathbf{y}_k)$ .

*Proof.* (1) The first statement follows from the second statement.

(2) It is enough to prove the case i = 1. For the sake of simplicity,  $\phi_{12}$  can be written as the form

(4.2) 
$$\phi_{12} = \frac{\begin{vmatrix} -\varphi_{11} & 0 \\ x_1 I & \varphi_{12} \end{vmatrix}.$$

Let  $S_1 = \phi_{12}(1, 2, \dots, g \mid 1, 2, \dots, g - 1)$  and  $T_1 = \phi_{12}(1, 2, \dots, g \mid g, g + 1)$  $1, \ldots, t$ ). Then clearly,  $S_1$  and  $T_1$  are disjoint. Taking  $\bar{S}_1 = x_1 I$  and  $\bar{T}_1 = \varphi_{12}$ as submatrices of  $\phi_{12}$ , it is clear that det  $\bar{S}_1 = (x_1)^{g-1}$  and  $\bar{T}_1$  is the second differential map in the Koszul complex  $\mathbb{K}(\mathbf{y}_1)$ . Let k > 1 be an integer with  $2 \leq k \leq g$ . It follows from Lemma 4.2 that every row of  $\phi_{12}$  consists of exactly g-1 nonzero entries and exactly t-g+1 zero entries. Choose  $S_k$  to be a  $g \times (g-1)$  submatrix of  $\phi_{12}$  such that all the entries of the kth row are nonzero, and  $T_k$  to be a  $g \times (t - g + 1)$  submatrix of  $\phi_{12}$  such that all the entries of the kth row are zero. Then clearly  $S_k$  and  $T_k$  are disjoint. Let  $S'_k$  and  $T'_k$  be the submatrices of  $S_k$  and  $T_k$  obtained by removing the kth row of  $S_k$  and  $T_k$ , respectively. By the part (1) of Lemma 4.2, every column of  $S'_k$  has exactly one

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nonzero entry. We observe from (4.2) that the nonzero entry in the *l*th column of  $S'_k$  is either  $x_k$  or  $-x_k$  for  $l = 1, 2, \ldots, g - 1$ . The part (2) of Lemma 4.2 implies that every row of  $S'_k$  has exactly one nonzero entry. This implies that interchanging columns of  $S'_k$  produces a  $(g-1) \times (g-1)$  diagonal matrix  $\bar{S}_k$ whose main diagonal entries are either  $x_k$  or  $-x_k$ . Thus det  $\bar{S}_k = \pm x_k^{g-1}$ . It follows from the construction of  $T'_k$  and Lemma 4.2 that every column of  $T'_k$  has exactly two nonzero entries and the number of nonzero rows in each  $(g-1) \times 2$ submatrix of  $T'_k$  is 3. Since  $T'_k$  has  $t - g + 1 = {g-1 \choose 2}$  columns and g - 1 rows, interchanging columns of  $T'_k$  (if necessary) gives us the second differential map  $\bar{T}_k$  in the Koszul complex  $\mathbb{K}(\mathbf{y}_k)$  (see (4.2)). Actually,  $\bar{T}_k$  has the form

$$\bar{T}_k = \frac{\begin{vmatrix} h_k & 0 \\ \\ d_1 & h'_k \end{vmatrix},$$

where

$$h_k = \begin{bmatrix} -x_2 & -x_3 & \cdots & -\widehat{x_k} & \cdots & -x_g \end{bmatrix},$$
  

$$d_1 = \text{diag}(x_1, x_1, \dots, x_1),$$
  

$$h'_k = \text{the second differential map in the Koszul complex } \mathbb{K}(\mathbf{y}_{1k}) \text{ for}$$

$$\mathbf{y}_{1k} = x_2, x_3, \dots, \widehat{x_k}, \dots, x_g.$$

Thus we have the desired one  $\overline{T}_k$ .

To define the ideal  $\mathcal{K}_{g-1}(\phi_{i2})$  associated with the map  $\phi_{i2}$  we need further properties of  $\phi_{i2}$ .

**Theorem 4.4.** (1) With the notation as in Proposition 4.3, for each  $k (1 \le k \le g)$ , a pair  $(\bar{S}_k, \bar{T}_k)$  of matrices given in Proposition 4.3 is uniquely determined. (2) If for each  $k, \mathcal{K}_{g-2}(\bar{T}_k)$  is the ideal generated by the elements  $x_1, x_2, \ldots, \widehat{x_k}, \ldots, x_g$  given in the proof of Proposition 4.3, then  $\mathcal{K}_{g-2}(\bar{T}_k)$  has grade g-1.

*Proof.* (1) This follows from Lemma 4.2.

(2) The second part is also clear since  $x_1, x_2, \ldots, \hat{x_k}, \ldots, x_g$  is a regular sequence on R.

Thus Theorem 4.4 enables us to define a complete matrix of grade g. With an induction argument, we may call  $\overline{T}_k$  given in Theorem 4.4 the complete matrix of grade g - 1 in the following sense.

**Definition 4.5.** Let R be a commutative ring with identity. Let g > 3 and  $t = \binom{g}{2}$  be integers. A  $g \times t$  matrix  $f = (f_{ij})$  over R is said to be *complete of grade g* if

(1) f has g disjoint pairs (S, T) of a  $g \times (g-1)$  submatrix S and a  $g \times (t-g+1)$  submatrix T;

(2) By removing a row and interchanging columns, each pair (S,T) can be reduced to a pair  $(\bar{S},\bar{T})$ , where  $\bar{S}$  is a  $(g-1) \times (g-1)$  diagonal matrix with

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 $det(\bar{S}) = x^{g-1}$  for some  $x \in R$ , and  $\bar{T}$  is the complete matrix of grade g-1 with grade  $\mathcal{K}_{g-2}(\bar{T}) = g-1$ .

The following example illustrates Definition 4.5.

**Example 4.6.** Let x, y, z, u, w be a regular sequence in a Noetherian local ring R. Let

	$\int y$	z	u	w	0	0	0	0	0	0 ]	
	-x	0	0	0	z	u	w	0	0	0	
f =	0	-x	0	0	-y	0	0	u	w	0	
	0	0	-x	0	0	-y	0	-z	0	w	
	0	0	0	-x	0	0	-y	0	-z	-u	

The similar argument as in Example 3.4 shows that f satisfies the properties in Proposition 4.3 and the part (2) of Theorem 4.4.

The following theorem is an easy generalization of Theorem 3.5.

**Theorem 4.7.** Let g > 3 and  $t = \binom{g}{2}$  be integers. A  $g \times t$  matrix  $f = (f_{ij})$  over R is a complete matrix of grade g.

- (1) Every column of f has exactly two nonzero entries.
- (2) The number of nonzero rows in each  $g \times 2$  submatrix of f is greater than 2.
- (3) Each pair  $(\bar{S}, \bar{T})$  of matrices given in Definition 4.5 is uniquely determined.

*Proof.* The proofs are essentially similar with those of Theorem 3.5.  $\Box$ 

Now we define an ideal  $\mathcal{K}_{g-1}(f)$  generated by the entries in the  $(g-1)\times(g-1)$  matrices  $\bar{S}$  derived from a given complete matrix f of grade g in Theorem 4.7.

**Definition 4.8.** Let g > 3 and  $t = \binom{g}{2}$  be integers. Let f be a  $g \times t$  complete matrix of grade g. For  $i = 1, 2, \ldots, g$ , we let  $\overline{S}_i$  be a unique  $(g - 1) \times (g - 1)$  diagonal matrix extracted from f in the part (3) of Theorem 4.7 such that det  $\overline{S}_i = x_i^{g-1}$  is nonzero for some  $x_i \in R$ . We define  $\mathcal{K}_{g-1}(f)$  to be the ideal generated by the  $x_i$ 's, that is,

$$\mathcal{K}_{g-1}(f) = (x_1, x_2, \dots, x_g)$$

Let  $f = (f_{ij})$  be a  $g \times t$  complete matrix of grade g. It follows from the properties (1) and (2) of Theorem 4.7 that interchanging columns of f transforms f to the following form.

(4.3) 
$$f = \frac{\begin{vmatrix} h_1 & 0 \\ \\ d_1 & h_2 \end{vmatrix},$$

where

 $h_1 = \begin{bmatrix} f_{11} & f_{12} & \cdots & f_{1g-1} \end{bmatrix}, \\ d_1 = \text{diag}(f_{21}, f_{32}, \dots, f_{gg-1}), \quad h_2 = \text{a complete matrix of grade } g - 1.$ 

By applying the method of (3.5) and (3.6) in the case of a complete matrix of grade 4 to the given f, we have

$$\mathcal{K}_{g-2}(\bar{T}_1) = (\hat{f}_{21}, f_{11}, f_{12}, \dots, f_{1g-1}), \text{ and}$$

(4.4)  $\mathcal{K}_{g-2}(\bar{T}_i) = (f_{21}, f_{11}, f_{12}, \dots, \widehat{f}_{1\,i-1}, f_{1i}, \dots, f_{1\,g-1})$  for  $i = 2, 3, \dots, g$ ,

where  $\widehat{f}_{1i}$  indicates that  $f_{1i}$  is to be omitted.

Hence

(4.5) 
$$\mathcal{K}_{g-2}(\bar{T}_i) \subseteq \mathcal{K}_{g-1}(f) = (f_{21}, f_{11}, f_{12}, \dots, f_{1g-1})$$
 for each *i*.

The following lemma will be used in proving the structure theorem for complete intersections of grade g > 3.

**Lemma 4.9.** Let  $\mathbf{x} = x_1, x_2, \ldots, x_g$  be a regular sequence on R and  $\mathbb{F}$  a minimal free resolution of  $R/(\mathbf{x})$ . If  $\varphi_2$  is the second differential map of  $\mathbb{F}$ , then  $\varphi_2$  is a complete matrix of grade g.

*Proof.* Let  $\mathbb{K}(\mathbf{x})$  be the Koszul complex defined by the regular sequence  $\mathbf{x} = x_1, x_2, \ldots, x_g$  and  $d_2$  the second differential map in  $\mathbb{K}(\mathbf{x})$ . We have shown in Proposition 4.3 and the part (2) of Theorem 4.4 that  $d_2$  is a complete matrix of grade g. Let F be the free R-module with the ordered basis  $\{e_1 < e_2 < \cdots < e_g\}$ . Then  $\wedge^2 F$  is a free R-module with the ordered basis  $\{e_1 \land e_2 < e_1 \land e_3 < \cdots < e_{g-1} \land e_g\}$ . Let  $t = \binom{g}{2}$  be an integer. Let

$$\mathbb{F}: 0 \longrightarrow F_g \xrightarrow{\varphi_g} F_{g-1} \xrightarrow{\varphi_{g-1}} \cdots \xrightarrow{\varphi_3} F_2 \xrightarrow{\varphi_2} F_1 \xrightarrow{\varphi_1} R$$

be the minimal free resolution of  $R/(\mathbf{x})$  such that  $F_1$  and  $F_2$  are free *R*-modules with the ordered bases  $\{v_1 < v_2 < \cdots < v_g\}$  and  $\{w_1 < w_2 < \cdots < w_t\}$ , respectively. Then we have a commutative diagram

$$\begin{array}{c} \wedge^2 F \xrightarrow{d_2} \wedge^1 F \\ \downarrow^{\psi_2} & \bigcirc & \downarrow^{\psi_1} \\ F_2 \xrightarrow{\varphi_2} & F_1 \end{array}$$

where  $\psi_1$  and  $\psi_2$  are order preserving isomorphisms as free *R*-modules. Since  $\psi_1(e_k) = v_k$  for  $k = 1, 2, \ldots, g$  and  $\psi_2$  maps the *i*th basis element in  $\wedge^2 F$  to the *i*th basis element  $w_i$  in  $F_2$  for  $i = 1, 2, \ldots, t$ , the commutativity implies that  $d_2$  and  $\varphi_2$  have the same matrix representation. Thus  $\varphi_2$  is a complete matrix of grade g since  $d_2$  is a complete matrix of grade g.

Now we can describe a structure theorem for complete intersections of grade g > 3.

**Theorem 4.10.** Let R be a Noetherian local ring with maximal ideal  $\mathfrak{m}$ .

(1) Let g > 3 be an integer and  $t = \binom{g}{2}$ . Let F and G be free R-modules with rank F = g and rank G = t. Let  $f = (f_{ij}) : G \to F$  be a complete matrix of

grade g whose image is contained in mF. With the notation as in Theorem 4.7, we assume that  $\mathcal{K}_{g-2}(\bar{T}_i) + \mathcal{K}_{g-2}(\bar{T}_j)$  has grade g for some  $i, j(1 \leq i \neq j \leq g)$ . Then the ideal  $\mathcal{K}_{g-1}(f)$  is a complete intersection of grade g.

(2) Let  $I = (x_1, x_2, ..., x_g)$  be a complete intersection of grade g and let (4.6)

 $\mathbb{F}: 0 \longrightarrow R \xrightarrow{\varphi_g} F_{g-1} \xrightarrow{\varphi_{g-1}} F_{g-2} \longrightarrow \cdots \longrightarrow F_2 \xrightarrow{\varphi_2} F_1 \xrightarrow{\varphi_1} R$ 

be the minimal free resolution of R/I. Then  $\varphi_2$  and the transpose of  $\varphi_{g-1}$  satisfy (1).

*Proof.* (1) We showed in Theorem 3.7 that the first part of the theorem is true for the case of g = 4. Let  $f = (f_{ij})$  be a  $g \times t$  complete matrix of grade g. As shown in Proposition 4.3, interchanging columns of f transforms f to the form of (4.3). So we may assume that f has the form of (4.3). Then we have

(4.7) 
$$\mathcal{K}_{g-1}(f) = (f_{21}, f_{11}, f_{12}, \dots, f_{1\,g-1}).$$

Since  $\mathcal{K}_{g-2}(\bar{T}_i) + \mathcal{K}_{g-2}(\bar{T}_j)$  has grade g for some  $i, j(i \neq j)$ , it follows from (4.4) and (4.5) that  $\mathcal{K}_{g-1}(f)$  is a complete intersection of grade g. Let  $\mathbf{x} = f_{21}, f_{11}, f_{12}, \ldots, f_{1\,g-1}$ . Then  $\mathbf{y}_1 = \hat{f}_{21}, f_{11}, f_{12}, \ldots, f_{1\,g-1}$  and each  $\mathbf{y}_i = f_{21}, f_{11}, f_{12}, \ldots, \hat{f}_{1\,i-1}, \ldots, f_{1\,g-1}$  for i > 1 are regular sequences. From (4.4),  $f_{21}$  is regular on  $R/\mathcal{K}_{g-2}(\bar{T}_1)$ , and  $f_{1i-1}$  is regular on  $R/\mathcal{K}_{g-2}(\bar{T}_i)$  for i > 1. Let  $\mathbb{G}_i$  be a complex of free R-modules such that

$$\mathbb{G}_1: 0 \longrightarrow R \xrightarrow{f_{21}} R ,$$

and for i > 1,

$$\mathbb{G}_i: 0 \longrightarrow R \xrightarrow{f_{1i-1}} R .$$

Then by the part (1) of Lemma 4.1,  $\mathbb{G}_i \otimes \mathbb{K}(\mathbf{y}_i)$  is a minimal free resolution of  $R/\mathcal{K}_{g-1}(f)$ .

(2) We showed in Theorem 3.7 that the part (2) holds for the case of g = 4. Let  $I = (x_1, x_2, \ldots, x_g)$  be a complete intersection of grade g and  $I' = (x_2, x_3, \ldots, x_g)$  be a complete intersection of grade g - 1. The same argument as in the proof of the part (2) of Theorem 3.7 says that  $\varphi_2$  in (4.6) is of the form

$$\varphi_2 = \frac{\begin{vmatrix} \tilde{\varphi}_1 & 0 \\ \\ d & \tilde{\varphi}_2 \end{vmatrix},$$

where

$$\tilde{\varphi}_1 = \begin{bmatrix} -x_2 & -x_3 & \cdots & -x_g \end{bmatrix}, \quad \tilde{d} = \operatorname{diag}(x_1, x_1, \dots, x_1),$$

and  $\tilde{\varphi}_2$  is the second differential map of the minimal free resolution of R/I'. Lemma 4.9 says that  $\tilde{\varphi}_2$  is a complete matrix of grade g-1. Since  $x_1, x_2, \ldots, x_g$  is a regular sequence on R, Lemma 4.9 implies that  $\varphi_2$  is a complete matrix of grade g. We observe that every row of  $\varphi_2$  consists of g-1 nonzero entries and

t-g+1 zero entries. The similar argument as in the proof of Proposition 4.3 gives us the following : Let  $(\bar{S}_i, \bar{T}_i)$  be a pair of a  $(g-1) \times (g-1)$  diagonal matrix and a  $(g-1) \times (t-g+1)$  complete matrix of grade g-1. Then for  $i = 1, 2, \ldots, g$ ,

det 
$$\bar{S}_i = \pm x_i^{g-1}$$
,  $\mathcal{K}_{g-2}(\bar{T}_i) = (x_1, x_2, \dots, \widehat{x}_i, x_{i+1}, \dots, x_g)$ .

So we have

 $\mathcal{K}_{g-1}(\varphi_2) = (x_1, x_2, \dots, x_g), \text{ and } \mathcal{K}_{g-2}(\bar{T}_i) + \mathcal{K}_{g-2}(\bar{T}_j) = \mathcal{K}_{g-1}(\varphi_2)$ for some  $i \neq j$ .

We know that each  $\mathcal{K}_{g-2}(\bar{T}_i)$  has grade g-1, and  $\mathcal{K}_{g-1}(\varphi_2)$  is a complete intersection of grade g. Hence  $\varphi_2$  satisfies the part (1) of Theorem 4.10. Since every complete intersection is Gorenstein,  $\mathbb{F} \cong \mathbb{F}^*$  as complexes. So  $\mathbb{F}^*$  is the minimal free resolution of R/I. The same argument as in the proof of the part (2) of Theorem 3.7 for  $\mathbb{K}(\mathbf{x})$  and  $\mathbb{F}^*$  gives us the proof that the transpose of  $\varphi_{g-1}$  is a complete matrix of grade g.

It should be noticed that Theorem 3.7 is just the special case of g = 4 in Theorem 4.10. The following example illustrates how Theorem 4.10 works.

**Example 4.11.** Let  $\mathbb{C}$  be the field of the complex numbers and R the formal power series ring  $\mathbb{C}[[x_{ij}, y, z, w, u| 1 \leq i, j \leq 3]]$  over  $\mathbb{C}$  with indeterminates  $x_{ij}, y, z, w, u$ . Consider a  $3 \times 3$  matrix X and a  $3 \times 3$  alternating matrix Y

$$X = \begin{bmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{bmatrix}, \quad Y = \begin{bmatrix} 0 & w & z \\ -w & 0 & y \\ -z & -y & 0 \end{bmatrix}.$$

Define

$$Z_1 = \sum_{i=1}^3 Y_i x_{i1}, \quad Z_2 = \sum_{i=1}^3 Y_i x_{i2}, \quad Z_3 = \sum_{i=1}^3 Y_i x_{i3}, \quad v = \det X.$$

Then  $I = (Z_1, Z_2, Z_3, v)$  is an almost complete intersection of grade 3 of type 3 [1, 5]. Assume that  $\mathbf{z} = Z_1, Z_2, Z_3$  is a regular sequence on R. Then

$$J = (\mathbf{z}) : I = (Y_1, Y_2, Y_3) = (y, z, w).$$

Since v is not contained in the ideal  $J, I \cap J = (\mathbf{z})$ . Hence I is geometrically linked to J by a regular sequence  $\mathbf{z}$ . Thus by Theorem 2.3, K = I + J = (y, z, w, v) is a complete intersection of grade 4. So  $\mathbf{x} = y, z, w, v$  is a regular sequence on R. We may assume that u is a regular element on R/K. Thus H = (y, z, w, v, u) is a complete intersection of grade 5. Let

$$\mathbb{K}(u): 0 \longrightarrow R \xrightarrow{u} R$$

be a complex of free *R*-modules and *R*-maps. Then  $\mathbb{H} = \mathbb{K}(u) \otimes \mathbb{K}(\mathbf{x})$  described as in the part (2) of Lemma 4.1 is the minimal free resolution of R/H. Let  $\phi_2$ be the second differential map in  $\mathbb{H}$ . Since y, z, w, v, u is a regular sequence

on R, by Lemma 4.9,  $\phi_2$  is a complete matrix of grade 5. It is easy to show that  $\mathcal{K}_4(\phi_2) = (u, y, z, w, v)$  is a complete intersection of grade 5. Moreover, we let  $\overline{T}_i$  be a  $4 \times 6$  complete matrix of grade 4 with the same notation,  $\overline{T}_i$  in Definition 4.5. Then we have

$$\begin{split} \mathcal{K}_3(\bar{T}_1) &= (y, z, w, v), \quad \mathcal{K}_3(\bar{T}_2) = (u, z, w, v), \quad \mathcal{K}_3(\bar{T}_3) = (u, y, w, v), \\ \mathcal{K}_3(\bar{T}_4) &= (u, y, z, v), \quad \mathcal{K}_3(\bar{T}_5) = (u, y, z, w). \end{split}$$

Hence  $\mathcal{K}_3(\bar{T}_i) + \mathcal{K}_3(\bar{T}_j) = \mathcal{K}_4(\phi_2)$  for some  $i \neq j$ . This illustrates the Theorem 4.10.

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