

문자열 재구성 알고리즘 및 멱승문제 응용 (A String Reconstruction Algorithm and Its Application to Exponentiation Problems)

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요약 대부분의 문자열 문제들과 이들에 대한 알고리즘들은 패턴 매칭, 데이터 압축, 생물정보학 등의 분야에 응용되어 왔다. 그러나 문자열 문제와 암호화 문제의 관련성에 대한 연구는 거의 진행되지 않았다. 본 논문에서는 다음과 같은 문자열 재구성 문제들에 대해 연구하고 이 결과들이 암호학에 응용될 수 있음을 보인다.

유한 알파벳으로 구성된 길이 n 인 문자열 x 와, 길이 $k(\leq n)$ 이내의 문자열의 집합 W 가 주어졌을 때, 첫 번째 문제는 W 내의 문자열들 중 일부 문자열들을 최소의 회수로 연결하여 x 를 재구성할 수 있는 연결 순서를 찾는 문제이다. 이 문제에 대해 $O(kn + L)$ -시간 알고리즘을 제시한다. 이때, L 은 W 내의 모든 문자열들의 길이의 합을 표시한다. 두 번째 문제는 첫 번째 문제의 동적 버전이며 이에 대해 $O(k^3n + L)$ -시간 알고리즘을 제시한다. 마지막으로 암호학과 관련된 멱승문제와 위에 제시된 재구성 문제들과의 관련성을 보이고 멱승문제를 해결하는 새로운 알고리즘을 제시한다.

키워드 : 문자열재구성, 접미사트리, 멱승문제

Abstract Most string problems and their solutions are relevant to diverse applications such as pattern matching, data compression, recently bioinformatics, and so on. However, there have been few works on the relations between string problems and cryptographic problems. In this paper, we consider the following string reconstruction problems and show how these problems can be applied to cryptography.

Given a string x of length n over a constant-sized alphabet Σ and a set W of strings of lengths at most an integer $k(\leq n)$, the first problem is to find the sequence of strings in W that reconstruct x by the minimum number of concatenations. We propose an $O(kn + L)$ -time algorithm for this problem, where L is the sum of all lengths of strings in a given set, using suffix trees and a shortest path algorithm for directed acyclic graphs. The other is a dynamic version of the first problem and we propose an $O(k^3n + L)$ -time algorithm. Finally, we show that exponentiation problems that arise in cryptography can be successfully reduced to these problems and propose a new solution for exponentiation.

Key words : string reconstruction, suffix trees, exponentiation problems

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1. Introduction

A string is a sequence of some characters from an alphabet. There are so many string related problems. Among them, we are interested in problems to reconstruct a string using one or more relatively short strings. This kind of problems and their solutions are applicable to diverse applications such as pattern matching, data compression, and recently molecular biology or bioinformatics.

There have been vigorous works on this field of problems. If a string x can be reconstructed by one time repetition of a string y where y is a non-empty string, that is, $x = y^2 (= yy)$, we call x a *square* (or *tandem repeat*). Also, if $x = y^3 (= yyy)$, we call x a *cube*. For example, aa, abab and abcabc are all squares and aaa and ababab are cubes. There are several $O(n \log n)$ -time algorithms for finding all the repetitions in a string [1-3].

More generally, if a prefix of a string x can be reconstructed by one or more concatenations of a string y , y is called a *period* of a string x . In other words, y is a period of a string x if x can be written as $x = y^k y'$ where $k \geq 1$ and y' is a prefix of y . For example, if $x = \text{abcbcab}$, then abc is a period of x . Using the preprocessing of the Knuth-Morris-Pratt algorithm [4], we can find all periods of x in linear time.

If a string x can be reconstructed by some concatenations and superpositions of a string y , y is called a *cover* of x . For example, if $x = \text{ababaaba}$, then aba is a cover of x . Apostolico, Farach and Iliopoulos [5] introduced the notion of covers and gave a linear-time algorithm for computing the shortest cover of a given string. Moore and Smyth [6] and Li and Smyth [7] gave linear-time algorithms for finding all covers of x .

If we can reconstruct a superstring of a string x by some concatenations and superpositions of a string y , we call y a *seed* of x . In other words, y is a seed of x if y is a cover of any superstring of x . For example, if $x = \text{ababaab}$, then aba is a seed of x . Iliopoulos, Moore, and Park [8] introduced the notion of seeds and gave an $O(n \log n)$ -time algorithm to compute all seeds of a given

string of length n .

Iliopoulos and Smyth [9] considered k -covering problem. Given a string x and an integer $k < |x|$, k -covering problem is to find a set $W = \{w_1, w_2, \dots, w_m\}$ such that each w_i ($|w_i| = k$, $1 \leq i \leq m$) is a substring of x and W covers x with minimum size m . Here, we say a set of strings W covers another string x when we can construct x by concatenating and overlapping some strings in W . They presented an $O(n^2(n-k))$ -time on-line algorithm for a minimum set of k -covers for all prefixes of a given string x ($|x| = n$).

Skiena and Sundaram [10] studied a problem to determine an unknown string over a known alphabet using as few queries as possible. That is, when there is an unknown string x , we can ask questions of whether a string y is a substring of x or not, and the goal is to determine the exact contents of x with the minimum number of queries as possible. This problem is highly related to sequencing by hybridization (SBH) [11-13].

In this paper, we consider the following two kinds of string reconstruction problems.

Problem 1 *String reconstruction problem with a static set*

Input: (i) A string x of length n over a constant-sized alphabet Σ , (ii) an integer k ($\leq n$), (iii) a set W of strings of lengths at most k .

Question: Can x be reconstructed by concatenations of strings in W ? If the answer is "YES," report the sequence of strings in W that reconstruct x by concatenations such that the number of concatenations in the sequence is minimal among all such sequences. Otherwise, the answer is "NO."

Problem 2 *String reconstruction problem with a dynamic set*

Input: (i) A string x of length n over a constant-sized alphabet Σ , (ii) an integer k ($\leq n$), (iii) a sequence of a set W_0, W_1, \dots, W_j of strings of lengths at most k such that W_{i+1} is made by inserting a string into W_i or deleting a string from W_i .

Question: For each i ($0 \leq i \leq j$), answer the following question. Can x be reconstructed by

concatenations of strings in W_i ? If the answer is "YES," report the sequence of strings in W_i that reconstruct x by concatenations such that the number of concatenations in the sequence is minimal among all such sequences. Otherwise, the answer is "NO."

Our contributions are as follows. First, we propose an algorithm that solves Problem 1, i.e., the string reconstruction with a static set, that takes $O(kn+L)$ time, where L is the sum of all lengths of strings in a given set. We use suffix trees and a shortest path algorithm for directed acyclic graphs. Next, we propose an algorithm that solves Problem 2, i.e., a dynamic version of Problem 1. When we naively use the algorithm for Problem 1 to solve Problem 2, it takes $O(kn|\Sigma|^k+L)$ time since there can be $O(|\Sigma|^k)$ insertions in the worst case and it takes $O(kn)$ time for each insertion. In this paper we propose an $O(k^3n+L)$ -time algorithm for Problem 2. Finally, we show that exponentiation problems that arise in cryptography can be successfully reduced to these problems and propose a new solution for exponentiation.

This paper is organized as follows. In Section 2, we define some notations and review some related works. In Section 3, we describe our algorithms and analyze them. In Section 4, we introduce the application of our algorithms to cryptography.

2. Preliminaries

We first give some definitions and notations. A string is a sequence of zero or more characters from an alphabet Σ . We denote the set of all strings over Σ by Σ^* . The length of a string x is denoted by $|x|$ and $x[i]$ denotes the i th character of x . When a string y is $x[i]x[i+1]\dots x[j]$ for $1 \leq i \leq j \leq |x|$, we denote y by $x[i,j]$ and y is called a *substring* of x . Conversely, we call x a *superstring* of y . For example, baa is a substring of abbaaba and abbaaba is a superstring of baa. A string y is a *prefix* of x if $x=yw$ for $w \in \Sigma^*$. Similarly, y is a *suffix* of x if $x=wy$ for $w \in \Sigma^*$.

The suffix tree due to McCreight [14] is a compacted trie that represents all suffixes of a

string x . It was designed as a space-efficient alternative to Weiner's position tree [15]. A suffix tree T_x for a string x ($|x|=n$) is a rooted tree with exactly n leaves labeled with 1 to n . It takes $O(n)$ time to construct T_x when the size of the alphabet is constant [14,16,17]. Each leaf node labeled with i represents the i th suffix of x , i.e., $x[i,n]$. Each internal node, except the root node, has at least two children and each edge is labeled with a nonempty substring of x . The locus of a string y in T_x is the node associated with y . We can construct y by concatenations of each label of the edges on the path from the root to the locus of y , if it exists. The extended locus of y is the locus of the longest prefix of y whose locus exists.

Now we can find all the occurrences of y in x using T_x as follows. First, we find the extended locus E_y of y in T_x . Next, we report all the labels of the leaves in the subtree rooted at E_y in $O(occ)$ time where occ is the number of occurrences of y in x . Thus, using suffix trees, we can find all the occurrences of a pattern y ($|y|=m$) in a text x ($|x|=n$) in $O(m+occ)$ time with $O(n)$ preprocessing time to construct T_x . Figure 1 shows an example of the suffix tree when $x = aababc$. When we want to find all the occurrences of ab in x , we first find the extended locus of ab and report all the labels of leaves below it, i.e., 2 and 4. Note that $x[2,3] = x[4,5] = ab$.

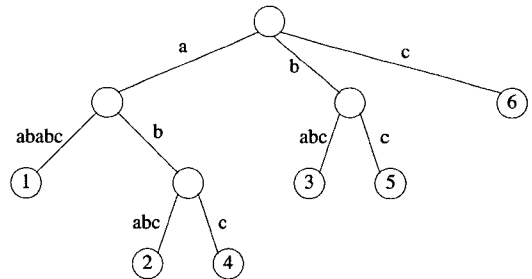


Figure 1 A suffix tree T_x when $x = aababc$

3. String Reconstruction Algorithms

3.1 Algorithm for a static set

First, we describe our algorithm for Problem 1. Our algorithm mainly consists of three steps.

1. Preprocessing

Assume $W = \{w_1, \dots, w_m\}$ and let $L = \sum_{1 \leq i \leq m} |w_i|$.

First, we make a suffix tree T_x of x in $O(n)$ time. Next, we construct a directed acyclic graph (DAG for short) G_x^0 which corresponds to x . G_x^r ($0 \leq r \leq m$) has following properties: (i) There are $n+1$ nodes in G_x^r that are labeled from N_0 to N_n . (Each node N_i of G_x^r corresponds to $x[i]$ and N_0 is an initial node.) (ii) There is a string w_i in W that occurs in j th position of x if and only if there exists an outgoing edge from N_{j-1} to $N_{j+|w_i|-1}$ for $1 \leq i \leq r$. (Note that G_x^0 has no edges.) Obviously, it takes $O(n)$ time to construct T_x and G_x^0 .

For each w_i ($1 \leq i \leq m$), we perform Step 2. We make G_x^i from G_x^{i-1} and our goal of Step 2 is to make G_x^m .

2. Find all occurrences

(a) Find the extended locus E_{w_i} of w_i in T_x .

This can be done by the way of pattern search in a suffix tree and it takes $O(|w_i|)$ time to find each E_{w_i} in T_x . If search fails, we go to $(i+1)$ st iteration.

(b) Report all the occurrences of w_i in x .

Report all the labels of the leaves in the subtree rooted at E_{w_i} . This step takes $O(\text{occ}(w_i))$ time where $\text{occ}(w_i)$ is the number of occurrences of w_i in x .

(c) Modify G_x^{i-1} to G_x^i .

We make an outgoing edge from N_{j-1} to $N_{j+|w_i|-1}$ when w_i occurs in j th position in x , i.e., $w_i = x[j, j+|w_i|-1]$. Since we can add a new edge into G_x^{i-1} in constant time, it takes $O(\text{occ}(w_i))$ time for each w_i to make G_x^i from G_x^{i-1} . Figure 2 shows an example of G_x^3 when $x = aababc$ and $W = \{a, b, ab\}$.

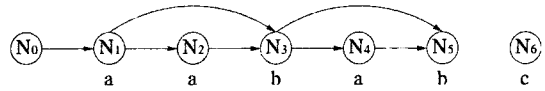


Figure 2 Initial G_x^3 when $x = aababc$ and $W = \{a, b, ab\}$

Now, the problem which finds a shortest sequence of strings is transformed into the shortest path problem in a DAG if we assume the lengths (or weights) of all the edges are the same, for example, any positive integers such as 1. If we cannot find any shortest path from N_0 to N_n , we just output "No." (In this case, we cannot reconstruct x with W .) If we find a shortest path from N_0 to N_n , we report all the corresponding strings on the path from N_0 to N_n , i.e., if an edge from N_i to N_j exists on the shortest path, report $x[i+1, j]$. It takes $O(|M| + |E|)$ time to find a shortest path from a DAG if any, where $|M|$ is the number of nodes and $|E|$ is the number of edges, respectively.

Now we analyze our algorithm for Problem 1. In Step 1, as mentioned above, preprocessing time to construct T_x and G_x^0 takes $O(n)$ time. For Step 2 (a), finding each E_{w_i} in T_x takes $O(|w_i|)$ time and therefore performing this step for all w_i 's takes $O(L)$ time. (Recall that $L = \sum_{1 \leq i \leq m} |w_i|$.) For each w_i , Step 2-(b) takes $O(\text{occ}(w_i))$ time. Consider the total number of occurrences of strings in W . There can be at most n occurrences when $|w_i|=1$, $n-1$ occurrences when $|w_i|=2$, $n-2$ occurrences when $|w_i|=3$, and so on. Thus in total, there can be at most kn occurrences in x when $|w_i| \leq k$ and Step 2-(b) takes $O(kn)$ time for all w_i 's in W . Also, Step 2-(c) takes $O(kn)$ time in total, by the same reason as Step 2-(b).

Consider Step 3. Obviously, there are $n+1$ nodes in G_x^m . Since the number of total occurrences cannot exceed kn , the number of newly added edges also cannot exceed kn . Thus, the total number of edges is at most kn and therefore Step 3 takes $O(kn)$ time in total. Henceforth, our

3. Report a shortest sequence of strings if it exists

algorithm for Problem 1 takes $O(L+kn)$ time in total.

3.2 Algorithm for a dynamic set

Now, we consider Problem 2 of the case for a dynamic set. All the procedures performed in this case are very similar to those of Problem 1 but their orders are different. We perform the procedures of Step 2 and Step 3 of the previous algorithm for each W_i . Recall that each W_i can be made by either an insertion of a new string w_i into W_{i-1} or a deletion of a string w_i from W_{i-1} .

1. Preprocessing

First, we make a suffix tree T_x of x in $O(n)$ time. Next, we construct a DAG G_x^0 which corresponds to x . As the previous algorithm, it takes $O(n)$ time to construct T_x and G_x^0 .

For each W_i , we perform Step 2 and Step 3.

2. Find all occurrences.

(a) Find the extended locus E_{w_i} of w_i in T_x .

It takes $O(|w_i|)$ time to find each E_{w_i} in T_x . If search fails, we go to $(i+1)$ st iteration.

(b) Report all the occurrences of w_i in x .

Report all the labels of the leaves in the subtree rooted at E_{w_i} . As the previous algorithm, this step takes $O(occ(w_i))$ time.

(c) Modify G_x^{i-1} to G_x^i .

In the case of insertions, we make an outgoing edge from N_{j-1} to $N_{j+|w_j|-1}$ when w_i occurs in j th position in x . In the case of deletions, we remove an outgoing edge from N_{j-1} to $N_{j+|w_j|-1}$ when w_i occurs in j th position in x . Since an insertion of an edge into G_x^{i-1} or a deletion of an edge from G_x^{i-1} takes constant time, it takes $O(occ(w_i))$ time for each w_i to make G_x^i from G_x^{i-1} .

3. Report a shortest sequence of strings if it exists

If we find a shortest path from N_0 to N_n , we report all the corresponding strings on the path from N_0 to N_n . If we cannot find any shortest path from N_0 to N_n , we just output "No."

For example, assume we are given $x = ababb aababbc$, $k=3$, and the current set of strings $W_4 = \{a, b, c, ab\}$. Then, when a new string abb is inserted into W_4 to make W_5 , we find all the occurrences of abb in x using T_x . In this case, abb occurs at the position of 3 and 9 of x . See Figure 3. Then we add two new edges to G_x^4 to make G_x^5 . Figure 4 shows the modification process. Before the insertion of abb , the shortest path was $N_0, N_2, N_4, N_5, N_6, N_8, N_{10}, N_{11}, N_{12}$, which means we can reconstruct x by the following sequence of

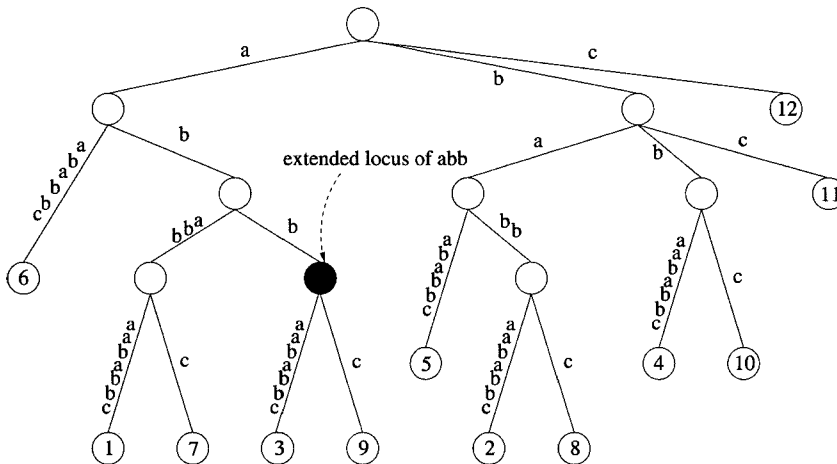


Figure 3 A suffix tree T_x of $x = ababb aababbc$ and the extended locus of abb

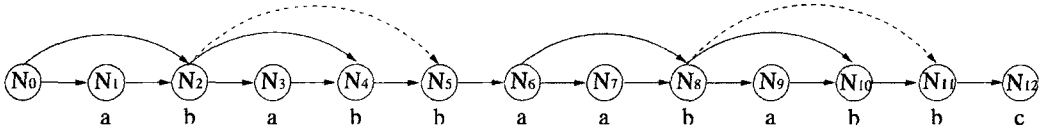


Figure 4 Modification of G_x^1 to G_x^5 . Dotted lines represent newly inserted edges

strings in W_4 , $(ab)(ab)(b)(a)(ab)(ab)(b)(c)$. (Recall that we assumed all the lengths of edges are the same.) But after the insertion of abb , the shortest path has changed and we can reconstruct x by the following sequence of strings in W_5 , $(ab)(abb)(a)(ab)(abb)(c)$.

For Problem 2, preprocessing time to construct T_x and G_x^0 is the same as Problem 1, and thus, Step 1 takes $O(n)$ time. Consider the case when W_i is made by an insertion of a new string w_i into W_{i-1} . Step 2-(a) takes $O(|w_i|)$ time and Step 2-(b) takes $O(\text{occ}(w_i))$ time as Problem 1. Step 2-(c) also takes $O(\text{occ}(w_i))$ time for each w_i . (Note that if w_i does not occur in x , Step 2-(b) and Step 2-(c) will not be performed.) Now consider the case when W_i is made by a deletion of w_i from W_{i-1} . As the case of insertions, Step 2-(a) takes $O(|w_i|)$ time. Also, Step 2-(b) and Step 2-(c) take $O(\text{occ}(w_i))$ time for each w_i if w_i occurs in x . Thus, for both cases of insertions and deletions, Step 2-(a) takes $O(L)$ time in total. Step 2-(b) and Step 2-(c) take $O(kn)$ time in total because there can be at most $O(kn)$ occurrences. Therefore, Step 2 can be done in $O(L+kn)$ time in total.

Note that we perform Step 3 only when w_i occurs in x , i.e., w_i is a substring of x . Since there can be $O(k^2)$ substrings, Step 3 takes $O(k^3n)$ time in total. Therefore, our algorithm for Problem 2 takes $O(L+k^3n)$ time in total.

4. Application to Cryptography

In this section, we show how our algorithm can be applied to the exponentiation problem, which is one of the most important problems in cryptography. Exponentiation over a group is to compute X^e for a group element X and a positive integer e . This is a fundamental operation for many modern

cryptosystems, e.g. the RSA [18] and ElGamal public key schemes [19] and the DSA digital signature scheme [20]. To guarantee the security of these schemes, very large exponents e should be used. Therefore, it is crucial to develop fast exponentiation methods that are suitable for large exponents, and there has been an extensive research for this problem [21]. There has also been some research to relate fast exponentiation to string problems, including Yacobi's work [22] where the Lempel-Ziv compression algorithm is used to speed up exponentiation. Since an exponentiation is composed of repeated multiplications, the study on the speed of exponentiation can be classified into two categories: to speed up multiplication itself, and to reduce the number of required multiplications. We are interested in the latter in this paper.

Recently, Park, Lee and Kim [23] showed that the problem of finding the minimum number of multiplications for an instance of exponentiation is equivalent to finding a shortest path in its corresponding graph, and they suggested two heuristic methods to reduce the path length dynamically. But they did not present any specific procedure to construct the graph, nor any complexity analyses. In this section, we give a complete procedure to solve exponentiation problems using our string reconstruction algorithm, which involves the shortest path problem. Hence our algorithm can be viewed as a generalization of [23]. We also give a precise analysis of time complexity.

First, we must define our problem more precisely. For the exponentiation X^e , we can assume that some powers of X are computed at first, and then these values are used to construct a computation path for X^e . We call the table of these precomputed powers of X , a precomputation table. Note that this is a reasonable assumption since many efficient exponentiation algorithms such as the M ary method

[24] and the sliding window method [25] use this technique. Hence we focus on the exponentiation problem that uses a precomputation table T . We also assume that T contains $X^1=X$ and it does not contain $X^0=1$.

Now we show how we can solve this problem using a string reconstruction algorithm. As input to the exponentiation problem, we are given a base X , an exponent e and a set U of exponents that are supposed to be included in precomputation table T such that U contains u if and only if T contains X^u . Note that $1 \in U$ and $0 \notin U$ according to our assumption. Then we can reduce the exponentiation problem to a string reconstruction problem as follows:

1. Represent e as a string $e_1e_2 \dots e_l$, where $l = \lceil \log_2(e+1) \rceil$, $e_i \in \{0,1\}$ and $e = \sum_{i=1}^l e_i 2^{l-i}$.
Hence $e_1 = 1$.
2. Set $\Sigma = \{0,1\}$ and $x = e_2 \dots e_l$.
3. Set $k = \max_{u \in U} \{ \text{number of bits in } u \}$ and set $W \leftarrow U$. (Now W is interpreted as a set of binary strings.)
4. Solve the string reconstruction problem using the algorithm given in the previous section. (When we solve the shortest path problem, we set the length of all edges to 1.) If the answer to the string reconstruction problem is "YES," then we obtain a sequence w_1, \dots, w_r . If the answer is "NO," then this means that there is no path from the source node to the terminal node. However, this case can also be easily dealt with by inserting an edge (N_{i-1}, N_i) for each N_i corresponding to '0'. Then assigning length 0 to each of these new edges and solving a shortest path problem always succeeds in producing an answer w_1, \dots, w_r . In this case, however, we should modify our assumption on T so that T can contain $X^0=1$ since the case that $w_i=0$ is possible for some i 's.

Then an exponentiation will be done using the sequence w_1, \dots, w_r as follows:

1. Construct $T = \{X^{w_1}, \dots, X^{w_r}\}$ using some multipli-

cations.

2. $Y \leftarrow X$.

3. For $i=1$ to r do

$Y \leftarrow Y^{2^{w_i}} \times X^{w_i}$, where X^{w_i} is fetched from T .

4. return Y .

Therefore, the computational cost for exponentiation is

$$(\text{cost to construct } T) + \left(\sum_{i=1}^r |w_i| \text{ squarings} \right) + ((r-s) \text{ multiplications}), \tag{1}$$

where s is the number of w_i 's such that $w_i = '0'$.

Note that $\sum_{i=1}^r |w_i| = |x| = l-1$ for any possible sequence w_1, \dots, w_r . Thus we can see that if we fix the precomputation table T , the first and second factors in (1) are always the same for any possible sequences. Then reducing r is equivalent to finding a more efficient computation path for X^e .

We mention that the above algorithm for finding an efficient multiplication sequence can be improved further if we slightly change the string reconstruction algorithm. First, we observe that in Step 2 of the above exponentiation algorithm, if we can initialize Y as one of the elements in T , then we can reduce the number of required squarings so that it may be less than $l-1$. Our algorithm to find a short computation sequence can be modified easily so that this case may be covered. All we have to do is to feed $x = e_1 \dots e_l$ instead of $x = e_2 \dots e_l$ and assign weight 0 to all the outgoing edges from N_0 when we solve the shortest path problem.

An attractive feature of our approach is that we can construct T adaptively according to the characteristics of a specific e , which differs from the M -ary method and the sliding window method that use only the same tables for given parameters. To be precise, we proceed as follows:

1. Start with some initial U and find a sequence w_1, \dots, w_r using the string reconstruction algorithm.
2. Evaluate (1).
3. Repeat the following for reasonable times: insert an element to U and if this new U gives a smaller value of (1), then set this U as a candidate.

4. Compute the exponentiation using this final U .

Note that the adaption procedure (Steps 1-3) can be implemented using the string reconstruction algorithm with a dynamic set, and it performs much faster than exponentiation itself (Step 4) in a practical situation:

- *String reconstruction cost.* In a practical setting of an exponentiation, k is chosen so that $k \ll n = l - 1$. A typical choice is $k = O(\log_2 n)$. Note that since $L < k \cdot 2^k$, the cost for dynamic string reconstruction is $O(k \cdot 2^k + k^3 \cdot n) = O(n(\log_2 n)^3)$.
- *Exponentiation cost.* In (1), the costs for one squaring and one multiplication are virtually the same. Then (1) becomes $\Omega(n)$ multiplications. Moreover, practical multiplication algorithms use $\Theta(n^2)$ bit operations for n -bit operands. Hence we require $\Omega(n^3)$ bit operations for one exponentiation.

5. Conclusion

In this paper, we define two string reconstruction problems and show how exponentiation problems that arise in cryptography can be successfully reduced to these problems. Most of the algorithms for repetitive strings can be applied to well-known areas such as data compressions and bioinformatics. However, string algorithms are rarely used in the field of cryptography, which is one of the important research areas.

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