

## The Action of the Handlebody Group on the First Homology Group of the Surface

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Dedicated to Professor Takao Matumoto on his sixtieth birthday

ABSTRACT. The action of mapping class groups of a handlebody on the first homology group is investigated. A homological analogy of mapping class groups of a handlebody is defined and its system of generators is given.

### 1. Introduction

Let  $\Sigma_g$  be a closed orientable surface of genus  $g$ , bounding a 3-dimensional handlebody  $H_g$ . Griffiths [1] showed that a homeomorphism of  $\Sigma_g$  extends to a homeomorphism of  $H_g$  exactly when its induced automorphism on  $\pi_1(\Sigma_g)$  preserves the normal closure of the set of boundary circles of a standard collection of meridian disks for  $H_g$ . In this case, its induced automorphism on  $H_1(\Sigma_g)$  is a symplectic block matrix of the form  $\begin{pmatrix} A & B \\ 0 & D \end{pmatrix}$ , with respect to a standard basis of  $H_1(\Sigma_g)$  whose first  $g$  elements are the boundary circles of the meridian disks. Our first main result is that every such matrix is induced by a homeomorphism that extends to  $H_g$ . We also consider the mapping classes of  $\Sigma_g$  whose induced automorphisms preserve the subgroup of  $H_1(\Sigma_g)$  generated by these boundary circles. These might be called *homologically extendible* homeomorphisms. Our second main result gives an efficient generating set for the group of isotopy classes of homologically extendible homeomorphisms (which we call *homological handlebody group*), when  $g \geq 3$ .

### 2. Preliminaries and results

A 3-dimensional handlebody  $H_g$  is an orientable 3-manifold constructed from a 3-ball by attaching  $g$  1-handles. The boundary of  $H_g$  is an orientable closed surface

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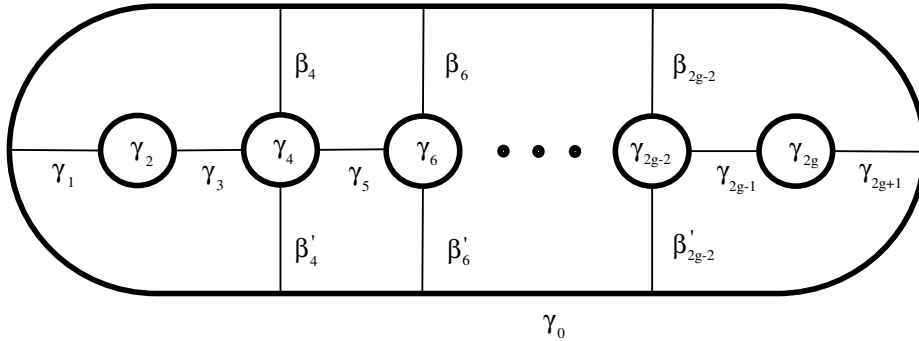


Figure 1:

$\Sigma_g$  of genus  $g$ . Let  $\mathcal{M}_g$  be the mapping class group of  $\Sigma_g$  and  $\mathcal{H}_g$  be the mapping class group of  $H_g$ , for short, we call this group the *handlebody group*. For elements  $a, b$  and  $c$  of a group, we write  $\bar{c} = c^{-1}$ , and  $a * b = ab\bar{a}$ .

Let  $P_g$  be a planar surface constructed from a 2-disk by removing  $g$  copies of disjoint 2-disks. As indicated in Figure 1, the boundary components of  $P_g$  are denoted by  $\gamma_0, \gamma_2, \dots, \gamma_{2g}$ , and some properly embedded arcs of  $P_g$  by  $\gamma_1, \gamma_3, \dots, \gamma_{2g+1}, \beta_4, \dots, \beta_{2g-2}$  and  $\beta'_4, \dots, \beta'_{2g-2}$ . The 3-manifold  $P_g \times [-1, 1]$  is homeomorphic to  $H_g$ . On  $\partial(P_g \times [-1, 1]) = \Sigma_g$ , we define  $c_{2i-1} = \partial(\gamma_{2i-1} \times [-1, 1])$  ( $1 \leq i \leq g + 1$ ),  $b_{2j} = \partial(\beta_{2j} \times [-1, 1])$ ,  $b'_{2j} = \partial(\beta'_{2j} \times [-1, 1])$  ( $2 \leq j \leq g - 1$ ), and  $c_{2k} = \gamma_{2k} \times \{0\}$  ( $1 \leq k \leq g$ ). In Figures 2 and 3, these circles are illustrated and oriented.

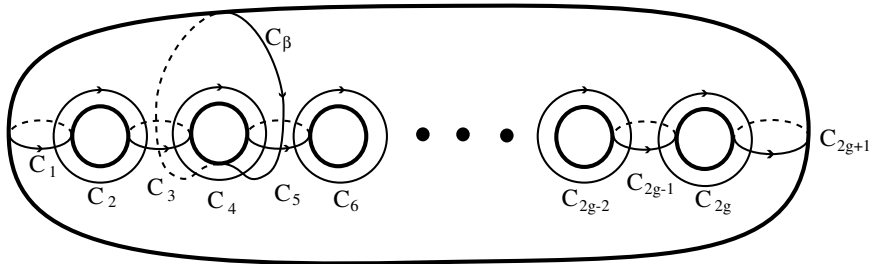


Figure 2:

For a simple closed curve  $a$  on  $\Sigma_g$ , we define the Dehn twist  $T_a$  about  $a$  as indicated in Figure 4. For short, we write  $C_i$  for  $T_{c_i}$ , and  $B_{2i}$  for  $T_{b_{2i}}$ . As elements of  $H_1(\Sigma_g, \mathbb{Z})$ , we take

$$\begin{aligned} x_1 &= -c_1, & y_1 &= -c_2 \\ x_i &= b_{2i}, & y_i &= -c_{2i}, \text{ where } 2 \leq i \leq g - 1, \\ x_g &= c_{2g+1}, & y_g &= -c_{2g}. \end{aligned}$$

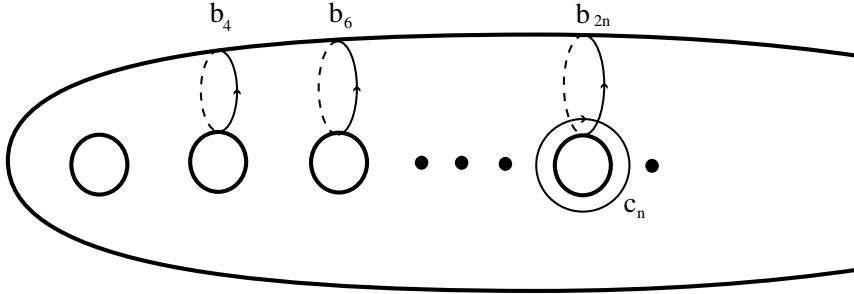


Figure 3:

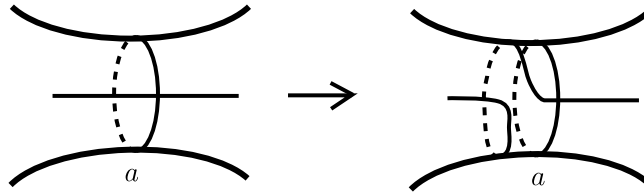


Figure 4:

Then,  $\{x_1, y_1, \dots, x_g, y_g\}$  is a basis of  $H_1(\Sigma_g, \mathbb{Z})$ , and satisfy  $(x_i, y_j) = \delta_{i,j}$ ,  $(x_i, x_j) = (y_i, y_j) = 0$  for the intersection form  $(,)$ . Let  $E_g$  be a identity  $g \times g$  matrix, and

$$J = \begin{pmatrix} 0 & E_g \\ -E_g & 0 \end{pmatrix}.$$

We define  $Sp(2g) = \{M \in GL(2g, \mathbb{Z}) \mid M'JM = J\}$ , where  $M'$  means the transpose of  $M$ .

We can characterize the handlebody group  $\mathcal{H}_g$  by the actions of its elements on the fundamental group  $\pi_1(\Sigma_g, p)$ , where  $p$  is a point on  $\Sigma_g$ . Let  $l_1$  be an arc on  $\Sigma_g$  which begins from  $p$  and ends on  $c_1$ ,  $l_i$  ( $2 \leq i \leq g - 1$ ) be an arc on  $\Sigma_g$  which begins from  $p$  and ends on  $b_{2i}$ , and  $l_g$  be an arc on  $\Sigma_g$  which begins from  $p$  and ends on  $c_{2g}$ . We denote by  $\mathcal{N}$  the normal closure of  $\{l_1c_1\bar{l}_1, l_2b_4\bar{l}_2, \dots, l_{g-1}b_{2g-2}\bar{l}_{g-1}, l_gc_{2g+1}\bar{l}_g\}$ , then  $\mathcal{H}_g = \{\phi \in \mathcal{M}_g \mid \phi(\mathcal{N}) = \mathcal{N}\}$ .

We define a homological analogue of  $\mathcal{H}_g$ . Let  $N$  be the  $\mathbb{Z}$ -submodule of  $H_1(\Sigma_g, \mathbb{Z})$  generated by  $\{x_1, \dots, x_g\}$ , and  $\mathcal{H}\mathcal{H}_g$  be a subgroup of  $\mathcal{M}_g$  defined by  $\mathcal{H}\mathcal{H}_g = \{\phi \in \mathcal{M}_g \mid \phi_*(N) = N\}$ . We call  $\mathcal{H}\mathcal{H}_g$  the *homological handlebody group* of genus  $g$ .

For each element  $\phi$  of  $\mathcal{M}_g$ , we define a  $2g \times 2g$  matrix  $M_\phi$  by

$$(\phi(x_1), \phi(x_2), \dots, \phi(x_g), \phi(y_1), \phi(y_2), \dots, \phi(y_g)) = (x_1, x_2, \dots, x_g, y_1, y_2, \dots, y_g)M_\phi.$$

Then,  $M_\phi$  is an element of  $Sp(2g)$ , and the map  $\mu$  from  $\mathcal{M}_g$  to  $Sp(2g)$  defined by mapping  $\phi$  to  $M_\phi$  is a surjection. On the other hand,  $\mu|_{\mathcal{H}_g}$  is not a surjection. We define a subgroup  $urSp(2g)$  of  $Sp(2g)$  by

$$urSp(2g) = \left\{ \begin{pmatrix} A & B \\ 0 & D \end{pmatrix} \in Sp(2g) \right\},$$

where  $A, B,$  and  $D$  are  $g \times g$  matrices,  $0$  is a  $g \times g$  zero matrix, and  $ur$  stands for ‘‘upper right’’. We show the following theorem

**Theorem 2.1.**  $\mu(\mathcal{H}_g) = urSp(2g)$ .

Since  $urSp(2g)$  is an infinite index subgroup, we have

**Corollary 2.2.**  $\mathcal{H}_g$  is an infinite index subgroup of  $\mathcal{M}_g$ .

**Remark 2.3.** An embedding of  $\Sigma_g$  into the 3-sphere  $S^3$  is called *trivial* if  $S^3 \setminus \Sigma_g$  is the disjoint union of the interior of 3-dimensional handlebodies of genera  $g$ . For this embedding, we define

$$\mathcal{E}(S^3, \Sigma_g) = \left\{ \phi \in \mathcal{M}_g \mid \begin{array}{l} \text{there is an element } \Phi \in \text{Diff}^+(S^3) \\ \text{such that } \Phi|_{\Sigma_g} \text{ represents } \phi \end{array} \right\}.$$

Since  $\mathcal{E}(S^3, \Sigma_g) \subset \mathcal{H}_g$ ,  $\mathcal{E}(S^3, \Sigma_g)$  is an infinite index subgroup of  $\mathcal{M}_g$ . This is much different from the case for surfaces trivially embedded in  $S^4$ . In this case,  $\mathcal{E}(S^4, \Sigma_g)$  is a finite index subgroup of  $\mathcal{M}_g$  [2].

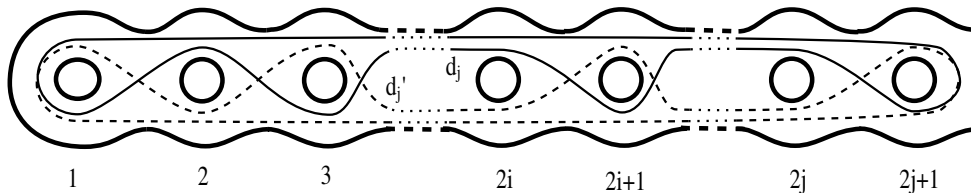


Figure 5:

By definition,  $\mathcal{H}\mathcal{H}_g = \mu^{-1}(urSp(2g))$ . Let  $[a]$  be the largest integer  $n$  which satisfies  $n \leq a$ , and  $d_j, d_j', e_k, e_k'$  are indicated in Figures 5 and 6. We show

**Theorem 2.4.** If  $g \geq 3$ ,  $\mathcal{H}\mathcal{H}_g$  is generated by  $C_1, C_2C_1^2C_2, C_2C_1C_3C_2, C_{2i}C_{2i-1}B_{2i}C_{2i}, C_{2i}C_{2i+1}B_{2i}C_{2i}$  ( $2 \leq i \leq g - 1$ ),  $C_{2g}C_{2g-1}C_{2g+1}C_{2g}, T_{d_j}\overline{T_{d_j'}}$  ( $1 \leq j \leq [\frac{g-1}{2}]$ ), and  $T_{e_k}\overline{T_{e_k'}}$  ( $1 \leq k \leq [\frac{g-2}{2}]$ ).

The author does not know whether  $\mathcal{H}\mathcal{H}_2$  is finitely generated, and whether  $\mathcal{H}\mathcal{H}_g$  are finitely presented.

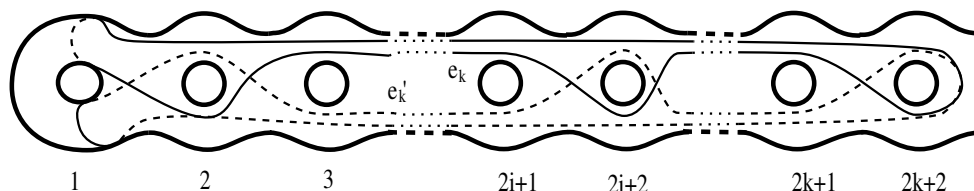


Figure 6:

### 3. Proof of Theorem 2.1

By definition  $\mu(\mathcal{HH}_g) \subset urSp(2g)$ . We show that  $urSp(2g) \subset \mu(\mathcal{HH}_g)$ . Let  $S_0$  be the  $g \times g$  symmetric matrix, and  $U_1, U_2, U_3$  the  $g \times g$  unimodular matrices given by

$$S_0 = \begin{pmatrix} 1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 \end{pmatrix}, \quad U_1 = \begin{pmatrix} 0 & 0 & \cdots & 0 & 1 \\ 1 & 0 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 0 & 0 \\ 0 & 0 & \cdots & 1 & 0 \end{pmatrix},$$

$$U_2 = \begin{pmatrix} 1 & 1 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix}, \quad U_3 = \begin{pmatrix} -1 & 0 & \cdots & 0 & 0 \\ 0 & 1 & \cdots & 0 & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & \cdots & 1 & 0 \\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix}.$$

**Lemma 3.1.** *The group  $urSp(2g)$  is generated by*

$$\left\{ \begin{pmatrix} E_g & S_0 \\ 0 & E_g \end{pmatrix}, \begin{pmatrix} U_i & 0 \\ 0 & (U_i')^{-1} \end{pmatrix}, \text{ where } i = 1, 2, 3 \right\}.$$

*Proof.* If we set  $M = \begin{pmatrix} A & B \\ 0 & D \end{pmatrix}$ , then the condition  $M'JM = J$  is equivalent to  $A'D = E_g$ ,  $B'D = D'B$ . Let  $S = B'D$ , then  $S$  is symmetric, for  $S' = D'B'' = D'B = B'D = S$ . Since  $A'D = E_g$ ,  $A = U$  is unimodular, and  $D = (U')^{-1}$ . By the above equations, we see  $US = US' = AD'B = E_gB = B$ , therefore,

$$\begin{pmatrix} U & 0 \\ 0 & (U')^{-1} \end{pmatrix} \begin{pmatrix} E_g & S \\ 0 & E_g \end{pmatrix} = \begin{pmatrix} U & US \\ 0 & (U')^{-1} \end{pmatrix} = \begin{pmatrix} A & B \\ 0 & D \end{pmatrix}.$$

This equation shows that  $urSp(2g)$  is generated by the following types of elements:

- (I)  $\begin{pmatrix} E_g & S \\ 0 & E_g \end{pmatrix}$ , where  $S$  is symmetric.
- (II)  $\begin{pmatrix} U & 0 \\ 0 & (U')^{-1} \end{pmatrix}$ , where  $U$  is unimodular.

By applying the argument by Hua and Reiner [3, p.420 and p.421], we see that elements of (I) and (II) are generated by four elements listed in the statement of this lemma.  $\square$

Suzuki [6] introduced elements  $\rho$  (cyclic translation of handles),  $\omega_1$  (twisting a knob),  $\rho_{12}$  (interchanging two knobs), and  $\theta_{12}$  (sliding) of  $\mathcal{H}_g$ . In [6], their actions on the fundamental group of  $\Sigma_g$  were listed. Using this list, we have

$$\begin{aligned} \mu(C_1) &= \begin{pmatrix} E_g & S_0 \\ 0 & E_g \end{pmatrix}, & \mu(\rho) &= \begin{pmatrix} U_1 & 0 \\ 0 & (U'_1)^{-1} \end{pmatrix}, \\ \mu(\rho_{12}\theta_{12}\rho_{12}^{-1}) &= \begin{pmatrix} U_2 & 0 \\ 0 & (U'_2)^{-1} \end{pmatrix}, & \mu(\omega_1) &= \begin{pmatrix} U_3 & 0 \\ 0 & (U'_3)^{-1} \end{pmatrix}. \end{aligned}$$

Lemma 3.1 now implies that  $urSp(2g) \subset \mu(\mathcal{H}_g)$ .

**4. Proof of Theorem 2.4**

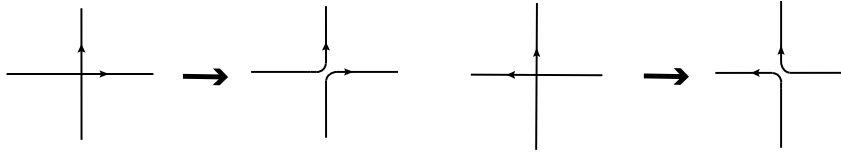


Figure 7:

Let  $\mathcal{I}_g$  be the kernel of  $\mu$ . This group  $\mathcal{I}_g$  is called the *Torelli group*. Theorem 2.1 shows that  $\mathcal{H}\mathcal{H}_g$  is generated by  $\mathcal{H}_g \cup \mathcal{I}_g$ . Johnson [4] showed that, when  $g$  is larger than or equal to 3,  $\mathcal{I}_g$  is finitely generated.

We review results in [4]. For the oriented simple closed curves shown in Figure 2, we refer to  $(c_1, c_2, \dots, c_{2g+1})$  and  $(c_\beta, c_5, \dots, c_{2g+1})$  as *chains*. For oriented simple closed curves  $d$  and  $e$  which intersect transversely in one point, we construct an oriented simple closed curve  $d+e$  from  $d \cup e$  as follows: choose a disk neighborhood of the intersection point and in it make a replacement as indicated in Figure 7. For a consecutive subset  $\{c_i, c_{i+1}, \dots, c_j\}$  of a chain, let  $c_i + \dots + c_j$  be the oriented simple closed curve constructed by repeated applications of the above operations.

Let  $(i_1, \dots, i_{r+1})$  be a subsequence of  $(1, 2, \dots, 2g+1)$  (Resp.  $(\beta, 5, \dots, 2g+1)$ ). We construct the union of circles  $\mathcal{C} = c_{i_1} + \dots + c_{i_2-1} \cup c_{i_2} + \dots + c_{i_3-1} \cup \dots \cup c_{i_r} + \dots + c_{i_{r+1}-1}$ . If  $r$  is odd, a regular neighborhood of  $\mathcal{C}$  is an oriented compact

surface with 2 boundary components. Let  $\phi$  be the element of  $\mathcal{M}_g$  defined as the composition of the positive Dehn twist along the boundary curve to the left of  $\mathcal{C}$  and the negative Dehn twist along the boundary curve to the right of  $\mathcal{C}$ . Then,  $\phi$  is an element of  $\mathcal{I}_g$ . We denote  $\phi$  by  $[i_1, \dots, i_{r+1}]$ , and call this *the odd subchain map* of  $(c_1, c_2, \dots, c_{2g+1})$  (Resp.  $(c_\beta, c_5, \dots, c_{2g+1})$ ). Johnson [4] showed the following theorem:

**Theorem 4.1** ([4, Main Theorem]). *For  $g \geq 3$ , the odd subchain maps of the two chains  $(c_1, c_2, \dots, c_{2g+1})$  and  $(c_\beta, c_5, \dots, c_{2g+1})$  generate  $\mathcal{I}_g$ .*

From now on, we assume that  $g \geq 3$ . Since  $c_\beta = B_4(c_4)$  and  $B_4 \in \mathcal{H}_g$ , it suffices for our purpose to consider the odd subchain maps of  $(c_1, c_2, \dots, c_{2g+1})$ . By the word "an odd chain map", we mean an odd subchain map of  $(c_1, c_2, \dots, c_{2g+1})$ . We use the following results by Johnson [4].

**Lemma 4.2** ([4, Lemma 1]).

- (a)  $C_j$  commutes with  $[i_1, i_2, \dots]$  if and only if  $j$  and  $j+1$  are either both contained in or are disjoint from the  $i$ 's.
- (b) If  $i \neq j + 1$ , then  $\overline{C_j} * [\dots, j, i, \dots] = [\dots, j + 1, i, \dots]$ .
- (c) If  $k \neq j$ , then  $C_j * [\dots, k, j + 1, \dots] = [\dots, k, j, \dots]$ .

**Lemma 4.3.** *For any sequence of integers  $5 \leq k_5 < k_6 < \dots < k_{2n} \leq 2g + 1$ ,*

$$\begin{aligned} & [1, 2, 3, 4][1, 2, k_5, k_6, \dots, k_{2n}]B_4 * [3, 4, k_5, \dots, k_{2n}] \\ = & [k_5, k_6, \dots, k_{2n}][1, 2, 3, 4, k_5, k_6, \dots, k_{2n}]. \end{aligned}$$

*Proof.* At first, we can show,

$$\begin{aligned} & [1, 2, 3, 4][1, 2, 5, 6, \dots, 2n]B_4 * [3, 4, 5, \dots, 2n] \\ = & [5, 6, \dots, 2n][1, 2, 3, 4, 5, 6, \dots, 2n]. \end{aligned}$$

Johnson showed this equation only in the case where  $n = g$ . But we can apply the proof of Lemma 10 of [4] for the case where  $3 \leq n < g$  because we can regard each surfaces in Figure 18 of [4] as a surface of genus  $n$  which is a submanifold of  $\Sigma_g$ .

We take a proper conjugation of the above equation by  $C_5, C_6, \dots, C_{2g+1}$ , then we have the equation which we need to show. □

Odd chain maps  $och_1, och_2$  are  $\mathcal{H}_g$ -equivalent (denoted by  $och_1 \sim_{\mathcal{H}_g} och_2$ ), if there is an element  $\phi$  of  $\mathcal{H}_g$  such that  $\phi * och_1 = och_2$ . For an odd chain  $[n_1, n_2, \dots, n_k]$ , we introduce a sequence of integers  $m_1, m_2, \dots, m_g, m_{g+1}$  as follows

$$\begin{aligned} m_i &= \#\{n_1, n_2, \dots, n_k\} \cap \{2i - 1, 2i\}, \text{ when } 1 \leq i \leq g, \\ &= \#\{n_1, n_2, \dots, n_k\} \cap \{2g + 1\}, \text{ when } i = g + 1. \end{aligned}$$

We define  $\Phi([n_1, n_2, \dots, n_k]) = [[m_1, \dots, m_{g+1}]]$ , and call  $[[m_1, \dots, m_{g+1}]]$  a *block sequence*. By applying Lemma 4.2 to  $C_1, C_3, C_5, \dots, C_{2g+1} \in \mathcal{H}_g$ , we show the following

**Lemma 4.4.** *If odd chain maps  $och_1, och_2$  satisfy  $\Phi(och_1) = \Phi(och_2)$ , then  $och_1 \sim_{\mathcal{H}_g} och_2$ .*

For any  $[[m_1, m_2, \dots, m_{g+1}]]$  so that  $m_i = 0, 1, 2$ , for  $1 \leq i \leq g$  and  $m_{g+1} = 0, 1$ , there are odd chain maps  $och$  such that  $\Phi(och) = [[m_1, m_2, \dots, m_{g+1}]]$  and we call these *och representatives* of  $[[m_1, m_2, \dots, m_{g+1}]]$ . Lemma 4.4 says that, for any  $[[m_1, m_2, \dots, m_{g+1}]]$  satisfying the above condition, any pair of representatives of it are  $\mathcal{H}_g$ -equivalent. We show

**Lemma 4.5.**

- (a) *Odd chain maps  $och_1$  and  $och_2$  such that  $\Phi(och_1) = [[\bullet\bullet\bullet, 0, 2, \circ\circ\circ]]$  and  $\Phi(och_2) = [[\bullet\bullet\bullet, 2, 0, \circ\circ\circ]]$ , where the parts indicated by  $\bullet\bullet\bullet$  and  $\circ\circ\circ$  are equal to each other, are  $\mathcal{H}_g$ -equivalent.*
- (b) *Odd chain maps  $och_1$  and  $och_2$  such that  $\Phi(och_1) = [[\bullet\bullet\bullet, 1, 2, \circ\circ\circ]]$  and  $\Phi(och_2) = [[\bullet\bullet\bullet, 2, 1, \circ\circ\circ]]$ , where the parts indicated by  $\bullet\bullet\bullet$  and  $\circ\circ\circ$  are equal to each other, are  $\mathcal{H}_g$ -equivalent.*
- (c) *Odd chain maps  $och_1$  and  $och_2$  such that  $\Phi(och_1) = [[\bullet\bullet\bullet, 0, 1, \circ\circ\circ]]$  and  $\Phi(och_2) = [[\bullet\bullet\bullet, 1, 0, \circ\circ\circ]]$ , where the parts indicated by  $\bullet\bullet\bullet$  and  $\circ\circ\circ$  are equal to each other, are  $\mathcal{H}_g$ -equivalent.*

*Proof.* For each  $k = 1, \dots, g$ , we can show that  $C_{2k}C_{2k-1}C_{2k+1}C_{2k}$  are elements of  $\mathcal{H}_g$  by checking their actions on the fundamental group of  $\Sigma_g$ .

(a). As a representative of  $[[\dots, 0, \overset{k}{2}, \overset{k+1}{\dots}]]$ , we take  $[\dots, i, 2k+1, 2k+2, \dots]$ , where  $i \leq 2k-2$ . By Lemma 4.2,  $(C_{2k}C_{2k-1}C_{2k+1}C_{2k}) * [\dots, i, 2k+1, 2k+2, \dots] = [\dots, i, 2k-1, 2k, \dots]$ . The odd chain map  $[\dots, i, 2k-1, 2k, \dots]$  is a representative of  $[[\dots, 2, \overset{k}{0}, \overset{k+1}{\dots}]]$ .

(b). As a representative of  $[[\dots, 1, \overset{k}{2}, \overset{k+1}{\dots}]]$ , we take  $[\dots, i, 2k-1, 2k+1, 2k+2, \dots]$ , where  $i \leq 2k-2$ . By Lemma 4.2,  $(C_{2k}C_{2k-1}C_{2k+1}C_{2k}) * [\dots, i, 2k-1, 2k+1, 2k+2, \dots] = [\dots, i, 2k-1, 2k, 2k+1, \dots]$ . The odd chain map  $[\dots, i, 2k-1, 2k, 2k+1, \dots]$  is a representative of  $[[\dots, 2, \overset{k}{1}, \overset{k+1}{\dots}]]$ .

(c). As a representative of  $[[\dots, 0, \overset{k}{1}, \overset{k+1}{\dots}]]$ , we take  $[\dots, i, 2k+2, \dots]$ , where  $i \leq 2k-2$ . By Lemma 4.2,  $(C_{2k}C_{2k-1}C_{2k+1}C_{2k}) * [\dots, i, 2k+2, \dots] = [\dots, i, 2k, \dots]$ . The odd chain map  $[\dots, i, 2k, \dots]$  is a representative of  $[[\dots, 1, \overset{k}{0}, \overset{k+1}{\dots}]]$ .  $\square$

By this lemma, we show that any odd chain map is  $\mathcal{H}_g$ -equivalent to a odd chain map  $och$  such that  $\Phi(och) = [[2, \dots, 2, 1, \dots, 1, 0, \dots, 0]]$ .



**Lemma 4.6.** *Any odd subchain map representing a block sequence  $[[2, \dots, 2, 1, \dots, 1, 0, \dots, 0]]$  is a product of  $B_4 \in \mathcal{H}_g$  and odd chain maps representing  $[[2, 2, 0, \dots, 0]]$ ,  $[[1, \dots, 1, 0, \dots, 0]]$  and  $[[2, 1, \dots, 1, 0, \dots, 0]]$ .*

*Proof.* For a block sequence  $[[2, \dots, 2, 1, \dots, 1, 0, \dots, 0]]$ , the length of sequence of 2's is called *h-length* of the block sequence. By Lemma 4.3, we see,

$$[[2, 2, 0, \dots, 0]] [[2, 0, \bullet \bullet \bullet]] B_4 * [[0, 2, \bullet \bullet \bullet]] = [[0, 0, \bullet \bullet \bullet]] [[2, 2, \bullet \bullet \bullet]],$$

where  $\bullet \bullet \bullet$  indicate the part which are the same each other. This equation shows that, if h-length of a block sequence is at least two, then this is a product of  $B_4$ , block sequences whose h-length are shorter than this, and  $[[2, 2, 0, \dots, 0]]$ . By the induction on h-length, we show this lemma.  $\square$

The block sequence  $[[2, 2, 0, \dots, 0]]$  is represented by  $[1, 2, 3, 4] = T_{b_4} \overline{T_{b'_4}}$ , which is an element of  $\mathcal{H}_g$ . As a representative of  $[[1, \dots, 1, 0, \dots, 0]]$ , we take  $[2, 3, 6, 7, \dots, 4l - 2, 4l - 1, \dots, 4j + 2, 4j + 3] = T_{d_j} \overline{T_{d'_j}}$ , and as a representative of  $[[2, 1, \dots, 1, 0, \dots, 0]]$ , we take  $[1, 2, 4, 5, \dots, 4l, 4l + 1, \dots, 4k + 4, 4k + 5] = T_{e_k} \overline{T_{e'_k}}$ . Therefore,  $\mathcal{H}_g \cup \{T_{d_j} \overline{T_{d'_j}}, T_{e_k} \overline{T_{e'_k}} \mid 1 \leq j \leq \lfloor \frac{g-1}{2} \rfloor, 1 \leq k \leq \lfloor \frac{g-2}{2} \rfloor\}$  generates  $\mathcal{H}\mathcal{H}_g$ . Takahashi [7] showed

**Theorem 4.7 ([7]).**  *$\mathcal{H}_g$  is generated by  $C_1, C_2 C_1^2 C_2, C_2 C_1 C_3 C_2, C_{2i} C_{2i-1} B_{2i} C_{2i}, C_{2i} C_{2i+1} B_{2i} C_{2i} (2 \leq i \leq g - 1), C_{2g} C_{2g-1} C_{2g+1} C_{2g}$ .*

Theorem 2.4 follows from this theorem and the above observation.

**Remark 4.8.** McCullough and Miller [5] showed that  $\mathcal{I}_2$  is not finitely generated. So, the author does not know whether  $\mathcal{H}\mathcal{H}_2$  is finitely generated or not.

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