ON SOME RING CLASS FIELDS BY SHIMURA'S CANONICAL MODELS

SoYoung Choi and Ja Kyung Koo

ABSTRACT. We construct certain ring class fields over an imaginary quadratic field by making use of Shimura's canonical models and extend the result of Chen-Yui ([1] Theorem 3.7.5(2)) to the case where $(a,b,N) \neq N$ or $(a/N,N) \neq 1$ for a positive integer N>1.

1. Introduction

When $\Gamma_0(N)$ is the Hecke subgroup of $SL_2(\mathbb{Z})$ for a positive integer N, Helling showed in [3] that the group $\Gamma_0(N)^*$ generated by $\{\Gamma_0(N), \binom{0}{N} - 1 \}$ has the genus zero exactly for $N=1\sim 21,\ 23\sim 27,\ 29,\ 31,\ 32,\ 35,\ 36,\ 39,\ 41,\ 47,\ 49,\ 50,\ 59,\ 71.$ Moreover, for all such N but 49 and 50, $\Gamma_0(N)^*$ has a fundamental Thompson series T_N^* corresponding to itself ([2] Table 2). Throughout this paper, we denote α to be a Heegner point, that is, α is a root in $\mathfrak H$ of an integral equation $az^2+bz+c=0$ with $b^2-4ac<0,(a,b,c)=1$ and a>0, and K to be an imaginary quadratic field $\mathbb Q(\alpha)$. Chen and Yui showed in [1] Theorem 3.7.5(2) by using the Shimura reciprocity law that when (a,N)=1 for a prime number N, $T_N^*(\alpha)$ generates a ring class field over K of an imaginary quadratic order $\mathcal O'$ of discriminant f^2d_K where f=mN and $b^2-4ac=m^2d_K<0$.

In this paper, over an imaginary quadratic field K we study the class fields generated by the singular values of automorphic functions which give rise to Shimura's canonical models of $\Gamma_0(N)\backslash \mathfrak{H}^*$ or $\Gamma_0(N)^*\backslash \mathfrak{H}^*$ at imaginary quadratic arguments in the complex upper half plane \mathfrak{H} (Theorem 2.4). Here, N is any positive integer and $\mathfrak{H}^*=\mathfrak{H}\cup \mathbb{P}^1(\mathbb{Q})$, and our result is independent of the genus of curve under consideration. As a corollary (Corollary 2.5), we can extend Chen-Yui's result on a ring class field $K(T_N^*(\alpha))$ to the case where $(a,b,N)\neq N$ or $(\frac{a}{N},N)\neq 1$ for a positive integer N>1 by using the theory of complex multiplication, whose proof is different from their argument.

Throughout the article we adopt the following notations:

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- $\Gamma_0(N) = \{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) | c \equiv 0 \mod N \}$
- $\Gamma_0(N)^* = \langle \{\Gamma_0(N), \begin{pmatrix} 0 & -1 \\ N & 0 \end{pmatrix}\} \rangle$
- \mathbb{Z}_p the ring of p-adic integers
- \mathbb{Q}_p the field of *p*-adic numbers
- ullet ${\mathfrak H}$ the complex upper half plane
- $\bullet \zeta_N = e^{2\pi i/N}$
- $i = \sqrt{-1}$
- T_N^* a fundamental Thompson series (that is, a normalized Hauptmodule) for a genus zero group $\Gamma_0(N)^*$
- R^{\times} the group of units of a ring R

2. Class fields by Shimura's canonical models

Let Γ be a Fuchsian group of the first kind. Then $X(\Gamma) = \Gamma \backslash \mathfrak{H}^*$ is a compact Riemann surface. Hence there exists a projective nonsingular algebraic curve V_{Γ} , defined over \mathbb{C} , biregularly isomorphic to $\Gamma \backslash \mathfrak{H}^*$. We specify a Γ -invariant holomorphic map φ_{Γ} of \mathfrak{H}^* to V_{Γ} which gives a biregular isomorphism of $\Gamma \backslash \mathfrak{H}^*$ to V_{Γ} . In that situation, we call $(V_{\Gamma}, \varphi_{\Gamma})$ a model of $\Gamma \backslash \mathfrak{H}^*$. For instance, if the genus of $\Gamma \backslash \mathfrak{H}^*$ is zero, then its function field $K(X(\Gamma))$ is equal to $\mathbb{C}(J')$ for some $J' \in K(X(\Gamma))$ and hence the pair $(\mathbb{P}^1(\mathbb{C}), J')$ gives a model of $\Gamma \backslash \mathfrak{H}^*$ ([4] Lemma 14).

Let $G_{\mathbb{A}}$ be the adelization of an algebraic group $G = GL_2$ defined over \mathbb{Q} .

$$\begin{split} G_p &= GL_2(\mathbb{Q}_p) \ (p: \text{a rational prime}), \\ G_\infty &= GL_2(\mathbb{R}), \\ G_{\infty+} &= \{x \in G_\infty | \det(x) > 0\}, \\ G_{\mathbb{Q}_+} &= \{x \in GL_2(\mathbb{Q}) | \det(x) > 0\}, \\ U &= \prod_p GL_2(\mathbb{Z}_p) \times G_{\infty+}, \\ G_{\mathbb{A}_+} &= UG_{\mathbb{Q}_+}. \end{split}$$

We define the topology of $G_{\mathbb{A}}$ by taking U to be an open subgroup of $G_{\mathbb{A}}$. Let K be an imaginary quadratic field as described in the introduction and ξ_{α} be an embedding of K into $M_2(\mathbb{Q})$. We call ξ_{α} normalized if it is defined by $a\left(\begin{smallmatrix} \alpha \\ 1 \end{smallmatrix}\right) = \xi_{\alpha}(a)\left(\begin{smallmatrix} \alpha \\ 1 \end{smallmatrix}\right)$ for $a \in K$ where α is the fixed point of $\xi_{\alpha}(K^{\times})$ ($\subset G_{\mathbb{Q}_{+}}$) in \mathfrak{H} . Observe that the embedding ξ_{α} defines a continuous homomorphism of $K_{\mathbb{A}}^{\times}$ into $G_{\mathbb{A}_{+}}$, which we denote again by ξ_{α} . Indeed, $\xi_{\alpha} = (\xi_{\alpha,p})$ is defined by $x_{p}\left(\begin{smallmatrix} \alpha \\ 1 \end{smallmatrix}\right) = \xi_{\alpha,p}(x_{p})\left(\begin{smallmatrix} \alpha \\ 1 \end{smallmatrix}\right)$ for $x_{p} \in K \otimes_{\mathbb{Q}} \mathbb{Q}_{p}$ for all prime p. Here $G_{\mathbb{A}_{+}}$ is the group $G_{0}G_{\infty+}$ with G_{0} the non-archimedean part of $G_{\mathbb{A}}$ and $K_{\mathbb{A}}^{\times}$ is the idele group of K. Let \mathcal{Z} be the set of open subgroups S of $G_{\mathbb{A}_{+}}$ containing $\mathbb{Q}^{\times}G_{\infty+}$ such that $S/\mathbb{Q}^{\times}G_{\infty+}$ is compact. For $S \in \mathcal{Z}$, we see that $\det(S)$ is open in $\mathbb{Q}_{\mathbb{A}}^{\times}$. Therefore the subgroup $\mathbb{Q}^{\times} \det(S)$ of $\mathbb{Q}_{\mathbb{A}}^{\times}$ corresponds to a finite abelian extension of \mathbb{Q} , which we write k_{S} . Put $\Gamma_{S} = S \cap G_{\mathbb{Q}_{+}}$ for $S \in \mathcal{Z}$. As is

well known ([6] Proposition 6.27), $\Gamma_S/\mathbb{Q}^{\times}$ is a Fuchsian group of the first kind commensurable with $PSL_2(\mathbb{Z})$. Let

$$U_p^0 = \left\{ \left(\begin{array}{c} a & b \\ c & d \end{array} \right) \in GL_2(\mathbb{Z}_p) | \ c \equiv 0 \mod N\mathbb{Z}_p \right\},$$

$$U^0 = \left\{ x = (x_p) \in U | \ x_p \in U_p^0 \text{ for all finite } p \right\},$$

$$U_*^0 = U^0 \cup U^0 \Phi(N),$$

$$\Phi(N) = (x_p) \in G_{\mathbb{A}^+} \text{ with } x_p = \left(\begin{array}{c} 0 & -1 \\ N & 0 \end{array} \right).$$

Then we have

Lemma 2.1.

mma 2.1. (i) $\mathbb{Q}^{\times}U_{*}^{0}$, $\mathbb{Q}^{\times}U^{0} \in \mathcal{Z}$, (ii) $k_{S} = \mathbb{Q}$ for $S \in {\mathbb{Q}^{\times}U_{*}^{0}}$, $\mathbb{Q}^{\times}U^{0}$ }, (iii) $\Gamma_{S} = \mathbb{Q}^{\times}\Gamma_{0}(N)^{*}$ (respectively, $\mathbb{Q}^{\times}\Gamma_{0}(N)$) if $S = \mathbb{Q}^{\times}U_{*}^{0}$ (respectively,

Proof. It is well known for $S = \mathbb{Q}^{\times}U^0$. Since $\mathbb{Q}^{\times}U^0_* = \mathbb{Q}^{\times}U^0 \cup \mathbb{Q}^{\times}U^0 \Phi(N)$ and $\mathbb{Q}^{\times}U^{0}$ is an open subgroup in G_{A+} , $\mathbb{Q}^{\times}U^{0}_{*}$ is also an open subgroup in G_{A+} . Observing the fact that $\mathbb{Q}^{\times}U^{0}/\mathbb{Q}^{\times}G_{\infty+}$ is compact, we obtain $\mathbb{Q}^{\times}U^{0}_{*} \in \mathcal{Z}$. As for (ii), we know that \mathbb{Q} corresponds to the norm group $\mathbb{Q}^{\times}\mathbb{Q}_{\mathbb{A}}^{\times\infty}$ with $\mathbb{Q}_{\mathbb{A}}^{\times\infty} = \mathbb{R}^{\times} \prod_{p} \mathbb{Z}_{p}^{\times}$ and $\det(U_{*}^{0}) = N \det(U^{0})$. But $\det(U^{0}) = \mathbb{Q}_{\mathbb{A}}^{\times\infty}$, and hence by the class field theory $k_S = \mathbb{Q}$. Indeed, $\det(U^0)$ is contained in $\mathbb{Q}_{\mathbb{A}}^{\times \infty}$. Conversely, for any element $(\alpha_p) \in \mathbb{Q}_{\mathbb{A}}^{\times \infty}$, take $y_p = \begin{pmatrix} 1 & 0 \\ 0 & \alpha_p \end{pmatrix}$; then $(y_p) \in U_0$ and $\det(y_p) = (\det y_p) = (\alpha_p)$. Lastly, we readily get that $\Gamma_S = \mathbb{Q}^{\times} U_*^0 \cap G_{\mathbb{Q}^+} = (\operatorname{det} y_p) = (\operatorname{det} y_p)$ $\mathbb{Q}^{\times}(U_*^0 \cap G_{\mathbb{Q}+}) = \mathbb{Q}^{\times}\Gamma_0(N)^*.$

For two complex numbers ω_1 and ω_2 such that $\omega_1/\omega_2 \in \mathfrak{H}$, we have a lattice $L = \mathbb{Z}\omega_1 + \mathbb{Z}\omega_2$ in \mathbb{C} . We then define a Fricke function

$$f_a(z) = \frac{g_2(\omega_1, \omega_2)g_3(\omega_1, \omega_2)}{\Delta(\omega_1, \omega_2)} \mathfrak{p}(a \begin{pmatrix} \omega_1 \\ \omega_2 \end{pmatrix}; \omega_1, \omega_2) \qquad (a \in \mathbb{Q}^2 \setminus \mathbb{Z}^2),$$

where

$$\begin{split} \mathfrak{p}(u;\omega_1,\omega_2) &= u^{-2} + \sum_{w \in L \setminus 0} [(u-w)^{-2} - w^{-2}], \\ g_2(\omega_1,\omega_2) &= 60 \sum_{w \in L \setminus 0} w^{-4}, \\ g_3(\omega_1,\omega_2) &= 140 \sum_{w \in L \setminus 0} w^{-6} \text{ and} \\ \Delta(\omega_1,\omega_2) &= g_2(\omega_1,\omega_2)^3 - 27g_3(\omega_1,\omega_2)^2. \end{split}$$

Now let us put, for a positive integer N,

$$\mathfrak{F}_N = \mathbb{Q}(j, f_a | a \in N^{-1}\mathbb{Z}^2, \notin \mathbb{Z}^2)$$
 and $\mathfrak{F} = \bigcup_{N=1}^{\infty} \mathfrak{F}_N$,

where j is the elliptic modular function. Then $\mathfrak F$ is a Galois extension of $\mathfrak F_1$ and $\mathbb{C}\mathfrak{F}$ is the field of modular functions of all levels.

Proposition 2.2. For any $u \in U$, one can define an element $\tau(u)$ of $Gal(\mathfrak{F}/\mathfrak{F}_1)$ by $f_a^{\tau(u)} = f_{au}$ for all $a \in (\mathbb{Q} \setminus \mathbb{Z})^2$. Moreover, $\tau(u)$ has the following properties:

- (1) The sequence $1 \to \{\pm 1\} \cdot G_{\infty+} \to U \to \operatorname{Gal}(\mathfrak{F}/\mathfrak{F}_1) \to 1$ is exact, (2) $\tau(u) = [\det(u)^{-1}, \mathbb{Q}]$ on \mathbb{Q}_{ab} ,
- (3) $h^{\tau(\gamma)} = h \circ \gamma$ for all $h \in \mathfrak{F}$ and $\gamma \in SL_2(\mathbb{Z})$.

Proof. See [6, Proposition 6.21].

Here, $[, \mathbb{Q}]$ is the reciprocity map and \mathbb{Q}_{ab} is the maximal abelian extension

We shall now define a homomorphism $\tau:\ G_{\mathbb{A}_+}\longrightarrow \operatorname{Aut}(\mathfrak{F})$ as follows. Since $G_{\mathbb{A}_+} = UG_{\mathbb{Q}_+}$ for $\beta \in G_{\mathbb{Q}_+}$, we define $\tau(\beta)$ by

$$h^{\tau(\beta)} = h \circ \beta$$
 for all $h \in \mathfrak{F}$.

And, for $x = u\beta \in G_{\mathbb{A}_+}$ with $u \in U$ and $\beta \in G_{\mathbb{Q}_+}$ we put

$$\tau(x) = \tau(u)\tau(\beta)$$

so that $j^{\tau(x)} = j \circ \beta$ and $f_a^{\tau(x)} = f_{au} \circ \beta$. Indeed, the map τ is defined independently of the choice of u and β by virtue of Proposition 2.2.

Next, for $S = \mathbb{Q}^{\times} U^0_*$ or $\mathbb{Q}^{\times} U^0$ we can find a model $(V_{\Gamma_S}, \varphi_{\Gamma_S})$ of the curve $\Gamma_S \setminus \mathfrak{H}^*$, which is characterized by the following properties:

- (i) V_S is defined over $k_S = \mathbb{Q}$ (Lemma 2.1),
- (ii) $\mathfrak{F}_S = \{ f \circ \varphi_{\Gamma_S} \mid f \in \mathbb{Q}(V_{\Gamma_S}) \},$

where $\mathfrak{F}_S = \{h \in \mathfrak{F} | h^{\tau(x)} = h \text{ for all } x \in S\}$ and $\mathbb{Q}(V_{\Gamma_S})$ denotes the field of functions on V_{Γ_S} rational over \mathbb{Q} .

Example 2.3. Let T_N^* be a fundamental Thompson series for a genus zero group $\Gamma_0(N)^*$ and $S = \mathbb{Q}^{\times} U_*^0$. Then $\mathfrak{F}_S = \mathbb{Q}(T_N^*)$ because \mathbb{C} is linearly disjoint with \mathfrak{F}_S over $k_S(=\mathbb{Q})$.

Theorem 2.4. Let α be a root in \mathfrak{H} of a primitive integral equation $az^2 +$ bz + c = 0 with a > 0 such that $b^2 - 4ac = m^2 d_K(< 0)$. Put $K = \mathbb{Q}(\alpha)$ and $\mathcal{O}(=\mathbb{Z}[a\alpha])$ be an order in K of discriminant m^2d_K , where d_K is the $discriminant \ of \ K$.

- (1) If $N \geq 1$, $S = \mathbb{Q}^{\times}U^0$ and $(V_{\Gamma_S}, \varphi_{\Gamma_S})$ is Shimura's canonical model as in the above, then $\varphi_{\Gamma_{\alpha}}(\alpha)$ generates the ring class field of an order \mathcal{O}' of discriminant f^2d_K where the conductor f of \mathcal{O}' is mN/(a,N).
- (2) Assume that $(a,b,N) \neq N$ or $(\frac{a}{N},N) \neq 1$ for $N \geq 2$. If $S = \mathbb{Q}^{\times} U_*^0$ and $(V_{\Gamma_S}, \varphi_{\Gamma_S})$ is a model, then $\varphi_{\Gamma_S}(\alpha)$ generates the ring class field of an order \mathcal{O}' of discriminant f^2d_K where its conductor f equals mN/(a,N).

Proof. Let L be a lattice = $\mathbb{Z}N\alpha + \mathbb{Z}$. Then $\mathcal{O}' = \mathbb{Z} + \frac{mN}{(a,N)}\mathcal{O}_K$ is an order of L in K ([5] Ch.8). Let us consider the commutative diagram

$$\mathbb{Q}^2 \xrightarrow{\iota_{\alpha}} K$$

$$\xi_{\alpha}(\mu) \downarrow \qquad \qquad \downarrow \mu$$

$$\mathbb{Q}^2 \xrightarrow{\iota_{\alpha}} K$$

where $\iota_{\alpha}(x,y) = x\alpha + y$ and $\iota_{\alpha}((x,y)\xi_{\alpha}(\mu)) = \mu\iota_{\alpha}(x,y)$.

We may consider the mapping ι_{α} (respectively, $\xi_{\alpha}: K^{\times} \to GL_2(\mathbb{Q})$) as an isomorphism of affine varieties (respectively, a homomorphism of algebraic groups) over \mathbb{Q} . Thus, taking the $\mathbb{Q}_{\mathbb{A}}$ -valued points, we have the following commutative diagram

$$\mathbb{Q}^{2}_{\mathbb{A}} \xrightarrow{\iota_{\alpha}} K_{\mathbb{A}}$$

$$\xi_{\alpha}(s) \downarrow \qquad \qquad \downarrow s$$

$$\mathbb{Q}^{2}_{\mathbb{A}} \xrightarrow{\iota_{\alpha}} K_{\mathbb{A}}$$

for any idele $s \in K_{\mathbb{A}}^{\times}$.

Let $s = s_{\infty} s_0 \in K_{\mathbb{A}}^{\times}$ with infinite part s_{∞} and finite part $s_0 = (s_p)_p$ of s. We then have the following statements:

$$\xi_{\alpha}(s) \in U$$
 if and only if $\xi_{\alpha,p}(s_p) \in GL_2(\mathbb{Z}_p)$ for all finite p .
if and only if $\xi_{\alpha}(s_0) \in \prod_p GL_2(\mathbb{Z}_p)$.
if and only if $\xi_{\alpha}(s_0)$ induces an automorphism of $(\prod \mathbb{Z}_p)^2$.

if and only if the multiplication by s_0 induces an automorphism of $(\mathbb{Z}\alpha + \mathbb{Z}) \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$

(because
$$\iota_{\alpha}[(\prod_{p} \mathbb{Z}_{p})^{2}] = (\mathbb{Z}\alpha + \mathbb{Z}) \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}).$$

if and only if $s_0 \in (\mathcal{O}_1 \otimes_{\mathbb{Z}} \hat{\mathbb{Z}})^{\times}$ where \mathcal{O}_1 is the order of $\mathbb{Z}\alpha + \mathbb{Z}$ in K.

Similarly, when $\xi_{\alpha}(s) \in U$, we have that

 $\xi_{\alpha}(s) \in U^{0}$ if and only if $\xi_{\alpha}(s_{0})$ induces an automorphism of

$$(N\prod_p \mathbb{Z}_p) \oplus \prod_p \mathbb{Z}_p$$

 $(N\prod_p \mathbb{Z}_p) \oplus \prod_p \mathbb{Z}_p.$ if and only if the multiplication by s_0 induces an automorphism of $L \otimes_{\mathbb{Z}} \hat{\mathbb{Z}}$.

if and only if $s_0 \in (\mathcal{O}' \otimes_{\mathbb{Z}} \hat{\mathbb{Z}})^{\times}$.

Then the assertion (1) follows from this, Lemma 2.1 and [6, Proposition 6.33] that $K \cdot k_S((\varphi_{\Gamma_S}(\alpha))) = K(\varphi_{\Gamma_S}(\alpha))$ is the ring class field of \mathcal{O}' .

In order to verify (2), we have only to show that $\xi_{\alpha}(K_{\mathbb{A}}^{\times}) \cap U^{0}\Phi(N)$ is an empty set under our assumptions. For $x_p \in K_p^{\times}$, let

$$\xi_{\alpha,p}(x_p) = \left(\begin{smallmatrix} a_p & b_p \\ c_p & d_p \end{smallmatrix} \right)$$

with $a_p, b_p, c_p, d_p \in \mathbb{Q}_p$.

Then

$$\left(\begin{array}{c} a_p & b_p \\ c_p & d_p \end{array}\right) \left(\begin{array}{c} \alpha \\ 1 \end{array}\right) = x_p \left(\begin{array}{c} \alpha \\ 1 \end{array}\right)$$

implies

$$\xi_{\alpha,p}(x_p) = \begin{pmatrix} d_p - \frac{b}{a}c_p & \frac{-c}{a}c_p \\ c_p & d_p \end{pmatrix}.$$

Now suppose that $\xi_{\alpha}((x_p)) = (\xi_{\alpha,p}(x_p))_p \in U^0\Phi(N)$ for some $(x_p)_p \in K_{\mathbb{A}}^{\times}$. For each finite prime p, we can write

$$\begin{pmatrix} d_p - \frac{b}{a}c_p & \frac{-c}{a}c_p \\ c_p & d_p \end{pmatrix} = \begin{pmatrix} N\beta_p & -\alpha_p \\ Nw_p & -\gamma_p \end{pmatrix}$$

with some $\begin{pmatrix} \alpha_p & \beta_p \\ \gamma_p & w_p \end{pmatrix} \in GL_2(\mathbb{Z}_p)$ satisfying $\gamma_p \equiv 0 \mod N\mathbb{Z}_p$. Then $\alpha_p w_p - \gamma_p \beta_p \in \mathbb{Z}_p^{\times}$ says that $\alpha_p, \ w_p \in \mathbb{Z}_p^{\times}$ for all prime p|N. Notice that $c_p = Nw_p$ and $d_p = -\gamma_p \in \mathbb{Z}_p$ for all prime p|N. Since $\frac{c}{a}c_p = \frac{c}{a}Nw_p = \alpha_p \in \mathbb{Z}_p^{\times}$ for all prime p|N, (a,N)=N. Moreover, $\frac{b}{a}w_p\in\mathbb{Z}_p$ and hence (b,N)=Nbecause $d_p - \frac{b}{a}c_p = -\gamma_p - \frac{b}{a}Nw_p = N\beta_p$ and $N|\gamma_P$ for all prime p|N. If $(\frac{a}{N}, N) \neq 1$, then we can take a prime factor p of $(\frac{a}{N}, N)$. Our factor p divides c because $\frac{c}{a}Nw_p = \alpha_p \in \mathbb{Z}_p^{\times}$ and $\frac{c}{a}N \in \mathbb{Z}_p^{\times}$. Therefore, p divides (a,b,c), which is a contradiction.

Corollary 2.5. Notations and assumptions being the same as in Theorem 2.4, we further suppose that $(a,b,N) \neq N$ or $(\frac{a}{N},N) \neq 1$ for $N \geq 2$. Then $T_N^*(\alpha)$ generates the ring class field of an order \mathcal{O}' of discriminant f^2d_K whose conductor f is mN/(a, N).

Proof. It is immediate from Lemma 2.1 and Theorem 2.4(2).

Note that this corollary can also be proved by Chen-Yui's method.

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SoYoung Choi Department of Mathematics Education Dongguk University Gyeongju 780-714, Korea *E-mail address*: young@dongguk.ac.kr

JA KYUNG KOO
KOREA ADVANCED INSTITUTE OF SCIENCE AND TECHNOLOGY
DEPARTMENT OF MATHEMATICAL SCIENCES
TAEJON 305-701, KOREA
E-mail address: jkkoo@math.kaist.ac.kr