

PF-rings of Generalized Power Series

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ABSTRACT. In this paper, we show that if R is a commutative ring with identity and (S, \leq) is a strictly totally ordered monoid, then the ring $[[R^{S, \leq}]]$ of generalized power series is a PF-ring if and only if for any two S -indexed subsets A and B of R such that $B \subseteq \text{ann}_R(A)$, there exists $c \in \text{ann}_R(A)$ such that $bc = b$ for all $b \in B$, and that for a Noetherian ring R , $[[R^{S, \leq}]]$ is a PP ring if and only if R is a PP ring.

1. Introduction and preliminaries

Let R be a commutative ring with identity. Then R is called a *PF-ring* (resp., *PP-ring*) if every principal ideal of R is a flat (resp., projective) R -module. It is well-known that if R is Noetherian, then these two notions are equal (cf., [16, Corollary 4.3]). It is proved in [1] that a ring R is a PF-ring if and only if the annihilator of each element $r \in R$, $\text{ann}_R(r)$, is a pure ideal; that is, for all $b \in \text{ann}_R(r)$ there exists $c \in \text{ann}_R(r)$ such that $bc = b$. It may be worth reminding the reader that for a commutative ring R , R is a PF-ring if and only if R is a locally integral domain (i.e., every localization R_P is an integral domain for any prime (resp., maximal) ideal P of R) ([3], [11]). It is also proved in [2] that the power series ring $R[[X]]$ is a PF-ring if and only if for any two countable subsets $A = \{a_0, a_1, \dots\}$ and $B = \{b_0, b_1, \dots\}$ of R such that $A \subseteq \text{ann}_R(B)$, there exists $r \in \text{ann}_R(B)$ such that $ar = a$ for all $a \in A$. In [7, Theorem 3, Theorem 4], J.-H. Kim proved that for a Noetherian ring R , $R[[X]]$ is a PF (resp., PP) ring if and only if R is a PF (resp., PP) ring. In recent years, Many researchers (for example, P. Ribenboim ([4], [12], [13], [14], [15]), Z. Liu ([8], [10], [9]), and the first author ([5], [6])) have carried out an extensive study of rings of generalized power series. In particular, Liu and

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Ahsan proved in [10] that the ring $[[R^{S, \leq}]]$ of generalized power series is a PP-ring if and only if R is a PP-ring and every S -indexed subset C of $B(R)$ (the set of all idempotents of R) has a least upper bound in $B(R)$.

In this paper, we will show that if R is a commutative ring with identity and (S, \leq) is a strictly totally ordered monoid, then the ring $[[R^{S, \leq}]]$ of generalized power series is a PF-ring if and only if for any two S -indexed subsets A and B of R such that $B \subseteq \text{ann}_R(A)$, there exists $c \in \text{ann}_R(A)$ such that $bc = b$ for all $b \in B$, and that for a Noetherian ring R , $[[R^{S, \leq}]]$ is a PP ring if and only if R is a PP ring.

Let (S, \leq) be an ordered set. Recall that (S, \leq) is *artinian* if every strictly decreasing sequence of elements of S is finite, and that (S, \leq) is *narrow* if every subset of pairwise order-incomparable elements of S is finite. It is easy to see that (S, \leq) is artinian if and only if every non-empty subset of S has a minimal element. Moreover, if \leq is a total order, then (S, \leq) is artinian if and only if it is well-ordered. Recall that an ordered monoid (S, \leq) is *strictly ordered* if $s, s' \in S$ with $s < s'$, then $s + t < s' + t$ for any $t \in S$. For example, if S is cancellative or the order is trivial, then (S, \leq) is a strictly ordered monoid.

The following definition is due to P. Ribenboim [4]: Let (S, \leq) be a strictly ordered monoid and let R be a commutative ring with 1. Let $[[R^{S, \leq}]]$ be the set of all functions $f : S \rightarrow R$ such that $\text{Supp}(f) = \{s \in S \mid f(s) \neq 0\}$ is artinian and narrow. We call $\{f(s) \mid s \in \text{Supp}(f)\}$ the set of all coefficients of f . It is clear that R is an additive abelian group with pointwise addition. For every $s \in S$ and $f_1, \dots, f_n \in R$, let $X_s(f_1, \dots, f_n) = \{(u_1, \dots, u_n) \in S^n \mid s = u_1 + \dots + u_n, u_i \in \text{Supp}(f_i) \text{ for each } i\}$. It follows from [4, (e) p. 368] that $X_s(f_1, \dots, f_n)$ is finite. This fact allows one to define the operation of convolution $*$ as follows:

$$(f * h)(s) = \sum_{(u,v) \in X_s(f,h)} f(u)h(v).$$

With this operation, and pointwise addition, $[[R^{S, \leq}]]$ becomes a commutative ring with identity element e , where

$$e(s) = \begin{cases} 1 & \text{if } s = 0 \\ 0 & \text{if } 0 \neq s \in S. \end{cases}$$

We call $[[R^{S, \leq}]]$ the *ring of generalized power series*. It should be noted that the definition of $[[R^{S, \leq}]]$ depends on the order \leq , for example, see [4, p. 371]. Following [12, 2.5], R is an integral domain if and only if D is an integral domain, and S is torsion-free and cancellative. It follows from [4, p. 368] that R is canonically embedded as a subring of $[[R^{S, \leq}]]$, and that S is canonically embedded as a submonoid of $([[R^{S, \leq}]] \setminus \{0\}, *)$. Numerous examples of rings of generalized power series are given in [12, 13].

In [4], [12], [13], [14], [15], there are many results on ordered monoids and the rings of generalized power series. The following result is well-known and will be frequently used in the sequel.

Lemma 1.1 ([14]).

- (1) If S has a compatible strict total order \leq , then S is torsion-free and cancellative.
- (2) Let S be a torsion-free and cancellative monoid. If \leq is any compatible order on S , then there exists a compatible total order \leq' on S , which is finer than \leq (i.e., if $s, t \in S$ such that $s \leq t$, then $s \leq' t$).

General references for any undefined terminology or notation are [4], [12], [13], [14], [15].

2. Main results

Recall that a ring R is called a *PF-ring* if every principal ideal of R is a flat R -module. It is proved in [1] that a ring R is a PF-ring if and only if the annihilator of each element $r \in R$, $\text{ann}_R(r)$, is a pure ideal; that is, for all $b \in \text{ann}_R(r)$ there exists $c \in \text{ann}_R(r)$ such that $bc = b$.

Lemma 2.1 ([12, 3.5]). *Let S be a torsion-free and cancellative monoid and \leq a strict order on S . Then $[[R^{S, \leq}]]$ is reduced if and only if R is reduced.*

Lemma 2.2 ([2, Lemma 1]). *Any PF-ring is reduced.*

Lemma 2.3 ([9, Corollary 3.3]). *Let S be a torsion-free and cancellative monoid, \leq a strict order on S , and R a reduced ring. If $f_1, f_2, \dots, f_n \in [[R^{S, \leq}]]$ are such that $f_1 f_2 \cdots f_n = 0$, then $f_1(s_1) f_2(s_2) \cdots f_n(s_n) = 0$ for all $s_1, s_2, \dots, s_n \in S$.*

Let A be a subset of R . As in [10], we will say that A is *S -indexed* if there exists an artinian and narrow subset I of S such that A is indexed by I .

Theorem 2.4. *Let R be a commutative ring with identity and (S, \leq) a strictly totally ordered monoid. Then $[[R^{S, \leq}]]$ is a PF-ring if and only if for any two S -indexed subsets A and B of R such that $B \subseteq \text{ann}_R(A)$, there exists $c \in \text{ann}_R(A)$ such that $bc = b$ for all $b \in B$.*

Proof. Note that S is torsion-free and cancellative by Lemma 1.1, since (S, \leq) is a strictly totally ordered monoid.

(\Leftarrow): Let $f, g \in [[R^{S, \leq}]]$ and let $g \in \text{ann}_{[[R^{S, \leq}]]}(f)$. Then $gf = 0$. Note that, in particular, R is a PF-ring, since for all $b \in \text{ann}_R(a)$, there exists $c \in \text{ann}_R(a)$ such that $bc = b$. So by Lemma 2.2, R is reduced. Thus by Lemma 2.3, $g(t)f(s) = 0$ for all $s, t \in S$. Let $A = \{f(s) \mid s \in \text{Supp}(f)\}$ and $B = \{g(t) \mid t \in \text{Supp}(g)\}$. Then A and B are S -indexed and $B \subseteq \text{ann}_R(A)$. So by hypothesis, there exists $c \in \text{ann}_R(A)$ such that $g(t)c = g(t)$ for all $g(t) \in B$, and so $g(t)c = g(t)$ for all $t \in S$. Hence $gc = g$ and $c \in \text{ann}_{[[R^{S, \leq}]]}(f)$. Therefore $[[R^{S, \leq}]]$ is a PF-ring.

(\Rightarrow): Assume that $[[R^{S, \leq}]]$ is a PF-ring. Let $A = \{a_s \mid s \in I\}$ and $B = \{b_t \mid t \in J\}$ be two S -indexed subsets of R such that $B \subseteq \text{ann}_R(A)$, where I and J are

artinian and narrow subsets of S . Define $f : S \rightarrow R$ ($g : S \rightarrow R$ respectively) via

$$f(s) = \begin{cases} a_s & \text{if } s \in I \\ 0 & \text{if } s \notin I, \end{cases} \quad \text{and} \quad g(t) = \begin{cases} b_t & \text{if } t \in J \\ 0 & \text{if } t \notin J. \end{cases}$$

Then $\text{Supp}(f) = I$ and $\text{Supp}(g) = J$ are artinian and narrow, and so $f, g \in [[R^{S, \leq}]]$. It is easy to see that $gf = 0$. Therefore $g \in \text{ann}_{[[R^{S, \leq}]]}(f)$. Thus by assumption there exists $h \in \text{ann}_{[[R^{S, \leq}]]}(f)$ such that $gh = g$. Therefore, we have $hf = 0$ and $g(h - e) = 0$. Since, by Lemma 2.2 and Lemma 2.1, R is reduced, $h(u)f(s) = 0$ for all $u, s \in S$ and $g(t)(h(0) - 1) = 0$ for all $t \in S$. So $h(0) \in \text{ann}_R(A)$ and $bh(0) = b$ for all $b \in B$. Therefore the above condition holds. \square

The following corollaries will give us other examples of PF-rings.

Corollary 2.5. *Let $\mathbb{Q}^+ = \{a \in \mathbb{Q} \mid a \geq 0\}$ and $\mathbb{R}^+ = \{a \in \mathbb{R} \mid a \geq 0\}$. Then the ring $[[\mathbb{Z}^{\mathbb{N}, \leq}]]$, $[[\mathbb{Z}^{\mathbb{R}^+, \leq}]]$, $[[\mathbb{Z}^{\mathbb{Z}, \leq}]]$, $[[\mathbb{Z}^{\mathbb{N}, \leq}]]$, $[[\mathbb{Z}^{\mathbb{Q}, \leq}]]$, and $[[\mathbb{Z}^{\mathbb{R}, \leq}]]$ are PF-rings, where \leq is the usual order.*

Corollary 2.6. *Let $(S_1, \leq_1), \dots, (S_m, \leq_m)$ be strictly totally ordered monoids. Denote by $(\text{lex } \leq_i)$ and $(\text{rev lex } \leq_i)$ the lexicographic order and the reverse lexicographic order, respectively, on the monoid $S_1 \times \dots \times S_m$. If R is a commutative ring satisfying property: for any two S -indexed subsets A and B of R such that $B \subseteq \text{ann}_R(A)$, there exists $c \in \text{ann}_R(A)$ such that $bc = b$ for all $b \in B$. Then $[[R^{S_1 \times \dots \times S_m, (\text{lex } \leq_i)}]]$ and $[[R^{S_1 \times \dots \times S_m, (\text{rev lex } \leq_i)}]]$ are PF-rings.*

Let R be a commutative ring, and consider the multiplicative monoid $\mathbb{N}_{\geq 1}$, endowed with the usual order \leq . Then $[[R^{\mathbb{N}_{\geq 1}, \leq}]]$ is the ring of arithmetical functions with values in R , endowed with the Dirichlet convolution: $fg(n) = \sum_{d|n} f(d)g\left(\frac{n}{d}\right)$, for each $n \geq 1$.

Corollary 2.7. *Let R be a commutative ring. Then $[[R^{\mathbb{N}_{\geq 1}, \leq}]]$ is a PF-ring if and only if for any two S -indexed subsets A and B of R such that $B \subseteq \text{ann}_R(A)$, there exists $c \in \text{ann}_R(A)$ such that $bc = b$ for all $b \in B$.*

Corollary 2.8. *Let R be a commutative ring and (S, \leq) a strictly ordered monoid with S being cancellative and torsion-free. If for any two S -indexed subsets A and B of R such that $B \subseteq \text{ann}_R(A)$, there exists $c \in \text{ann}_R(A)$ such that $bc = b$ for all $b \in B$ and (S, \leq) is narrow, then $[[R^{S, \leq}]]$ is a PF-ring.*

Recall that R called a *generalized PF-ring* (for short, *GPF-ring*) if, given any $a \in R$, then the principal ideal Ra^n is flat as an R -module for some $n \geq 1$. It is proved in [3] that a commutative ring R is a GPF-ring if and only if, given any $a \in R$, either a is a regular element in every prime localization R_P or for some $n \geq 1$, $a^n = 0$ in every R_P . Also note in [3] that a commutative ring R is a PF-ring if and only if R is a reduced GPF-ring.

Recall that a ring R is called a *PP-ring* if every principal ideal of R is a projective R -module. It is well-known that a ring R is a PP-ring if and only if the annihilator,

$\text{ann}_R(a)$, is generated by an idempotent for every $a \in R$ (cf., [1]). It is proved in [10, Theorem 2.3] that the ring $[[R^{S, \leq}]]$ of generalized power series is a PP-ring if and only if R is a PP-ring and every S -indexed subset C of $B(R)$ has a least upper bound in $B(R)$, where $B(R)$ is the set of all idempotents of R .

For each $f \in [[R^{S, \leq}]]$, let $C(f)$ denote the ideal of R generated by the coefficients of f : $C(f) = (\{f(s) | s \in S\})$. Let $r \in R$. Define a mapping $c_r \in [[R^{S, \leq}]]$ as follows:

$$c_r(s) = \begin{cases} r & \text{if } s = 0, \\ 0 & \text{if } 0 \neq s \in S. \end{cases}$$

Lemma 2.9 ([10, Lemma 2.2]). Let R be a reduced commutative ring and S a cancellative and torsion-free monoid. If $g^2 = g \in [[R^{S, \leq}]]$, then there exists an idempotent $e \in R$ such that $g = c_e$.

Theorem 2.10. Let R be a Noetherian ring and let (S, \leq) be a strictly totally ordered monoid. Then $[[R^{S, \leq}]]$ is a PP-ring if and only if R is a PP-ring.

Proof. Suppose that $[[R^{S, \leq}]]$ is a PP-ring. Let $a \in R$. Then $\text{ann}_{[[R^{S, \leq}]]}(a) = g[[R^{S, \leq}]]$ for some $g \in [[R^{S, \leq}]]$ such that $g^2 = g$. By Lemma 2.9, there exists an idempotent $e \in R$ such that $g = c_e$. We claim that $\text{ann}_R(a) = eR$. If $b \in \text{ann}_R(a)$, then $ba = 0$. Then $b \in \text{ann}_{[[R^{S, \leq}]]}(a) = c_e[[R^{S, \leq}]]$, and so we have $b = c_e h$ for some $h \in [[R^{S, \leq}]]$. Thus $b = eh(0)$. Hence $\text{ann}_R(a) \subseteq eR$. For the opposite inclusion, suppose that $d \in eR$. Then $d = er$ for some $r \in R$. Since $e \in \text{ann}_R(a)$, we have $d \in \text{ann}_R(a)$. Thus $\text{ann}_R(a) \supseteq eR$, and so $\text{ann}_R(a) = eR$. Therefore R is a PP-ring.

Conversely, assume that R is a PP-ring. Let $h \in [[R^{S, \leq}]]$ and $f \in \text{ann}_{[[R^{S, \leq}]]}(h)$. Since R is reduced, $f(s)h(t) = 0$ for all $s, t \in S$. Since R is Noetherian, $C(h)$ is finitely generated, say $c(h) = (h(t_0), h(t_1), \dots, h(t_n))$. Let $N = \text{ann}_R(h(t_0), h(t_1), \dots, h(t_n))$. Then $f(s) \in N$ for each $s \in S$. Therefore, $f \in [[N^{S, \leq}]]$ and $\text{ann}_{[[R^{S, \leq}]]}(h) \subseteq [[N^{S, \leq}]]$. If $g \in [[N^{S, \leq}]]$, then $C(g) \subseteq N = \text{ann}_R(C(h))$. Therefore, $g \in \text{ann}_{[[R^{S, \leq}]]}(h)$. Hence $\text{ann}_{[[R^{S, \leq}]]}(h) = [[N^{S, \leq}]]$ and $N = \bigcap_{i=0}^n \text{ann}_R(h(t_i))$. Since R is a PP-ring, $\text{ann}_R(h(t_i)) = e_i R$ for each $i = 0, 1, \dots, n$, where e_i is an idempotent element of R . Then $N = \bigcap_{i=0}^n e_i R = (e_1 e_2 \cdots e_n) R = eR$, where e is an idempotent element of R . Therefore $\text{ann}_{[[R^{S, \leq}]]}(h) = e[[R^{S, \leq}]]$. Hence $[[R^{S, \leq}]]$ is a PP-ring. \square

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