

THE APPLICATIONS OF ADDITIVE MAP PRESERVING IDEMPOTENCE IN GENERALIZED INVERSE

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ABSTRACT. Suppose \mathbf{R} is an idempotence-diagonalizable ring. Let n and m be two arbitrary positive integers with $n \geq 3$. We denote by $M_n(\mathbf{R})$ the ring of all $n \times n$ matrices over \mathbf{R} . Let $\langle \mathcal{I}_n(\mathbf{R}) \rangle$ be the additive subgroup of $M_n(\mathbf{R})$ generated additively by all idempotent matrices. Let $\mathfrak{V} = \langle \mathcal{I}_n(\mathbf{R}) \rangle$ or $M_n(\mathbf{R})$. In this paper, by using an additive idempotence-preserving result obtained by Cao (see [4]), I characterize (i) the additive preservers of tripotence from \mathfrak{V} to $M_m(\mathbf{R})$ when 2 and 3 are units of \mathbf{R} ; (ii) the additive preservers of inverses (respectively, Drazin inverses, group inverses, $\{1\}$ -inverses, $\{2\}$ -inverses, $\{1, 2\}$ -inverses) from $M_n(\mathbf{R})$ to $M_m(\mathbf{R})$ when 2 and 3 are units of \mathbf{R} .

AMS Mathematics Subject Classification :15A04

Key words and phrases : Idempotence-diagonalizable ring, additive preserver, idempotence, tripotence, inverse, Drazin inverse, group inverse, $\{1\}$ -inverse, $\{2\}$ -inverse, $\{1, 2\}$ -inverse

1. Introduction

A ring \mathbf{R} is called idempotence-diagonalizable if \mathbf{R} is a connected commutative ring with the multiplicative identity 1 (i.e., \mathbf{R} contains no idempotents except 0 and 1) and every idempotent matrix over \mathbf{R} is similar to a diagonal matrix. Let \mathbf{R}^* denote the subset of \mathbf{R} consisting of all units.

We will hereafter assume that n and m are two arbitrary positive integers with $n \geq 3$. We denote by $M_n(\mathbf{R})$ the ring of all $n \times n$ matrices. A matrix $A \in M_n(\mathbf{R})$ is called idempotent (respectively, tripotent) if $A^2 = A$ (respectively, $A^3 = A$). Let $\mathcal{I}_n(\mathbf{R})(\mathcal{K}_n(\mathbf{R}))$ be the subset of $M_n(\mathbf{R})$ consisting of all idempotent(tripotent) matrices, respectively. The notation $\langle \mathcal{I}_n(\mathbf{R}) \rangle$ denotes the additive subgroup of $M_n(\mathbf{R})$ generated additively by $\mathcal{I}_n(\mathbf{R})$. In more detail, $\langle \mathcal{I}_n(\mathbf{R}) \rangle$ is the subset of $M_n(\mathbf{R})$ consisting of all matrices whose traces are integral multiple of the multiplicative identity 1 of \mathbf{R} .

Received April 8, 2007. Revised January 24, 2008. * Corresponding author.

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Let $\mathfrak{V} = \langle \mathcal{I}_n(\mathbf{R}) \rangle$ or $M_n(\mathbf{R})$. We say that a map $f : \mathfrak{V} \rightarrow \mathfrak{M}_m(\mathbf{R})$ is additive if $f(A+B) = f(A) + f(B)$ for any $A, B \in \mathfrak{V}$. An additive map $f : \mathfrak{V} \rightarrow \mathfrak{M}_m(\mathbf{R})$ is called a preserver of tripotent if $f(A)$ is tripotent for every tripotent $A \in \mathfrak{V}$.

For a matrix $A \in M_n(R)$, consider the following matrix equations with unknown $X \in M_n(R)$.

$$AX = XA, \quad (1)$$

$$XAX = X, \quad (2)$$

$$A^k X A = A^k \text{ for some positive integer } k. \quad (3)$$

When $k = 1$, (3) turns into

$$AXA = A, \quad (4)$$

We say that X is a $\{1\}$ -inverse of A if X satisfies (4), X is a $\{2\}$ -inverse of A if X satisfies (2), X is a $\{1, 2\}$ -inverse of A if X satisfies (2) and (4), X is a Drazin inverse of A if X satisfies (1), (2) and (3), and X is a group inverse of A if X satisfies (1), (2) and (4). An additive map $f : M_n(\mathbf{R}) \rightarrow \mathfrak{M}_m(\mathbf{R})$ is said to preserve Drazin (respectively, group, $\{1\}$ -, $\{2\}$ - and $\{1, 2\}$ -) inverse if $f(B)$ is a Drazin (respectively, group, $\{1\}$ -, $\{2\}$ - and $\{1, 2\}$ -) inverse of $f(A)$ whenever B is a Drazin (respectively, group, $\{1\}$ -, $\{2\}$ - and $\{1, 2\}$ -) inverse of $A \in M_n(R)$. f is said to preserve inverse if $f(A)$ is nonsingular whenever $A \in M_n(R)$ is nonsingular, and satisfies $f(A)^{-1} = f(A^{-1})$.

Some researchers are interested in the study of Linear/Additive Preserver Problems between different sets of matrices (e.g., [1]- [3],). In Cao [1], he gave the applications of the linear-idempotent preserving result. In [?], they characterized the form of additive-idempotent preserving map, Inspired by these works mentioned above, in this article we characterize:

- (a): the additive preservers of tripotence from \mathfrak{V} to $M_m(\mathbf{R})$ when \mathbf{R} is any idempotence-diagonalizable ring with $2, 3 \in \mathbf{R}^*$;
- (b): the additive preservers of inverses (respectively, Drazin, group, $\{1\}$ -, $\{2\}$ - and $\{1, 2\}$ -) inverses from $M_n(\mathbf{R})$ to $M_m(\mathbf{R})$ when \mathbf{R} is any idempotence-diagonalizable ring with $2, 3 \in \mathbf{R}^*$.

For integers a and b with $a \leq b$, let $[a, b]$ be the set of all integers between a and b . Let I_k be the $k \times k$ identity matrix if $k > 0$ and the 0×0 empty matrix if $k = 0$. Denote by \otimes and \oplus the usual Kronecker product and direct sum of matrices, respectively. For any positive integers i and j , let E_{ij} be the matrix (whose dimensions can be determined by the context) with 1 in the (i, j) -th entry and 0 elsewhere. Let A^T be the transpose of A and $\text{tr}X$ be the trace of matrix X . For a non-negative integer p and a map $\tau : \mathbf{R} \rightarrow \mathfrak{M}_p(\mathbf{R})$, we denote by A^τ the block matrix $[\tau(a_{ij})]$ for every matrix $A = [a_{ij}]$ if $p > 0$ and the 0×0 empty matrix if $p = 0$.

2. Main results

In this section we will always assume that \mathbf{R} is an arbitrary idempotence-diagonalizable ring with $2, 3 \in \mathbf{R}^*$.

Lemma 1. [5] *If $A \in K_n(\mathbf{R})$, then there exists $P \in GL_n(\mathbf{R})$ such that $P^{-1}AP = I_p \oplus -I_q \oplus 0$, where $p + q = \text{rank } A$*

Lemma 2. [4] *Suppose $f : \langle \mathcal{J}_n(\mathbf{R}) \rangle \rightarrow \mathbf{M}_m(\mathbf{R})$ is an additive preserver of idempotence with $f(I_n) = I_m$. Then there are two non-negative integers p_1, p_2 with $(p_1 + p_2)n = m$, a nonsingular $m \times m$ matrix P and two ring homomorphisms $\tau_t : \mathbf{R} \rightarrow \mathbf{M}_{p_t}(\mathbf{R})$ with $\tau_t(1) = I_{p_t}$, $t = 1, 2$, such that $f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2}]P^{-1}$ for any $X \in \langle \mathcal{J}_n(\mathbf{R}) \rangle$.*

Lemma 3. [4] *A map $f : \langle \mathcal{J}_n(\mathbf{R}) \rangle \rightarrow \mathbf{M}_m(\mathbf{R})$ is an additive preserver of idempotence if and only if there are two non-negative integers p_1, p_2 with $(p_1 + p_2)n \leq m$, a nonsingular $m \times m$ matrix P , and two ring homomorphisms $\tau_t : \mathbf{R} \rightarrow \mathbf{M}_{p_t}(\mathbf{R})$, $t = 1, 2$, such that*

$$f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus 0]P^{-1}, \forall X \in \langle \mathcal{J}_n(\mathbf{R}) \rangle. \quad (5)$$

Lemma 4. [4] *A map $f : M_n(\mathbf{R}) \rightarrow \mathbf{M}_m(\mathbf{R})$ is an additive preserver of idempotence if and only if there are two non-negative integers p_1, p_2 with $(p_1 + p_2)n \leq m$, an additive group homomorphism $\sigma : \mathbf{R} \rightarrow \mathbf{M}_m(\mathbf{R})$ with $\sigma(1) = 0$, a nonsingular $m \times m$ matrix P and two ring homomorphisms $\tau_t : \mathbf{R} \rightarrow \mathbf{M}_{p_t}(\mathbf{R})$, $t = 1, 2$, such that*

$$f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus 0]P^{-1} + \sigma(\text{tr} X), \forall X \in M_n(\mathbf{R}). \quad (6)$$

By an argument similar to [3, Theorem 1], the following theorem can be easily obtained from Lemma 2.

Theorem 1. *A map $f : \langle \mathcal{J}_n(\mathbf{R}) \rangle \rightarrow \mathbf{M}_m(\mathbf{R})$ is an additive preserver of tripotence if and only if there are four non-negative integers $p_i, i \in [1, 4]$, with $(p_1 + p_2 + p_3 + p_4)n \leq m$, a nonsingular $m \times m$ matrix P and four ring homomorphisms $\tau_t : \mathbf{R} \rightarrow \mathbf{M}_{p_t}(\mathbf{R})$, $t \in [1, 4]$, such that $f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus -X^{\tau_3} \oplus -(X^T)^{\tau_4} \oplus 0]P^{-1}$ for any $X \in \langle \mathcal{J}_n(\mathbf{R}) \rangle$.*

From which, by an argument similar to Lemma 4, the additive preservers of tripotence from $M_n(\mathbf{R})$ to $M_m(\mathbf{R})$ can be characterized as following:

Theorem 2. *A map $f : M_n(\mathbf{R}) \rightarrow \mathbf{M}_m(\mathbf{R})$ is an additive preserver of tripotence if and only if there are four non-negative integers $p_i, i \in [1, 4]$, with $(p_1 + p_2 + p_3 + p_4)n \leq m$, an additive group homomorphism $\sigma : \mathbf{R} \rightarrow \mathbf{M}_m(\mathbf{R})$ with $\sigma(1) = 0$, a nonsingular $m \times m$ matrix P and four ring homomorphisms $\tau_t : \mathbf{R} \rightarrow \mathbf{M}_{p_t}(\mathbf{R})$, $t \in [1, 4]$, such that $f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus -X^{\tau_3} \oplus -(X^T)^{\tau_4} \oplus 0]P^{-1} + \sigma(\text{tr} X)$ for any $X \in M_n(\mathbf{R})$.*

Theorem 3. Let \mathbf{R} be an arbitrary idempotence-diagonalizable ring with $2, 3 \in \mathbf{R}^*$, and n, m are positive integers with $n \geq 3$. Then $f : M_n(\mathbf{R}) \rightarrow M_m(\mathbf{R})$ is an additive preserver of inverses if and only if f has the form

$$f(X) = P \left[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus -X^{\tau_3} \oplus -(X^T)^{\tau_4} \right] P^{-1} \text{ for any } X \in M_n(\mathbf{R}),$$

where $p_t, t \in [1, 4]$ are non-negative integers with $(p_1 + p_2 + p_3 + p_4)n = m$, P is a nonsingular $m \times m$ matrix, and $\tau_t : \mathbf{R} \rightarrow M_{p_t}(\mathbf{R})$, $t \in [1, 4]$, are ring homomorphisms such that $\tau_t(a)$ is nonsingular for any $t \in [1, 4]$ and nonzero $a \in \mathbf{R}$.

Proof. The “if” part is obvious. Now we prove the “only if” part. By an argument similar to [3, Theorem 2], one can easily derive that

$$T^{-1}f(B)T = f_1(B) \oplus -f_2(B), \quad \forall B \in \mathcal{J}_n(\mathbf{R}), \quad (7)$$

where $f_i(B) \in \mathcal{J}_{p_i}(\mathbf{R})$ satisfies $f_i(I_n) = I_{p_i}$ for $i = 1, 2$. Since any matrix in $\langle \mathcal{J}_n(\mathbf{R}) \rangle$ can be represented as a sum of finitely many matrices in $\mathcal{J}_n(\mathbf{R})$, we obtain from (7) and the additivity of f that

$$T^{-1}f(A)T = f_1(A) \oplus -f_2(A), \quad \forall A \in \langle \mathcal{J}_n(\mathbf{R}) \rangle,$$

where $f_i : \langle \mathcal{J}_n(\mathbf{R}) \rangle \rightarrow M_{p_i}(\mathbf{R})$, $i = 1, 2$, are additive preservers of idempotence and satisfy $f_i(I_n) = I_{p_i}$ for $i = 1, 2$. By Lemma 2, there are four non-negative integers $p_t, t \in [1, 4]$ with $(p_1 + p_2 + p_3 + p_4)n \leq m$, a nonsingular $m \times m$ matrix P and four ring homomorphisms $\tau_t : \mathbf{R} \rightarrow M_{p_t}(\mathbf{R})$, $t \in [1, 4]$, such that

$$f(A) = P \left[A^{\tau_1} \oplus (A^T)^{\tau_2} \oplus -A^{\tau_3} \oplus -(A^T)^{\tau_4} \right] P^{-1}, \quad \forall A \in \langle \mathcal{J}_n(\mathbf{R}) \rangle. \quad (8)$$

By an argument similar to that the proof of Lemma 4 in [4], one can easily derive

$$f(X) = P \left[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus -X^{\tau_3} \oplus -(X^T)^{\tau_4} \right] P^{-1} + \sigma(\text{tr}X), \quad \forall X \in M_n(\mathbf{R}),$$

where σ is an additive group homomorphism from \mathbf{R} to $M_m(\mathbf{R})$ with $\sigma(1) = 0$. Clearly, it remains is to show that $\sigma = 0$.

For any nonsingular $E \in M_2(\mathbf{R})$, let

$$\begin{cases} X_E = E \oplus \pm I_{n-2}, \\ Y_E = E^{\tau_1} \oplus 0 \oplus (E^T)^{\tau_2} \oplus 0 \oplus -E^{\tau_3} \oplus 0 \oplus -(E^T)^{\tau_4} \oplus 0, \\ Z_E = (E^{-1})^{\tau_1} \oplus 0 \oplus ((E^{-1})^T)^{\tau_2} \oplus 0 \oplus -(E^{-1})^{\tau_3} \oplus 0 \oplus -((E^{-1})^T)^{\tau_4} \oplus 0. \end{cases}$$

Then $f(X_E) = Y_E + \sigma(\text{tr}E) \pm C$ and $f(X_E)^{-1} = Z_E + \sigma(\text{tr}E^{-1}) \pm C$, where

$$C = 0 \oplus I_{(n-2)p_1} \oplus 0 \oplus I_{(n-2)p_2} \oplus 0 \oplus -I_{(n-2)p_3} \oplus 0 \oplus -I_{(n-2)p_4}. \quad (9)$$

Thus, $(Y_E + \sigma(\text{tr}E) \pm C)(Z_E + \sigma(\text{tr}E^{-1}) \pm C) = I_m$, which is equivalent to $\sigma(\text{tr}E)C + C\sigma(\text{tr}E^{-1}) = 0$ and

$$Y_E\sigma(\text{tr}E^{-1}) + \sigma(\text{tr}E)Z_E + \sigma(\text{tr}E)\sigma(\text{tr}E^{-1}) = 0. \quad (10)$$

For every $a \in \mathbf{R}$, if we choose E is $\begin{bmatrix} a & 1 \\ 1 & 0 \end{bmatrix}$ and $\begin{bmatrix} a & 1 \\ 2 & 0 \end{bmatrix}$ in (10), respectively, then $\sigma(a)C = C\sigma(a)$ and $\sigma(a)C = 2^{-1}C\sigma(a)$. Thus,

$$\sigma(a)C = C\sigma(a) = 0. \quad (11)$$

Let $\sigma(a) = (X_{st})$, $s, t \in [1, 8]$. Then, by equation (11), we have

$$\sigma(a) = (X_{st}), s, t \in [1, 8] \quad (12)$$

where $X_{2k-1, 2k-1} \in M_{2p_k}(\mathbf{R})$, and $X_{2k, 2k} = 0 (k = 1, 2, 3, 4)$.

Case 1. Suppose $n \geq 4$. Then, for every nonsingular $E \in M_2(\mathbf{R})$, let $X_E = \pm I_{n-2} \oplus E$, by an argument similar to (11), we have

$$\sigma(a)D = D\sigma(a) = 0. \quad (13)$$

where $D = I_{(n-2)p_1} \oplus 0 \oplus I_{(n-2)p_2} \oplus 0 \oplus -I_{(n-2)p_3} \oplus 0 \oplus -I_{(n-2)p_4} \oplus 0$. This, together with (12), implies $\sigma(a) = 0$. Because of the arbitrariness of a , we can obtain that $\sigma = 0$.

Case 2. Suppose $n = 3$. We consider X_E is $\begin{bmatrix} a & 0 & b \\ 0 & 1 & 0 \\ c & 0 & d \end{bmatrix}$ and $\begin{bmatrix} 1 & 0 & 0 \\ 0 & a & b \\ 0 & c & d \end{bmatrix}$,

respectively, where $\begin{bmatrix} a & b \\ c & d \end{bmatrix}$ is nonsingular, similar to the Case 1, we can derive that $\sigma = 0$, we complete the proof. \square

Theorem 4. Let \mathbf{R} be an arbitrary idempotence-diagonalizable ring with $2, 3 \in \mathbf{R}^*$, and let n, m be positive integers with $n \geq 3$. Then $f : M_n(\mathbf{R}) \rightarrow M_m(\mathbf{R})$ is an additive preserver of Drazin (respectively, group, $\{1\}$ -, $\{2\}$ -, $\{1, 2\}$ -) inverses if and only if f has the form

$$f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus -X^{\tau_3} \oplus -(X^T)^{\tau_4} \oplus 0]P^{-1} \text{ for any } X \in M_n(\mathbf{R}),$$

where $p_t, t \in [1, 4]$ are non-negative integers with $(p_1 + p_2 + p_3 + p_4)n \leq m$, P is a nonsingular $m \times m$ matrix, and $\tau_t : \mathbf{R} \rightarrow M_{p_t}(\mathbf{R})$, $t \in [1, 4]$, are ring homomorphisms such that $\tau_t(a)$ is nonsingular for any $t \in [1, 4]$ and nonzero $a \in \mathbf{R}$.

Proof. The “if” part is obvious. Now we prove the “only if” part. By an argument similar to [3, Theorem 3], one can easily derive that f is an additive map preserving tripotence. By Theorem 2, one can easily derive

$$f(X) = P[X^{\tau_1} \oplus (X^T)^{\tau_2} \oplus -X^{\tau_3} \oplus -(X^T)^{\tau_4} \oplus 0]P^{-1} + \sigma(\text{tr}X), \forall X \in M_n(\mathbf{R}), \quad (14)$$

where σ is an additive group homomorphism from \mathbf{R} to $M_m(\mathbf{R})$ with $\sigma(1) = 0$. Clearly, it remains is to show that $\sigma = 0$. Now, we only prove $\sigma = 0$ when f preserves $\{1\}$ -inverse, other generalized inverses can be proved in the same way.

For any nonsingular $E \in M_2(\mathbf{R})$, it is clear that $E^{-1} \pm I_{n-2}$ is one of $\{1\}$ -inverses of $E \in M_2(\mathbf{R})$, and hance $f(E^{-1} \pm I_{n-2})$ is one of $\{1\}$ -inverses of

$f(E \pm I_{n-2})$, which implies $f(E \pm I_{n-2})f(E^{-1} \pm I_{n-2})f(E \pm I_{n-2}) = f(E \pm I_{n-2})$. By (14), we have

$$(Y_E + \sigma(\text{tr}E) \pm C)(Z_E + \sigma(\text{tr}E^{-1}) \pm C)(Y_E + \sigma(\text{tr}E) \pm C) = (Y_E + \sigma(\text{tr}E) \pm C), \quad (15)$$

where

$$\begin{cases} Y_E = (E^{\tau_1} \oplus 0 \oplus (E^T)^{\tau_2} \oplus 0 \oplus -E^{\tau_3} \oplus 0 \oplus -(E^T)^{\tau_4} \oplus 0) \oplus 0_\delta, \\ Z_E = ((E^{-1})^{\tau_1} \oplus 0 \oplus ((E^{-1})^T)^{\tau_2} \oplus 0 \oplus -(E^{-1})^{\tau_3} \oplus 0 \oplus -((E^{-1})^T)^{\tau_4} \oplus 0) \\ \quad \oplus 0_\delta. \end{cases}$$

and

$$C = (0 \oplus I_{(n-2)p_1} \oplus 0 \oplus I_{(n-2)p_2} \oplus 0 \oplus -I_{(n-2)p_3} \oplus 0 \oplus -I_{(n-2)p_4}) \oplus 0_\delta.$$

Furthermore, noting that $f(E^{-1} \oplus O_{n-2})$ is one of $\{1\}$ -inverses of $f(E \oplus O_{n-2})$, we derive

$$(Y_E + \sigma(\text{tr}E))(Z_E + \sigma(\text{tr}E^{-1}))(Y_E + \sigma(\text{tr}E)) = (Y_E + \sigma(\text{tr}E)). \quad (16)$$

The combination of (15) and (16) gives that

$$(Y_E + \sigma(\text{tr}E))C^2 + C^2(Y_E + \sigma(\text{tr}E)) + C(Z_E + \sigma(\text{tr}E^{-1}))C = 0. \quad (17)$$

Replacing E by $2E$ in (17), we have

$$4(Y_E + \sigma(\text{tr}E))C^2 + 4C^2(Y_E + \sigma(\text{tr}E)) + C(Z_E + \sigma(\text{tr}E^{-1}))C = 0. \quad (18)$$

Using (17) and (18), we can obtain that

$$\sigma(\text{tr}E)C^2 + C^2\sigma(\text{tr}E) = 0, \quad (19)$$

and

$$C\sigma(\text{tr}E^{-1})C = 0. \quad (20)$$

Premultiplying C on the both sides of (19), we have $C\text{tr}E)C^2 + C\sigma(\text{tr}E) = 0$. This, together with (20) and the arbitrariness of E , implies that $\sigma(\text{tr}E)C = C\sigma(\text{tr}E) = 0$. If we choose $E = \begin{bmatrix} a & 1 \\ 1 & 0 \end{bmatrix}$, then

$$\sigma(a)C = C\sigma(a) = 0. \quad (21)$$

Let $\sigma(a) = (\sum_{st})$, $s, t \in [1, 9]$. Then, by equation (21), we have $\sigma(a) = (\sum_{st})$.

where $\sum_{2k-1, 2k-1} \in M_{2p_k}(\mathbf{R})$, $\sum_{2k, 2k} = 0$ ($k = 1, 2, 3, 4$), and $\sum_{99} \in M_\delta$.

Again by an argument similar to the Case 1 and Case 2 in the proof of Theorem 3, we obtain that $\sigma(a) = 0 \oplus \Sigma_{99}$. From the arbitrariness of a , we see that (16) simplifies to $\sigma(\text{tr}E) = \sigma(\text{tr}E)\sigma(\text{tr}E^{-1})\sigma(\text{tr}E)$. If we choose E is $\begin{bmatrix} a & 1 \\ 1 & 0 \end{bmatrix}$ and

$\begin{bmatrix} a & 1 \\ 2 & 0 \end{bmatrix}$, respectively, then we can derive that $-\sigma(a)^3 = \sigma(a)$ and $-\frac{1}{2}\sigma(a)^3 = \sigma(a)$, which implies $\sigma(a) = 0$, then $\sigma = 0$.
we complete the proof. \square

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